

*Ālayavijñāna*

*On the Origin and the Early Development  
of a Central Concept of Yogācāra Philosophy*

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Part II  
Notes, Bibliography and Indexes

Lambert Schmithausen

Tokyo  
The International Institute for Buddhist Studies  
of  
The International College for Postgraduate Buddhist Studies  
2007

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with Addenda and Corrigenda**

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1. Usually, however, Chinese translations do not translate but only transliterate 'ālaya-' (cp. Hôb fasc. 1, p. 35). For the occasional rendering of 'ālayavijñāna' by 宅識 or 家識, see Ui 1958, 165f.; BDJT s.v. *araya-shiki*; Fukihara 1953, 378; for 無沒識 = \**ā-laya*<sup>o</sup> see ib. and Hôb fasc. 1, p. 35.
2. E.g. Lamotte 1934-35, 17off.
3. For a different Tibetan rendering see n. 191.
4. Frauwallner 1969, 328; 352; 386f.
5. The best introduction to the essential aspects of the concept and theory of ālayavijñāna in a Western language is, in my opinion, still de La Vallée Poussin 1934-35. More recent works concerned with ālayavijñāna, as e.g. Verdu 1974 or Brown 1981, focussing on later developments, are less relevant to the present study. Of course, valuable information may also be gathered from the translations of pertinent portions of Yogācāra works into Western languages, above all from MSg<sub>L</sub> (esp. ch. I; cp. also Lamotte 1934-35) and Si<sub>p</sub> (esp. pp. 94-224). - Important aspects of the present study are touched upon, from a more philosophical standpoint than mine, in Griffiths 1986, which also contains the text and an English translation of the *Proof Portion* of the *VinSg ālay. Treatise* (see § 1.5 + n. 92, and n. 226).
6. Suguro 1977, 127f.
7. This distinction is, however, not a strict one, for in some cases the two categories overlap, and Hsüan-tsang's Siddhi (Si 15b19-19a26) goes so far as to introduce a l l systematical contexts by (partly unidentified and thus dubious) 'Sūtra quotations.
8. This is, to be sure, at the same time a special case of exegetical context.
9. Most of these concepts are, at the same time, key terms of certain systematical contexts with which ālayavijñāna is associated.

10. Yokoyama 1979, 114; similarly Saigusa 1983, 109; cp. already Yūki 1935, 142. I n t u i t i o n into the [subliminal] continuity of mind is asserted to be the precondition of the ālayavijñāna theory also by N. Hakamaya (1978, 21-23). - Cp. § 7.4.
11. Cp., e.g., de La Vallée Poussin 1934-35, 146 + 156f.; 162; Conze 1953, 161f.; Cousins 1981, 22; Griffiths 1986, 91f.
12. I have tried to include at least all those contexts which can already be traced in the Yogācārabhūmi. My documentation in the following notes merely intends substantiation but by no means completeness.
13. Cp., e.g. Ui 1958, 171; Yokoyama 1979, 114; Saigusa 1983, 107; cp. also de La Vallée Poussin 1934-35, 161f.; Yūki 1935, 75f.; Takasaki 1982, 27; 1985, 44; Y. Sasaki 1982, 197 note 62. - P r o o f: see n. 14. - As for the continuity and homogeneity of ālayavijñāna, cp., e.g., Saṃdh V.7 (see n. 321); MSg I.1 (see n. 14) and the equation of ālayavijñāna with the \*āsaṃsārika-skandha of the Mahīśāsakas in MSg I.11.3 (see § 1.3.4.2; cp. also Hirakawa 1981, 126); Hsien-yang 480c6; KSi §§ 30 (Muroji p. 37,8) and 37 (Muroji p. 47,8); PSVy 24b5 (Muroji p. 40,10f.); PSk<sub>t</sub> 17a2 (PSk<sub>p</sub> § 26; Muroji p. 44,8): *rgyun chags par 'jug pa*; Tr 4d + TrBh; Si 12b28ff. See also §§ 3.4-3.6.
14. Cp. de La Vallée Poussin 1934-35, 152f. - P r o o f for the existence of ālayavijñāna by showing that otherwise both Pollution (*saṃkleṣa*) and Purification (*vyavadāna*), or both the continuance (*pravṛtti*) and the cessation (*nivṛtti*) of saṃsāra, would be impossible: MSg I.29-49 and 56-57; PSk<sub>t</sub> 17a3 (PSk<sub>p</sub> § 26; Muroji p. 44,13f.): *'khor bar 'jug pa dan ldog pa'i phyir ro*; TrBh 37,14ff.; Si 18c24ff. - Cp. also MSg I.1 (Abhidharmasūtra: ... *sarvadharmasamāśrayaḥ / tasmin sati gatiḥ sarvā nirvāṇādhiḡamo 'pi ca //*). - As for the function of ālayavijñāna with regard to (supramundane) Purification, see also § 4.8.

15. Cp., e.g., de La Vallée Poussin 1934-35, 152f.; Frauwallner 1969, 329; Cousins 1982, 22; Hirakawa 1981, 126f.; Griffiths 1986, 92. - P r o o f : KSi, esp. §§ 2off. (Muroji pp. 21,14ff.) and 32 (Muroji p. 39,1ff.); in the context of the proof that without ālayavijñāna saṃkleśa/saṃsārapravṛtti would be impossible (see n. 14): Si 19a3-7; in the same context and at the same time presented as an e x e g e t - i c a l issue: see ns. 51 and 52.
16. Frauwallner 1969, 328; cp. Demiéville 1952, 132f.; Griffiths 1986, 94 + 104ff. - P r o o f : PSk<sub>t</sub> 17a2f. (PSk<sub>D</sub> § 26; Muroji p. 44,9ff.): 'gog pa'i sñoms par 'jug pa dañ / 'du šes med pa'i sñoms par 'jug pa dañ / 'du šes med pa pa rnams las lañs nas yañ yul rnam par rig pa šes bya ba 'jug pa'i rnam par šes pa (\*viśayavijñāpty-ākhyā-pravṛttivijñāna) 'byuñ ba (... 'i phyir ro). - Cp. also Y<sub>m</sub> 78b5 (see n. 146): nirodhaṃ samāpannasya ... p r a v ṛ t t i v i j ñ ā n a - b i j a -parigṛhītaṃ ālayavijñānaṃ anuparataṃ bhavati ā y a t y ā ṃ t a d u t p a t t i d h a r m a t ā y a i ; KSi §§ 21ff. (Muroji pp. 23,1ff.) and 30 + 32 (Muroji p. 37,1ff. and 37,19ff.); TrBh 35,2-4. - A somewhat different issue, connected with the exegetical one that according to the Dharmadinnāsūtra vijñāna has not withdrawn from the body in nirodhasamāpatti (see § 1.3.2(3) + n. 57), is the impossibility of a re-emergence, in one and the same life, of the v i p ā k a -vijñāna after it has once been interrupted: MSg I.51 (read gdoḍ (= punaḥ) 'byuñ ba yañ ma yin te); Si 18a3ff., esp. 11f. - For materials concerning the presence of ālayavijñāna in nirodhasamāpatti, etc., cp. also ns. 40, 131, 218, 322 and § 6.6.
17. Cp., e.g., de la Vallée Poussin 1934-35, 156ff.; S. Katō 1933, 215f.; Yūki 1935, 74; Nishi 1937, 68f. and 81; 1975, 489; Frauwallner 1969, 328; Yokoyama 1979a, 8ff.; Hirakawa 1981, 127; Griffiths 1986, 92f.; 105. - P r o o f : Y<sub>t</sub> zi 3a8ff. (see n. 783); PSk<sub>t</sub> 17a3 (PSk<sub>D</sub> § 26; Muroji p. 44,12:



*dmigs pa'i rkyen la ltos nas rnām pa gžan du 'jug pa nīd*  
*dañ / chad nas yañ 'byuñ ba nīd (... kyi phyir ro);* the  
 passage seems to be based on  $Y_t$  zi 3a8ff. (cp. also PSkVai  
 5ob6f. and PSkBh 191a4ff.); I take the first argument to  
 prove that the homogeneous Seed of a given pravṛttivijñāna  
 cannot be the preceding pravṛttivijñāna but only ālayavijñā-  
 na because (most) consecutive pravṛttivijñānas differ as to  
 their o b j e c t i v e content ( $Y_t$  zi 3a8ff.: difference  
 as to their moral or spiritual quality)); Si 15b19ff. Cp.  
 also, e.g.,  $Y_m$  78b5 (see n. 146); Y 4,7 etc. (*sarvabījakam*  
*... ālayavijñānam*; see §§ 6.1-6.3); 1o9,14f. (see § 6.4);  
 $Y_t$  zi 5b4f. (see n. 438) and 7ff. (H 1979, 31f. [§ 3.b.B] ;  
 cp. ns. 418 and 417); 17b6 (see n. 452); 3oa5ff. (see n.  
 553); Saṃdh V.2 (see n. 5o8) and V.7 (see n. 321); Hsien-  
 yang 48oc4 (see n. 536), 16, 23, 28, etc. (see n. 439);  
 5o5b21f. (cp. n. 159); MSg I.2; 9; 14ff., etc.; AS 3,3f. and  
 8f. (see n. 445); 12,1 (see n. 45o); KSi §§ 3off. (Muroji p.  
 37f.); Tr 2d and 18-19 + TrBh; Si 8a5ff.; etc., etc.

18. Si<sub>p</sub> 312; cp. also AKBh 278,21; MSg I.15 (MSg<sub>L</sub> p. 9,34ff.);  
 KSi § 19 (Muroji p. 21,2-6; cp. ib. 22,2ff. = PSVy 23b3-5).  
 Recollection does not appear to have been a prominent issue  
 in classical Yogācāra philosophy.
19. AKBh 299,1of.; 477,9ff.; KSi §§ 2o and 32 (Muroji pp. 21,14-  
 17and 39,2-9); PSVy 22b1ff. (Muroji p. 24,2off.); Vś 5,1off.;  
 TrBh 38,4ff. (cp. PSkVai 52a5ff.; PSkBh 195b8ff.); Si 19a3-  
 5; 43a14-17; cp. also  $Y_t$  zi 5b7f. + 6a3f. (see ns. 418 and  
 417).
- 2o. Cp.  $Y_m$  78b5 (see n. 16); KSi §§ 19 (Muroji p. 21,6-8; cp.  
 ib. 22,1f. + 6f. = PSVy 23b3-5) and 3o+32 (Muroji p. 37,4-13  
 and 19-25).
21. As for the term '*kṛeṣa*', the polemical remarks of Anacker  
 197o, 233f., miss the point since the defilement metaphor is  
 obvious from canonical passages like SN III 131 (*vattham*  
*saṅkiliṭṭham mala-ggahitam*; cp. ASBh 62,7f. [see n. 933]:

*kliṣṭasya dhātrī-caīlasya* ...; AKBh 157,8f.; BHSD s.v. *kliṣyate*). In the case of the (wider) term '*saṃkleśa*' the meaning "Pollution" is moreover confirmed by the fact that its antonym is '*vyavadāna*' ("purification"). Cp. also the canonical comparison of the *u p a k l e ś a s* of mind with *i m ' p u r i t i e s* of gold (AN III 16f., etc.), or of immoral habits (*upakkileśa*) with clouds, etc., "defiling" or obscuring sun and moon (AN II 53f., etc.; see CPD s.v. *upakkileśa*). The interpretation of *kleśa* as "affliction", testified to by Chinese and Tibetan equivalents, is clearly a secondary development, probably influenced by the usage of *kliṣ-/kleśa* in standard Sanskrit (cp. the Brahmanical explanations collected by T. Sahoda in G. Sasaki 1975, 42), and perhaps also by Abhidharmic theories like the association or even identification of *kleśas* with *vipariṇāma-duḥkhatā* (cp., e.g., ŚrBh 256,17ff.; S 1977, 921; see also n. 602). Yet, even a comparatively late author like \*Pṛthivībandhu (probably later than Sthiramati but not later than the beginning of the 9th century because known to the lDan- (or: lHan-) -dkar-ma catalogue [Yoshimura 1974, 178, No. 635]) still gives the older meaning side by side with the new one: "They are called '*kleśas*' because they render body and mind afflicted, i.e. uneasy (*\*kleśayanti duḥkhayanti?*), and because they render the mind *d e f i l e d* (PSkBh 119a3f.: *de dag gis* (D) *lus dañ sems la sdug bsñal źiñ ñon moñs par byed pa dañ / sems d r i m a c a n du byed pas na ñon moñs pa źes bya'o* //). Cp. also Si 22b4-6 ( 操過 ).

22. MSg I.30-32 (*kleśas*); 33 + 59.1-2 (karman); TrBh 37,14-19 and 38,4ff. (karman: cp. n. 19); Si 18c24ff. (*kleśas* [18c28 ff.] and karman [see n. 19]). As for the latent continuance, as Seeds, of bad as well as good (and even certain neutral) states of mind, or of Defilements as well as good habits, and the "fattening" (*(pari-)puṣṭi*) of these Seeds by the Impressions (*vāsanā*) left by actual occurrences of such factors, cp., e.g., Y<sub>t</sub> zi 5b7ff. (H 1979,31 [§ 3.b.B.1]).

23. MSg I.43-44; Si 19a10-18; cp. also § 4.8.3.
24. TrBh 38,13ff.; PSkVai 52b2ff.; PSkBh 197a2ff.; Si 19a18ff.; cp. also MSg I.57.
25. AKBh 295,21f.; KSi § 15 (Muroji p. 15,15ff.); PSVy 22a8f. (Muroji p. 24,14ff.).
26. AKBh 72,16-18; KSi § 22 (Muroji p. 23,1of.).
27. Cp. Si<sub>p</sub> 312.
28. AD 272,18ff.: *rūpādaḥ khalv api vastun्य abhyatīte s a t y e v a buddhir utpadyate / na hy asad-ālabhanā buddhir utpadyate / ... yad etad rūpādi-dravyaṃ pūrvānubhūtaṃ t a d e v a tat-smṛtyā gṛhyate /*; cp. AKBh 295,18f.
29. AKBh 63,14ff.
30. Seyfort Ruegg 1969, 473ff. (with further references); cp. also Mizuno 1932, 1084ff.; S. Katō 1933, 215; Yūki 1935, 47ff.; Hirakawa 1974, 218; Saigusa 1983, 111f.; see also Nishi 1937 and 1938 (= 1975, 483ff.), discussing the occurrences of the term '*bīja*' in the Vibhāṣā and its systematical and historical relations to the Sautrāntika and Yogācāra *bīja* theories.
31. Bareau 1955, 188 (thèse 10); Frauwallner 1969, 328; Nishi 1975, 485.
32. Yokoyama 1979, 117. -- Cp. Y<sub>t</sub> zi 6a7f. (see n. 945); MSg I.3 (see n. 137, with further references; cp. also n. 140, 2nd explanation); I.13C (MSg<sub>L</sub> p. 8,33f.; 9,2f. and 6f.); I.59,3; Hsien-yang 480c23f.; AS 12,3 (read: *ālayavijñānālabhanaṃ* [AS<sub>G</sub> 19,14]); MSABh 174,16f.; PSk<sub>t</sub> 17a5 (PSk<sub>D</sub> § 27; Muroji p. 44,19) + PSkVai 54b1-3 and PSkBh 202a3-5; Tr. 5c + TrBh 22,22ff. and Si 21c17ff.; Si 2a12f.; 15b1ff. - Cp. also § 3.11. -- As far as I can see, this issue is nowhere made into a p r o o f of the existence of ālayavijñāna.
33. Cp., e.g., de la Vallée Poussin 1934-35, 161. Cp. Y 4,7 (see § 6.1); 11,5 (§ 6.2); 24,4f. (§ 6.3); 192,8 (§ 6.5); Saṃdh

- V.2 (see n. 508); Hsien-yang 480c4f.; 504b12f.; MSg I.21, etc.; I.59.2; I.62; AS 12,2; KSi §§ 30-33 (Muroji pp. 37-39); PSVy 24b3f. (Muroji p. 40); Tr 2d and 19 (see n. 419) + TrBh 37,4ff.; etc. etc. - In Si 16a16ff., the fact that a *vipākacitta* is otherwise impossible is made into a p r o o f of ālayavijñāna. A similar consideration seems to be implicit in the first three arguments of Y<sub>t</sub> zi 2b4ff. (ASBh 12,1ff.; H 1978, 8 [§ i(a-c)]). - See also § 3.12.
34. Saṃdh V.2 (see § 3.9.2); MSg I.5 (≈ PSkViv 95b3-5; cp. n. 1477 (A (13) and G); Sasaki 1982, 179ff.; Takeuchi 1985, 267ff.); cp. also Y<sub>t</sub> zi 6a3f. (see n. 417); ASBh 11,12f. (see n. 1477 (A (12))); PSVy 24b4f. (Muroji p. 40,8-10); TrBh 33,21 (*gatiṣu janmopādānāt*). - In the case of rebirth in the *kāma*- or *rūpa-dhātu*, this function is, at least in older sources, not always distinguishable from the initial phase of biological appropriation (for which see § 1.3.1.7 + n. 39): see, e.g., Y<sub>t</sub> zi 2b4ff. (see n. 33), and the material in n. 348. Moreover, in the case of rebirth as a man (or womb-born animal), taking possession of a new existence would seem to overlap with the issue of (ālaya)vijñāna's entering the womb and coalescing with proto-embryonic matter (§ 1.3.1(8)), as e.g. at MSg I.34.
35. Cp. de la Vallée Poussin 1934-35, 157 and 162. - Homogeneity and continuity of ālayavijñāna throughout a given existence: Si 17a1; cp. Y<sub>t</sub> zi 4b6-8 (H 1979, 27 [§ B.2-3]). - Ālayavijñāna as the basis of the ordinary forms of mind or as the basic layer of a living being: Saṃdh V.4-5 (see § 3.9.3); Y 11,4f. (see § 6.2); Y<sub>t</sub> zi 5b5-7 (see n. 358); MSg I.1; Tr 5b and 15; MSgY<sub>t</sub> 251a3 (see n. 367); Si 20b6ff. and c12ff. Cp. also the identification of ālayavijñāna with, and its designation as, *mūlavijñāna* (§ 1.3.4.2). See also § 3.10.
36. P r o o f : Si 16b3ff.; arguing from special cases: MSg I.40-41, etc. (see n. 37). Cp. also MSg I.21. - The arguments are, of course, valid only on the assumption that a

metaphysical *ātman* or *pudgala* and hypostatized entities like the *jīvitendriya* and the *nikāyasabhāgatā* of the Vaibhāṣikas do not exist (cp. KSi § 34c [Muroji p. 41,16-21]; Si 16b9f. and 7c26f.; VGPVy 425b7f.

37. E.g. when in a living being of the world sphere where corporeal matter is lacking (*ārūpya-dhātu*) a good or defiled state of mind arises, this living being would, if *ālayavijñāna* is rejected, lose its affiliation to this sphere because in the absence of corporeal matter and sense-perception (KSiT 104b3) no *vipāka* element constituting this affiliation would be left (KSi § 34c [Muroji p. 41,8-12]; PSVy 25a6f. [Muroji p. 42,5-7]; PSVyT 155b1ff.; Si 16b11f.). Even if one asserted that not only the *vipāka* elements but all mundane elements belonging to that sphere constitute affiliation to it (Si 16b12f., but rejected in PSVyT 155b4f.), this affiliation would be lost if the living being actualizes a supra mundane (*lokottara*) state of mind (Si 16b13; MSg I.4o). Suppose it is admitted that even such a supramundane state of mind belongs, in a sense, to that stage (*\*sva-bhūmi-ka*: VGPVy 425b8; cp. also KSiT 104b8), this would not work in the case of a person born in the sphere of neither consciousness nor non-consciousness (*naīva-saṃjñā-nāsaṃjñāyatana*) because such a person, in view of the dimness of consciousness in this sphere (cp. MSgBh 161b1, etc.), can actualize a supramundane state of mind - which is a clear gnosis of Truth (cp. ib.) - only by mentally descending to the next lower level, viz. the sphere of nothingness (*ākīñcanyāyatana*). This, however, would, in the absence of *ālayavijñāna*, inevitably mean that no element belonging to the *naīvasaṃjñānāsaṃjñāyatana* would be left, i.e. that he would lose his existence there (MSg I.41; KSi § 34c [Muroji p. 41,12-16]; PSVy 25a7f. [Muroji p. 42,8-10]).
38. KSi § 34a (Muroji p. 41,4); PSVy 25a6 (Muroji p. 42,3f.) + PSVyT 155a1ff., esp. 6ff.; PSkVai 53b7 (see n. 1373); PSkBh

199b8 (*kun g'zi rnam par šes pa ni lus mtha' dag la khyab par gnas te*; cp. 201b1: *len pa'i rnam par šes pa šes bya ba ni lus thams cad khyab par len pa ...*); MSgU<sub>t</sub> 259b6 (*des lus la khyab pa'i phyir ro*; cp. § 12.2.2(ḍ)). Cp. also Y<sub>t</sub> zi 2b7f. (ASBh 12,7f.; H 1978, 8 [§ i(d)]) i m p l y i n g that ālayavijñāna, in contrast to sense perceptions, pervades the w h o l e body; Si 16b22-24, 17a18f. and 20b24f.: pervasiveness (遍) of the *vipāka-citta* (largely = ālayavijñāna) as a presupposition for biological appropriation.

39. Cp., e.g., de la Vallée Poussin 1934-35, 165ff.; Yūki 1935, 74; Ui 1958, 171; Yokoyama 1979a, 2ff.; Takasaki 1985, passim; Hirakawa 1981, 127; Saigusa 1983, 108f.; Takeuchi 1985, 268f.; Griffiths 1986, 94. - P r o o f : Y<sub>t</sub> zi 2b4ff. (ASBh 12,1ff.; H 1978, 8f. [§ i]; Griffiths 1986, 97f. and 130ff.); MSg I.35; KSi § 34a (Muroji p. 41,2-5); PSVy 25a6 (Muroji p. 42,3): *lus zin pa ñid kyañ* (sc. *kun g'zi rnam par šes pa med par g'zag par mi nus so*: PSVyT 155a1); Si 16b20ff. - Cp. also Y 4,7, etc. (see §§ 6.1-6.3); Y<sub>t</sub> zi 5b5f. (see n. 358); Saṃdh V.2-3; MSg I.5 (≈ PSkViv 95b3f.); Hsien-yang 480c5 (see n. 536); 483c9 (see n. 440); PSk<sub>t</sub> 17a4 (Muroji p. 44,18): *lus* (Ch. 身) *len pa'i phyir* (not unambiguous: cp. n. 34); PSkVai 54a2f.; PSkBh 200a2ff.; ASBh 45,5f.; Si 10a15-17.
40. Griffiths 1983, 571f., referring to Y<sub>t</sub> zi 3b8ff. (see n. 227). Cp. also PSVyT 154b4f. and Si 17b26-c1 and 11b7f.. This issue of the presence of a *vijñāna*, preventing death, in states like *nīrodhasamāpatti* has also an e x e g e - t i c a l aspect: see § 1.3.2.3.
41. Cp., e.g., de la Vallée Poussin 1934-35, 165; Ui 1958, 171; Yokoyama 1979a, 16ff. - P r o o f : MSg I.34; Si 16c23ff. - Cp. also Y 24,4f. (see § 6.3); Saṃdh V.2 (see n. 508). See § 3.3.1.

42. Cp., e.g., de la Vallée Poussin 1934-35, 165f.; Takasaki 1985, 44. - P r o o f :  $Y_t$  zi 4a2ff. (see n. 281); MSg I.42; Si 16c23ff., esp. 17a13ff. - Cp. also Y 18,16ff. and 2o2,2o (only *viññāna*, and not *ālaya-v.*). See § 3.3.2.2.
43. P r o o f :  $Y_t$  zi 3b6ff. (see n. 295). - Cp. Si 16a25ff. See § 3.7.1.
44. Hsien-yang 487a3-6 (see n. 297). See § 3.7.2.
45.  $Y_t$  zi 3b4ff. (see n. 63o). - Cp. Saṃdh VIII.37.1.1 (see n. 629);  $Y_t$  zi 4a8ff. (see ns. 633 and 531); 189b4f. (see n. 653). See § 5.6.
46. Esp.  $Y_t$  zi 8a4ff. (see ns. 549, 444 and 548), 9a3f. (see § 1o.1① and 9b6 (see n. 568); MSg I.45 (see n. 574). See § 4.7. - Cp. also the verse quoted at TrBh 44,15f. (*jñeyam ādānavijñānaṃ dvay ā v a r a ṇ a l a k ṣ a ṇ a m ...*), which is taken from T No. 16o7 (p. 774b4f.), viz. from the Liu-mên-chiao-shou-hsi-ting-lun ( 六門教授習定論 ) ascribed to Asaṅga (cp. Ui 1979, 585); in the commentary (774b14), *ādānavijñāna* is expressly identified with *ālayavijñāna*.
47. Cp. S 1977, 925f. - Cp., e.g.,  $Y_t$  zi 9b5 (see n. 551); 3oa5ff. (see n. 553); ASBh 55,13f. (see n. 489); MAVT 79,23ff.; 8o,21ff.; 93,26ff.; 168,11f.; TrBh 44,6f.; Si 51a6 and 13; cp. also the equation of *ālayavijñāna* with *dauṣṭhulyāśraya* or *dauṣṭhulya-kāya* (see n. 133o; H 1976, 53, 58 (+ note 66) and 61 (+ note 77)). See §§ 4.1-2 and, esp., 4.7. - Whereas in some sources (esp. the *Nivṛtti Portion* [cp. n. 226]: see § 4.7) *ālayavijñāna* appears to be e s s e n t i a l l y characterized by, or even consist of, Badness (*dauṣṭhulya*), other texts (MSg I.61.1; cp. also Hsien-yang 487a3ff. [see § 3.7.2 + n. 297]) take it to contain or comprise both Badness a n d its opposite, E a s e (*praśrabdhi*, implying also the nuance of good-naturedness), the latter being defined, in MSg I.61.1, as the Seeds of such good dharmas as are still under the sway

of Cankers (*sāsrava-kuśāla*). Usually, however, *praśrabdhi* is, in contrast to *dauṣṭhulya*, not interpreted as a subcategory of Seeds. Rather Ease, especially mental Ease, is established as a mental factor (*caitta*) on its own (e.g. Y 11,16; AS 6,19f.; PSk<sub>p</sub> § 18.8 (read *sbyaṅs*), Tr 11b + TrBh 27,14ff.; AKBh 55,8f.), and bodily Ease is often defined as a special kind of tangible (*spraṣṭavyaviśeṣa*: TrBh 27,19f. = PSkVai 25b6f.; PSkBh 131a6; cp. AKBh 21,2f. and 439,1of.).

On the other hand, the Seeds of mundane good dharmas are, nevertheless, usually considered to be contained in *ālaya-vijñāna* (cp., e.g., Y 109,14f. [see § 6.4]); Y<sub>t</sub> zi 5b4f. and 8ff. (H 1979, 3of. [§ 3.b.A.1 and B.1]); TrBh 18,1of.). But they seem to be overlooked or even ignored in the basic material of the *Nivṛtti Portion* (§ 4.7) and may have been taken to be comprised in Badness (viz. *sarvatraga-dauṣṭhulya*; cp. also ASBh 92,5-7) in Y<sub>t</sub> zi 30a5 ff. (see n. 553), whereas Y 26,14-16 (which, by the way, looks like an insertion) expressly excludes them from *dauṣṭhulya* though, in contrast to MSg I.61, not making use of the term '*praśrabdhi*'.

48. Cp. S 1977, 925f. - Cp., e.g., comm. ad PG 33-34 and 37 (see App. II); Y<sub>t</sub> zi 8b1 (see n. 548); ASBh 55,14f. (see n. 489). See § 4.1.4.
49. Cp. esp. Kajiyama 1985 (see § 7.3.2).
50. For further though (at least partly) dubious cases see n. 7.
51. MSg I.33.1 and 59.1; PSVy 17a6ff., esp. 18b2ff. and 23b6-24a2; TrBh 37,16-38,13; PSkVai 51b2-52b2; PSkBh 193b4-197a2; Si 19a7-9. - According to the afore-mentioned sources, the *saṃskāra-pratyayaṃ vijñānam* is the *vijñāna* of the prior existence which receives the Impression of karman and - by continuously propagating itself along with this Impression - becomes, in its turn, the cause of a new existence (beginning with *nāmarūpa*) (cp. n. 1128). This exegetical issue thus



involves the systematical issue of (ālaya)viññāna as the recipient and support of karmic Impressions (vāsanā).

52. MSg I.33.2; 59.1; Si 19a9f. (+ Si<sub>p</sub> 218). - According to MSgU<sub>t</sub> 257a8ff., 'bhava' means the karmic Impression (vāsanā) when it is about to mature, or actualize itself. In order to be able to reach this stage, it of course presupposes a *viññāna* by which it was received at the moment of the act and passed on until the moment of its actualization.
53. MSg I.36; Si 17a23ff.
54. Y 23o,1off. Cp. § 12.1.
55. Cp. Enomoto 1982 and Kajiyama 1985. See § 7.3.
56. See n. 149.
57. Y<sub>m</sub> 78b5 (see § 2.1 + n. 146); MSg I.5o(f.); KSi § 24ff. (Muroji p. 27ff.); PSVy 25a8-b4 (Muroji p. 42, 11-25); cp. PSVy 18b5ff. (Muroji p. 28) + 24a1 (Muroji p. 48,2-5) [where the \**saṃskāra-paribhāvitaṃ viññānam*, the presence of which in *nirodhasamāpatti* had been corroborated by the Sūtra passage under discussion at 18b5ff., is equated with ālayaviññāna]; PSVyT 139a6-b3; Si 17c25ff.; cp. also Y<sub>t</sub> zi 4a2 (see n. 4o); TrBh 19,24f.; Si 11b6f.
58. Si 16c6ff. The quotation probably refers to the Mahākauṣṭhīlasūtra (see AKTU tu 191a3ff.; MĀ<sub>c</sub> 791b23ff.; cp. MN I 295). Yet, none of these versions preserved mentions *viññāna*. Even Śamathadeva's text which most probably represents the Mūla-sarvāstivāda version (see S 1987, §§ 5.1.5.1, 6.1 + note 142a, and 6.2.2.2.o) speaks of a commingling or inseparable association of life-force and bodily heat only, as is also confirmed by a quotation of the pertinent sentence in Yaśomitra (AKVy 667,29f.: *yac cāyuṣman Kauṣṭhīla āyur yac cōṣma-kam, saṃsṛṣṭāv imau dharmau, na viśaṃsṛṣṭau*). Since at least the early Yogācāras, too, appear to have used the Mūla-sarvāstivāda version (see n. 166), the wording to be presupposed for the early period is most probably that of Yaśomitra

and not that of Hsüan-tsang. This would also explain the fact that the Sūtra is, to my knowledge, not used as a proof of ālayavijñāna in any earlier source. Hsüan-tsang's version is, however, also adduced by Vibhajyavādins at Vi 431b11f. (cp. n. 154) as well as by an opponent at TSi 345b8f., and, in the latter case, as a proof of the presence of mind in *nīrodhasamāpatti* at that.

59. MSg I.37; Si 17b8ff.; cp. § 4.3.
60. See n. 64.
61. § 1.3.1.8.
62. See § 1.3.2.1, 2 and 6.
63. Cp., e.g. Ui 1958, 171; Kanakura 1980, 169; Yokayama 1979a, 18; Takasaki 1982, 27; 1982a, 50,3f.; Y<sub>t</sub> zi 2b5f. (see n. 859). Cp. also § 1.3.1.8 + n. 41.
64. DN II 63; cp. DĀ<sub>c</sub> 61b9ff.; T 14, 243b18ff.; MĀ<sub>c</sub> 579c17ff.; AKVy 669,1ff.; AKṬU tu 157a1f.; DhSk<sub>D</sub> p. 34f.; cp. also ns. 238 and 244.
65. MN I 296; SN III 143 (cp. AKBh 73,19f., etc.; ŚrBh 376,4f.); cp. also § 2.4 + n. 165.
66. Cp. SN No. 4.23 (= I 12of.).
67. Cp., e.g. Si<sub>p</sub> 178ff.; Mizuno 1932, esp. 107off.; 1957, 448ff.;  
Yūki 1935, 78ff.; Katsumata 1974, 52off.; Katano 1968, 52ff.; Seyfort Ruegg 1969, 473f. and 485f. (with copious references, q.v.); Hirakawa 1981, 125ff.; Kim 1985, 194ff.
68. MSg I.11, mentioning the *mūlavijñāna* of the Mahāsāṅghikas and the *\*āsaṃsārīka-skandha* of the Mahīśāsakas.  
Vasubandhu the Kośakāra replaces the latter by *bhavāṅga-vijñāna* which he ascribes to the Tāmraśāṭīyas or -parṇīyas (i.e., as KSiṬ 105a6f. confirms, the Sthaviras or Theravādins; cp. also the Tibetan version of MSg I.11) at KSi § 35, (Muroji p. 45,2f.), whereas at PSVy 24b5 (Muroji p.

40,12f.) he attributes it to the Mahīśāsakas (cp. Matsuda 1982, (65)) whom, however, some scholars regard as the Continental counterpart of the Theravādins (Bareau 1955, 183; cp. Cousins 1981, 23).

Vasubandhu's replacement of *\*asamsārika-skandha* by *bhavāṅga-vijñāna* calls, of course, for an explanation. Does it indicate a difference of geographical horizon, or rather a doctrinal or at least terminological shift on the part of the Mahīśāsakas/Sthaviras, and perhaps a not too insignificant lapse of time between MSg and KSi/PSVy? At any rate, the fact that *bhavāṅgavijñāna* is not mentioned in the earliest pertinent source (i.e. MSg) would seem to suggest that this concept, though fairly old according to specialists (e.g. Cousins 1981, 38ff.), is not likely to have decisively stimulated the introduction of ālayavijñāna.

69. Cp. the reserves in Seyfort Rugg 1969, 485.

It may be pointed out in this connection that Vasubandhu the Kośakāra informs us in his PSVy (25b5; Muroji p. 42,3of.) that "the same (viz. ālayavijñāna) is mentioned in the *A b h i d h a r m a - d h a r m a p a r y ā y a* of the Bhaddanta-Mahīśāsakas" (*btsun pa Sa ston pa'i chos mñon pa'i chos kyi rnam grāis las de ñid brjod do*). It would seem natural to see in this Abhidharma-dharmaparyāya the Abhidharmasūtra quoted at MSg I.2., esp. in view of the fact that Asaṅga is said to have been affiliated with the Mahīśāsakas (Frauwallner 1969, 327; Wayman 1961, 25ff.; Griffiths 1986, 174 note 7) and in spite of Guṇamati's classifying it as a Śāstra (PSVyT 156b5). But if this is true, why does MSg tell us then that the Śrāvakayāna has not taught ālayavijñāna as 'ālayavijñāna' but only by means of synonyms, i.e. as '*\*āsaṃsārikaskandha*' in the case of the Mahīśāsakas? Obviously because the Abhidharma-dharmaparyāya, though stemming from the Mahīśāsaka-nikāya (as a Vinaya school), was a *M a h ā y ā n a* text, as would seem to be confirmed by the very name 'Abhidharma-mahāyāna-sūtra' at MSg, Prast. 1.

70. Otherwise Hirakawa 1981, 128.
71. *ālayārāmā, bhikkhave, pajā ālayaratā ālayasaṃmuditā*. The (Mūla-)Sarvāstivāda version (cp. VGPVy 389b3f.) is quoted at MSG I.11 as referring to ālayavijñāna. For parallels see MSG<sub>L</sub> vol. II, 7\*; cp. also Funahashi 1976, 19ff.
72. Mentioned at Y<sub>t</sub> zi 9a2f. (Y<sub>c</sub> 581b2o; H 1979, 39) as referring to ālayavijñāna; cp. H 1981.
73. Cp. Yokoyama 1979, 114,6f.; Cousins 1981, 22.
74. Cp., e.g., ŚrBh 384,13ff.; 386,18; 431,15; 445,6; 507,8; BoBh<sub>D</sub> 69,1o (BoBh<sub>W</sub> 97,24); 71,6 (100,19); 218,11 (318,19f.), etc. (cp. Seyfort Rugg 1969, 482f.); PG 31; 42; Y<sub>t</sub> 'i (= VaSg) 151a2; 201a2ff.; 285b8 and 286a7ff. = Y 200,2f. (see n. 1135) and 17ff.; Y 5,15; 6,8; 11,13; 19,1; 25,3ff.; 52,12ff.; 55,14ff.; 61,3ff.; etc.; cp. also the §§ indicated in n. 85.
75. Cp., e.g., ŚrBh 196,17; 200,8; 257,14; 269,2; 271,16ff.; 283,7; 292,2; 301,8ff.; 320,5; 390,4; 432,22; 433,4; 444,1; 450,13; 490,7; 500,19; 501,8; 507,8; BoBh<sub>D</sub> 6,2 (BoBh<sub>W</sub> 9,1); 10,4 (14,26); 13,15-17 (20,7-9); 18,16 (27,5); 77,23 (110,10); 196,17 (289,25); 243,7ff. (356,14ff.); 253,23 (368,5); 265,5ff. (384,5ff.); 280,1 (405,25); Y<sub>t</sub> 'i (= VaSg) 145b4; 221a2; 222a2; 237a4; 261b4; 312b3; 335a8; Y 26,12ff.; 90,18ff.; 99,6; Y<sub>t</sub> dzi 139a4; 143b2; etc.
76. E.g. Y<sub>t</sub> 'i 288b1 (see n. 788); Y 4,11; 24,7f. (see § 6.3.1); 25,1; cp. also 224,19 (*bījabhūtaṃ vijñānam*). Cp. § 7.3.6.3.3.
77. See n. 374.
78. E.g. Y 199,7. - As for the continuous *sarvabījakam vipā-kavijñānam* ascribed to "some Sautrāntika(s)" at KSi § 30 (Muroji p. 37,1ff.), it does not justify Lamotte's (KSi p. 178; cp. Silburn 1955, 252f.) assertion that it is the Sautrāntikas who are to be credited with the discovery of ālayavijñāna; for it is only in a later paragraph (KSi § 33 [Muroji p. 39,26ff.]; cp. also §§ 37 (end) and 40) that

Vasubandhu equates this *vipākavijñāna* with *ālayavijñāna*, and it cannot be doubted that this equation (which also includes *ādānavijñāna*) is made after the pattern of Saṃdh V.3, the more so since Vasubandhu's familiarity with this text and thus with the Yogācāra tradition is obvious from the fact that he expressly quotes Saṃdh V.7 at KSi §§ 32 and 37; cp. also KSi § 35 reminiscent of MSg I.11, and PSVy 25b4f. (Muroji p. 42,28f.) expressly referring to *Yogācāra* *abhūmi* and Saṃdhinirmocanasūtra as explicitly proclaiming *ālayavijñāna*. Moreover, the Abhidharmakośabhāṣya does not contain even a hint of *ālayavijñāna*, not even of a continuous *vipākavijñāna* as a Sautrāntika concept, and Yaśomitra (AKVy 167,6f.) expressly declares *ālayavijñāna* to be a specific Yogācāra notion (Mimaki 1972, 89 note 11). Therefore, the *vipākavijñāna* of KSi § 30 is more likely to have been modelled, in its turn, - probably by Vasubandhu himself - after the *ālayavijñāna* of the Yogācāras. Cp. also Yamaguchi 1975, 34f.; H 1975a, (40); Hyōdō 1982, (47); Matsuda 1982b, 52 [addendum]; Suguro 1983, 9ff. - The assumption of a *vipākavijñāna* in addition to the ordinary six *vijñānas* is, by the way, ascribed to the Sāṃmitīyas in Paramārtha's translation of MSgBh ad I.11 (T 1595, 160c9; cp. Katsumata 1974, 552) and VGPVy 39ob7; see also Seyfort Ruegg 1969, 474 note 1.

79. E.g. Y 4,12; PG 28c and 29a (see App. II).
80. Y<sub>t</sub> 'i 311a7 and b2 (see ns. 500 and 502).
81. Y<sub>t</sub> 'i 167b3 (Y<sub>c</sub> 781c12f.); Y<sub>t</sub> 'i 312a6 (Y<sub>c</sub> 839a16); see § 4.3.
82. *Bījas*: e.g. MSABh ad MSA XI.44 and XIX.49 (see n. 719(a)); *dauṣṭhūlya(-kāya, -āśraya)*: see n. 47; *sarvabījakam vijñānam* (or *cittam*): Saṃdh V.2+3; cp. Tr 18a + TrBh 36,7; de facto already Y 4,7+11 and 24,4f.+7f. (see §§ 6.1.3 and 6.3.3); *vipāka-vijñāna*: MSg I.34ff.; II.32; AS 12,1f.; KSi § 33 (Muroji p. 39,28ff.); PSVy 24b2-4 (Muroji p. 40,1 + 5-7);

*sarvabījako vipākaḥ*: indirectly Y 4,11f. + 4,7; \**indriya-mahābhūtōpādātṛ vijñānam*: cp., e.g., Y 4,7 *āśrayōpādātṛ ... ālayavijñānam*; *sopādānaṃ vijñānam*: Hsien-yang 48oc12-14.

83. Cp. §§ 6.1 and 6.3.
84. Cp., e.g., Yūki 1935, 47ff.; Mizuno 1957, 433ff.; Mikogami 1965; cp. also Odani 1976, 169.
85. Cp. § 2.5 + n. 172; §§ 3.13.1-4, 6.1.2.2a and 7.3.6.3.1.
86. Cp. esp. Ui 1958, 17of.; Aramaki 1963, 211f.; Odani 1976; Takasaki 1982, 27; Enomoto 1982 (see § 7.3); Kajiyama 1985 (see ib.).
87. Y. Sasaki 1982 and 1982a. Cp. also Takasaki 1982a and 1985, and Takeuchi 1985.
88. Preliminary attempts: see n. 468.
89. As is testified to by the case of *bhavaṅgaviññāna*, which in spite of its subliminal nature is still regarded as a form of *manovijñāna*, the assumption of a peculiar type of *vijñāna* bearing a special name of its own does not necessarily preclude its remaining included within the framework of the traditional set of six kinds of *vijñāna*. Even in Yogācāra texts such an inclusion, though unusual, is occasionally met with: cp., e.g., T 1618 (\**Khyātivijñānaśāstra* of Paramārtha), 879b3ff. (= Ui 1965, 363), where both *ālayavijñāna* and *ādānavijñāna* (here = *kliṣṭaṃ manaḥ*, cp. Katsumata 1974, 721; Paul 1984, e.g. 59; 70; 97f.; 104ff.; 114; 212 note 23) are said to be forms of *manovijñāna*. Cp. also n. 159.
90. See §§ 7.1A, 7.1B and 7.2.
91. See n. 226.
92. Y<sub>t</sub> zi 1b2-10b6 = Y<sub>c</sub> 579a7-582a12 = Chüeh-ting 1018c5-1020c13; cp. Ui 1965, 544ff. and 717ff.; 1958, 172ff.; Yūki 1935, 403ff. Cp. also the partial editions and translations mentioned in n. 226.

93. MSg I.29-57; cp. Yūki 1935, 605ff.; Ui 1966, 291ff.; Nagao 1982, 177ff. - Further sources containing proofs for the existence of ālayavijñāna are especially KSi § 34 (Muroji p. 41; KSiT 103a7ff.); PSVy 25a5ff. (Muroji p. 42; PSVyT 154b7ff.); PSk<sub>t</sub> 17a2f. (PSk<sub>p</sub> § 26; Muroji p. 44,9-14; PSk<sub>c</sub> 850a1-4; cp. PSkVai 47a7ff.; PSkViv 94b6ff.; PSkBh 185a7ff., esp. 186b5ff.); TrBh 37,9ff.; Si 15b19ff.; YVy 98b4ff., esp. 100b4ff. (cp. Matsuda 1982a); YidKun 64,1ff., esp. 65,1ff. (Kelsang/Odani 1986, 89ff.); cp. also Hsien-yang 480c10-16.
94. Cp. Frauwallner 1953, 385. - As for the *Proof Portion* of the *VinSg ālay. Treatise*, cp. also § 9.
95. In fact, what is considered in the present study to be the original motive has been moulded into the seventh proof of the VinSg (see § 3.2.1 + n. 227), though with a certain shift in the nature of the argument (see ib.).
96. Cp., e.g., Yūki 1935, 16; 73; 142; 148; Weinstein 1958, 48; 52; Katsumata 1974, 56off.; Funahashi 1969, 37; H 1977, 220; Kanakura 1980, 169; Nagao 1978, 34f.; Yokoyama 1979, 115; 118; 122 (but see also n. 111); Nakamura 1980, 255 note 15; Saigusa 1983, 109; 320; Griffiths 1986, 77.
97. Cp., e.g., Yūki 1935, 234f.; Katsumata 1974, 562ff.; 639; 713. Hirakawa (1981, 80; 85; 92) does not commit himself to the priority of Saṃdh but has both Sūtras preceding the Śāstras.
98. With regard to the chronological priority of the Yogācāra-bhūmi over the other Śāstras see S 1969, 819ff. Cp. also § 8.2.

For the present investigation, it does not matter whether the "Maitreya" works are actually later than the latest elements (i.e. the final compilation) of the Yogācārabhūmi, because the concept of ālayavijñāna does not occur in them (see § 5.11). Although some terminological and doctrinal differences may be explained by a difference of ambiance,

the "Maitreya" works are, on the whole, doctrinally more advanced and can be shown to presuppose elements even from the Saṃdhinirmocanasūtra and the Viniścayasamgrahaṇī (cp. also Yokoyama 1982; Odani 1984, 43ff.), whereas I have so far not come across a passage in the Yogācārabhūmi which can be conclusively demonstrated to depend on one of the "Maitreya" works.

99. The title is often provisionally retranslated as \*(Ārya-deśanā-)Vikhyāpana (Si<sub>p</sub>, Index, 51; Frauwallner 1969, 327), sometimes (somewhat strangely) as \*Prakaraṇāryaśāsanaśāstra (Demiéville 1954, 384). After a careful reconsideration of the matter, A. Mukai (1979, 42ff., esp. 45ff.) suggests \*Śāsanodbhāvana. In an Uigur text, however, the Sanskrit title is given as Sadarma-viyakiyan (Saddharmavyākhyāna: Kudara 1980, 54 and 59; cp. Mukai 1979, 46), whereas YVY 84b5f. gives the Tibetan rendering *bsTan pa la khyab pa* (Matsuda 1982a, 668 [add.]; 1982, (66) [add.], suggesting \*Śāsanasphūrṭi or -sphuraṇa, which sounds somewhat queer). I refrain from committing myself in the matter.

As for the chronological priority of the Yogācārabhūmi with regard to the Hsien-yang, no doubt seems to be possible (cp. also Mukai 1979, 39f. and 53ff.; Sueki 1980, 48 and 52 note 48). Hakamaya's (1978, 2f.) reserves against my statement that the Hsien-yang has "taken over" passages from the Yogācārabhūmi are not justified because the expression does not by itself anticipate a decision on the question of authorship, for I do not exclude "taking over" from one's own earlier works: see n. 1198.

What would need additional investigation is the question of the chronological relation of the Hsien-yang to the works of Maitreya, esp. the Mahāyānasūtrālaṃkāra. On the whole, it does not seem to have received much influence from that quarter (cp. Hotori 1982, 51 note 84, suggesting that the Hsien-yang is earlier than MSA), though a few passages like 493c6ff., 562b15ff. and 583b8ff. (cp. Hayashima 1982, esp.



156f.) will have to be studied more closely in this connection. Anyway, Ui's view that the prose of the Hsien-yang should be ascribed to Vasubandhu is, in my opinion, rightly rejected by Mukai (1979, 52f. + 60).

100. The assertion, found in the works of some American scholars (Warder 1970, 441; Willis 1979, 10), that the Abhidharma-samuccaya is earlier than the Yogācārabhūmi does not deserve to be taken seriously because it is mere guess-work, no reasons being adduced. As an argument to the contrary, I may refer to S 1969, 822 note 48(f). Besides, the Abhidharmasamuccaya, in contrast to the Yogācārabhūmi, is acquainted with the "Maitreya" texts, as is, e.g., shown by its references to the *trikāya* doctrine (AS 94,11), to (*darśanamārga* as) *grāhyagrāhaka-anupalabdhi* (AS 82,18; cp. 66,3), and to the spiritual practice of *cittamātra* (though using *saṃjñā*, not *citta*: AS 82,2off. [Sanskrit text not altogether reliable; cp. N. Funahashi in: ŌtG 66.1/1986, 28f.]). Yet, this is not the right occasion to enter into further details.
101. In this study, I use the expression "(works of) Vasubandhu the Kośakāra" when referring to (the author of) Abhidharma-kośabhāṣya, Vyākhyāyukti, Karmasiddhi, Pratītyasamutpādayā-khyā, Pañcaskandhaka, Viṃśatikā and Triṃśikā, because I find there are good reasons for taking the author of these works to be one and the same person (cp. also Matsuda 1984, 96; 85 n. 5). This position has been vehemently disputed by Amar Singh (1984, 23ff., esp. 31ff.). His rigid dissociation of the author of AKBh from all works containing any Yogācāra elements is, however, contradicted not only by undeniable internal affinities (cp. Muroji 1985, passim [KSi, PSVy, AKBh]) but also by express cross-references including a reference to AKBh in PSVy (cp. Matsuda 1984a, 1042). Yet, this is not the place for a detailed rejection of Singh's view (and of his objections to S 1967, which misunderstand the purport of, and partly even misrepresent, my arguments). On the other hand - disregarding, for the moment, works

ascribed to Vasubandhu which are not referred to in the present study - I prefer to treat the Vasubandhu commentaries on Madhyāntavibhāga, Dharmadharmatāvibhāga, Mahāyāna-saṃgraha and Mahāyānasūtrālaṃkāra (the comm. on the latter being, sometimes, even ascribed to Asaṅga) as well as the Trisvabhāvanirdeśa (the authorship of which may at any rate need reconsideration) as a separate group, because in these certain central doctrinal peculiarities of the comparable parts of the first group<sup>1</sup> seem to be lacking (or at best marginal)<sup>2</sup>. This procedure should not, however, be misunderstood as a commitment with regard to the authorship problem; for this would require a more careful study and critical evaluation of at least the most important of the recent contributions to the question (especially Matsuda 1984 and 1984a, and Sugawara 1984; cp. also the synopsis of the present state of research in Griffiths 1986, 164f.) than I can afford in the context of the present study.

1. E.g. *viññāna(santāna)pariṇāma*, etc. (cp. S 1967); or the function fulfilled by *viññāptimātra(tā)* in the context of liberating insight (as shown by me in a lecture delivered at the Univ. of Calgary in 1982 but not yet published). Cp. also the treatment of ālayaviññāna as an actual cognition (§§ 5.13-14).
2. E.g. the notion of *santāna-* or *santati-pariṇāma-viśeṣa*, occurring only once, viz. at MSABh 152,21, in what may well be an argument borrowed from the Sautrāntikas.

102. Cp. Suguro 1976, 1f. - For the same reason, even the *L a ṇ k ā v a t ā r a s ū t r a* is occasionally listed, along with the Samdhinirmocana- and the Abhidharmasūtra, as one of the earliest Yogācāra sources (e.g. Hōb I, 36; cp. also de la Vallée Poussin 1934-35, 168,3ff.). But this Sūtra is altogether ignored by all the early Yogācāra texts until Vasubandhu's Vyākhyāyukti (Yamaguchi 1973, 311f.; Funahashi 1976, 367ff.; Takasaki 1980, 56ff.), but has in its turn, as I hope to show on another occasion, almost certainly drawn upon Vasubandhu's Triṃśikā and Viṃśatikā in passages some of which are already documented in the Chinese translation of

443. Therefore I think I can disregard it in the present investigation. Besides, a preliminary glance at the Laṅkāvatāra materials referring to ālayavijñāna or to the *viññāna* system as a whole does not create the impression of originality but rather of making use of ready concepts (including not only ālayavijñāna but even *manas* as a *viññāna* on its own). As far as I can see, the text does not anywhere indicate any motive due to which ālayavijñāna may have come to be introduced. To be sure, some passages of the Sagāthaka mention, or allude to, fairly archaic aspects or functions of ālayavijñāna, but even these passages merely mention these aspects or functions as a fact or even presuppose them as well-known. Cp., e.g., the references to ālayavijñāna as the principle of physical life which sticks in the body and leaves it at death in LAS 285,10 (*mātā-piṭṛsamāyogāt ālaya(m) mana-saṃyutaṃ (!) ... saha śukreṇa* (v.l.) *vardhate*), 293,9 (*ālayo muñcate kāyaṃ*), 296,10 (*ālayaṃ ... kāyaṃ*) and 323,2 (*āyur uṣmātha vijñānam* [cp. n. 165] *ālayo jīvitendriyam* ...).
103. I tend to follow Nagao 1982, 31 in considering the Abhidharma(mahāyāna)sūtra of MSg as one text (cp. also n. 69), in spite of the possibility of a generic use of the term '*abhidharmasūtra*' in ASBh 156, 25, where Tib. (*chos mñion pa'i mdo rnams las*) suggests an interpretation of Skt. *-sūtrataḥ* in a collective sense or even a reading *-sūtrebhyaḥ* (cp. Aramaki 1963a, 34; cp. also H 1978a, 245). Or could one, in view of the fact that the main source of AS is no doubt the Yogācārabhūmi, even understand '*abhidharmasūtrataḥ*' as a dvandva (with the predominant element being placed first), i.e. in the sense of "from Abhidharma and Sūtras"??
104. Cp. Yūki 1935, 24off.; Ui 1966, 39ff.; Nagao 1982, 32. - The Ratnagotravibhāgavṛtti (quoting, at 72,13f., the same verse as MSg I.1) is hardly earlier; at least it is later than MSA which it quotes twice (RGVV 71,1ff. = MSA IX.15; RGVV 71,16f. = MSA IX.37).

105. Cp. especially the quotations in MSg I.2 + I.27 (cp. I.3; see ns. 136 and 137), II.26, and VIII.2o (cp. II.14 + 14<sup>b</sup>).
106. Cp. H 1978a, 309 note 33; Nagao 1982, 33.
107. Cp. Katsumata 1974, 562f.
108. Cp., e.g., Obermiller 1933, 96; S 1969, 822; Kawasaki 1976, 168.
109. S 1969, 822f.
110. Suguro 1976, 31ff.
111. E.g. Yokoyama 1980, 196-198; cp. also 204ff.; 1982, 69; Takasaki 1982, 13f. and 32; Hirosawa 1983; 1984, 61 note 1; Mōri 1983; 1984; 1986; cp. also H 1982, 51. The chronological priority of the Bodhisattvabhūmi over the Saṃdhi-nirmocanasūtra is stressed and demonstrated by Hotori (1983; cp. also 1982, 44 note 12) and Hirosawa (1984, 46 and 60), and it seems to be indicated already by Frauwallner when he treats, in his anthology (1969, 264ff.), BoBh before Saṃdh.
112. For an exception see n. 132.
113. Cp. Kudara 1980, 54 + 59. But cp. Y<sub>†</sub> dzi 332a7 *sa mañ po* (cp. H 1982, 59) and lDan(/lHan)-dkar-ma No. 615 (*sa mañ po ba*) [*Basic Section* except ŚrBh and BoBh]. In VinSg, the *Basic Section* is called *sa'i dños gzi* (S 1969a, 17f. note 4).
114. As for the alleged references of the Bodhisattvabhūmi to the Saṃdhinirmocanasūtra (Nagazawa 1978, 325f., note 5), or at least to the Saṃdhinirmocanasūtra *in statu nascendi* (Suguro 1976, 47), it is quite obvious that one of them (BoBh<sub>D</sub> 175,22 = BoBh<sub>W</sub> 257,10: *nītārthaṃ sūtraṃ pratisarati, na neyārtham*) is too vague to allow any identification at all, whereas another one (BoBh<sub>D</sub> 179,6f = BoBh<sub>W</sub> 262,24ff.: *gam-bhīrāṃs tathāgatabhāṣitān śūnyatāpratisaṃyuktān dharmān tathā tathā uttānīkaroti ... yathā pare śrutvā tīvraṃ ... saṃvegam utpādayanti*) may just as well refer to traditional Sūtras like AN I 72f. (cp. Suguro 1982b, 213ff.) or to

Mahāyānasūtras emphasizing *śūnyatā*, as e.g. the Prajñāpāramitāsūtras. Reference to such Mahāyāna Sūtras is certainly made by BoBh<sub>D</sub> 31,10ff. = BoBh<sub>W</sub> 46,8ff. (*ekatya durvijñeyān sūtrāntān mahāyānapratīsaṃyuktān gambhīrān śūnyatāpratīsaṃyuktān ābhīprāyikārthanirūpitān* ("conceived with an intentional meaning"?) *śrutvā yathābhūtaṃ ... artham avijñāya ... evaṃdṛṣṭayo bhavanti ...: 'prajñāptimātram eva sarvaṃ ...' iti*) and BoBh<sub>D</sub> 180,16ff. = BoBh<sub>W</sub> 265,3ff. (*ye ... sattvā gambhīrāṇāṃ tathāgatabhāṣitānāṃ śūnyatāpratīsaṃyuktānāṃ sūtrāntānāṃ ābhīprāyikaṃ tathāgatānāṃ artham avijñāya - ye te sūtrāntāḥ niḥsvabhāvatāṃ dharmāṇāṃ abhivadanti nirvastukatāṃ anutpannāniruddhatāṃ ākāśasamatāṃ māyāsvapnopaśatāṃ ..., teṣāṃ yathāvad artham avijñāya - uttrastamānasāḥ tān sūtrāntān ... pratikṣipanti ..., teṣāṃ api sattvānāṃ sa bodhisattvaḥ ... teṣāṃ sūtrāntānāṃ ābhīprāyikaṃ arthaṃ yathāvad anulomayati*). As these Sūtras are told to be easily misunderstood, thus not *nītārtha* but "intentional", they cannot be identified with the Saṃdhinirmocanasūtra the aim of which (cp. especially ch. VII) is precisely to make explicit what is meant by the doctrine of essencelessness (etc.) and to remove the possibility of misunderstanding. Therefore, the Sūtras referred to in these passages can only be the Prajñāpāramitāsūtras or similar texts (as is quite obvious from the additional qualification of the doctrine of these Sūtras in the latter passage: cp. Hotori 1982, 47 note 30).

115. Saṃdh X.5.2 (cp. Mukai 1985, 10) is unintelligible by itself and is therefore most probably based Y 71,12ff. which in its turn seems to presuppose the Vastusaṃgrahaṇī (Y<sub>t</sub> 'i 143b1ff.: cp. Mukai 1985, 9ff.). Cp. also Mōri 1983; 1984; 1986, 28ff. + 36ff..
116. S 1969, 823 + note 54; Suguro 1976, 42; Takasaki 1982, 13f.; Hotori 1983.
117. Viz. Saṃdh V (passim) and VIII (37.1.1).

118. Saṃdh V.3 (see § 2.8 + n. 181). I for one do not see any clue for regarding Saṃdh V.3 (or the ālayavijñāna sentence in it) as a later interpolation, the more so as all the three concepts it treats of recur at V.6. Of course, I cannot altogether exclude the possibility of earlier oral materials containing only *āḍānavijñāna*, but I regard it as fairly improbable since Saṃdh V seems to presuppose, and even be intended to supersede, the ālayavijñāna passages in the first two Bhūmis of the *Basic Section* of Y (see § 3.9.1). Yet, even if such materials had in fact existed and were to be regarded as prior to all the ālayavijñāna passages of the Yogācārabhūmi, the *āḍānavijñāna* of these materials would be nothing but a close precursor of ālayavijñāna, and the question of the origin of the latter in the strict sense (§ 1.4) would still have to be distinguished and assessed independently.
119. Cp. Suguro 1976, 37; 1977, 129.
120. The same is true of the Hsien-yang (480c14f.), whereas MSg (I.4f.) and AS (12,1f.) as well as many later sources (e.g. KSi § 33 (Muroji p. 39,26ff.); PSVy 24b2f. (Muroji p. 40,1-5); Si 13c9ff.; cp. also n. 46) expressly identify *āḍānavijñāna* with ālayavijñāna (as for Paramārtha, see n. 89).
121. Or at least after most of the materials it contains, including the concept of ālayavijñāna, had taken shape.
122. S 1969; Suguro 1976. Cp. also Kudō 1975 (esp. p. 20) and Sh. Takemura 1940 (see n. 129), and more recent publications like Hirakawa 1981, 96, 98f. and 115 note 23, or Sueki 1981.
123. Mukai 1981, especially 682 and 684.
124. If the author had planned the work in five sections right from the outset, why does he not tell us so in the very beginning? For usually the macro-structure of the text, especially of the five main sections (cp., e.g., the list of

the 17 Bhūmis in the beginning of the *Basic Section*) and (except for most of VinSg) even of many sub-sections, is expressly stated or at least indicated in advance. If, as Mukai (1981, 682) thinks, the author's personal views as well as the difficult subject-matter requiring discussion or explanation were, from the outset, planned to be treated in VinSg, why is this not indicated either, once for all, in a general way (e.g. in the beginning of the *Basic Section*), or by a reference in each case (as is in fact done, in the *Basic Section*, with regard to more extensive treatments in other chapters of the *Basic Section* or other sections like the Vastusaṃgrahaṇī, but never with regard to the Viniścayasāṃgrahaṇī)<sup>1</sup>? And how to explain, if VinSg mainly contained the author's own views and discussions of intricate matters, the statement, found at the end of each chapter of VinSg (see S 1969a, 21 + note 2o), that further materials or even texts (g'zūn, 文, \*grantha) supplying clarification concerning the respective Bhūmi are not found (mi snañ, 不現, \*na dṛśyate)? In my opinion, this statement unambiguously supports the evidence supplied for the compilatory character of this text by incoherences of both (micro-)structure and contents (cp. S 1969, 813ff.; 1969a, 20ff.; §§ 4.5ff. (esp. 4.8ff.), 9 and 11 of the present study). 1. Cp. Suguro 1976, 4ff.; 9f.; 15.

The situation is somewhat different with regard to the *Basic Section*, but here too many incoherences or at least bewildering discrepancies of both structure and contents can be discovered on more or less closer observation. (A closer inspection of style and grammar - e.g. the distribution of the use of gerunds in -(i)tvā in the case of compound verbs - would probably reveal an unevenness of evidential value already on this level, but I have to leave this task to future research.)

To be sure, incoherences and discrepancies may also occur within the genuine work of one single author (who may have

revised or supplemented his own work or even have simply patched together several works of his own). But surely in mediaeval India too (see n. 1183) such inconsistencies would not, at any rate in a philosophical or dogmatical text, exceed certain limits, unless the person is, to say the least, unnaturally careless or unsystematic. But would it not show lack of respect to impute such a thing to a famous scholar like Asaṅga?

On the other hand, in a compilation of heterogeneous materials such inconsistencies are quite natural, especially if the compiler(s) refrained from tampering with the wording of their materials or if the compilation was done in several stages or by different persons. After all, Mukai (1981, 684f.) himself considers the Bodhisattvabhūmi to be based on an older, independent work preserved in a Chinese translation so close to the present BoBh that it had so far been regarded as nothing else but an aberrant recension of the latter. But how can we, in view of this precedent, preclude that other parts of the Yogācārabhūmi, too, or even all of it, consist of, or are at any rate based on, preexisting materials?

125. Mukai 1981, 682.

126. See § 4.9.

127. As this doctrinal contradiction subsists independently of the "compilation hypothesis" and of textual analysis, no circular reasoning is involved. Of course, I cannot prevent anybody from asserting that, in order to avoid such a contradiction, one has to assume that "the author", for certain reasons, which one will no doubt be imaginative enough to invent, has used the term 'ālayavijñāna' in two different senses. But one should at least admit that such an assumption would not in any way be based on the text itself but merely on the preconception (supported by



"tradition" though it may be) that the text was composed by one single author - a famous one at that - and therefore at all events has to be free from gross contradictions. If such a view is deliberately adopted, it is of course altogether futile to continue the discussion by adducing further doctrinal or textual evidence to the contrary.

128. H 1977, 221; 223f. (for a detailed discussion of this article, see § 8); H 1978, 2. Cp. also the short but more soft-spoken reference to this issue in H 1982, 54. - In view of the compiler's final remark at the end of each chapter and the considerable structural and doctrinal inhomogeneity within the *Viniścayasamgrahaṇī* (see n. 124) as well as the considerable differences in the *ālayavijñāna* theories of VinSg (see §§ 4.8.1-4 and esp. 5.6.2-4.1) on the one hand and MSg and AS (see §§ 4.8.5 and esp. 5.12.1-2) on the other, I cannot but express serious reserves against Hakamaya's view (H 1978, 2f.) that most of the material of VinSg is Asaṅga's own.
129. This was, in principle, already recognized by Sh. Takemura (1940, esp. 79f. [summary]).
130. Saṃdh X, e.g., though included in the quotation of the whole Sūtra in the *Bodhisattvabhūmi-viniścaya*, does not seem to have had much doctrinal influence on the other materials (cp. Suguro 1976, 46), in any case not on the *Nirvāṇa* chapter which does not use the terms *dharma-* and *vimuktikāya* typical of Saṃdh X but has the Buddha continue to work for the salvation of living beings after his entrance into the *Nirvāṇa* where no Possessions (i.e. skandhas) remain (*nirupadhiśeṣaṃ nirvāṇam*) (S 1969a, 37; 54ff.; 66f.). On the other hand, the *ālayavijñāna* theory of this chapter would seem, in a sense at least, to be more advanced than that of the *Nivṛtti Portion* (see n. 226) of the *VinSg ālay. Treatise* (see §§ 4.9 + 4.10.2); but the *VinSg ālay. Treatise*, as a whole, presupposes Saṃdh V and VIII, though this need

not, *eo ipso*, be true of the basic material made use of in the *Nivṛtti Portion* (see § 11).

131. Cp., e.g.,  $Y_t$  'i 2b4ff. (see n. 471) where Badness (*dau-ṣṭhulya*) and Ease (*praśrabdhi*, cp. n. 47) are taught to exist in b o d y a n d m i n d , and Seeds (*bīja*) in the g o o d , b a d and neutral dharmas (i.e. in the mental series made up of these?), or  $Y_t$  zi 215a5f. (see n. 495) where it is said that the Seeds of Defilements, which are also called 'Badness', exist in mind and in the mental factors as well as in the pellucid matter [of the sense faculties]. - As far as I can see, the quotation of the whole of the Saṃdhinirmocanasūtra in the Bodhisattvabhūmi-viniścaya apart, ālayavijñāna does not occur except in the Pañcavijñānakāya-mano-bhūmi, the Sacittikā Bhūmiḥ and the Nirvāṇa chapter of VinSg. Several of its occurrences in the Pañcavijñānakāya-mano-bhūmi-viniścaya are, in the present connection, especially interesting because in their case the reference to ālayavijñāna looks, more or less, appended:  $Y_t$  zi 15b5ff. (=  $Y_c$  583b21ff.) extensively describes a theory of *bījas* according to which mind and corporeal matter contain their own Seeds as well as those of each other (see § 2.5). The description of this theory is, however, followed by the express statement - in my opinion to be ascribed to the compiler - that it is valid only so long as ālayavijñāna is not introduced and that, if ālayavijñāna is introduced, it alone functions as the container of the Seeds of all dharmas ( $Y_t$  zi 17b6: see n. 452). Similarly, in  $Y_t$  zi 76b2ff. (=  $Y_c$  607b4ff.) the traditional view that *nirodha-samāpatti*, once obtained in this world-sphere, can be reproduced even in the *rūpadhātu* (but not in the *ārūpyadhātu* because no basis of existence would be left) is reported but afterwards supplemented by the (compiler's own ?) remark that this is what is taught only so long as ālayavijñāna is not introduced, whereas when it is introduced there is no need for such a restriction (because even in the *ārūpyadhātu*

ālayavijñāna will still be available even when all pravṛtti-vijñānas have ceased). Cp. also  $Y_t$  zi 39a3ff. (=  $Y_c$  593a1ff.) where the ( t r a d i t i o n a l ) definition of *nirodhasamāpatti* as cessation of mind (*citta*) and mental factors (*caitta*) [in general, i.e. of a l l of them,] is f o l l o w e d by the remark (once again by the compiler?) that what ceases is only the pravṛttivijñānas but not ālaya-vijñāna.

132. Such a case is, in my opinion, the passage containing the only occurrence of the continuous *manas* in the *Basic Section*, viz.  $Y$  11,4-8 (see § 6.2, especially 6.2.3-4, and n. 943). Another one I take to be the passage from the Śrutamayī Bhūmiḥ pointed out by Mukai (1981, 683f.), viz.  $Y_t$  dzi 184b8ff. (=  $Y_c$  345b19ff. =  $Y_m$  84a4ff.), where, after a set of key terms (*pada*) for explaining Śrāvakayāna, another set of such terms for Vaipulya, i.e. Mahāyāna ( $Y_m$  84b2), is presented along with short definitions consisting of enumerations of the items comprised in the key terms. Among these key terms, several of which are typical of the Bodhisattvabhūmi, we find the five *vastus* (*nimitta*, *nāman*, *vikalpa*, *tathatā*, and *samyagjñāna*), the three *svabhāvas* (*pariniṣpannaḥ*, *paratantraḥ* and *parikalpitaḥ svabhāvaḥ*), the three *niḥsvabhāvatās* (*lakṣaṇa-*, *utpatti-* [ $Y_m$ : *upapatti-*] and *paramārtha-niḥsvabhāvatā*), and the fivefold *mahābodhi* (*svabhāvataḥ śaktitaḥ upāyataḥ pravṛttito nivṛttitaś ca*). These terms do not occur anywhere else in the *Basic Section*. The three *svabhāvas* and *niḥsvabhāvatās* are found in the Saṃdhi-nirmocanasūtra but the other two key-terms point to the Bodhisattvabhūmi of the *V i n i ś c a y a s a ṃ g r a h a ṇ ī*. Mukai is certainly right in assuming that the very enumeration of these terms in the present passage p r e - s u p p o s e s a d d i t i o n a l i n f o r m a t i o n about them, but I do not think it probable that such additional information existed merely in the mind of the author, in the sense that he had a clear conception, right

from the very beginning, of the details of the later parts of the work. For in this case one would expect him to give a hint to the effect that full treatment was intended at a later point. And even if he wanted to anticipate such a treatment by introducing no more than the terms here: since this treatment is found in the Bodhisattvabhūmi-viniścaya, why does he anticipate it in the Śrutamayī Bhūmi of the *Basic Section* and not in the B o d h i s a t t v a b h ū - m i ? Is it not more probable that the passage was added only a f t e r the *Basic Section* a n d the Viniścayasamgrahaṇī were already there, i.e. added, e.g., by the final compiler or redactor of Y as a whole, who found that these important terms should not be missing in such a list of the key terms of Mahāyāna (cp. also Yokoyama 1982, 62)? To insert a few items in such a list would by no means be unprecedented. It may even be that the whole list of Mahāyāna key terms is a later addition, for although the concluding phrase refers to the dichotomy of key terms into Śrāvakayānist and Mahāyānist ones, and although the Mahāyānist ones are expressly introduced as such, the Śrāvakayāna key terms lack such an introductory phrase. The text rather starts with simply presenting key terms, without any specification as to the yāna. Such a situation would appear quite n a t u r a l if there had been, originally, t r a d i - t i o n a l key terms o n l y and if the Mahāyānist terms had been added later, but one would not expect it if b o t h sets of key terms had been conceived f r o m t h e o u t s e t .

133. Cp. Suguro 1976, 38; 1977, 128 (below).

134. Cp. Suguro 1977, 129 above, 1ff.

135. See § 2.8 + n. 181.

136. MSg I.2 + I.27:

"Mind containing all Seeds is the *ālaya* of all dharmas; therefore it is [called] '*ālaya-vijñāna*'; I have taught it [only] to the excellent [disciples].

[It is the *ālaya* of all dharmas] because all dharmas always stick to [this] mind, and likewise this [mind] sticks to them, in the sense of being the result and the cause of each other."

(*chos kun sa bon thams cad pa'i // rnam par śes pa kun g'zi ste //*

*de bas kun g'zi rnam śes te // dam pa dag la nas bśad do //*  
*chos kun rnam par śes la sbyor // de dag la yañ de de b'zin //*

*phan tshun 'bras bu'i dños po dañ // rgyu yi dños por rtag tu sbyor //*

*\*ālayaḥ sarvadharmāṇāṃ vijñānaṃ sarvabījakam /*

*\*tasmād ālayavijñānam ... //* (cp. H 1978a, 223)

*sarvadharmā hi ālīnā vijñāne, teṣu tat tathā /*

*anyonyaṃ phalabhāvena hetubhāvena sarvadā //* (MAVṬ 34,1f.))

As the second verse shows, '*ālaya*' is understood here in more or less the same sense as in the first explanation of MSg (see n. 137).

137. MSg I.3 (≈ YVy 98a8f.):

"It is [called] '*ālayavijñāna*' because all polluted (*sāṃkle-śika*) dharmas which have an origin (i.e. are non-permanent) stick (*ālīyante*) to it as [its] results (*phalabhāvena*), and it sticks to them as [their] cause. Or it is [called] '*ālayavijñāna*' because living beings stick to it as to [their] Self (probably *\*sattvā vā tad ātmatvenālīyante*, Tib. having understood *\*tad-ātma-*)".

(*skye ba can kun nas ñon moñs pa'i chos thams cad 'bras bu'i dños por der sbyor ba 'am / de yañ rgyu'i dños por de dag tu sbyor ba'i phyir kun g'zi rnam par śes pa'o // yañ na sems can dag de'i bdag ñid du sbyor bas<sup>1</sup> kun g'zi rnam par śes pa'o //*).

1. YVy: *de l a bdag tu sbyor ba'i phyir*; Hts.: 攝藏此識  
爲自我故 ; similarly Pa. and Buddhaśānta.

The first explanation (for which cp. TrBh 18,24-26, MAVT 33,8f., ASBh 11,1of., and Si 7c21f. and 13c13f.) is close to that of the Abhidharmasūtra (see n. 136). As Sthiramati (TrBh 18,24f.) aptly points out, '*ālīyante*' in this explanation means *upanibadhyante*, i.e. to be bound or attached to something else in a causal, ontological sense, the noun '*ālaya*' being explicable either in an active (more precisely: middle) or in a locative meaning.

On the other hand, in the second explanation '*ālaya*' is used in a locative or objective application (cp. n. 202) of the (traditional Buddhist) meaning "(spiritually evil) emotional or intellectual clinging" (cp. also ASBh 11,1of.: *ālīyante t a s m i n ... sattvā ... ātmagrāheṇa*, Si 7c22 and 13c14f., and the second explanation of the Pañcaskandhaka (see n. 140)).

138. KSi § 33 (Muroji p. 39,28f.): "It is called '*ālayavijñāna*' because it is the abode or receptacle (*\*ālaya*) of the Seeds of all dharmas" (*chos thams cad kyi sa bon gyi gźir gyur pa'i phyir*). This explanation starts from the standard Skt. use of '*ālaya*' in the sense of "hiding-place", "abode", which is also common in non-terminological Buddhist language. Cp. also the definition of PSVy (n. 139), the first definition of PSk (n. 140), and TrBh 18,23f. (*tae ca* [Ui 1979a, 55; ed.: *tatra*] *sarva-sāṃkleśika-dharma-bīja-sthāna-tvāt ālayaḥ*).
139. PSVy 24b2 (Muroji p. 40,1f.): *ci'i phyir 'di kun gźi rnam par šes pa šes bya še na / chos thams cad kyi sa bon gyi gźi gañ yin pa'o* ("because (*\*yat*) it is the receptacle of the Seeds of all dharmas").
140. PSk<sub>t</sub> 17a3f. (PSk<sub>D</sub> § 26; Muroji, p. 44,15-17; Anacker 1984, 72; Shimokawabe/Takayama 1976, 22): "It is [called]] '*ālaya-vijñāna*' 1) because it is the receptacle of all Seeds, 2) because it is the object of Clinging to personal existence (v.l.: because it is the object of Clinging [consisting in] the conceitful conception of Self),<sup>1</sup> and 3) because it

sticks to (or hides in) the body." (*kun gzi rnam par ses pa de<sup>2</sup> nid<sup>3</sup> ni sa bon thams cad kyi gzi nid dan / <sup>4</sup>lus kyi kun<sup>4</sup> gzi dan rgyu nid dan / lus la gnas pa nid kyi yan phyir ro*; PSk<sub>c</sub> 850a5f.: 阿賴耶識者、謂能攝藏一切種子故、又能攝藏我慢相故、又復緣身爲境界故. Presumable original: *\*tadālayavijñānatvaṃ<sup>5</sup> sarvabījālayatām ātmabhāvālaya-nimittatām* (v.l.: *ātmamānālaya-*) *kāyālayanatām* (Ch.: *kāyālabhanatām*) *copādāya*). Cp. PSkVai 53a7ff.; PSkViv 95a7ff.; PSkBh 199a1ff.

1. Tib. (and the commentaries) take *\*-ālayanimitta-* as a dvandva, involving two arguments; but the latter of these deviates from the otherwise consistently etymological character of the explanations. For this reason I have not followed this interpretation.
2. PSkVai 53a7: 'di; PSkBh 199a2f.: om.
3. PSkVai 53a7: om.
- 4 ... 4 PSkVai 53b4 gives as a v.l.: *bdag tu na rgyal gyi* (cp. Ch!).
5. Tib. seems to have (mis)understood *\*tad-ālaya-* as *\*tad ālaya-*.

141. Cp. §§ 3.11.8; 3.13.8; 4.7.3; 6.2.2.d + 6.2.3.a.

142. CPD s.v. *ālaya*; Funahashi 1969, 32 and 37 (above, 2f.); 1976, 7ff.; cp. also Tsukinowa 1971, 177ff.

Cp. also AKBh 245,19: *chando vā rāgo vā sneho vā prema* (text wrongly *yena*) *vā ā l a y o vā niyantir <vā?> adhyavasānam vā* (SĀ<sub>c</sub> No. 312 [Honjō 1984, 68f.]). Similarly DhSk<sub>D</sub> 51,17f. As for the use of '*ālaya*' in an objective sense, see ns. 202 and 137.

143. Cp. Funahashi 1976, 39ff.; for details see ns. 192, 193, 195, 203 and 204.

144. Cp. Yokoyama 1979, 116ff.

145. Suguro 1976, 38; 1977, 128 (below, l. 21ff.).

146. Y<sub>m</sub> 78b5 (Y<sub>t</sub> dzi 172a6-8; Y<sub>c</sub> 340c27ff.): *nirodhaṃ samāpannasya cittacaita<sup>1</sup>sikā niruddhā bhavanti / kathaṃ vijñānaṃ kāyād anapa<sup>2</sup>krāntaṃ bhavati / tasya hi rūpiṣv indriye<ṣv a>pariṇateṣu pravṛttivijñānabījaparigrhītaṃ ālayavijñānam anuparataṃ bhavati āyatyāṃ tadutpattidharmatāyai </>*.

1.  $Y_m$  -tta-.
2.  $Y_m$  -va-.

147. a) The use of *-parigṛhīta* in Yogācāra sources (especially in Y) calls for special investigation which is beyond the limits of this study. As far as I can see, it may be used both in a passive and in an active sense (for the latter use see H. Bechert, *Eine eigentümliche Partizipialkonstruktion*, in: *Münchener Studien zur Sprachwissenschaft* 10/1957, 54ff.; BHSG § 34.15; BHSD s.v. *paryupāsita*). The active use is unambiguously documented by BoBh<sub>D</sub> 68,7 (= BoBh<sub>W</sub> 96,12f.): *sarvavidyāsthānaparigṛhītāni pañca vidyāsthānāni* ("the five fields of knowledge which comprise all fields of knowledge", in spite of Tib. [ $Y_t$  61a5: *rig pa'i gnas kyis yons su bsdus pa*] and Chin. [ $Y_c$  500c21f.: 一切明處所攝]). The passive use is unequivocal at BoBh<sub>D</sub> 35,3f. (= BoBh<sub>W</sub> 51,5f.): *nāma-saṃjñābhilāpa-parigṛhīto nāma-saṃjñābhilāpa-paribhāvito vikalpaḥ*, where *-parigṛhīta*, as a quasi-synonym of *-paribhāvita* ("soaked in or permeated by"), must mean "possessed or influenced by", as is confirmed by AS 23,26f. (*tair eva nāma-pada-vyāñjana-kāyair parigṛhītāḥ citta-caitasikā dharmāḥ*). Cp. also BoBh<sub>D</sub> 187,19 (= BoBh<sub>W</sub> 276,7f.): *kleśena duḥkhena ca parigṛhītatavāt* ("seized by or stricken with ..."); BoBh<sub>D</sub> 115,6 (= BoBh<sub>W</sub> 167,27f.): *akalyāṇamitra-parigṛhīta* ("taken in by ...", "under the sway of ..."); MSABh 59,6: *mantraparigṛhītaṃ ... kāṣṭhaloṣṭādikam* ("influenced by ...").
- b) In connection with *bīja* or related notions, an active relation of (ālaya)vijñāna (etc.) to *bīja* (etc.), denoted by *pari-grah-*, is unambiguously expressed at MAVT 34,8f.: *ālayavijñānaṃ ...-vāsanāṃ parigṛhṇāti*, or  $Y_t$  8b4f.: *kun gzi rnam par śes pa ... dge ba'i rtsa ba rnams kyī sa bon yons su 'dzin pa gañ yin pa* ("ālayavijñāna in so far as it possesses/comprises the Seeds of ..."). The substantive '*bījaparigraha*', too, appears to be mostly used in this sense of receiving, or possessing, Seeds; cp., e.g.,



ŚrBh 384,17 where both Tib. (Y<sub>t</sub> wi 169b7: *sa bon yōṅs su zin pa*) and Chin. (Y<sub>c</sub> 454b25: 播受 ... 種子) render *bīja* as the object of *parigraha*, or as that which is, or has been, taken possession of; cp. also MSg I.14.1 (*sa bon yōṅs su 'dzin pa*) + MSgU<sub>t</sub> 248a8 (*de yōṅs su 'dzin par b y e d p a s kun gzi rnam par šes pa (D) ni ...*). Of course, this intrinsically active relation, viz. the fact that (*ālaya*)*vi-jñāna* receives or contains (*pari-grah-*) Seeds, may also be expressed in a passive construction, as e.g. at ŚrBh 384,14 (cp. Y 207,9 [see n. 1155]) where *vi-jñāna-parigrhītaṃ ... -nāmarūpabījam* must mean "the Seed of *n.* possessed by, or contained in, *v.*"; cp. Y 200,16f.: *vi-jñānam ... -nāmarūpabī-jopagatam* ("*v.* is 'approached by', i.e. furnished with, the Seed of *n.*"). Cp. also Bhāṣya on MSg I.39: "... from its Seed which is contained in *ālayavi-jñāna*" (MSgBh<sub>t</sub> 161a5f.: *kun gzi rnam par šes [pa la rnam par šes] pas yōṅs su bzun ba'i ran gi sa bon las*; part in square brackets missing in all Chin. versions).

c) On the other hand, *pari-grah-* (esp. *-parigrhīta*) is also used to express a relation in which *vi-jñāna* (etc.) plays an intrinsically passive rôle. So far, I have noted unambiguous examples only for the use of *-parigrhīta* in the sense of *vi-jñāna* being possessed or influenced by, or infested with, *karmān* or karmic impressions (perhaps because in this case the aspect of spiritually negative heteronomy is prominent); cp. ŚrBh 384, 12f.: *saṃskāra-parigrhītaṃ ... punarbhava-vi-jñānāṅkura — prā-durbhāvāya tad-bījam* (i.e. present *vi-jñāna* as the Seed of future *vi-jñāna*: cp. Y 206,15 (see n. 1154) *puṇyāpuṇyā-neñjya-saṃskāra-paribhāvita-bījabhūtaṃ vi-jñānam*, confirming at the same time that in this context *-parigrhīta* is used in the sense of *-paribhāvita*, as at BoBh<sub>p</sub> 35,3f. (see above)); cp. also Y<sub>t</sub> 'i 154a5: *bag la nal dan bcas pa'i 'du byed kyis yōṅs su zin pa'i lus mñon par 'grub pa (\*sānuśaya-saṃskāra-parigrhītāt-mabhāvābhinirvṛtti)*.

d) There are, to be sure, some passages where the Tibetan and/or Chinese translation presupposes this intrinsically passive use of *-parigṛhīta* also in the case of the relation of Seeds, etc., to their support. Cp., e.g., Y<sub>t</sub> zi 225b1f. (Y<sub>c</sub> 665a17f.): *las dkar ba rnam par smin pa dkar ba'i rnam par smin pa ni ... geig tu 'dod pa'i tshor ba'i sa bon gyis yōns su zin pa* ( 種子所攝受 ) *yin pa'i phyir geig tu 'dod pa ... yin par rig par bya'o //*, corresponding to something like *\*śuklānām śuklavipākānām karmaṇām vipākāḥ ... ekānte-nēṣṭavedanābījaparigṛhītatvāt ekāntenēṣṭo ... veditavyaḥ*. Yet, I for one find it difficult to exclude the possibility that *-parigṛhīta* is used, in this passage too, in the same way as at BoBh<sub>d</sub> 68,7, i.e. in an active sense ("because it (= the [result of] Maturation) contains exclusively Seeds of agreeable sensations"). On the other hand, at Y<sub>t</sub> zi 245b2 (Y<sub>c</sub> 672b12f.), where it is said that impermanent conditioned entities are Suffering (*duḥkha*, i.e. unsatisfactory) because (and in as far as) they contain (Y<sub>t</sub>: *'du byed mi rtag pa rnams kyi* (P, D!) *gnas nan len yōns su 'dzin pa'i phyir ro*) or are possessed by or infested with (Y<sub>c</sub>: 無常諸行皆為 魔重所攝受故 ) Badness (*\*anityānām saṃskārāṇām dauṣṭhulya-parigrahāt*), the passive interpretation is perhaps preferable since in the case of Badness, as in the case of karman, the aspect of spiritually negative heteronomy may be prominent.

e) In view of these - admittedly preliminary - observations on the use of *pari-grah-* and *-parigṛhīta*, esp. with reference to the relation of (*ālaya*)*viññāna* (etc.) to Seeds (etc.), I prefer to interpret, in the present passage, *-parigṛhīta* not, with Tib. (*sa bon g y i s yōns su zin pa*), in a passive but rather, with Chin. ( 能執持 ... 種子 ), in an active sense; at any rate, this is the rational procedure so long as no unambiguous example is available in Y itself for the use of *-parigṛhīta* in the sense of an intrinsically passive relation between *viññāna* and Seeds (not karman!).

148. See n. 227. - It is clear from the additional mention of *asaṃjñīsamāpatti* that in this passage emphasis is no longer on the exegetical aspect (see § 3.2.1; cp. also Griffiths 1983, 571f.).
149. The passage corresponds to MN I 296 (No. 43: Mahāvedallasutta); it is also found in the Sarvāstivāda version of this Sūtra, viz. the Mahākauṣṭhīlasūtra (MĀ<sub>C</sub> 791c16ff.), but a complete Mūlasarvāstivāda version of this Sūtra, including the present passage, is not known to me. On the other hand, the passage is also contained in the Dharmadinnāsūtra of the Sarvāstivādins (MĀ<sub>C</sub> 789a7ff.) - corresponding to the Cūḷavedallasutta (MN No. 44) where the passage is, however, missing -, and of this Sūtra the Mūlasarvāstivāda version, it too containing the present passage, has been transmitted by Śamathadeva (AKṬU tu 7a7ff., esp. 9b2ff.; cp. also H 1975a, (39) note 26; Honjō in Bukkyō Bunka Kenkyū 28/1983 [not accessible to me]). Moreover, the passage is met with in a partial parallel to the Dharmadinnāsūtra in the Saṃyuktāgama (SĀ<sub>C</sub> No. 568, cp. SN No. 41.6 [IV 294]), and it is, in view of the name of one of the interlocutors ('Dod pa can, Pāli Kāmabhū), from this Sūtra that the passage is quoted by Guṇamati (PSVyT 139a6-b3). Cp. also S 1987, § 6.2.2.2.0 and chart VII line B.b (where, unfortunately, I have forgotten to list the occurrence of our passage in SN No. 41.6).
150. See n. 614.
151. PSVy 25b2 (Muroji 42,19f.) mentions the proposal of an opponent to take the Sūtra statement that mind has, in *nīrodhasamāpatti*, not withdrawn from the body to refer to its r e a p p e a r a n c e a f t e r *nīrodhasamāpatti* (cp. also MSgBh<sub>t</sub> 164a1f. and 167a3f. [ad MSg I.51]). But the *Initial Passage* does not seem to have been even conscious of the possibility of such an evasive interpretation; rather it has taken the Sūtra at its words, as it were. Nor would

Vasubandhu's reply (PSVy 25b2f. = Muroji p. 42,21), viz. that without the presence of mind in *nīrodhasamāpatti* there would be nothing from which mind could reappear afterwards, be cogent in earliest Yogācāra since for this purpose the Seeds of mind in the material sense-faculties would have sufficed, without having to be hypostatized into a form of mind proper.

152. KSi § 24 (Muroji p. 27); PSVy 18b6-8 (partly quoted, in a better translation, in PSVyT 150a8) + PSVyT 139a5ff. and PSVy 25a8f. (Muroji pp. 28 and 42); cp. AKBh 72,21f. Cp. Demiéville 1952, 133; H 1975a, (37)f.; Griffiths 1986, 67f.
153. Obviously a master other than the Vasumitra of the Vibhāṣā who is expressly stated to have denied the existence of *citta* and *caittas* in *nīrodhasamāpatti* (Vi 774a22ff.; Vi<sub>2</sub> 331c6ff.); cp. AK<sub>p</sub> Introd. XLIV f.; KSi p. 237 note 77; H 1975a, (36) note 18; Griffiths 1986, 126.
154. Cp. also the opponent in Buddhaghosa's commentary on the Mahāvedallasutta (Ps II 351; Griffiths 1986, 6-8). Cp., moreover, the opponent at TSi 345b6ff., and Buddhadeva (Vi<sub>2</sub>; Vi: Dārṣṭāntikas and Vibhajyavādins, which is perhaps an adaptation to a later situation) in the Vibhāṣā (Vi<sub>2</sub> 331c1 ff.; Vi 774a14ff.; cp. Mizuno 1932, 1071), who both substantiate their thesis that there is mind (Vi<sub>2</sub>; Vi: subtle mind, but cp. H 1975a, (36) note 17) in *nīrodhasamāpatti* by the argument that otherwise entering *nīrodhasamāpatti* would mean death (TSi 345b18f.; Vi<sub>2</sub> 331c3f.; Vi 774a16f.). The Sūtra passage of § 2.1 is, however, not expressly quoted. The TSi opponent quotes instead another Sūtra passage (see n. 58), which states the inseparability of life-force, bodily heat and *viññāna*; and in the case of Buddhadeva (/ the Dārṣṭāntikas, etc.), too, the fact that his (/their) thesis is combined with the one that there are no living beings without corporeal matter may, in view of Vi

- 431b11f. (Vi<sub>2</sub> 325a3f.), point to the same exegetical starting point (or to the verse *āyur uṣmātha vijñānam* ...: see n. 165), or even to the Naḍakalāpikāsūtra (see § 7.3) which is in fact adduced at AKBh 434,19f. (cp. also Vi 431b9f.; Vi<sub>2</sub> 325a2) in order to prove the view that there is corporeal matter even in *ārūpyadhātu*. Cp. also Bareau 1955, 94 (thèse 37); 174 (thèse 20).
155. Cp., e.g., Vi 774a18; AKBh 70,1f.; 73,3f.; Prak 694a20-22; H 1975a, (35)f.
156. Cp. the definition of *nirodhasamāpatti* at ŚrBh 460,11ff. (... *ya evaṃ ... cittacaitasikānām dharmāṇām nirodha iyaṃ ucyate nirodhasamāpattiḥ*); cp. also Y<sub>m</sub> 78b2 (Y<sub>t</sub> dzi 171b5f.; Y<sub>c</sub> 340c9f.): *nirodhasamāpattiḥ katamā / ākīṃcanyāyatanavītarāgasya vihārasaṃjñāpūrvakeṇa manasikāreṇa cittacaitasikānām dharmāṇām nirodhaḥ* /; Y<sub>t</sub> zi 192a3 (Y<sub>c</sub> 652b26-28).
157. Vasumitra's *citta* in *nirodhasamāpatti* is qualified as a "not quite clear (*aparispṛṣṭa*) *manovijñāna*" at AKVy 167, 6, but in view of the lack of any specification in AKBh this may well be a statement *expressis verbis* of what was at best implicit in Vasumitra's view (cp. also the remark, on Hts.'s rendering 細心 ["s u b t l e c i t t a"], in H 1975a, (38) note 25). The same may even hold good for Vasubandhu's having, at KSi § 25 (Muroji 27,14f.) and PSVy 19a1 (Muroji 28,14f.), an opponent (\**kecit*; not: *Vasumitra*!) identify Vasumitra's *citta* in *nirodhasamāpatti* as a *mano vijñāna*, since Vasumitra's (PSVyṭ 139b4; see Muroji p. 30, note) reply to Ghoṣaka's (PSVyṭ 139b3; cp. AKBh 72,22) objection does not refer to the specific part of his argument - which is, significantly enough, missing in AKBh 72,22ff. - that as a *mano vijñāna* this *citta* must be conditioned by *dharma*s, i.e. have a definite object; instead, Vasumitra's reply only refutes the general part of that objection, viz. that the presence of a *vijñāna*, involving *sparśa*, would by necessity entail

*vedanā* and *saṃjñā* and thus contradict (*saṃjñā-vedita-*)*nirodha-samāpatti* as a state where the latter are, *per definitio-nem*, absent. Anyway, within the limits of the traditional *viññāna* system of the Sarvāstivādins and Sautrāntikas, the *citta* in *nirodhasamāpatti* (which was also advocated by btsun pa dGa' ba'i sde (\*Nandasena?) according to PSVyT 139b7 [Muroji p. 32, note]) could hardly be classified but as a *manoviññāna*, as was made explicit not only by Vasubandhu (or the opponent (\**kecit*), his mouthpiece, provided that this opponent is not merely a literary device) but, obviously, already by Asaṅga (MSg I.52).

158. The doctrine that the *citta* which continues to exist in *nirodhasamāpatti* is a *manoviññāna* is elaborately refuted in texts like MSg I.52-54; KSi §§ 25ff. (Muroji p. 27,14ff.); PSVy 19a1ff. (Muroji p. 28,14ff.); Si 18a18ff. Cp. also Ghoṣaka's objection (see n. 157).
159. Hsien-yang 5o5b21f., though clearly not wishing to deny, here or elsewhere, the specific character of *ālayaviññāna*, yet states that it may be taken to be virtually included in the traditional group of six *viññānas* because it stores the Seeds of all of them (藏彼種故).
160. See n. 149 (MĀ<sub>C</sub> passages); S 1987, chart VIII.1.
161. M I 296. - This seems to imply that the origin of *bhavaṅgaviññāna* is not connected with *nirodhasamāpatti* but, as the name suggests, with *pratītyasamutpāda* (cp. also KSi § 37 [Muroji p. 47,17-19 (+ 45,19-22)]), especially with the moment of conception or Linking up (*pratisandhi*); cp. Cousins 1981, 24f.; I disregard the - rather weak - possibility that the Mahīśāsakas, who may, perhaps, be credited with having introduced *bhavaṅgaviññāna* (see n. 68), had a version of our Sūtra different from that of the Theravādins and similar to that of the Mūlasarvāstivādins. On the other hand, the Milindapañha (299,14f.; 300,4f.) indicates the function of *bhavaṅgaviññāna* as a "gap-bridger" when asserting that a

person in [deep] sleep, where [conscious] states of mind do not arise, has retreated into *bhavaṅga*. But though it is tempting to assume this for *nirodhasamāpatti* also, which is in fact referred to in the following sentence of the Milindapañha (cp. H 1975a, (34)f.), the wording of the text, not mentioning *bhavaṅga* in this case, is not necessarily in favour of such an assumption. Actually, as Collins (1982, 245f.) has shown, some passages in later Theravāda texts indicate that, according to this school, in *nirodhasamāpatti* even the otherwise continuous flow of *bhavaṅgaviññāṇa* is interrupted, personal continuity being guaranteed only by the body or the corporeal life-force (for which see VisM XIV.59) - a fact which precludes attainment of *nirodhasamāpatti* in the incorporeal sphere (*ārūpyadhātu*) (Collins, 246 + 305 note 34; cp. Vi 778c1, and Y<sub>t</sub> zi 76b2ff. treated in n. 131). It would seem that the Theravādins, by excluding the patently "saṃsāric" *bhavaṅgaviññāṇa* from *nirodhasamāpatti*, have somehow preserved a reminiscence of the original status of this state as a kind of mystical anticipation of Nirvāṇa-after-death (see n. 199). On the other hand, my *Initial Passage* (and, less explicitly, already the Sūtra by which it was called forth) treats *nirodhasamāpatti* as a special situation in life, which, though still actual as a dogmatic issue, appears to have altogether lost its original soteriological significance (cp. also Griffiths 1983, 570ff.).

162. See n. 149 and ASBh 13,14f.

163. See n. 199.

164. Cp., e.g., Sn 1037 = DN I 223,17 (*viññāṇassa nirodhena etth' etaṃ uparujjhati*). But passages like MN I 329 = DN I 223,12 (*viññāṇaṃ anidassanaṃ anantaṃ sabbatopabhaṃ*) may perhaps represent a different view; cp. also P. Harvey in: Buddhist Studies, Ancient and Modern, ed. by Ph. Denwood and A. Piatigorsky, London 1983, 39ff.

165. MN I 296: ... *yadā kho ... imaṃ kāyaṃ tayo dhammā jahanti: āyu usmā ca viññānaṃ*,<sup>1</sup> *athāyaṃ kāyo ujjhito avakkhitto seti, yathā kaṭṭhaṃ acetanaṃ*;<sup>2</sup>

MĀ<sub>c</sub> 789a1-7 and 791c9-16; AKTU tu 9b1f.; SĀ<sub>c</sub> 15ob4ff.; cp. also ŚrBh 376,4f. (AKBh 73,19f.; AKVy 668,16f.; cp. SN III 143):

*āyur uṣmātha viññānaṃ yadā kāyāṃ jahaty amī /*  
*apaviddhas tadā sete yathā kāṣṭhaṃ acetanaṃ //*

1. Uneven pāda of a śloka (position of *ca!*): = SN III 143,4.
2. Even pāda of a śloka: see pāda *d* of the verse *āyur uṣmātha* ...

166. Cp. S 1987, §§ 0.5; 1; 5.1.5.0; 6.2.2.2.0-1; etc.

167. Cp. T 1571 (Dharmapāla's commentary on the Catuḥśataka), p. 228c8ff., where the continuous presence of subtle mind (微細心, \**sūkṣmaṃ cittam*) even in unconscious states like sleep and swoon is shown to be true by the argument, among others, that even in such states it supports (任持, \**dhāraṇa*) the body, i.e. keeps it alive (though there is no reference to a Sūtra). Cp. also the opponent at TSi 345b6ff. (especially 8f.), who bases his view that mind is present in *nirodhasamāpatti* on a Sūtra passage (see n. 154) which is systematically related to the one quoted in n. 165 but does not seem to have contained the word '*viññāna*' in its Mūlasarvāstivāda version (see n. 58).

168. See n. 156.

169. The fact that a passage contains a less developed view than another one does not, of course, *eo ipso* imply that it is chronologically older. Even a contemporaneous or later source may advocate a more conservative theory due to geographical distances, difference of milieu, or personal option.

170. KSiT 93b4; AKVy 167,16; Sthiramati, Abhidharmakośaṭīkā (Tj 5875 [mDo-'grel vol. to]) 266a1f. (*snion gyi mDo sde pa rnams*); cp. AK<sub>p</sub> II,212; Bareau 1955, 158 (thèse 18); Mimaki



1979, 199, 22ff. ( *S a u t r ā n t i k a* chapter of the Blo-gsal-grub-mtha'). Yet, Vasubandhu himself (AKBh 72,18-21) merely ascribes this theory to "others" (*apare*) and, in the end, connects it with the "Former Teachers" (*pūrvācāryāḥ*) - a designation which appears to refer, consistently, to the early Yogācāra masters, especially to the Yogācārabhūmi (cp. H 1986), as is, in the present case, supported by the passage quoted in n. 172.

171. KSi § 23: (Muroji p. 25,2-5): "[After *nirodhasamāpatti*, mind arises again] from its Seeds which have survived in the material sense-faculties (*\*rūpiṣv indriyeṣu*); [for] the Seeds of mind and mental factors subsist, according to the circumstances, in the mind series as well as in the series of the material sense-faculties" (*de'i sa bon dbaṅ po gzugs can la gnas pa las te / sems daṅ sems las byuṅ ba dag gi sa bon ni sems kyi rgyud daṅ / dbaṅ po gzugs can gyi rgyud gñis* (KSiṭ) *la gnas pa yin te / ci rigs su sbyar ro*); AKBh 72,18-21 (*śendriyaḥ kāyaḥ* instead of *rūpīṇīndriyāṇi*: cp. n. 172); PSVy 20a7 (Muroji p. 26,10-12: *lus kyi dbaṅ po'i rgyun* = *\*kāyēndriya-santati* or *-santāna*; cp. 20b1: *lus kyi rgyun*; 20b2 and 4: *lus kyi 'dbaṅ po la*; see also n. 172). Cp. Griffiths 1986, 63ff.

172.  $Y_t$  zi 15b5ff. (=  $Y_c$  583b21ff.): "The material sense-faculties together with their bases (*rūpīṇīndriyāṇi sādhiṣṭhānāni*), and mind (*viññāna*): these two are, in short, called 'containing all Seeds'. The [Seeds] accompanying<sup>1</sup> the material sense-faculties are the Seeds of these material sense-faculties and of all other material factors as well as of all kinds of mind and mental factors. The [Seeds] accompanying mind are the Seeds of all kinds of mind and of other immaterial factors as well as of the material sense-faculties."

(*dbaṅ po gzugs can rten daṅ bcas pa gaṅ yin pa daṅ / rnam par ṣes pa gaṅ yin pa 'di gñis ni mdor na sa bon thams cad*

pa śes bya'o // dbaṅ po gzugs can daṅ ldan pa ni / dbaṅ po  
gzugs can de dag daṅ / de las gzan pa'i chos gzugs can rnams  
kyi sa bon kyaṅ yin la / sems daṅ sems las byuṅ ba'i chos  
thams cad kho na'i sa bon kyaṅ yin no // rnam par śes pa daṅ  
ldan pa ni rnam par (D) śes pa rnam pa thams cad po de'i sa  
bon yaṅ yin la / de las gzan pa'i chos gzugs can ma yin pa  
rnams daṅ / dbaṅ po gzugs can rnams kyi sa bon yaṅ yin  
no //)

Cp. S 1969, 817; H 1986, 86o.

1. Ch. 隨逐 ..., suggesting, for ... daṅ ldan pa, -anuga-  
ta or -upagata in an a c t i v e sense; cp. n. 4o2(1c).

There seems to be a certain hesitation as to whether the  
Seeds accompanying corporeal matter are contained in the  
(subtle) material sense-faculties o n l y (cp. also  $Y_t$  zi  
215a5f. [see n. 495] and 228b7 [ $Y_c$  666b5f.]) or even, as in  
PSVy 2oa7ff. (see n. 171), o n l y in the sense of touch  
(which pervades the whole body [VGPy 421a3] and is invariab-  
ly present from the moment of conception onward [cp. Y  
24,1of.]), or whether, as in the passage quoted above, they  
are a l s o contained in the b a s e s (*adhiṣṭhāna*) of  
the sense-faculties, i.e. their gross, visible supports,  
e.g. the eye-balls (cp. AKBh 13,1; 16,11; 21,5ff.). Since  
the support of the sense of touch comprises the whole body,  
*adhiṣṭhāna* can, in this context, be equated with the body  
(*kāya*): cp., e.g., SAVBh tsi 17oa2f. (ad MSABh 151,5); TrT  
17b6 (ad TrBh 19,7).

The idea that not only mind but also the m a t e r i a l  
sense-faculties contain (or are) the Seed(s) of mind is,  
moreover, clearly implied in a statement of the V a -  
s t u s a ṃ g r a h a ṇ ī ( $Y_t$  'i 252a4f. =  $Y_c$  814b11-13)  
according to which the S i x S e n s e s (*ṣaḍ-āyatana*)  
are the support of the Seeds (or: the support in the sense  
of being Seed: cp. § 6.1.2.1 + n. 775 and § 7.1B.2.1.3.b +  
n. 1o14) (\**bījāśraya*?) of the six kinds of *viñāna* (rnam  
par śes pa'i tshogs drug po rnams kyi ni ... skye mched drug

*ni rgyu yin ... / 'di lta ste / skye mched drug ni de dag gi sa bon gyi gnas yin pa ...*). In the Ś r ā v a k a b h ū - m i , the (still less precise, or more archaic?) formulation that the Seeds of mind are in the body is met with (ŚrBh 431,14f.: *asty [ms.] eṣāṃ sarveṣāṃ vijñānānām asmin kāye caturmahābhūṭike* (ed. *śāṅ*) *bījaṃ ...*).

172a. Y<sub>t</sub> zi 16a2-4 (cp. S 1969, 818 note 41).

172b. Y<sub>t</sub> zi 16a4-6.

173. The fact that this theory is, as far as I can see, not met with in its fully explicit form in the *Basic Section* but only in the *Viniścayasamgrahaṇī* is not a cogent counter-argument; for even if we disregard, in view of Vasubandhu's reference to the *pūrvācāryas* (see n. 170), the ascription, by later authors, of this *bīja* theory to "certain Sautrāntikas", as well as the less explicit but (as far as the Seeds of mind are concerned) substantially equivalent formulation of the *Vastusamgrahaṇī* (see n. 172), the fact that the *Viniścayasamgrahaṇī* was compiled after the *Basic Section* (cp. § 1.6.6) does not exclude that some of the materials compiled are fairly old (cp. § 1.6.7). Actually, the compiler of *VinSg* himself finds it necessary to state that this *bīja* theory presupposes that *ālayavijñāna* is not introduced (see n. 131); and in view of the analogous cases (see ib.), of the fact that the introduction of *ālayavijñāna* in the *Basic Section* appears to be a rather late event (see § 6, especially 6.8), and of the obvious relation of this theory to my *Initial Passage*, I do not hesitate to take this systematical statement of the compiler historically, viz. in the sense that this *bīja* theory was devised when *ālayavijñāna* had not yet been introduced. Thus, even if this *bīja* theory, not being documented in the *Basic Section*, may have escaped the attention of the compiler(s) of this Section, this does by no means exclude that it was nevertheless known to the real author of the *Initial Passage*.

174. AKṬU tu 9b6: *dbañ po* (text: *du*) *gžan du 'gyur ba* (read *gyur pa?*) ...-r *mi 'gyur ro*; KSi § 24 (Muroji p. 27,11): *dbañ po dag ma gyur pa* (*aparīṇata*) *yin žin*; PSVyT 139b1 (Muroji p. 28, note): *dbañ po rnams yonś su ma gyur pa yin*; SĀ<sub>c</sub> 15ob14: 諸根不壞 ; MĀ<sub>c</sub> 789a1of. = 791c19: 諸根不敗壞 ; MN I 296 and SN IV 294: *indriyāṇi vip̐pasannāni*.
175. It may be difficult to decide whether this step was, as Griffiths (1986, 106) seems to suggest, in fact favoured by Vasumitra's assumption of (some kind of) mind in *niro-dhasamāpatti* (see § 2.3 + n. 152).
176. The addition of an attribute *aparīṇateṣu*, especially in a predicative function (with a causal nuance), is easily accounted for by the wording of the Sūtra (see n. 174).
177. Y<sub>t</sub> dzi 172a7: *de'i dbañ po gzugs can yonś su ma gyur pa rnams la ... kun gži rnam par śes pa med pa ma yin te /*.
178. Y<sub>c</sub> 34oc28ff.: 不變壞諸色根中、有 ... 阿賴耶識 .
179. Cp. PW s.v. *lī-* + *ā-* (3). - Cp. Suguro 1982, 6off. (see § 7.1B.1); cp. also H 1978a, 216, rendering *ā-lī-* in MSg I.2-3 by 埋没している ("to be buried, to remain obscure"), though I for one should not apply this meaning to *t h a t* passage (see ns. 136 und 137).
180. As for a slight shift of meaning, see § 3.3.1.3.
181. *kun gži rnam par śes pa žes kyañ bya ste / 'di ltar de lus 'di la grub pa dañ bde ba gcig pa'i don gyis kun tu sbyor ba dañ rab tu sbyor bar' byed pa'i phyir ro //*.  
Cp. also the partly quotation in YVy 115b6: *'di ltar de ni lus de la 'brel ciñ rab tu 'brel ba<s?> na kun gži žes bya'o*. The Chinese versions (cp. n. 183) indicate that this explanation is "etymological" like that of *citta* and probably also that of *ādānavijñāna* (for which see n. 352). Thus, it may correspond to something like:  
*\*ālayavijñānam ity apy ucyate, yaduta tasyāsmiṁ kāya ālaya-*

na-pralayanatām<sup>1</sup> upādāya ekayogakṣemārthena.

Cp. Yokoyama 1979, 119; Funahashi 1976, 55f.

1. Or *ālīyanapralīyanatām* (Wackernagel II,2, Nachträge, 934 (ad 201)).

182. Cp. PW s.v. *lī-* + *pra-*: "sich verstecken, sich versteckt halten; sich auflösen, ... verschwinden".

183. Cp. also the Chinese renderings of Hsüan-tsang (T 676, p. 692b17: 攝受藏隱 "appropriates and lies hidden"), Bodhiruci (T 675, p. 669a25: 住著 "dwells in and sticks to"), Dharmagupta (T 1596, p. 273c12: 隱藏普遍 "lies hidden and pervades") and Paramārtha (T 1595, p. 157b23: 藏隱 "lies hidden"; cp. T 1610, p. 803a9f.:

阿梨耶者、依隱為義 ). Cp. also Weinstein 1958, 57; Yūki 1935, 74 ( 身に藏隱せられてゐる ); Yokoyama 1979, 118f. ((*kakure*)-*hisomu*); Takasaki 1982, 27 (*kakurete iru*); Suguro 1982, 65f.

184. This term is explained at Y 24,16f.: *cittavaśena ca tan* (= *kalala-rūpaṃ*) *na pariklidyate* (see n. 250), *ta s y a ca* (sc. *kalala-rūpasya*) *anugrahopaghātāc cittacaittānām* (Y<sub>m</sub>) *anugrahopaghātāḥ / tasmāt tad anyonyayogakṣemam ity ucyate*. Cp. also Funahashi 1969, 38; Yokoyama 1979, 132ff.; 1979a, 5ff.; Takasaki 1985, 50 note 8.

On the somewhat different use of *yogakṣema* as a quasi-synonym of *artha*, *hita* and especially *nirvāṇa*, see AN III 403; Norman 1969, 128.

185. PSk<sub>t</sub> 17a4 (see n. 140).

186. S 1969a, 130.

187. AKBh 154,12: *āśrayo hi sendriyaḥ kāyaḥ*; AKVy 45,6; 395,2f. (*āśraya* = *śarīra*); ŚrBh 399,11 *āśraya-daurbalya* = 400,19 *kāya-daurbalya*; S 1969a, 92f. Cp. also n. 796.

188. Cp. n. 39.

189. ASBh 45,6: *tad-* (= *viññāna-*) *viyukta āśrayaḥ p ū t i b h a - v a t i*; MSgU<sub>t</sub> 240a6f. (H 1975, (18)): *gāṇ du na ni* (i.e. if *ālayaviññāna* did not appropriate the body) *śi ba'i ro*

- bāin du 'jig par 'gyur ro //*; KSiT 103b2: *lus ni rnam par šes pas zin pa'i phyir ma ši'i* (D) *bar du rul bar mi 'gyur ro*. Cp. also n. 250.
190. Cp. the *\*indriyamahābhūtopādātṛ vijñānam* of Y<sub>t</sub> 'i 311a7 (see n. 80).
191. E.g. YVy 98b2 (see n. 817(d)), explaining *upādātṛ* at Y 11,4f. as implying an etymology of *ālayavijñāna*. Cp. also the rendering of *ālayavijñāna* by *kun tu len pa'i rnam par šes pa* in ASVy (e.g. 162b7 = AS 12,1; 163a1ff. = ASBh 11,11ff.).
192. According to M<sub>Sg</sub> I.13B, the traditional interpretations of '*ālaya*' are: a) the five *upādāna-skandhas* (i.e. the skandhas as the object of Clinging (i.e. of *upādāna* = *chandarāga*: cp. AS 2,5ff.; M I 300)); b) *\*sukhā vedanā sarāgā* (i.e. this *vedanā* as the object of Clinging, or *vedanā* as the object plus *rāga* as the action of Clinging [cp. M<sub>Sg</sub>U<sub>t</sub> 246a7f.; H 1975, (30)]); c) *satkāyadṛṣṭi* (as the action of Clinging).
193. Vi 746c11-14: 若法為欲界阿賴耶所藏、摩摩異多所執、名欲界繫。  
... 阿賴耶者、謂愛。摩摩異多者、謂見。 I cannot follow Funahashi (1969, 37f.; 1977, 74f.; cp. 1976, 40f.) who seems to perceive, in the expression 阿賴耶所藏, an indication of the aspect of being stored or hidden in the body, or of Seeds being stored, i.e. of an essential aspect of the notion of *ālaya-vijñāna*. But in view of the fact that in this passage *ālaya* is parallel to and even quasi-synonymous with *mamāyita*, and is subsequently explained as *trṣṇā* (i.e. as the emotional or affective aspect of spiritually negative Clinging, whereas *mamāyita* is taught to mean *dṛṣṭi*, i.e. the intellectual aspect of Clinging), I think that Funahashi has been misled by the Chinese phraseology (所藏) and that the passage rather means that those dharmas which are *clung to* (*\*ālīna*, or *\*ālīyante*) by attachment (*ālaya*) to the *kāmadhātu* (etc.) are *kāmadhātu-pratiṣamṃyukta* (etc.).

194. See n. 203 and CPD s.v. *ālaya* 2(c).
195. Y<sub>m</sub> 84b7 (= Y<sub>t</sub> dzi 186b4): *ālayaḥ katamaḥ / atīte apekṣā, anāgate abhinandanā, pratyutpanne adhyavasānaṃ /*.
196. 'upādāna' in this sense is closely related to the Abhidharma term 'upātta' (cp. Yūki 1935, 16of.; Suguro 1977, 130; Yokoyama 1979a, 3f.; Takasaki 1985, 42f.). The term 'upātta' characterizes living, organic matter in contradistinction to inanimate, dead matter; cp., e.g., Y<sub>t</sub> zi 228a3 ff. (Y<sub>c</sub> 666a11ff:) stating that only the matter (*rūpa*) of the sense-faculties (*indriya*) and the matter connected with them (i.e. corporeal matter) which mind and mental factors have made their support and not abandoned (*gnas su byas śiṃ ma spaṃs pa*) – thus not hair, nails and teeth, etc. – is *upātta*, and that this means that its being affected by certain external or internal causes leads to pleasant or unpleasant sensations. Similarly, Y<sub>t</sub> zi 41b1f. (Y<sub>c</sub> 593c29f.) reads: "[Appropriated (*upātta*) matter] is [matter] which occurs/grows in coalescence with mind (\**viññāna-saṃmūrcchitam* (see n. 239) (*pra*)*vartate*; Ch. (生長) seems to have read \*(*pra*)*vardhate*) in the sense of sharing its destiny (\**ekayogaḥ* *śemārthena* (cp. n. 184)), and which is the basis of the origination of feelings" (*de* (= *zin pa'i gzugs*) *yan rnam par śes pa dañ 'dres pa grub pa dañ bde ba gcig pa'i don gyis* (D) '*jug pa gañ yin pa dañ / tshor ba rnam skye ba'i rten du gyur pa gañ yin pa'o* //). As for the first part of this definition, see also Y<sub>c</sub> 880a1ff., whereas the second part stands in isolation at AS 29,23f.: *vedanotpattyāśraya* <*rūpa*> *ta upāttaṃ draṣṭavyam / rūpaskandhaikadeśaḥ* (ASBh 43,16: *sādhiṣṭhānendriyasamgrhītaḥ*). Cp. also AKBh 23,16 f.: *upāttaṃ iti ko 'rthaḥ / yac cittacaittair adhiṣṭhānabhāvenōpagrhitam anugrahopaghātābhyām anyonyānuvidhānāt, yal loke sacetanam ity ucyate*; NA 352b6ff.; Vi 712b8ff., especially c7ff.

*Upādāna* in the sense of biological appropriation is ex-

pressly distinguished from *upādāna* in the sense of spiritually negative Clinging in Yüan-ts'ê's commentary on the *Samdhinirmocanasūtra* (Samdhī ti 269b7ff.; the Chin. original is not accessible to me). Yüan-ts'ê makes it clear that spiritually negative Clinging - i.e. when a person who is under the sway of *satkāyadṛṣṭi* believes that there is Self - is abandoned when pure insight (i.e. *darśanamārga*?) has arisen, whereas biological appropriation - which is the source of pleasant and unpleasant sensations [in the body] - continues until [the attainment of final] *Nirvāṇa*.

197. Cp., e.g., Y 208,14ff.; Sh. Miyamoto in: IBK 44/1974, 969ff. - As for the equivalence of *ālaya* with this kind of *upādāna*, cp. the fact that AS 2,5ff. (cp. Y. Sasaki 1982, 181f.) seems to make use of the Y passage quoted in n. 195 in order to explain why *upādāna* is defined as *chanda + rāga*: *\*anāgatābhilāṣād vartamānādhyavasānāc ca* (reconstr. slightly modified by me). Cp. also Suguro 1977, 131 (above, 2ff.); Y. Sasaki 1982, 190 (below, 15ff., especially 18: 執着という意味で ).
198. Cp., e.g., AKBh 70,15f.; Vi 779c29ff.; ŚrBh 460,11ff.; 508,15f.; TrBh 24,16f.; VisM XXIII.18.
199. Cp. de la Vallée Poussin 1937, 189f.; S 1981, 214ff.; 1987, § 8.1.4; Vetter 1985, 68; Bronkhorst 1986, 95; 97; 102; Griffiths 1986, 13ff., especially 19 and 28ff.; 149f. note 28 (with further references).
200. VisM XXIII.30 (without *iva*!) + Paramatthamañjūsā (*anupādisesanibbānaṃ ... vīya*; cp. Griffiths 1986, 29f. and 157); AKBh 232,20f.: *nirodhasamāpatti-vyutthitaḥ parāṃ cittasāntiṃ labhate, nirvāṇa-saḍṛṣatvāt samāpatteḥ / sa hi ga - t a - p r a t y ā g a t a i v a n i r v ā ṇ ā d bhavati*; AS 95,16ff. (reconstr.; read *\*śāntavimokṣavihāraṃ samatikramya m o k ṣ ā n u s a d ṛ ṣ a -vihāra-samṛddhau yaś citta-caitasikānāṃ (dharmānāṃ) nirodhaḥ*; cp. AS<sub>t</sub> 133a3-5 and ASBh 125,11ff.).



201. Cp., e.g., *kāma* (cp. ŚrBh 449,15: *dvividhāḥ kāmāḥ: kleśakāmā vastukāmāś ca*), *upadhi* (see CPD s.v.), *parigraha* (see PTSD s.v.).
202. Thus, probably, in the expressions '*ālayārāma*', etc. (see n. 71; cp. ns. 203 and 204; I find that "delighting in attachment" (CPD; cp. SpK I 195,23f. and 196,5 [ *t a ṇ h ā l a y a* beside *kāmālaya*]; Ps II 174f.) is rather far-fetched, the natural interpretation being no doubt "delighting in o b - j e c t s - o f -attachment"); cp. also VisM XVI.28: *ālaya* = *taṇhā v a t t h u* (= *dukkha*, from the ultimate point of view).
- Cp. also the frequent use of *ālaya* in the sense of "house, dwelling; nest, lair; abode", etc. (see CPD s.v. *ālaya*, 1), which, as A. Wezler kindly informed me, is adduced in Kāśikā ad Pāṇ 3,3,118 as an example for the use of the suffix (*gh*)a in the sense of the l o c u s (*adhikaraṇa*), i.e. the place where one crouches or hides. Analogously, the Buddhist meaning of *ā-lī-* would yield a noun *ālaya* = "that to which one sticks or clings". Cp. also n. 137.
203. Cp. Mp III 128 (ad AN II 131: *ālayārāmā ... pajā*): "'*ālaya*' - in the sense of what is c l u n g t o by craving and wrong views - are the five objects of sensuality, or the whole process of existence (i.e. *saṃsāra*)" (*taṇhā-diṭṭhīhi allīyitabbatṭhena ālayo ti pañca kāmagaṇā, sakalam eva vā vaṭṭam*); Spk I 195 (cp. Ps II 174): *ālayārāmā ti: sattā pañca-kāmagaṇesu allīyanti, tasmā te* (sc. *kāmagaṇā*!) '*ālayā*' *ti vuccanti*; Paramatthamañjūsā ad VisM XVI.28: "With regard to *ālaya*, i.e. to the five objects of sensuality, o r to all *kāmas* [in the sense of] things [desired], o r to the three [spheres of] existence" (*ālaye pañca-kāmagaṇa-saṅkhāte sakala-vatthu-kāma-saṅkhāte bhava-ttaya-saṅkhāte vā*). Cp. also Funahashi 1969, 33; 1976, 12; 1977, 74.
204. Vism-mhṭ S<sup>e</sup> III 368,14 (quoted in CPD s.v. *ālaya-rāma*): *pañc'upādāna-kkhandhā ālayo*; MSg I.13B: *yaṇ kha cig ni ...*

*ñe bar len pa'i phuñ po lña po dag ni kun gzi zes bya'o sñam du sems so //.*

205. Cp. MSgU<sub>t</sub> ad I.13 (246a6; H 1975, (30)): *de dag la bdag ñid du 'dzin pa'i phyir ro//*. The view that the *upādānaskandhas* are the object of the wrong notion of 'I' and 'Mine' is of course canonical (cp., e.g. MN I 300; SN III 127f.); cp. also Y<sub>t</sub> zi 112b6f. = Y<sub>c</sub> 621b6f., etc.
206. Hsien-yang 505b21f. (see ns. 159 and 778) may not be unambiguous.
207. Cp. n. 101.
208. Y 207,13 (etc.): *nāmarūpabīja-parigrhīta-bījabhūtaṃ* (sc. *saḍḍāyatanam*). Cp. n. 147(b).
209. Y 200,17f. (etc.): *nāmarūpabījam ... saḍḍāyatanabījôpagatam* (etc.).
210. See § 6.2.2.d.
211. E.g. Y 55,14; cp. Yokoyama 1979a, 13f.; Suguro 1982, 63f.
212. See n. 195.
213. See below.
214. Murakami 1978, 461f.; for the cosmological term 'pralaya' cp. also Frauwallner 1953, 363f.
215. Cp. also S 1969a, 128.
216. Cp. also Hattori 1985, 104.
217. Cp. the use of *-parigrhīta* at BoBh<sub>D</sub> 68,7 (quoted in n. 147(a)).
218. Cp. Y<sub>m</sub> 95b5f. = ŚrBh<sub>m</sub> 2A6,5f. (Y<sub>t</sub> dzi 213b7ff.; Y<sub>c</sub> 355c13-16):

*ākāśānantādhimokṣaḥ vijñānānantādhimokṣaḥ ākiñcanādhimokṣaḥ  
nāivasamjñānāsamjñādhimokṣaḥ<sup>1</sup> s ū k ṣ m a s ū k ṣ m a -  
s v a r a s a v ā h i - c i t t ā dhimokṣaś ca: itīme pañcā-  
dhimokṣāḥ anupūrveṇa suparikarmakṛtāḥ s a ṃ j ñ ā v e d a -*

*y i t a n i r o d h a s a m ā p a t t i -parama-vihāra-nirhā-rāya samvarttante /*

1.  $Y_m$  -*saṃjñāmokṣaḥ*; ŚrBh<sub>m</sub> -*saṃjñāyatanādādhimokṣaḥ*, but -*āyātāna*- is not represented in Tib. nor in Chin.

This passage, which may be pre-ālayavijñānic or not, at any rate appears to imply the existence of an *e x t r e m e l y* *s u b t l e* form of mind in *nirodhasamāpatti*.

The earliest *e x p l i c i t* statement of the subtleness of ālayavijñāna or ādānavijñāna seems to be Saṃdh V.7 (§ 3.9.1 + n. 321); cp. also  $Y_t$  zi 4b5f. and 5a7 (subtleness of the object and of the mental associates of ālayavijñāna) and §§ 5.7 and 5.9.

219. Cp. n. 172; PSVy 2ob1f. (Muroji p. 26,18-20): "In the case of this assumption the Seed(s) of *manovijñāna* would exist in two series: one [of them] would [exist as a residue] impressed (*\*bhāvita*) on the mind series (*citta-santati* or -*santāna*), and another one [as a residue] impressed on the body series (*kāyasantati*)" (*rnam par rtog pa 'di la yid kyī rnam par śes pa'i sa bon rgyud grīs la yod par 'gyur te / gcig ni sems kyī rgyud la[s] bsgos pa'o // gcig ni lus kyī rgyun la'o //*); KSiT 94a4f.: "In *kāma*- and *rūpadhātu*, [the Seeds of mind exist,] in conscious states (*sacittikā avasthā*), in both *citta*- and *rūpasantati*. In unconscious states, in *rūpasantati* [only]. In *ārūpyadhātu*, in *cittasantati* only" ('*dod pa dan / gzugs kyī khams sems dan bcas pa'i gnas skabs na ni / sems kyī rgyud dan / gzugs kyī rgyud la'o // sems med pa'i gnas skabs na ni gzugs kyī rgyud la'o // gzugs med pa'i khams na ni sems kyī rgyud kho na la ste /*).

220. Cp. the fact that, according to somewhat later sources, in *nirodhasamāpatti* even the occurrence of the subtle Ego-feeling of *kliṣṭa-manas* is excluded (MSg I.7A.4; AS 11,1;<sup>1</sup> 13,11; ASBh 9,2of.; Tr 7), not to speak of gross mental acts of Clinging to Self. As for the fact that passages like  $Y_t$  zi 6a5-7 do not take into consideration the absence of *manas*

in *nīrodhasamāpatti*, see n. 657.

1. In this passage, *tadekatyānām ca sthāvarāṇām* has to be added with AS<sub>t</sub> 60b4, AS<sub>c</sub> 665c7, ASVy<sub>t</sub> 160b5f., ASVy<sub>c</sub> 700b8f. and 11; ASBh 9,20 and ASBh<sub>t</sub> 10a6.

221. Cp. S 1973, 165f.; 1976, 238-240. As for the Bodhisattva-bhūmi, cp. also Willis 1979, 36; Hotori 1982, 26.

The absence of *viññāptimātratā* throughout Y (except the quotation of Saṃdh (see § 1.6.3 + n. 108)) does not of course exclude the occurrence, especially in Mahāyānist chapters like the Bodhisattvabhūmi of the *Basic Section* as well as of the *Viniścayasamgrahaṇī*, of a somehow illusionist ontology according to which dharmas or (by nature conceptually structured) phenomena (*nimitta*) arise from designations (*prajñapti*) or conceptions (*vikalpa*) and, though not necessarily mere mental images, are yet ultimately essenceless (in the sense of *dharmanairātmya*) (S 1973, 166f.).

On the other hand, most parts of the *Yogācārabhūmi* do not involve such views but presuppose, more or less explicitly, the traditional ontology according to which dharmas (including material ones) are really existent, though impermanent and devoid of Self or Person (in the sense of *pudgalanairātmya*). Cp., e.g., the prose explanation of the *Paramārthagāthās* (ŚrBh<sub>w</sub> 174,15): *pudgalanairātmyaṃ paramārthaḥ* / (*Y<sub>m</sub>*); or ŚrBh 490,21ff. (*Y<sub>t</sub>* wi 225b5ff.; *Y<sub>c</sub>* 474b7ff.; cp. also *Y<sub>t</sub>* zi 70b2-4 = *Y<sub>c</sub>* 605a9ff.): *tasyaivaṃ bhavati - indriyamātram <i>ha upalabhe(?)<sup>1</sup> v i ṣ a y a m ā t r a ṃ* *tajjam anubhavamātram cittamātra<m>/ a h a ṃ m a m e - t i (?)<sup>2</sup> n ā m a m ā . t r a ṃ* *darśanamātram upacāramātram,* *nāta uttari nāto bhūyaḥ / tad evaṃ sati skandhamātram etan,* *nāsty eṣu skandheṣu nityo dhruvaḥ śāśvataḥ svā<mī>bhūtaḥ* *kaścid ātmā ... / iti hi śūnyā ete saṃskārāḥ ā t m a v i - r a h i t ā ḥ .../*

It is self-evident that *cittamātra* in this context has no idealist implication but merely contrasts with *ātman*.

1. ŚrBh *aha upalabhate*; *Y<sub>t</sub>* *bdag gis ... mthoñ ba* (\**aham upalābhe* ?); *Y<sub>c</sub>* 我於今者 (*iha?*) 唯有 ...

2. Cp.  $Y_t$  *bdag dan bdag gi źes bya ba ni*;  $Y_c$  我我所 . ŚrBh<sub>m</sub>:  
*hatā ātmeti*.  $Y_t$  *zi 7ob3 (bdag bdag ces bya ba'i ...*;  
Ch.: 我我 ) suggests *ātmātmeti*.

I do not think Kajiyama (1985, 345) is right in drawing from the fact, frequently expressed in the traditional layers of Y and related texts, that dharmas or *pratyayas* lack activity (*nirīha*, *niśceṣṭa*: e.g. Y 2o3,15; 23o,17f.; PG 1 (see n. 1394); AS 27,17f. and 22; ASBh 33,21) the consequence that from the point of view of ultimate Truth they must, in the sense of (Mādhyamic) *śūnyatā*, also lack reality. The texts always stress that though inactive the *pratyayas* are nevertheless efficient in the sense that effects derive from them (Y 23o,18: *pratyayasāmarthyasadbhāvāc ca*; cp. PG 1; AS 27,22f.). The fact that they do not carry out any activity or undertake any effort is not due to their unreality but to their momentariness, as is explicitly stated in PG 5 (*kṣaṇikāḥ sarvasaṃskārāḥ, asthirānām* ( $Y_m$ ) *kutaḥ kriyā*). Cp. also  $Y_t$  'i 29oa7f. (=  $Y_c$  829c15ff.): "Because *saṃskāras* are impermanent - they had no [existence in the] past nor [have they any in the] future, and even in the middle they exist only for a moment (*kṣaṇamātra*) -, from the point of ultimate truth (*paramārthataḥ*) no activity, action or function (*pravṛtti*) is found in them" ('du byed rnams ni mi rtag pa ṇid de </> sñon gyi mtha' yañ med / phyi ma'i mtha' yañ med / dbus kyi mtha' na yañ skad cig tsam źig yod par zad pa'i phyir spyod pa dan byed pa dan 'jug pa don dam par (D) mi dmigs ...).

222. Cp. § 8.4 and S 1972, 154ff. Most earlier texts (cp., e.g., MSg I, especially I.5 and I.34ff.; cp. Suguro 1983, 5,5f.) and even comparatively late authors like Sthiramati do not consistently use an idealist phraseology but in certain contexts rather follow pre-idealist patterns, and occasionally it would even be difficult to reconcile their statements with the idealist system (cp., e.g., § 5.15.1). This does not however mean that I subscribe to the - mainly

American - fashion of altogether denying idealism (in the sense that objects, including material objects, are considered to be mere mental representations and - explicitly or implicitly - denied to exist outside mind) even in texts like Saṃdh VIII.7-8 (Wayman 1984, 134) or Vasubandhu's Viṃśatikā and Triṃśikā (cp., e.g., Willis 1979, 33ff.; Paul 1981, 317 note 40). This is, however, not the right place for a detailed discussion of the matter, but the reader may refer to the convincing arguments in Griffiths 1986, 82f. and 177 note 19.

223. Cp. § 10.3.1.3.

224. This has already been clearly recognized by de La Vallée Poussin (1934-35, 167). Cp. also the important and perceptive remarks on this point in Suguro 1983, 1ff. Otherwise Griffiths (1986, 106), who still seems to assume, as a matter of course, idealism even for the Yogācārabhūmi and seems to regard it as an essential precondition for the formation of the ālayavijñāna concept, without, however, adducing any unequivocal textual evidence for this.

225. Cp. also § 10.3.2.

226. For the sake of convenience, I call the first part of the *VinSg ālay. Treatise*, viz. the one which deals with the proofs of the existence of ālayavijñāna, the *P r o o f P o r t i o n*. As for the second part (on the different aspects (*prabheda*) of ālayavijñāna), I refer to its first half, which treats of the functioning (*pravṛtti*) of ālayavijñāna, by *P r a v ṛ t t i P o r t i o n*, and to its second half, which discusses ālayavijñāna's cessation (*nivṛtti*), by *N i v ṛ t t i P o r t i o n*. For the sake of convenience, I use the latter term in such a way as to include the paragraph Y<sub>t</sub> zi 10a8-b6 (H 1979, 43f. [§ II]), since this paragraph, though strictly speaking a textual unit on its own, is, from the doctrinal point of view, closely affiliated to the *Nivṛtti Portion* proper.

*Proof Portion:* Y<sub>t</sub> zi 2b2-4a4 = Y<sub>c</sub> 579a14-c22 = Chüeh-ting 1o18c9-1o19a24; quoted at ASBh 11,16-13,2o; ed. in H 1978, 7ff. (with Jap. transl.; cp. also Griffiths 1986, 13off.: with English transl.).

*Pravṛtti Portion:* Y<sub>t</sub> zi 4a5-8a4 = Y<sub>c</sub> 579c23-581a24 = Chüeh-ting 1o19a25-1o2oa13; ed. in H 1979, 25-37 (§§ I.1-4; with Jap. transl.).

*Nivṛtti Portion:* Y<sub>t</sub> zi 8a4-1oa6 and 1oa8-b6 = Y<sub>c</sub> 581a25-c27 and 582a4-12 = Chüeh-ting 1o2oa13-b28 and c3-13; ed. in H 1979, 37-44 (§§ I.5 and II; with Jap. transl.).

(A synoptic ed. of the Chin. versions of the whole *VinSg ālay. Treatise* is found in Ui 1965, 543ff.)

227. Y<sub>t</sub> zi 3b8ff. (ASBh 13,12-15; H 1978, 14 [§ vii]; Griffiths 1986, 136f.): *kena kāraṇenāsaty ālayavijñāne 'cittā samāpattir na sambhavati / tathāhy asaṃjñi-samāpannasya vā nirodha-samāpannasya vā vijñānam eva kāyād apakrāntaṃ syāt , nānapakrāntaṃ; tataḥ kālakriyāiva bhavet / yathōktaṃ bhagavatā - vijñānaṃ cāsya kāyād anapakrāntaṃ bhavatīti //*.
228. See § 9.
229. SacAcBh § 5 (see App. I); cp. also Y<sub>t</sub> zi 1ob2f. (Y<sub>c</sub> 582a6f.; H 1979, 43f. [§ II.2.a]); Tr 16.
230. Shu-chi 364a15f. (+ 13) states that according to the Sarvāstivādins swoon and deep sleep are not entirely unconscious. Cp., perhaps, also Y 12,6f. (+ 14,1ff.) where falling asleep or into a swoon and waking from it are listed among what one will have to understand as specific functions of *mano vijñāna* (see §§ 6.2.4.a and 6.3.2).
231. AKBh 156,3: *na ca paṭuvijñāne cyutyupapattī yujyete*; cp. also 151,21 (*sāvasthā* (sc. *upapattibhavaḥ*) *mandikā*) and 156,6 (no rebirth or death in an unconscious state: *nāpy acittasya sā* (sc. *cyutir upapattir vā*)); Si 16c25ff.; death: Y 16,3 (*sūkṣme punaḥ saṃjñāpracāre*) and 18,1 (*mriyamāṇasya*

*avispaṣṭasaṃjñāvasthām asaṃprāptasya* [ $Y_m$ ]); ASBh 21,18 (*aparispḥuṭāyāṃ maraṇāvasthāyām*). According to the Vibhāṣā (Vi 625a9+13; 667b17f. + 24 and 29) mind is faint throughout the embryonic state.

232. Vi 310b15f.; AKBh 155,19ff.; cp. VGPVy 376b3-5. - Similarly the Theravādins: cp., e.g., Vibh 414 (*manoviññāṇa-dhātu* as the only *viññāṇa-dhātu* at the moment of *upapatti*); as for death, see Kv XV.9, presupposing that death does not occur in unconscious states nor when one has sense-perception; cp. also Kv-a 155,18 (*pañcahi pi ñānehi na cavati na upapajjati*) and Shu-chi 365a19ff. - According to VGPVy 416b1-4 the Sautrāntikas, too, regarded mind at the moment of Linking up (*pratisandhi*) to be a *manoviññāṇa* (cp. also PSVy 20b7; PSkBh 195b8f.: *mDo sde pas smras pa - yid kyi rnam par śes pa ma'i mñal du mtshams sbyor ba ...*; cp. also 194b7).
233. Y 12,8: *cyavate upapadyate* [ $Y_m$ ] (in a list which ought to be taken as referring to the specific function of *mano vijñāna* (see §§ 6.2.4.a and 6.3.2); cp. also Yokoyama 1979a, 17,14f.
234. Cp. Shu-chi 364a20ff.
235. At the moment of death: VisM XIV.123. At the moment of Linking up: XIV.111-114; though the text does not, as in the case of the *cuti-citta*, expressly proclaim the *paṭisandhiviññāṇa* to be nothing but a special case of *bhavaṅga-viññāṇa*, the subsequent *bhavaṅga-viññāṇa* is nevertheless qualified as being entirely similar to the *paṭisandhi-citta*, having the same object and being *vipāka* of the same *karman* (VisM XIV.114). Cp. Cousins 1981, 25; Collins 1982, 244.
236. "Only" is, however, not to be taken to exclude *kliṣṭa-manas*: cp. Shu-chi 364a21f.; 366b18f.
237. Si 16c24ff.; Shu-chi 364a17ff.; 366b19.
238. DN II 63: *viññāṇaṃ ca hi Ananda mātu kucchi(smi)ṃ na okkamissatha, api nu kho nāmarūpaṃ mātu kucchismiṃ sa(m)muc-*



*c(h)issatha*; DhSk<sub>D</sub> 34,19f.: *viññānaṃ ced Ananda mātuh kukṣau* (AKVy 669,2 and AD 46,4: *kukṣiṃ*) *nāvakramiṣyad* (AKVy and AD: *-krāmed*), *api nu nāmarūpaṃ kalalatvaṃ hi* (AKVy: *kala-latvāya*) *saṃmūrcehiṣyat* (AKVy: *saṃmūrcehet*); cp. also NA 485b27f.; MSgU<sub>C</sub> 392c3f.; PSVy 20b5f.; MĀ<sub>C</sub> 579c17f.; etc. (cp. n. 64). - The Pāli version may perhaps be taken to mean "... would then *nāmarūpa* (animated matter, i.e. the embryo) ... arise by coagulation [of semen and blood] (or: by coalescence [of pre-embryonic matter and mind])?".

239. As for the meaning of *saṃmūrch-*, cp. MSg<sub>L</sub>, vol. II, p. 13\*f.; J. May, *Prasannapadā Madhyamakavṛtti* (Paris 1959), 259 n. 935 (1. l'engourdissement du *viññāna*; 2. la coagulation de la semence et du sang pour former un embryon); Nagao (1982, 194; 198 note 3), Y. Sasaki (1982, 192) and Takasaki (1985, 44,17) prefer ( 附着 , ) 凝結する ("to coagulate"), even when *viññāna* is the subject of *saṃ-mūrch-* (see end of § 3.3.1.1 + ns. 242-244), whereas Yokoyama (1979, 162) suggests 凝結して増大する ("to coagulate and increase"); cp. also MW s.v.: "to congeal into a fixed form, to coagulate; to acquire consistency";<sup>1</sup> "to increase"; "to become senseless". The Tibetan equivalents vary; if *viññāna* is the subject, either *brgyal ba* ("to faint") or '*jug pa* ("to enter") is used, the latter interpretation being obviously deduced from the ordinary wording of the canonical source (see n. 238) where *viññāna* is said to enter (*ava-kram-*) the womb (cp. also Pr 552,4 where *saṃmūrcehite* represents *sanniviṣṭe* of MK XXVI.2c). In Y 230,8 (= Y<sub>t</sub> dzi 135b7), where *śukraṣoṇita* is the subject, Tibetan uses '*dres par 'gyur* ("to get mixed", cp. Sv II 502,9f.: *samuccitaṃ* (sic!) *mi s s i b h ũ t a ṃ*). This meaning is also supported by Hsüan-tsang's usual rendering of *saṃ-mūrch-* by 和合 ( 依託 ) "to unite with (and become dependent on)" and can be connected with the statement of Y 24,14-16 that embryonic matter has coalesced with mind and mental factors [and vice versa] in the sense that

they share each other's destiny (*tat punaḥ kalalarūpaṃ taiś cittacaitasikair dharmair anyonyayogakṣematayā saṃmūreccitam ity ucyate*); cp. also MSgBh<sub>t</sub> 159b2; MSgU<sub>t</sub> 257b7: *khu chu dan khrag dan lhan cig grub pa dan bde ba geig pas 'dres par 'gyur te* ("vijñāna] becomes fused with blood and semen by sharing (or: so as to share ?) their destiny (*ekayogakṣema*")); SaṃdhVy co 81a6f.; PSVy 21b1 + PSVyT 144b4f.

1. Cp. Y 37,17: *saṃmūreccayanti kaṭhinīkurvanti* [but text uncertain]; Saṅghabh I,7,2off: *tadyathā payasaḥ pakvasya śītībhūtasya upari vāyunā saraḥ* (or *śaraḥ*: "film") *saṅgacchati saṃmūreccati santanoti*).

239a. See n. 244.

240. Y<sub>t</sub> 'i 313a6f. (Y<sub>c</sub> 839b25ff.): "This vijñāna ... is the condition [of *ṣaḍāyatana*] also at the moment of Linking up [a new existence] (*pratisandhi*); for when *v i j ñ ā n a* has entered the mother's womb, *nāmarūpa* arises, (and in dependence upon *nāmarūpa*, *ṣaḍāyatana* arises)" (*rnam par śes pa de ni ... ñin mtshams sbyor ba'i tshe yan rkyen yin te / 'di ltar ma'i mñal du rnam par śes pa bñugs pa las ni min dan gzugs 'byun ño //...*).

241. Y 230,7f.: *vijñānapratyayaṃ* (Y<sub>m</sub>) *mātuḥ kukṣau śukra - śoṇitārūpaṃ nāma-parigrhītaṃ kalalāt vā - ya saṃmūreccate*; Y 24, 14-16 (see n. 239). Cp. also PSVy 21b1.

242. Y 24,4f. (see § 6.3.1); 24,18ff. (*yatra ca kalaladeśe tad vijñānaṃ saṃmūreccitaṃ ...*).

243. Saṃdh V.2 (see n. 508); MSg I.34 (*rnam par śes pa yan ma'i mñal du nur nur po ñid du brgyal lo*); MAVT 40,1 (*śukraśoṇi - te vijñānasamūreccanāt*; cp. SAVBh tsi 170a4f.). Cp. also Pr 552,4 (*mātuḥ kukṣau vijñāne saṃmūreccite*).

244. SAVBh tsi 170a5f.: "If, Ānanda, *vi j ñ ā n a* did not 'faint' (*saṃmūrch-*, i.e. merge) into the mother's womb, the unclean substance of father and mother would not become (*saṃvarteta* (?), cp. Pr 552,7) *kalāla*, etc." (*mdo las kyañ/dGa' bo gal te ma'i mñal du r n a m p a r ś e s p a b r g y a l b a r ma gyur na pha ma'i mi gtsaṅ ba las nur nur po la sogs par mi 'gyur ro źes bśad do* //).

Yet, I wonder if Sthiramati's quotation is one literally and not rather a somewhat imprecise quotation from memory<sup>1</sup> of a version like that of Śamathadeva (AKTU tu 157a1: *Kun dga' bo gal te rnam par śes pa ma'i mñal du źugs par ma gyur na / khu ba dañ khrag gi nur nur po'i nañ du mñon par brgyal bar 'gyur ram* /). Śamathadeva's version, if correctly translated into Tibetan, would even make *vi j ñ ā n a* the subject of both entering the womb and "fainting" or merging into proto-embryonic matter: "If, Ānanda, *vi j ñ ā n a* had not entered the mother's womb, would it then 'faint'/merge (*\*abhi-saṃmūrch-*: cp. DhSk<sub>p</sub> 33,21) into *kalāla* [consisting of?] semen and blood (*\*śukraśoṇita-kalal(atv)e*(?))?" But *\*śukraśoṇita-kalal(atv)e* looks extremely suspect and may simply be a corruption of *\*śukraśoṇitaṃ kalalatve* (*śukraśoṇitaṃ* being substituted for the original *nāmarūpam* (DhSk, etc.: see n. 238) probably for the dogmatic reason that before having coalesced with *vi j ñ ā n a*, i.e. before the state of *kalāla*, corporeal matter is, strictly speaking, not yet living or "animated" matter, hence not yet *n ā - m a r ū p a* ). Or *khu ba dañ khrag gi* may be a mistake for *khu ba dañ khrag n i* . This would mean that in this version too it is not *vi j ñ ā n a* but proto- (or, more precisely, pre-)embryonic matter that coagulates to the state of *kalāla*.

1. Note also the fact that the quotation has the form of a negative statement and not, as in the Sūtra, of a rhetorical question.

245. Y 24,4f. (see 6.3.1).

246. Y 24,4f. (original wording: see § 6.3.3); 24,7f. (see § 6.3.1 (b)); Y<sub>t</sub> 'i 288a8f. (see n. 788); cp. also Y 25,1 and 4,11f. (see § 6.1.1 (d)γ).
247. In later Yogācāra texts, passages can be found which expressly stress that the merging of (ālaya)viññāna into semen-cum-blood and the formation of the first sense-faculty are simultaneous. Cp., e.g., SaṃdhVy co 8ob1-3, stating that the mixture of blood and semen over which a film (śara) has formed (i.e. which has started to coagulate) and into which ālayaviññāna merges (saṃmūrch-) (Y 24,3-5) is called 'sādhiṣṭhānaṃ rūpīndriyam'. Shortly afterwards, the text confirms that this mixture of blood and semen called 'sādhiṣṭhānaṃ rūpīndriyam' and the merging of ālayaviññāna into it are strictly simultaneous (SaṃdhVy co 8ob4), i.e. that precisely at the moment when blood-and-semen have started to coagulate and intermediate existence ceases (Y 24,6f.), viññāna containing all Seeds (i.e. ālayaviññāna) merges into blood-and-semen, and the latter is, due to its being appropriated by ālayaviññāna, called 'sādhiṣṭhānaṃ kāya-rūpīndriyam' (SaṃdhVy co 8ob4-6). This means that the (coagulating) mixture of blood-and-semen on the one hand and ālayaviññāna moving away [from the previous corporeal basis] and entering [the new one] on the other occur simultaneously in one single moment (SaṃdhVy co 8ob6f.: *khu ba dan khrag 'dus pa de dan kun gzi rnam par ses pa 'pho zin 'jug pa de ni skad cig gcig gi gnas skabs kho nar lhan cig 'byun bas ...*). Cp. also VGPVy 376a4f. (see n. 348). On the other hand, some Yogācārabhūmi passages would seem to admit of being taken as regarding viññāna to precede, by one moment, the formation of nāmarūpa, i.e. proto-embryonic matter including the sense of touch (cp. § 7.3.4.1.3.c + n. 113o). In MSgU<sub>t</sub> 24oa7f. (H 1975, (18)), the second kind of upādāna of MSg I.5 (cp. § 3.9.2.5 and n. 1477(G)) is referred to rebirth in the ārūpyadhātu and to the (or: a?) state when the material sense-faculties have not, or not yet ,

arisen (*ḍbañ po gzugs can rnam ma byuñ ba*; 若色等根未已生起); this may be taken to imply that at the very moment of *pratisandhi* there is only semen-cum-blood (cp. ib. 240a8) but not yet any material sense-faculty, but it may, perhaps, also be understood as referring to the state of *nāmarūpa*, when the material sense-faculties are not yet complete (cp. n. 1477(F.a)). Anyway, the matter requires more detailed investigation.

248. Y 24,2ff. (see § 6.3.1) and 24,10-12 (*tāni ca tasya kalāsyēndriya-mahābhūtāni kāyendriyeṇaiva saḥōtpadyante ...*). As for the idea that at the moment when "reincarnation" has just happened, the only material sense-faculty that is already present is that of touch, cp. also MAVṬ 38,7f; <\*nāmarūpāvasthāyām ... cakṣurādy-āyatanābhāvāt / asti> *ca tasyām avasthāyām kāyāyatanam mana-āyatanam ca ...*; cp. also Vibh 415ff. and Kv XIV.2 (+ Kv-a 147f.) confirming that for the Theravādins, too, womb-born living beings at the moment of reincarnation have only the faculty of touch.
249. See n. 184.
250. Cp. Y 24,16: *cittavaśena ca tan* (= *kalalarūpaṃ*) *na parikli-dyate*. According to MW, *klid-* has also the meaning "to rot, to putrefy", as is confirmed by the way it is rendered in Tibetan (*rul ba*) and Chinese (爛壞); cp. also AKBh-I s.v. *klid-*.
251. Cp. ns. 189 and 196; see also n. 348.
252. Cp. the Tib. rendering *brgyal ba* (see n. 239).
253. Cp., besides the context of rebirth (Saṃdh V.2), the use of the present/active mode of expression (*sbyor bar byed pa*) in the Tibetan translation, and the fact that at Y 24,14ff. (see n. 239) it is in the context of *pratisandhi* that we meet with the same idea of the *ekayogakṣematā* of mind and corporeal matter as in Saṃdh V.3. Cp. also YVy 98b1f. (see n. 817(c)).

254. See n. 374.
255. Y 199,4-7 (see ns. 374 and 1125) = Y<sub>t</sub> 'i 285a7ff.; the latter passage reads *rnam par smin pa las byuñ ba'i rnam par šes pa* (Y<sub>c</sub> 827c15f.: 異熟生識) = \**vipākajaṃ vijñānam* ("mind resulting from [the process of karmic] Maturation": see n. 399) instead of *vipāka-vijñānam* ("mind which is [the result of karmic] Maturation") in Y 199,7.
256. Cp. also ASBh 40,2f. (in a passage closely related to Y 18,1ff.): *upapattipratisandhiḥ punar nityam anivṛtāvyaḥkrta evēti veditavyam*; similarly for the Intermediate State (ASBh 39,2of.): *antarābhava-pratisandhi-kṣaṇaḥ punar nityam anivṛtāvyaḥkrta eva, v i p ā k a t v ā t*.
257. VisM XIV.111-113.  
According to VGPVy 416a8f., the *Sautrāntikas*, too, considered mind at the moment of Linking up as resulting from (karmic) Maturation (*vipākaja*, see ns. 399 and 255): "The Sautrāntikas ... say that the *pratisandhi-citta*, because it belongs to the moment of Linking up [a new existence], results from [karmic] Maturation, as does the sense of touch (*kāyendriya*: cp. n. 248)" (... *mDo sde pa rnams kho nas "ñiñ mtshams sbyor ba'i sems ni ñiñ mtshams sbyor ba sbrel ba'i skad cig mas bsduṣ pa yin pa'i phyir lus kyī dbañ po bñin te rnam par smin pa las skyes pa yin no" žes bya ba ...*).
258. AKBh 151,16ff.: *upapattibhavaḥ* (= *pratisandhikṣaṇaḥ*: AKBh 124,2o) *kliṣṭaḥ*; cp. VGPVy 416a7f.
259. a) Y<sub>t</sub> zi 224b3f. and 8f., expressly excepting the first *citta* of [a new existence at the moment of] Linking up (*ñiñ mtshams sbyor ba'i sems dan po*) from what is [Result-of-]Maturation (*vipāka*) (cp. n. 402). In contradistinction to the description of death and rebirth in the *Manobhūmi* of the *Basic Section*, where the Intermediate State (*antarābhava*) is described in detail (Y 18,21ff.), this passage, like the *Pratītyasamutpāda Analysis* (see § 7.3.1; cp. n. 255) of the

Vastusaṃgrahaṇī and the Savitarkādibhūmi (see n. 1158), does not mention *antarābhava*.

b) Another Yogācārabhūmi passage according to which the *pratisandhi-citta* is not *vipāka* is found in the Śrāvakabhūmi-viniścaya (Y<sub>t</sub> zi 274b8ff. = Y<sub>c</sub> 684b10ff.). This passage would rather seem to advocate a view similar to that of the Vaibhāṣikas:

"When someone dies in this [world-sphere, viz. the *kāmadhātu*,] (*\*itaś cyutasya*) and is reborn (*\*upapadyamānasya*) on a higher [level] (Y<sub>c</sub>: 欲界没生上地時), viz. in the first dhyāna, [or on a still higher level] up to the Summit of [Mundane] Existence (*bhavāgra*), then [his *maranacitta* (?) which is] a good or neutral state-of-mind belonging to the *kāmadhātu* is followed (*\*kāmadhātv-avacara-kuśalāvyākṛta-cittasyānantaram*, cp. Y<sub>c</sub> 欲界善心無記心無間) by a defiled (*kliṣṭa*) state-of-mind of the [respective] higher sphere (cp. AKBh 103,19f. + AKVy 240,25f., and AKBh 104,12f.); for everywhere (i.e. in the case of all levels of rebirth) Linking up (*pratisandhi*) is carried out by a defiled state-of-mind".

('dod pa na spyod pa'i sems dge ba dañ / luñ du ma bstan pa dañ ldan pa 'di nas śi 'phos pa'i mjug thogs su goñ (D) du (D) bsaṃ gtan dañ por skye ba nas srid pa'i rtse mo'i bar du skye ba la ni goñ ma'i sa pa'i ñon moñs pa can gyi sems 'byuñ ste / 'di ltar thams cad du yañ ñon moñs pa can gyi sems kyis ñiñ mtshams sbyor bar byed pa'i phyir ro //. My translation partly follows the Chinese version; cp. also Y<sub>t</sub> zi 275a4f.: sa goñ ma dag nas śi 'phos nas sa 'og ma dag tu skye ba la (D) ni sa goñ ma pa'i dge ba dañ / ñon moñs pa can dañ / luñ du ma bstan pa'i sems thams cad kyī mjug thogs su sa 'og ma pa'i ñon moñs pa can gyi sems kho na 'byuñ ste /.)

c) Occasionally, however, the term '*pratisandhi-citta*' appears to be used for the state-of-mind by which Linking up (in the sense of the beginning of a new existence) is caused (e.g. MSgU<sub>t</sub> 257a6 [ad MSg I.33]; cp. MSgU<sub>t</sub> 257b4f. [ad MSg I.34]), i.e., according to MSg I.34, the last *citta* of the *antarābhava* which is a defiled one. From this point of view, the above-quoted passage Y<sub>t</sub> zi 274b8ff. would seem to admit of being interpreted in a different way, viz. - provided that *antarābhava* is left out of account - as referring to the last two states-of mind of the prior existence only, in the sense of a combination of Y 16,1ff. (see § 3.3.2.1 + n. 261) and Y 18,1-3 (see ib. + n. 265) as proposed at ASBh 54,13f. (see n. 269; cp. also ASBh 39,12f. confirming that the *marāṇacitta* of a person to be reborn in the *rūpa*- or *ārūpya-dhātu* is *rūpa*- and *ārūpyāvacara*, respectively). Nevertheless, I consider the interpretation proposed in *b* to be the most natural one.

260. Y 24,4f. (see § 6.3.1); for further references, see n. 33.
261. Y 16,1ff.; cp. Hsien-yang 574a26ff.; AS 42,14ff.; cp. also Y<sub>t</sub> zi 274b8 (see n. 259 (*b* and *c*)) and 275a4f., the latter passage - if interpreted in line with n. 259(*b*) - implying that the *marāṇacitta* of a person who is reborn from a higher into a lower level of existence may be good, defiled (*kliṣṭa*) or neutral.
262. Cp. AKBh 151,24: *anye trayo bhavāḥ* (Sc. *pūrvakāla*-, *antarā-* and *marāṇa-bhava* (= *cārama-kṣaṇa*: AKBh 124, 21)) ... *kuśalākuśalāvyākṛtāḥ*; 48,23f.; Vi 961b15ff.
263. As for Āryas, see Y 18,5ff. and ASBh 39,16ff.
264. Or *ātmabhāva-sneha* (Y 18,21; Ch. om. *-bhāva-*), *ātmabhāvābhilāṣa* (Y 19,6f.; Ch. om. *-bhāva-*).
265. Y 18,1-3: *sarvasya ca mriyamāṇasya avipaṣṭasaṃjñāvasthām asaṃprāptasya* (Y<sub>m</sub>) *dīrghakālābhyasta ātmasnehaḥ samudācara-ti / tatas tadvaśād ahaṃ na bhavāmīty* ("[under the influence



of the fear] 'I am going to cease to exist!') *ātmabhāvā-bhinandanā bhavati*. Cp. ASBh 39,11f.: ... *pratisandhiṃ badh-nataḥ* ... *ātmabhāvatṛṣṇā-saṃprayuktaṃ maraṇacittaṃ vedita-vyam*.

266. Cp. ASBh 39,14f.: *sā punar ātmabhāva-trṣṇā sahaajā 'nirū-pitālambanā* (or: <'na>*bhinirūpitā*°?) *n i v ṛ t ā v y ā k ṛ - t ā* (Tib., Ch.) *ca*; 40,1f.: *antarābhava-cyuti-cittaṃ tu n i t y a ṃ k l i ṣ ṭ a ṃ , m a r a ṇ a b h a v a v a t .*

267. See n. 231.

268. Y 16,3f.

269. Thus Tun-lun (T 1828, 321c5: 此自體愛既是隱沒 ); cp. also ASBh 39,14 (see n. 266).

According to ASBh 54,13f., the statement that the mind of a dying person may be good or bad (see n. 261) does not refer to mind at the moment of death (*cyuticitta*) proper - which is associated with *ātmasneha* (sic, cp. n. 265) and is thus always defiled - but to the preceding state: "*kuṣalādicitta-sya maraṇam*" (cp. AS<sub>G</sub> 31,19) *ity ātmasneha-saṃprayuktāc cyu-ticittād arvāg-avasthām* (ed. *cittāpavargā*° but ms. clearly *cittād arvavāg* a°; cp. Tib. *śna rol gyi*) *adhikṛtya veditavyam*. According to Tun-lun (T 1828, 321bioff., quoted T 2266, 393b13ff.), at the time of death one has to distinguish between t h r e e phases:

1. mind at the very moment of death, which has to be determined, with Si 16c24ff., as consisting in the "eighth *viññāna*" (i.e. in *ālayaviññāna*);

2. a state of mind of reduced consciousness (cp. T 1828, 321b15f. and c5) immediately p r e c e e d i n g the very last moment, viz. a *manoviññāna* which is associated with Self-love and thus morally neutral but obscured [by Defilements] (*nivṛtāvyākṛta*), and which "moistens" (i.e. causes to sprout) the new existence;

3. the fully conscious (利) state of mind preceding this

dim defiled state of mind; this is the state in which the dying person's mind can be good, bad or neutral (see n. 261).

270. One may argue that Y 18,21ff. (*anantara-samutpannatvāc ca tasyātmabhāva-snehasya*<sup>1</sup> ... *antarābhavasya* ... *prādurbhāvo bhavati*) implies that the *ātmabhāvābhinandanā* of Y 18,2, occurring immediately before the beginning of the Intermediate State, i.e. at the very moment of death, must fall within the range of indistinct consciousness taught to follow upon the arising of *ātmasneha* at Y 18,1f. (cp. n. 265). But it may equally well be that Y 18,21ff. simply did not envisage the possibility of a dying person lapsing, at the end, into a state of faint consciousness (for actually neither Y 18,1 nor 16,3f. explicitly says that this happens of necessity).

1. I.e.: "immediately after this attachment to [the (or: a) basis of] personal existence has arisen". For this idiom, cp. also ŚrBh 432,20: *tasyānantarotpādāt* ("immediately after the arising of this [sign]").

271. VisM XIV.123.
272. Y<sub>t</sub> zi 224b3 (see n. 402).
273. Y<sub>t</sub> 'i 138a5ff. (S 1969a, 46f. [§ 4.B.1] + p. 128f.; cp. n. 281[end]).
274. Y<sub>t</sub> zi 4a3 (= ASBh 13,18): *na ca manovijñānaṃ kadācīn na pravartate* (see n. 281).
275. E.g. Y 202,20: *āyusaḥ kṣayād vijñānaṃ upāttaṃ kāyaṃ vijahāti*; cp. 18,16: ... *cyutikāle* ... *vijñānaṃ āśrayaṃ muñcati*; 211,9-11: *cyutiḥ katamā?* ... *yā vijñānasyāśrayād apakrāntiḥ*.
276. AKBh 156.14f.
277. See ns. 278 and 279.
278. Y 18,16ff.: *tataś cyutikāle akuśalakarmakāriṇāṃ* (Y<sub>m</sub>) *tāvad ūrdhvabhāgād v i j ñ ā n a m āśrayaṃ muñcati*, *ūrdhvabhāgaś cāsya* (Y<sub>m</sub> -ḥ *cāsya*) *śītībhavati* / *sa* (read: *taṃ* ?) *punas*

*tāvan muñcati yāvad dhṛdayapradeśam // sukṛtakāriṇām punar  
adhobhāgād v i j ñ ā n a m āśrayaṃ muñcati, adhobhāgaś  
cāśya śītībhavati tāvad yāvad dhṛdayapradeśam / hṛdayapra-  
deśāc ca v i j ñ ā n a s y a cyutir veditavyā / tataḥ  
kṛtsna evāśrayaḥ śītībhavati //*

279. AK(Bh) 156,14ff.; Vi 359b8ff.; Vi<sub>2</sub> 266a12ff.; cp. also the Sūtra quoted in T 1833, 885c2ff.
280. AKBh 156,2of. - Cp. the refutation, by later Yogācāras, of the possibility that *t a c t i l e p e r c e p t i o n* (*kāyaviññāna*) is the *viññāna* which keeps the body appropriated throughout life (PSVyT 155a2-4) and gradually withdraws from it in the process of dying (VGPVy 426b4-6), by pointing out that even during life tactile perception does *n o t* always *p e r v a d e* the body or the sense of touch (*kāyendriya*, co-extensive with the body).
281. Y<sub>t</sub> zi 4a2-4 (= ASBh 13,16ff.; H 1978, 15 [§ viii]; Griffiths 1986, 137): *kena kāraṇenāsaty ālayaviññāne cyutir api na yuḥyate / tathāhi cyavamānasya viññānam ūrdhva-dehaṃ vā śītī<sup>1</sup> kurvad<sup>1</sup> vijahāti, adho-dehaṃ vā / na ca manoviññānaṃ kadācin na pravartate / ato 'py ālayaviññānasyaiva dehōpādāyakasya<sup>2</sup> vigamād deha-śītātā upa<la>bhyate dehāpratisaṃvedanā ca, na tu manoviññānasya / ...*

1. ed. *śītīkurvan*; ms. *śītī-* but indistinct at the end.

2. ed. *-dānakasya* but ms. *-dāyakasya* (cp. also Y 168,2), *upādāyaka* being formed in analogy to *dāyaka* (for which cp. Wackernagel I p. 208).

I have to admit difficulties in understanding the purport of the argument *na ca manoviññānaṃ kadācin na pravartate*. Griffiths (1986, 137f.) understands it to mean that *mano-viññāna* never functions *w i t h o u t a n i n t e n - t i o n a l o b j e c t*, i.e. he seems to take '*pravartate*' in the sense of "coming forth", "manifesting itself in a [cognitive] act", i.e. in the same sense as '*pravṛtti*' in

'pravṛtti-vijñāna'; and he presupposes that in the process of dying no conscious experience occurs (ib., 104); and this implies that the continuation of life until the very moment of death cannot be attributed to *manovijñāna* but only to *ālayavijñāna* which is not intentional (ib., 138; 104). However, in this interpretation, the gradualness of the body's growing cold would not be material to the argument. Besides, I do not know whether the verb 'pravartate' is in fact ever used in such a pregnant sense. At any rate, later exegetical tradition points in another direction: According to \*Asvabhāva (MSgU<sub>t</sub> 261a2f. = MSgU<sub>c</sub> 394a6f.; cp. T 1828, 596a16ff.), the gradual growing cold of the body cannot be explained as being due to *manovijñāna* because this *vijñāna*, in contrast to *ālayavijñāna*, [can]not [be imagined to] be, at that time, absent in one [part of the body and nevertheless present in another] (de'i tshes gañ na yañ yid kyi rnam par śes pa med pas (P, D; Ch. and Y<sub>t</sub> zi 4a3 suggest pa) ni ma yin no; 爾時意識無處無有, (阿賴耶識 有處無有)).

Later sources, too, take the argument to mean that *manovijñāna* functions throughout the process of dying, until the very last moment of life (VGPVy 427a1: srog tha ma chad pa tshun chad rtag tu yid kyi rnam par śes pa yod pas; YidKun 73,2 [Kelsang/Odani 1986, 95]: 'chi ba'i skad cig ma tshun chad du yid śes mi 'byun ba mi srid pa'i phyir ro). But as Tsoñ-kha-pa (YidKun 73,2) aptly remarks, *ālayavijñāna* too is not interrupted before life ceases. Yet, due to its somatic, spatial nature *ālayavijñāna* can be conceived of as present in one part of the body while at the same time absent in another, whereas (as had already been pointed out by \*Asvabhāva) *manovijñāna* cannot; for unlike *ālayavijñāna*, *manovijñāna* is not based on the body but only on *manas* (VGPVy 426b6f.) or, at best, on the heart (sñiñ kha = \*hṛdaya: PSVyT 155a4f.); nor is the body based on *manovijñāna* (VGPVy 426b7); nor does *manovijñāna* pervade the body (YidKun

73,5 and 8)<sup>3</sup> or have parts (*yan lag*, \**avayava*) so that it could be taken to gradually shrink (*śum pa*, \**saṃ-kuc-*?), or cease part by part (*cha śas kyis* [D] '*gag pa*), and thus abandon that area (*phyogs*, \**pradeśa*?) of the body from which its parts have vanished (VGPVy 427a2f.). Hence, if *manovijñāna* is taken to be the *viññāna* that keeps the body alive, it can do so only by its plain existence as such (*yod pa tsam gyis*: VGPVy 426b8; YidKun 73,8); but since *manovijñāna* exists throughout the process of dying (see above) and since its plain existence does not admit of any gradation or distribution, the gradualness of the growing cold of the body in the process of dying cannot be explained as being due to *manovijñāna* but necessarily calls for the assumption of a "somatic" form of mind like *ālayavijñāna*.

3. Si 17a17 seems to express a different view, but the exegetes had difficulties with the passage: cp. Shu-chi 365c14-16 and especially T 1833, 885c15-26.

As for another, more general reference, in the *Viniścaya-saṃgrahaṇī*, to *ālayavijñāna* as the principle which abandons the body at the moment of death, see Y<sub>t</sub> 'i 138a7f. (S 1969a, 46f. [§ 4.B.1] and 128f.: \**tadanantaram ālayavijñānam āśrayaṃ vijahāti* (or *muñcati*)).

282. See Collins 1982, 24off.: *bhavaṅgaviññāna* as a "gap bridge" in deep sleep, etc. (cp. VisM XIV.114), between conscious processes of perception and cognition (cp. VisM XIV.115ff.), and at death and rebirth (cp. n. 235).
283. Cp., e.g., VisM XIV.115f.
284. See § 1.3.5 + n. 76 and § 7.3.6.3.
285. Y 4,7 etc. (see § 6.1.1 + 6.1.3) and, for *manovijñāna*, Y 11,9f. (+ § 6.2.4). As for the meaning of the term '*bī-jāśraya*', see n. 775.
286. As for the non-occurrence of the term '*pravṛtti-viññāna*', see § 6.8.

287. Y 24,2ff. (see § 6.3.1 + 6.3.3).
288. Cp. Y 61,8: *sarvatra vijñānasantāne sarvo bījasantānaḥ saha-carō (?) vyavasthāpyate*.
289. Y 25,20.
290. Y 25,3 and 12; 26,10 and 11f.
291. I.e. Y 4,7 etc. (see § 6.1.1), 24,4f. (§ 6.3.1), 109,15 (§ 6.4.1) and 192,8 (§ 6.5.1). The passage Y 11,4f. (see § 6.2.1), being a later addition (see § 6.2.3-4), has to be set apart and will be discussed later (§ 3.10.3).
292. Viz. good, bad and neutral: Y 109,13-15 (see § 6.4).
293. See § 9.2.
294. Or: experiences of the body as the body? But, if I understand the purport of the present passage correctly, this should not point to a discursive or conceptual act but on the contrary to the purely somatic character of this experience.
295. Y<sub>t</sub> zi 3b6-8 (= ASBh 13,8ff.; H 1978, 13 [§ vi]; Griffiths 1986, 136): *kena kāraṇenāsaty ālayavijñāne kāyiko 'nubhavo na yujyate / tathāhy ekatyasya yoniṣo vā 'yoniṣo vā cintayato vā 'nuvitarkayato vā samāhitacetaso vā 'samāhitacetaso vā ye kāye kāyānubhavā utpadyante 'nekavidhā bahunānāprakārās, te na bhaveyur; upalabhyante ca / tasmād apy asty ālayavijñānam*. Cp. n. 43.
296. Somewhat differently interpreted in Griffiths 1986, 102f.
297. Hsien-yang 487a3-6 (+ 10f.) quoted at ASBh 61,1ff.: *prītiḥ katamā / yā parivṛttāśrayasya pra<sup>1</sup>vṛttivijñānāśritā cittatuṣṭiḥ cittaudbilyaṃ cittaharṣaḥ cittakalyatā<sup>2</sup> sātāṃ veditāṃ vedanā-gatam / sukhaṃ katamat / yat (read: yaḥ ?) parivṛttāśrayasyālayavijñānāśrita āśrayānugraha<sup>3</sup> āśrayahlādaḥ sātāṃ veditāṃ vedanāgatam*.
1. ed., ms.: *pari-*, but Tib.: 'jug pa'i rnam par śes pa, Ch. = Hsien-yang: 轉識 .

2. ed.: -kalpatā.  
3. ed.: -grahata, but -ta deleted in ms.
298. According to the explanation of the ASBh (61,5ff.: see n. 365), the *āśraya* is not the body but *ālayavi-  
jñāna*; but this would seem to be a reinterpretation in  
terms of later developments (see § 3.10), after the idea of  
*ālayavijñāna* sticking in the body (= *āśraya*) had become  
obsolete.
299. Cp. n. 47.
300. Cp. ŚrBh 450,12-14 (corrected with the help of ŚrBh<sub>m</sub>, Tib.  
and Ch.): (*īpsitābhilaṣitārthasamprāpteḥ prītau cādoṣadarśa-  
nāt*) *sarvadauṣṭhulyāpagamāc ca vipula-praśrabdhi-citta-kāya-  
karmaṇyatayā prītisukham* ("[the first dhyāna is] joy and  
well-being because it is amply characterized by smoothness  
of mind and body, i.e. Ease, on account of ... the disappear-  
ance of all Badness (i.e. uneasiness)"; cp. also 451,19f.:  
... *-sarvadauṣṭhulyāpagamāt tatprātipakṣika-praśrabdhi-citta-  
kāya-karmaṇyatā-sukhānuga*<sup>1</sup> *-tvāt prītisukham* /); 283,6-8 (cp.  
n. 1330): *tatra ... yo dauṣṭhulyasahagata āśrayaḥ*, so 'nupūr-  
veṇa nirudhyate, *praśrabdhisahagataś cāśrayaḥ parivartate*  
("... [gradually] comes to take its place"); Y<sub>t</sub> 'i 312b3f.  
(Y<sub>c</sub> 839a27ff.; see n. 475).
1. See CPD s.v. *anuga* (b): "followed by".
301. The *ālayavijñāna* portions of the *Viniścayasamgrahaṇī* apart,  
I for one have not, so far, noted any contrary statement.
302. Especially *Vaibhāṣikas* (explicit statement: Vi 47b29ff.),  
*Sautrāntikas* (cp. Si<sub>p</sub> 184, note 2), and *Theravādins* (cp.  
VisM XIV. 110-124) (cp. Mizuno 1932, 1074), the only excep-  
tion pointed out by the sources being the (or some) *Mahāsān-  
ghikas* (see n. 314).
303. Explicit statement: Y<sub>t</sub> 'i 312b8f. (Y<sub>c</sub> 839b14): "... and  
because in one and the same series(-of-personality), there  
is no simultaneous occurrence of two *viññānas*" (*rgyud gcig*

*la rnam par śes pa gñis cig car gnas pa yañ med pa'i phyir ro*). Cp. also Y 58,13f. (see S 1967, 124f. + note 56); Y<sub>m</sub> 135b1f. (Y<sub>t</sub> dzi 299a3; Y<sub>c</sub> 386a25f.): *tat (= cittam) punar ... ekaikaśaḥ pravarttate, dvitīya-citta-sahāya-virahita-tvāt, sahaiva* (ms. -heva ?) *sarvacittāpravartanāc ca*.

304. Y 25,20.

305. Y 61,8 (See n. 288).

306. Comm. ad PG 33-34 (see App. II): ... *duḥkha*<ṃ> ... *ālaya-vijñānamayam / ... tac ca duḥkham s a r v a k ā l ā n u - ṣ a k t a t v ā t kṣaṇamātram apy anupaśāntam*.

307. See § 6.7.4.

308. Y<sub>t</sub> zi 3a1f. (ASBh 12,10f.; H 1978, 8f.[§ i(e)]); 3b4 (ASBh 13,2f.; H 1978, 12 [§ iv, end]). The term 'pravṛttivijñāna' is, however, not used but in Y<sub>t</sub> zi 2b5 (ASBh 12,2; H 1978, 8 [§ i(a)]).

309. Cp. Y<sub>t</sub> zi 3b6-8 (see n. 295) and 4a2-4 (see n. 281).

310. Y<sub>t</sub> zi 3a3-5 (ASBh 12,14-16; H 1978, 10 [§ ii]; Griffiths 1986, 133): *sacet kaścid vaded - "yady ālayavijñānam asti, tena dvayor vijñānayor yugapat pravṛttir bhaviṣyati", sa idaṃ syād vacanīyaḥ - adoṣa eva bhavān doṣa-saṃjñī; tathāhi bhavaty eva dvayor vijñānayor yugapat pravṛttiḥ*.

311. Y<sub>t</sub> zi 3a4-8 (ASBh 12,16ff.; H 1978, 10f. [§§ ii (2nd half) and iii]; Griffiths 1986,133f.).

312. Saṃdh V.4-5.

313. See § 1.6.4.

314. Vi 47b1f. (cp. also 719c2f.); Vi<sub>2</sub> 35b4f.; cp. TSi 28ob11f.; cp. also, e.g., Si<sub>p</sub> 184 note 2; 186; 411 note 1; Bareau 1955, 73; Yūki 1935, 85; Mizuno 1957, 447; 1978, 132ff.

315. It would, in this connection, be interesting to investigate systematically whether the Saṃdhinirmocanasūtra contains any further clues with regard to its canonical substratum or



doctrinal affiliation. I do not know whether the issue of six (instead of five) *gatis* (see n. 326; Weinstein 1958, 52f.) is of any significance in this context. On the other hand, it should also be noted that *Samdh* does not use, or mention, the term '*mūlāvijñāna*' ascribed to the Mahāsāṅghikas in MSg I.11.2, etc. On the other hand, the view that mind (*citta*) pervades the body, ascribed to certain Mahāsāṅghikas in doxographical sources (Bareau 1955, 74), shows a striking coincidence with a characteristic and fairly archaic feature of *ālayavijñāna/āḍāna-vijñāna* (see §§ 2.13.2; 2.7-8; 3.3.1.2-3; 1.3.1(7) + n. 38).

316. Y<sub>t</sub> 3a6f. (ASBh 12,2of.; H 1978, 11 [§ iii]); *Samdh* p. 56,6ff. and 12ff.
317. *Samdh* V.6.
318. Ib. V.1.
319. Ib. V.2 (see n. 508) and V.7 (see n. 321).
320. Cp. § 2.13.3.
321. *Samdh* V.7 (cp. TrBh 34,3f.; Si<sub>p</sub> 173):  
*ādānavijñāna gabhīrasūkṣmo ogho yathā vartati sarvabījo /*  
*bālāna eṣo mayi na prakāśito mā haiva ātmā parikalpayeyuḥ //*
322. See §§ 2.1 (+ n. 146), 3.2.1 (+ n. 227) and 6.6; Y<sub>t</sub> zi 39a3ff. (see n. 131); 76b2ff. (see ib.); 10b3 (see n. 229); 10b6 (see n. 588).
323. See § 3.4.2 + ns. 285 and 287.
324. That the two texts are related is clear not only from the close similarity of their subject-matter and their common emphasis on the idea of "basis" but also from common details of phraseology (see ns. 326 and 327; cp. also n. 354). As § 3.9 tries to show, this connection can be explained consistently and plausibly as dependence of *Samdh* on the *Basic Section* of Y, whereas an attempt to take it the other way

round would entail serious difficulties since it would, apart from the problem mentioned in § 1.6.4, presuppose a retrogression of doctrinal development and a secondary dissection of the treatment of the functions of subliminal mind.

325. Saṃdh V.2.

326. Saṃdh p. 55,4-8: ... 'gro ba drug gi 'khor ba 'di na sems can gañ dañ gañ dag sems can gyi ris gañ dañ gañ du'añ ... lus mñion par 'grub ciñ 'byuñ bar 'gyur ba ...; cp. Y 30,6f.: yeṣāṃ ca sattvānāṃ yasmin sattvanikāya ātmabhāvasya prādur-bhāvo bhavati, ...; there is no difficulty in regarding the Saṃdh phrase as an enlarged borrowing from Y.

327. Cp. Y 24,4f.: yatra tat sarvabījakam vipākasamgrhītaṃ ... -viññānam saṃmūrechatī.

Saṃdh V.2 (see n. 508), speaking of \*sarvabījakam cittaṃ only, gives the impression of presupposing the earlier version of Y 24,1ff. (see § 6.3.3) - which in view of the twofold upādāna and of \*vipacyate at Saṃdh V.2 should in this case have included 'vipākasamgrhīta' and 'āśrayopādātṛ' as attributes already of 'sarvabījakam viññānam' -. Yet, V.3, referring to the designation of this Mind[-containing-all-Seeds] (now v i j ñ ā n a , as at Y 24,5 etc.) as ' ā l a y a viññāna', shows that Saṃdh V knew the final version (also?).

328. Cp. Y 25,8f.: tasmīṣ ca punaḥ kalale vardhamāne samasamaṃ n ā m a r ū p a y o r v ṛ d d h i s , tadubhayor vistīr-ṇataratōpagamāt, i.e. both corporeal matter and mind can be said to "grow" or "increase"; cp. also Y 25,17: viññānam u p a c ē y a t e . This may be explained as an increase of distinctness and diversity, but in the case of the "somatic" viññāna pervading the body one may perhaps take it even literally, in the sense of a kind of spatial enlargement corresponding to the growth of the body. But one should, of course, also consider the possibility that in Saṃdh, as in Y 25,8f., "growing and thriving" is to

be taken, *ad sensum*, as referring to the whole organism formed by the coalescence of mind with proto-embryonic matter.

329. Saṃdh V.2 (p. 55,5-7).
330. Saṃdh V.2 (p. 55,14f.: see n. 520).
331. Y 200,1-3 = Y<sub>t</sub> 'i 285b7 - 286a1 (see n. 1135).
332. Y 25,3ff.; cp. also 20,14.
333. Y 24,1ff. (see § 6.3.1).
334. Perhaps because the (*Basic Section* of the) Yogācārabhūmi, though extensively made use of by the Saṃdhinirmocanasūtra, may have belonged to a somewhat different ambience (e.g. another Vinaya school) (cp. § 1.6.4 and n. 315), or simply because the Yogācārabhūmi, as a Śāstra, could not too openly be followed by a Sūtra.
335. Sasaki 1982, 190; 192.
336. Cp. ASBh 11,12f.: *punaḥ punaḥ pratisandhibandhe ātmabhā-vopādānād ādānavijñānam*; KSi § 33 ( Muroji p. 39,26f.): *de yañ ya ṇ s r i d p a r ṇ i ṇ m t s h a m s s b y o r b a r b y e d p a d a g n a l u s ṇ e b a r l e n p a ' i p h y i r l e n p a ' i r n a m p a r ṣ e s p a ṣ e s b y a ' o*.
337. *ātmabhāva-parigraha*: see n. 1477; *vipāka-* or *vipākaphala-parigraha*: see n. 1477(D.b); *abhinirvṛtti-vijñāna-phala-parigraha*: Y 200,15; *upapatty-āyatana-parigraha*: LAS 111,15.
338. E.g. AS 97,19 = ASBh 129,6: *yathākāma āśrayasyōpādāna-sthāna-parityāgānām*; AS 48,7f. (unreliable retranslation) = AS<sub>t</sub> 97a6f.: *yañ s r i d p a ṇ e b a r l e n t e*; MSg I.5: *l u s (\*ātmabhāva, cp. Hts. 自體) t h a m s c a d ṇ e b a r l e n p a*; ASBh 11,12f. (see n. 336); AKBh 468,17: *skandhāntarōpādāna*; 472,1: *skandhāntara-tyāgōpādāna*. Cp. Y. Sasaki 1982, 180 and 184ff.; Takeuchi 1985, 267ff., especially 269,16ff.
339. Cp., e.g., SN II 94; NidSa 7.2: *kāyasya ... <ādānam a>pi nikṣepaṇam api*; Thg 575d: *ādiyanti punabbhavaṃ*.

340. BoBh<sub>D</sub> 265,6f.: *sve ... ātmabhāve yathākāmādāna-sthāna-cyuti-vaśavartitā*; MSABh 186,1; AS 27,9: *punarbhavādāna*. Cp. also VisM XX.47: *ādānan ti paṭisandhi*.
341. There seems to be a similar situation in Y<sub>m</sub> 84b5 (Y<sub>t</sub> dzi 186a8; Y<sub>c</sub> 346a13) where *ādāna* is used as a kind of code for the skandha *viññāna* (*ādānaṃ katamat / yo viññānaskandhaḥ /*). In view of the preceding items (*deśin* = *rūpa*, *avasthā* = *vedanā*, *kalpa* = *saṃjñā*, *ceṣṭā* = *saṃskāra*), *ādāna* in this passage seems to aim at the typical function(s) of *viññāna* in general (not, it should be noted, of a peculiar kind of *viññāna*!). It may therefore express taking possession of a new existence but at the same time allude to biological appropriation as well as to "seizing", i.e. cognitive grasping (*grahaṇa*, *upalabdhi*), of objects. In the case of the Saṃdhinirmocanasūtra, one might also, in view of the second *upādāna* on which the reincarnation of *ādānaviññāna* is based (see § 4.4.2), consider the nuances of spiritually negative Clinging and of receiving or containing Impressions or Seeds to be alluded to; but it should be noted that the Sūtra's own explanation of the term '*ādānaviññāna*' does not supply any confirmation of such an assumption.
342. Perhaps because *upādāna* in the sense of biological appropriation was derived from the Abhidharma term '*upātta*' (see n. 196). – Takasaki (1985, 38,7f.) seems to take '*ādāna*' as an equivalent of '*upādāna*' in the sense of biological appropriation but does not give any example, nor does Takeuchi, who (1985, 267,5f.) asserts that, from the point of view of meaning and use, '*ādāna*' and '*upādāna*' are largely indistinguishable.
343. '*Adāna*' does not seem to be current in the sense of spiritually negative clinging, although there are exceptions in the Pāli tradition: cp. Nidd II 86,21: *ādānaṃ vuccati taṇhā*; but (in contrast to CPD) in most of the Sn verses concerned

*ādāna* is, especially if used in the pl., in my opinion better taken in an objective sense, i.e. as "possessions": cp. Sn 364 where *ādānesu* is used beside *upadhīsu*; cp. Pj II 363f.: *ādānan ti ādāttabbatṭhena te yeva* (sc. *upadhī*) *vuccanti*; similarly Sn 1103 *ādānataṇhā* "greed for possessions", 1104 *ādānasatte* "attached to possessions": cp. Pj II 599: *ādāttabbatṭhena ādānesu rūpādisu satte* (= *sakta*; cp. 599,19 *ādānasatte* = *ādānābhiniṇivīṭṭhe*, and 599,20 *ādānasaṅga-*). Cp. also Sn 620 = Dhṛp 396 (UV XXXIII.15) *akiñcanam anādānam*.

344. MSg I.5 (see Sasaki 1982, 179f.; Takeuchi 1985, 267ff.; cp. also the different Tibetan rendering of this passage in PSkViv 95b3-5 [see n. 1477(G.c, footn. 8)]; PSVy 24b2f. (Muroji p. 40,3-5): *len pa'i rnam par śes pa yañ de yin te / ris mthun pa gžan du ñiñ mtshams sbyor ba nas n a m m a ś i ' i* (P) *b a r d u des lus bzuñ ba'i phyir ro*; PSkVai 54a2f. (on PSk<sub>t</sub> 17a4 *len pa'i rnam par śes pa yañ de yin te / lus* (Ch. 身) *len pa'i phyir ro*, which is ambiguous): "[ālayavijñāna is called '*ādānavijñāna*' because it is] this [ālayavijñāna] that, arising from previous *saṃskāras*, takes hold of a [new] existence (*bhava*) when merging (*saṃ-mūrch-*) into semen-cum-blood (i.e. at the moment of conception), and [because it is] this same [ālayavijñāna] that is regarded as appropriating the body until death" (*de yañ sñon gyi 'du byed kyī rgyu las 'byuñ ba ste / khu ba dañ khrag gi nañ du brgyal ba'i dus na srid pa len te / de ñid śi ba la thug gi bar lus len par byed par 'dod ...*).

345. See n. 352. At any rate, the second element (*blañs pa*) is, by SaṃdhVy co 83a6f., referred to biological appropriation: "Being appropriated by this [*vijñāna*], this material body is made one's own (*\*ātmasātkṛta*) with the effect (?) that it becomes [something] to be included among entities [constituting] living beings (*sattva-dravya*)" (*des zin na gzugs kyī lus 'di sems can gyi dños po<r?> bgrañ ba ñid du 'gyur bar bdag gir byas pa ste*).

346. Cp. n. 336.

347. Cp., however, § 5.15.2.

348. Cp., besides Saṃdh V.3 (see n. 352), Y<sub>t</sub> zi 2b4ff. (ASBh 12,1ff.; H 1978, 8f. [§ i, especially (a)]); 4b7 (H 1979, 27 [§ B.2]): *dañ po pa'i len pa'i skad cig*; KSi § 33 (Muroji p. 39,26f.: see n. 336), where at any rate Hts.'s (784c27) rendering 身 (and not 自體) suggests that *lus ñe bar len pa* either represents \**k ā y ô p ā d ā n a* or, in case it represents \**ātmabhāvôpādāna*<sup>1</sup>, should, according to Hts., be referred to "taking hold" of a new body in the sense of starting its biological appropriation; this is, probably, also true of PSVy 24b3 (see n. 344) where this aspect is combined with that of keeping the body appropriated throughout life.

1. As e.g. in AS<sub>c</sub> 670a6 = AS 24,12; cp. also 諸根等 for *ātmabhāva* in ASVy<sub>c</sub> 701b2 = ASBh 11,12f. (see n. 336).

Cp. also PSkBh 200a6: "... When ālayavijñāna has merged, in the mother's womb, into semen-cum-blood, [it means that] the body has been taken hold of (i.e. biologically appropriated) by that *citta* (sc. ālayavijñāna)" (... *ma'i mñal du khu ba dañ khrag gi nañ du kun gñi rnam par šes pa brgyal ba'i dus na sems des lus blañs te* /; VGPVy 376a4 f.: *ñiñ mtshams sbyor ba sbrel ba'i skad cig ma la ... lus kyi dbaṅ po rañ gi ño bo'i sgo nas ñe bar len pa ...*, i.e. something like \**pratisandhibandhakṣaṇe ... kāyendriyasya svarūpata upādānam* (whereas the corporeal basis of the whole existence, including all sense-faculties, is, at that moment, [only virtually] taken hold of in so far as its Seeds [are already there]); cp. also ib. 376b3ff. where the distinction of two kinds of *upādāna* in MSg I.5 (one referring to the moment of Linking up, the other to biological appropriation throughout life) is accounted for by the fact that the *Sarvāstivādin*s attribute the latter to all the six kinds of *vijñāna* but the former to

*manovijñāna* exclusively; yet the function of *upādāna* as such is obviously presupposed to be, in both cases, essentially the same.

With regard to *upādāna* in the sense of taking hold, i.e. starting the biological appropriation, of corporeal matter, cp. also the Sūtra passage quoted in AKBh 127,7f., viz.: *dīrgharātram yuṣmābhir, bhikṣavaḥ, kaṭasiḥ* (v. 1. *kaṭasī*) *saṃvardhitā r u d h i r a b i n d u r u p ā t t a ḥ*,<sup>2</sup> which obviously refers to death and to the moment of conception, respectively.

2. AKTU tu 153a7; SĀ<sub>c</sub> 24oc8f. (?) (cp. Honjō 1984, 34f.).

349. M<sub>Sg</sub> I.5; cp. I.34-35 (see Sasaki 1982, 179f.; Takeuchi 1985, 168-171); cp. also Si 14c7f. (see Yokoyama 1979a, 1).

350. M<sub>Sg</sub>U<sub>t</sub> 24oa7f. (H 1975, (18)); cp. also Saṃdh V.2 (end) (see n. 520) and Y<sub>t</sub> zi 4b2f. (see n. 521).

351. As is explicitly stated in Saṃdh V.2 (end) and Y<sub>t</sub> zi 4b2f. (see n. 350).

352. Saṃdh V.3: ... *des lus 'di bzun' zin' blaṅs pa'i phyir ro //*, which I should, though with considerable hesitation in view of the disparity of the Chinese versions, trace back to something like *\*(tad ādānavijñānam ity apy ucyate,) anenā-sya kāyasyāttopāttatām upādāya* (??). Anyway, *lus* = *\*kāya* is rendered probable by the fact that all Chinese versions, including that by Hts., have 身 (but cp. n. 348).

353. One possibility (but nothing more than that) is that the specification with reference to *ārūpyadhātu* at the end of Saṃdh V.2 was added (perhaps by the author himself) only after the rest of V.2-3 had already been composed.

354. It is worth noting that, just as in the (corresponding portions of the) first two chapters of the Basic Section of the Yogācārabhūmi (cp. § 6.8), so also in the Vth chapter of the Saṃdhinirmocanasūtra (as well as, according to Lamotte's index and Taishō index vol. 9, in the other chapters) the term 'p r a v ṛ t t i - v i j ñ ā n a' is not used.

- 354a. The Seed aspect of *ādānavijñāna* is indicated only by the attribute '*sarvabīja(ka)*' at Saṃdh V.2 and V.7.
355. Saṃdh V.4-5; cp.  $Y_t$  zi 189b2f. ( $Y_c$  651b15-17; see n. 357) and  $Y_t$  zi 7b1-3 (H 1979, 35 [§ 2, 5th line ff.]); in the latter passage, the similes are, however, merely used to illustrate the *s i m u l t a n e i t y* (*saha-bhāva*) of *āla-yavijñāna* and *pravṛttivijñānas*.
356.  $Y_t$  zi 5b3ff. ( $Y_c$  58ob9ff.; Chüeh-ting 1o19b23f.; H 1979, 30 [§ 3.b.A]): '*di la kun gzi rnam par šes pa ni rnam pa gñis kyis 'jug pa'i rnam par šes pa'i rkyen gyi bya ba byed de / sa bon gyi dños po dañ rten byed pas so (\*bījabhāvata āśrayadānataś ca*; cp. YVy 98a4f. *gnas sbyin par byed pa...r ro*); cp. also  $Y_t$  zi 6a1f. (H 1979, 31 [§ 3.b.B.1]): *ji lta ji ltar kun gzi rnam par šes pa la b r t e n p a 'jug pa'i rnam par šes pa ... 'byun bar 'gyur ba, de lta de ltar r a ñ g i r t e n l a r t e n d e d a ñ l h a n c i g s k y e b a d a ñ ' g a g p a s bag chags sgo bar byed do*.
357. The omission of *manas* in Paramārtha's version (Chüeh-ting 1o19b27: 有阿賴耶識時、意識得生 ) may not warrant the conclusion that it is a later interpolation; but in view of the conspicuously "intrusive" character of two of the three other references to the new *manas* in the *Pravṛtti Portion* (cp. § 9.1 and n. 1351) (and its complete absence in the *Nivṛtti Portion*) it may be difficult to exclude the *p o s - s i b i l i t y* that *manas* was - during or even before the process of compilation - inserted into a version (or at least into raw materials) of this passage (viz.  $Y_t$  zi 5b5-7: see n. 358) which did not originally contain it and was hence closer to the situation presented by Saṃdh V.4-5. Such a possibility is, moreover, perhaps supported by a parallel passage in the *Sacittikabhūmiviniścaya*, where, by the way, the connection with Saṃdh V.4-5 is still more palpable, viz.  $Y_t$  zi 189b2f.: *de la kun gzi rnam par šes pa ni gnas yin*



no // 'jug pa'i rnam par śes pa ni gnas pa yin te / de yañ  
rnam pa bdun te / mig gi rnam par śes pa nas / yid dañ yid  
kyi rnam par śes pa'i bar te / chu'i chu bo dañ rlabs lta  
bu'am / me loñ dañ gzugs brñan lta bu yin no //, correspond-  
ing to something like \**tatrālayavijñānam āśrayaḥ, pravṛtti-  
vijñānam āśritam - tac ca saptavidhaṃ: cakṣurvijñānam yāvan  
mano manovijñānam (ca ?) -*, *udakaugha-taraṅga-vad ādarśa-pra-  
tibimba-vad vā*. Here, the omission of *manas* by Hts. (Y<sub>c</sub>  
651b16) is, to be sure, secondary (cp. H 1979a, 301f.)<sup>1</sup>  
since he, too, says that *pravṛttivijñāna* is of seven  
kinds. But if the original version of the material had  
contained seven *pravṛttivijñānas* including *manas*, would one  
not expect their enumeration to be abbreviated as *cakṣurvi-  
jñānam yāvan ma na ḥ* ? The present, quite unusual form  
of abbreviated enumeration would, however, become understand-  
able if one assumes that it started from a sequence *cakṣurvi-  
jñānam yāvan ma no v i j ñ ā n a m*, to which *manas* was  
added only afterwards, though, in view of its significance  
in the *Sacittikabhūmiviniścaya*, probably at (if not even  
before) the compilation of this chapter.

1. Cp. also Hsien-yang 480c1f., which seems to have taken  
over the sequence of Y<sub>c</sub> 651b15f. while corroborating  
*manas* before *manovijñāna*.

358. Y<sub>t</sub> zi 5b5-7 (H 1979, 30 [§ 3.b.A.2]): ... *kun gzi rnam par  
śes pas zin pa'i dbaṅ po gzugs can rnam la brten nas / rnam  
par śes pa'i tshogs lña po dag 'byuñ bar 'gyur gyi, ma zin  
pa dag la[s] ni ma yin no // rnam par śes pa'i tshogs lña  
po dag gi gnas mig la sogs pa dañ 'dra ba yid dañ yid kyi  
rnam par śes pa'i gnas kun gzi rnam par śes pa yod na / yid  
dañ yid kyi rnam par śes pa yañ 'byuñ bar 'gyur gyi, med na  
ni ma yin no //*

As is well-known, in MSg I.7A.2 (as well as in Hts.'s ver-  
sion of the above-quoted passage, viz. Y<sub>c</sub> 580b13-17) it is  
not *ālayavijñāna* but the new *ma na s* that, perhaps by  
mediation of Y<sub>t</sub> zi 6b2 (see n. 1298), comes to function as

the specific *sahabhū-āśraya* of *manovijñāna*.

As for later developments of the theory of *sahabhū-āśraya*, see Si 19c12ff. (Si<sub>p</sub> 23off.).

359. Y<sub>t</sub> zi 4b2f. (see n. 521); 5a1f. (H 1979, 27f. [§ C.3-5]; see § 5.6.3.5.b); 7a2 (H 1979, 34 [§ 4.b.A.3, end]).
360. Y<sub>t</sub> zi 5b5f. (see n. 358): *kun gāi rnam par śes pas zin pa'i dba'i po gzugs can rnams*; cp. also 4b2 (see § 4.5 + n. 531): ... *upādānaṃ ... sādhiṣṭhānam indriyarūpam*.
361. Although the way in which the cognitive function of *ālayavijñāna* is conceived of in the *Pravṛtti Portion* (as well as in the *Proof Portion*) would seem to derive, at least partly, from its original feature of sticking in the body (see §§ 5.8.4 and 5.4.2), in the *Pravṛtti Portion* this feature will no longer have been felt to be essential to *ālayavijñāna*, for this text expressly considers, in this connection, also the case of an existence in the *ārūpyadhātu* (see § 5.6.3.5). It should, however, be noted in this connection that the precise purport of Y<sub>t</sub> zi 5a1f. is uncertain (see § 5.6.3.5.b).
362. As for the *Nivṛtti Portion*, it seems that in one of its layers *ālayavijñāna* is conceived of as Badness (*dauṣṭhulya*, cp. § 4.7) sticking in the body (see n. 1337).
363. Or, as one may also put it, *ālayavijñāna* has taken the place of unspecified "mind" included in the definition of the basis-of-personal-existence (*ātmabhāva*) as *ṣaḍāyatana* (see § 3.11.2 + n. 376) or *savijñānakaḥ kāyaḥ* (see n. 375). If one starts from the theory of Seeds presupposed by my *Initial Passage* (see § 2.5), one might say that *ālayavijñāna* was first conceived of as a hypostasis of the Seeds of mind in corporeal matter but has become at this stage the fundamental stratum of mind itself.
364. Y 11,4f. (see § 6.2.1-2).

365. E.g. ASBh 61,7: *āśrayam ... ālayavijñānasvabhāvam*; Si 2ob 17f.; cp. also the equation of the *daṣṭhūly āśraya* (originally: the body, or body-and-mind) with *ālayavijñāna* (see n. 133o). Yet, the old idea of *ālayavijñāna* as sticking in, or pervading, the body and being dependent on it is not entirely absent from later texts: cp. the passages collected in n. 38, especially Si 2ob27f. and c1 (*ālayavijñāna based on the material sense-faculties*).
366. AKBh 4o,13.
367. MSgU<sub>t</sub> 251a2f.:  
 "ālayavijñāna [which is] the fundamental element of a living being (*maulam sattva-dravyam*), consisting in [the Result-of]-Maturation (*\*vipākātmakam*), produced by the Impression of previous good and bad deeds and by Clinging to the concepts of object and subject (*\*pūrvakuśalākuśala-karma-vāsanā-grāhya-grāhaka-vikalpābhīniveśa<sup>1</sup>-nirvartitam(?)*); cp. Tr 19 [see n. 419]) ..."  
 (... *snon gyi dge ba dan mi dge ba'i las kyī bag chags dan gzun ba dan 'dzin par rnam par rtog pa la mñon par zen pa rnam kyis rnam par smin pa'i bdag nid sems can gyi rdzas kyī dños gzi kun gzi rnam par ses pa bsgrubs* (D: *bsgrub*) *pa ...*; cp. MSgU<sub>c</sub> 388c29ff.: ... 業熏習 ... 執著種子所生有情本事 ...).
1. Ch add. -*bīja*-.
368. Y 25,15f.: *tatra cātmabhāve bālānām aham iti vā mameti vāsmīti vā bhavati / āryāṇām punar duḥkham ity eva bhavati* /; cp. also Y 212,18: *ātmabhāve ātmagrāha-*. Cp. AKBh 337,4: *ahaṃkāravastv ātmabhāvaḥ*.
369. On the various shades of meaning of this term see n. 1477(E).
- 37o. Cp. also Y 26,16f.; PG 34 (see App. II).
371. Cp. especially passages like MN III 18f. (SN II 252; cp. SĀ<sub>c</sub> 118c26ff.): *katham ... passato imasmim ... savīññā-*

ṇ a k e kāye ... ahaṃkāra-mamaṃkāra-mānānusayā (Skt.:  
a h a ṃ k ā r a m a m a k ā r ā s m i m ā n ā b h i n i v e ś ā n u ś a y ā ḥ: SĀ<sub>c</sub>  
245c23f., quoted at AKBh 142,10) na honti? yaṃ kiñci rūpaṃ  
... "n' etaṃ m a m a , n' e s o ' h a m a s m i , n a m'  
e s o a t t ā" t i ... p a s s a t i ; c p . a l s o M N I I I 19f. (e t c . ) : r ū -  
p a ṃ (e t c . ) ... a n i c c a ṃ ... / ... y a ṃ p a n ā n i c c a ṃ d u k k h a ṃ v i p a -  
r i ṇ ā m a d h a m m a ṃ , k a l l a ṃ n u t a ṃ s a m a n u p a s s i t u ṃ " e t a ṃ m a m a ,  
e s o ' h a m a s m i , e s o m e a t t ā" t i ?

372. PSk<sub>Bh</sub> 199b4 (on PSk<sub>t</sub> 17a4 *lus kyi kun gzi dan rgyu nid*):  
*tshor ba dan / 'du śes dan / 'du byed dan / rnam par śes pa*  
*ste / miñ gi phuñ po bzī dan / gzugs kyi phuñ po ste phuñ po*  
*lña la lus (= \*ātmabhāva, cp. n. 140) śes bya ba'o //*;  
cp. PSk<sub>Vai</sub> 53b3: *lus ni miñ dan gzugs so //* TrBh 19,16f.:  
*āśraya = ātmabhāva = sādhiṣṭhānam indriyarūpaṃ nāma ca =*  
*nāmarūpa* (TrBh 19,18) = the five skandhas (TrT 18b4). Cp.  
also AKVy 168,14 explaining *āśraya* by *s a n t ā n a* ; AKBh  
63,18-20 along with 64,1 and 5f.; 93,22 along with 92,25.
373. In spite of the heterogeneity of the materials of this part  
of the text (see § 7.1B.2.1.2), the passages using *ātmabhāva*  
as a key term would appear to be so closely related that it  
seems admissible to elucidate them by one another.
374. Primarily, the term '*vipāka*' refers to the result of *karman*,  
and in this sense *ālayavijñāna* or the *vipākavijñāna* at the  
moment of Linking up (*pratisandhi*) are frequently taught to  
be the result of previous *karman*; cp., e.g., Y 199,5-7: *saha*  
(Y<sub>m</sub>) *pratisandhibandhāc ca tasya vijñānasya (= pratisandhi-*  
*phala-vijñānasya [199,4] = vipāka-vijñānasya [199,7]) yat*  
*tad-upapatti-saṃvartanīyam (Y<sub>m</sub>)<sup>1</sup> k a r m a , t a d d a t t a p h a -*  
*l a ṃ b h a v a t i v i p ā k a t a ḥ* ; Y 192,8: *ālayavijñāna-vai-*  
*pākyam karma* (see § 6.5); ASBh 11,11f.: *pūrva-karma-nirmita-*  
*tvād* (ed. and ms.: -tvāt) *vipākavijñānam*; KSi § 33 (Muroji  
p. 39,29-31); PSVy 24b3f. (Muroji p. 40,5-7). However, the  
term '*vipāka*' is sometimes also used to denote the result  
of *karman* a n d D e f i l e m e n t s (*kleśa, āsrava*);

cp., e.g.,  $Y_t$  zi 226a2 ( $Y_c$  665b6f.): *sñon byas pa'i las dañ ñoñ m o ñ s pa r n a m s kyi rnam par smin pa;*  
 $Y_t$  zi 224a4 ( $Y_c$  664c6): *rnam par smin pa dañ beas pa'i chos rnams (= \*savipākā dharmāḥ) ni mdor bsdu na z a g p a r n a m s dañ / zag pa dañ beas pa rnams (= \*ā s r a v ā ḥ sāsra vāṣ ca ) so //.* And it is in accordance with

this occasional use that in the *Basic Section* of *Y* the [basis-of-]personal-existence (*ātmabhāva*) or [Result-of-]-Maturation (*vipāka*) containing all Seeds - in *Y* 4,11f. identified with Mind-containing-all-Seeds (see § 3.11.4.1), which in its turn came to be superseded by *ālayavijñāna* (see § 6.1.3) - is conceded to be the result also of good and bad karman, but is taught to be p r i m a r i l y (*prādhānyena*) the result of delight in wordly existence (*prapañca-(abhi)ratī*, cp. n. 1405) (*Y* 25,12f. (see n. 1408); 4,11f. (see *ib.*); 17,2f.; 18,21f.; PG 28 (see App. II)).

1.  $Y_t$  dzi 116a2: *skyes nas myoñ bar 'gyur ba = \*upapa-  
dya-(saṃ)vedanīyam.*

375. Cp.  $Y_t$  'i 314b8 ( $Y_c$  840a25f.): *rnam par śes pa dañ beas pa'i lus (= \*savijñānakāḥ kāyaḥ) skye mehed drug gis bsdus pa.*
376. ŚrBh 384,8f.: *tatrātmabhāvaphalaṃ (= the result consisting in ā.) yad etad v i p ā k a j a ṃ ś a ḍ ā y a t a n a m;*  
cp. S 1969a, 43 and 89.
377. Cp. *Y* 25,12: *sarvabījakāyām ātmabhāvābhinirvṛttau.* Cp., in this connection, also the *Vastusaṃgrahaṇī* passage ( $Y_t$  'i 252a4f.: see n. 172) according to which the Six S e n s e s (*ṣaḍāyatana*) are the S e e d - b a s i s of the six *vijñānas*.
378. *Y* 26,10.
379. *Y* 25,3.
380. PG 29f. (see App. II).
381. Cp. Comm. ad PG 29 (see App. II).

382. Y 11,11-13: *ālambanaṃ katamat / sa<rva>dharmaṃ <ā>lambanaṃ / n i ṣ k e v a l a ṃ tu vedanāskandhaḥ saṃjñāskandhaḥ saṃskāraskandho 'saṃskṛtaṃ cānidarśanam apratiḡhaṃ ca rūpaṃ ṣ a ḍ ā y a t a n a ṃ s a r v a b ī j ā n i c a /*
383. Y 4,11f. (see § 6.1.1 (d)γ).
384. Cp. Vi 96a28 ([certain] Mahāsāṅghikas; cp. Bareau 1955, 69 (thèse 54); Theravādins: Kv XVI.8; cp. XII.4; Dhs 180; Bareau 1955, 232 (thèse 161). Cp. also Y<sub>t</sub> zi 224b3ff. (see § 3.12.2.1).
385. Especially in Mahāyānasūtras: cp., e.g., KP § 98; Vimalakīrtinirdeśa (trsl. E. Lamotte, Louvain 1962), 197; Suvarṇa-prabhāsaśūtra (Die tibetischen Übersetzungen ..., hrsg. v. J. Nobel, Leiden 1944), 238,14 and 21f. - Cp. also UV 33.57 *arūpiṇam ... cittam ... anidarśanam* (but the Mūlasarvāstivāda version lacks *citta*!). In the Pāli canon, *arūpi* and *anidassana* seem to occur t o g e t h e r only once, and as qualification of *ākāśa* at that (MN I 127); but *anidassana* qualifies *viññāṇa* at MN I 329 and DN I 223.
386. SN II 94; NidSa 7.3-4; AKBh 27,6: *ahaṃkārasanniśrayatvāc cittam ātmety upacaryate*; cp. 27,10: *ātmabhūtasya cittasya*; cp. also AS 71,17f. (*citta* = *ātmavastu*); 2,1 + ASBh 1,18 (-*ātma*[*sva*]vastu *viññānam*); MAVBh 50,12 (*ātmābhiniवेशavastu cittam*); SAT 66b6 (*bdag ni med kyi / sems kho na la bdag tu 'dogs par zad do //*). Cp. ASBh 1,19: *loke prāyeṇa v i - j ñ ā n e ā t m a g r ā h a ḥ , śeṣeṣu ātmīyagrāhaḥ*. Cp. also the fact that *citta* is sometimes called the k i n g or l o r d among the skandhas, e.g. Vi 141b27ff.; cp. also Dharmasamuccaya XI.1; 28; 34; 74; (cp. G. Roth in: IJ 14/1972, 100). Cp. also the "etymology" of *manas* at Y 70,6-8: *dīrgharātram etad bālaiḥ ... mamāyitam "etan mama, eṣo 'ham asmi, eṣa ma ātmā" iti ... iti "mana" iti /*.
387. PG 33-35 (see App. II).

388. Cp. the confirmation of this assumption by the list of equivalents for *bīja* (in the sense of the *ātmabhāva* containing all Seeds: cp. § 3.11.4.2) in Y 26,18f. (see n. 391), where *both duḥkha and satkāyadrṣṭy-adhiṣṭhāna* and *asmimānādhiṣṭhāna* (see § 7.1B.2.1.3.c) figure.
389. Comm. ad PG 33-34 (see App. II).
390. Comm. ad PG 33-34 (... *duḥkha*<ṃ> (see n. 1472) ... *ālaya-vijñānamayam*) and ad PG 37 (*ālayavijñānasamgr̥hītasya duḥkhasya*) (see App. II).
391. Y 26,18f.: *bījaparyāyāḥ punar dhātur gotraṃ prakṛtir hetuḥ satkāyāḥ prapañca ālaya upādānaṃ duḥkhaṃ satkāyadrṣṭy-adhiṣṭhānam asmimānādhiṣṭhānaṃ cēty evaṃbhāgīyāḥ paryāyā veditavyāḥ* //. For a detailed discussion of this passage, see § 7.1B.2.1.3.
392. See § 7.1B.2.1.3.b + ns. 1014-1016.
393. See § 7.1B.2.1.3.a.
394. Saṃdh V.7 (see n. 321).
395. To be sure, the fact that ordinary people do not, by themselves, develop a speculative view of *ālaya-vijñāna* as Self (because they have no conceptual knowledge of it) does not *eo ipso* exclude that they have a spontaneous, non-conceptual feeling of Ego or of identity towards it. Yet, if the Sūtra was familiar with such a view, it is strange that it does not refer to it anywhere. Besides, it appears that the explicit formulation of the idea of *ālayavijñāna* as the specific object of a spontaneous feeling of Ego or of identity is closely connected with the introduction of *manas* as another kind of *vijñāna* (see § 7.1A.2.2.c) which is, however, not yet found in the Saṃdhinirmocanasūtra (cp. n. 942).
396. See § 7.1A.2.2.c,α + ns. 944 and 945.
397. MSg I.3 (see n. 137).

398. See n. 374.
399. In the older materials (like the ŚrBh passage quoted in n. 376), the term *vipākaja* may still be used in the sense of "arisen from [the process of] Maturation", i.e. as equivalent to *vipāka* as the result of Maturation (cp. AKBh 25,10ff.: *tatra vipākahetor jātāḥ vipākajāḥ* ...), whereas later on (and already in the Yogācārabhūmi passages referred to in § 3.12.2.1-2) *vipākaja* is distinguished from *vipāka* and taken to designate what (secondary) results from *vipāka* (as the primary result).
400. Y 4,7 (see § 6.1.1), 11,5 (§ 6.2.1) and 24,4f. (§ 6.3.1). Cp. also *Y<sub>t</sub>* zi 2b7 (see n. 415).
401. Cp. Suguro 1982a, 105,15ff.; cp. also the use of *vipāka-saṃgrhīta* at *Y<sub>t</sub>* zi 225a1 (see n. 402 (2a)), qualifying sensations (*vedanā*) falling under the category of *vipāka*, which, however, also includes, according to the same text (see n. 402 (1a)), states-of-mind (*citta*) and is even used to characterize the Seeds [of pleasant and painful sensations] they contain (*Y<sub>t</sub>* zi 225a2: *de'i sa bon rnam par smin pas bsdu pa*, i.e. *\*tad-bījaṃ vipākasamgrhītam*). Cp. also Y 25,17 (*vedanā vipāka-saṃgrhītā*: see n. 412).
402. *Y<sub>t</sub>* zi 224b3ff. (*Y<sub>c</sub>* 664c19ff.):
- (1a) *de la 'chi ba'i* (D: *ka'i*) *sems tha ma ni rnam par smin pa yin no // ñiñ mtshams sbyar ma thag pa'i sems kyañ rnam par smin pa yin no // de phan chad rañ bžin du gnas pa'i sems thams cad kyañ rnam par smin pa yin no // de la dge ba dañ ñon moñs pa can ma yin pa'i sems dañ / luñ du ma bstan pa'i sems mñon par 'du byed pa dañ bcas pa med pa gañ yin pa de ni rañ bžin du gnas pa žes bya'o // ...*
- (1b) (224b6) *rnam par smin pa de ni thams cad du yañ ma bsgribs la luñ du ma bstan pa kho na yin par brjod par bya'o //*



- (1c) (224b6-8) *rnam par smin pa sa bon thams cad pa de las spaṅs pa dañ / ma thob pa ma gtogs pa dge ba'am / mi dge ba'am / luṅ du ma bstan pa'i chos gaṅ dag raṅ gi sa bon dañ ldan par skye ba de dag thams cad ni rnam par smin pa las skyes pa yin par brjod par bya'o //*
- (2a) (224b8f.) *kham s gsum thams cad na yaṅ 'chi ba'i (D : ka'i) sems tha ma dañ / ñiṅ mtshams sbyor ba'i sems dañ po'i 'og ma gñis pa ni sdug bsñal yaṅ ma yin bde ba yaṅ ma yin pa'i tshor ba dañ ldan pa yin par blta bar bya ste / ñiṅ mtshams sbyor ba'i sems dañ po ma gtogs pa de dag ni thams cad du yaṅ rnam par smin par bsduṣ pa yin par blta bar bya'o //*
- (2b) (225a1f.) *de las gñan pa bde ba dañ / sdug bsñal gyi tshor ba dag ni rnam par smin pa las skyes pa yin par rig par bya'o //.*
- (1a) The last *citta* [of an existence, viz. the one] at the moment of death, is *vipāka*. The *citta* immediately following upon [the moment of] Linking up (*pratisandhi*) is also *vipāka*. Thereafter, all 'unaltered' (*\*prakṛtistha*) *cittas* are also *vipāka*. 'Unaltered' are called those *cittas* which are neither good (*kuśala*) nor defiled (*kliṣṭa*), [i.e. those which are neutral, but] with the [additional] exception (*\*anyatra*, *\*-varjya?*) of those which are neutral (*avyākṛta*) but active (*\*sābhisaṃskāra*) (Ch.: 及除加行無記之心 ).
- ...
- (1b) This [primary result of] Maturation (*vipāka*) is, in all cases, to be designated as exclusively neutral and non-obscured [by Defilements] (*anivṛtāvyākṛta*).
- (1c) All [other] good, bad and neutral dharmas – except for those which have been abandoned or have not [yet] been obtained – which, conforming to (?) their respective Seed (*\*svabījānugatāḥ?*; cp. Ch. 自種子為因, and ns. 172, 438 and 570), arise from this [primary result of] Maturation containing all Seeds (*sarvabīj(ak)o vipākaḥ*),

are to be designated as *vipākaja*.

(2a) In all [kinds of existences in] the three world-spheres (\**triṣu dhātuṣu sarvatrāpi*), the last *citta*[, viz. the one] at the moment of death, and the second [*citta*] which follows upon the first *citta* which links up [a new existence], are to be regarded as associated with neither-agreeable-nor-disagreeable sensation (*vedanā*), and these [sensations], except for [that of] the first *citta* which links up [a new existence], are, in all kinds of existences], to be regarded as comprised in [the category of] *vipāka*.

(2b) [All] other sensations[, especially those which are] pleasant (*sukha*) or painful (*duḥkha*), are to be regarded as *vipākaja*.

403. I.e. those which remain in the "natural", inactive state represented by mind in the second moment of an existence.

404.  $Y_t$  zi 224b3-5 (see n. 402 (1a)). Cp. the division of *avyākata* into *kiriya* and *vipāka* in Theravāda Abhidharma.

405.  $Y_t$  zi 224b6-8 (see n. 402 (1c)).

406. As for emphasis on *vedanā* in connection with *vipāka*, cp. also the (more radical) position of the Dārṣṭāntikas according to whom o n l y *vedanā* is *vipāka* (Vi 96a25f.).

407.  $Y_t$  zi 224b8f. (see n. 402 (2a)).

408.  $Y_t$  zi 225a1f. (see n. 402 (2b)); cp. also 225a3ff.

409. This fact will, in view of the well-documented opinion of other schools like the Theravādins (see n. 384), hardly be explicable as a mere omission.

410. Y 4,11f. (see § 6.1.1).

411. Y 24,3-5 (see § 6.3.1).

412. Y 25,16ff.: *prakṛtyā ca garbhāvasthāyām aduḥkhāsukhavedanā-pratiṣṭhitam vijñānam upacīyate / saiva ca tatra vedanā vipākasamgrhītā / tadanyat tu sarvaṃ veditam vipākajam vā viṣayapratyayam vā*.

413. Though presumably differing in detail, especially with regard to the first moment of a new existence, for at least in other passages of the *Basic Section* (Y 24,4f.; 199,4+7) this moment too is taken to be *vipāka*.
414. Cp., in this connection, VGPVy 420a8: *rnam par smin pa'i rnam par śes pa dbaṅ po daṅ lhan cig / las gcig gis 'phaṅs pa'i phyir ...*
415. Y<sub>t</sub> zi 2b7 (ASBh 12,5f.; H 1978, 8f. [§ i(c)]): *apī ca śaṇṇāṃ vijñāna-kāyānāṃ sā jātir nōpalabhyate yā 'vyākṛtā* (ms.) *vipāka-saṃgrhitā syāt /*
416. Y 192,8 (see § 6.5, especially 6.5.4) and comm. ad PG 33-34 and 37 (see § 6.7.4.2).
417. Y<sub>t</sub> zi 6a3f. (Y<sub>c</sub> 58ob24-26; Chüeh-ting 1o19c3f.; H 1979, 31f. [§ 3.b.B.2]): *de'i bag chags kyī rigs g'zan ni phyi ma la kun g'zi rnam par śes pa de* (sic P and SaṃdhVy co 76b8; D: *de dag*) *nīd kyī rnam par smin pa yōṅs su 'dzin pa'i phyir 'jug par 'gyur ro //; Hts.: ... 彼熏習種類、能引攝當來異熟無記阿賴耶識 ; Pa.: ... 未來世、令阿賴耶識受果報 . The original may have been something like this: \*anyā tad-vāsanā-jātir āyatyāṃ tasyaivālayavijñānasya vipākasya (or -ka-?) parigrahāya vartate.<sup>1</sup> Cp. Y 25,2off.: *sa ca bīja-santāna-pra-bandho 'nādikālikāḥ ... śubhāśubha-karma-viśeṣa-paribhāvana-yā punaḥ punar v i p ā k a phala- p a r i g r a h ā n(?)<sup>2</sup> navibhavati /**
1. If the original had \**vipākasya*, one may also follow Hts.'s version and take the passage to mean that the other kind of Impression leads to future taking possession of [a new arrangement of] *ālayavijñāna* itself as the Result-of-Maturation.
2. Y<sub>m</sub> -*ham ni<...?>*; Tib. -*'i phyir*; Ch. 望 ...
418. Y<sub>t</sub> zi 5b7f. (Y<sub>c</sub> 58ob17ff.; Chüeh-ting 1o19b27-29; H 1979, 31 [§ 3.b.B]): *de la 'jug pa'i rnam par śes pa ni rnam pa gñis kyis kun g'zi rnam par śes pa'i rkyen gyi bya ba byed de / ... / (2) tshe* (om. P) *phyi ma la de mion par 'grub pa'i sa*

*bon yon̄s su 'dzin pa skyed par byed pas so (≈ \*āyatyām tad-abhinirvṛtti-bīja-parigraha-janana(?)taḥ).*

419. Tr 19: "When [the present ālayavijñāna which is the result of] the Maturation of previous [karman] is exhausted, the Impression of [not yet retributed] karman along with the Impression of the two false conceptions (cp. n. 367) generate it (= ālayavijñāna) [anew] as another [result of] Maturation" (*karmaṇo vāsanā grāha-dvaya-vāsanayā saha / kṣīṇe pūrvavipāke 'nyam<sup>1</sup> vipākam janayanti tat //*).

1. Lévi's ed. has '*nyad*', but in a leaf from a TrBh ms., hidden between the photos of the Ratnagotravibhāga manuscripts kept in Patna, the reading is, in both the pertinent passages it contains (corresponding to TrBh 37,7 and 9), '*nyam*/'*nyam*'.

420. TrBh 37,7f.: *ālayavijñāna-vyatirekeṇānyasya vipākasyābhāvāt*.

421. *Y<sub>t</sub>* zi 5a5f. (*Y<sub>c</sub>* 580a29-b2; H 1979, 28f. [§ 2.b.A+B.1]).

422. *Y<sub>t</sub>* zi 190a3ff. (*Y<sub>c</sub>* 651c7ff.):

"The sensation which is associated with ālayavijñāna is always neither-painful-nor-pleasant (*aduḥkhāsukha*) and *vipāka*, and it arises (\*(pra-)vṛt-) continuously, without interruption ..., as long as life lasts. The other sensations - [all] the three kinds (viz. pleasant, painful and neither-pleasant-nor-painful sensations associated with the pravṛtti-vijñānas) - must be regarded as brought about [by additional factors] (*\*abhinirhṛta?*), not innate (or spontaneous) (*\*a-sa-haja*), and [therefore] arising only occasionally (*\*kādācit-ka*)."

(*kun g'zi rnam par śes pa dan̄ mtshun̄s par ldan pa'i tshor ba gañ yin pa de ni rtag tu sdug bsñal yañ ma yin bde ba yañ ma yin pa dan̄ / rnam par smin pa'o // de yañ ma śi'i bar du ... rgyun mi 'chad par rgyun gyis 'jug pa yin no // de la de las g'zan pa'i tshor ba rnam pa gsum gañ yin pa de ni bsgrubs pa dan̄ (D) / lhan cig skyes pa ma yin pa dan̄ / res 'ga' 'byun̄ ba yin par rig par bya'o //*).

423. Hsien-yang 504b12f. (context: *vedanā-skandhaḥ*): 異熟相者、謂、阿賴耶識相應受。非異熟相者、謂、轉識相應受。
424. AS 30,20f.: *vipākaḥ punar ālayavijñānaṃ sasamprayogaṃ draṣṭavyam / tadanyat tu vipākajam /*
425. ASBh 44, 18-20: *ālayavijñānād anyat tu<sup>1</sup> cakṣurādikaṃ vā<sup>2</sup> sukhaduḥkḥādikaṃ vā<sup>2</sup>; tad<sup>3</sup> vipākajam ity ākhyāṃ labhate, tato jātam iti kṛtvā /* (underlined: words of AS [see n. 424]).
1. ms. -*nādattu* (or -*tta* or -*tu*), but when repeating the sentence in the bottom margin it seems to read, like Tatia's edition, -*nāt tadanyat tu*. ASBh<sub>t</sub> (41a2: *kun gāi rnam par ṣes pa las gān pa*) supports my text, but ASVy<sub>t</sub> (206b5: *de las gān pa ni 'dir*) seems to be based on \**tadanyad atra*, omitting *ālayavijñānāt*.
  2. Tatia: *ca*, but ms. consistently *vā*.
  3. Thus with Chin. (ASVy<sub>e</sub> 716c5); Tib. (*rnam par smin pa de las*) has understood *tad-vipāka-jam* and construes the whole passage as one sentence.
426. MSg I.21; I.34ff.; I.62; II.32.
427. But cp. comm. on PG 37 (see App. II + n. 1483 and, for chronology, § 6.7.4).
428. In somewhat later sources (cp. § 3.13.6-7), there would be no difficulty in taking ' *s a r v a b ī j a k a* ' to mean "containing t h e S e e d s o f a l l [dharmas]", but, in view of the fact that the Seed function of *ālayavijñāna* appears to obtain, in the *Basic Section*, only with reference to the other forms of m i n d (and their mental associates), this interpretation is hardly applicable to the occurrences of the term in this text. Now it is equally possible to understand ' *sarvabījaka* ' as "containing a l l S e e d s " in the sense of containing the Seeds of all t h o s e dharmas w h i c h a r i s e f r o m S e e d s and as not necessarily implying that all dharmas without exception (e.g. even the external world) arise from Seeds. But even so the term would not entirely fit *ālayavijñāna* as

long as the latter comprises only the Seeds of mind but not of (at least corporeal) matter, just as the bahuvrīhi character (*anyapadārthe*: Pāṇ 2,2,24) of the term would seem to be problematic as long as ālayavijñāna is hardly anything but the Seeds themselves hypostatized into a special form of mind (see §§ 2.13.1 and 3.8.1).

To be sure, it would not appear impossible to regard the parts (viz. the Seeds) to be, in a sense, different from the whole (viz. ālayavijñāna); cp. the use of bahuvrīhi like *bhūta-bhautika-* "consisting of the elements and what is formed of, or derives from, them" (Wackernagel II,1, p. 280). Or one may understand the use of the bahuvrīhi '*sarvabījaka*' as the expression of a first, still somewhat vague tendency towards conceiving ālayavijñāna as something more than the mere sum of Seeds. And it would not seem impossible either to take '*sarva*' in the sense of a limited, relative totality (cp. the explicit distinction between an absolute and a limited or relative use of '*sarva*' at Vi 775a14f. or TSi 364c29f.) and '*sarvabījaka*' to mean "consisting of, or comprising, all Seeds [under consideration, i.e. of mind and mental factors]".

Yet, it is rather in terms of textual history that the problem has to be explained. For, as will be shown in §§ 6.1 and 6.3, in the pertinent passages of the *Basic Section* ālayavijñāna has, by way of an addition, superseded, or been engrafted on, Mind-containing-all-Seeds (*sarvabījakaṃ vijñānam*). This term, however, would seem to refer to the (ordinary, "one-layered") mind series (taken as a whole) in so far as it contains Seeds (see § 7.3.6.3.3). Since the (ordinary) mind series is a well-defined entity by itself (and not, as ālayavijñāna originally appears to have been, a mere hypostasis of Seeds), there is no difficulty in taking it to contain Seeds (at least as long as one regards

the Seeds as quasi-entities and does not emphasize their being mere denominations of the capacities of dharmas (cp. § 7.1B.2.1.3.b). And since the *sarvabījakaṃ vijñānam* - provided that I am right in taking it as the homogeneous successor of the *vijñāna* of the *Pratītyasamutpāda Analysis* of the Savitarkādibhūmi and Vastusaṃgrahaṇī (see § 7.3, especially 7.3.6.3.1-3) - will have to contain not only the Seeds of the mental elements but also the Seeds of the corporeal factors of the next existence (cp. § 3.13.2 + n. 441) and is even stated to contain the Seeds of liberating insight (*bodhi*: Y 25,1f.), it will also deserve (much more than the original *ālayavijñāna*) to be called "containing all Seeds". When, afterwards (see §§ 6.1.3-4, 6.3.3-4 and 6.8), *ālayavijñāna* was engrafted on this Mind-containing-all-Seeds, it automatically inherited the attribute '*sarvabījaka*' though this qualification did not entirely fit in with the original character of *ālayavijñāna*. But the inheritance of this qualification will certainly have contributed to developments taking *ālayavijñāna* as (not merely the sum of Seeds but rather) a veritable entity by itself (cp. § 3.10 and § 5) to be regarded as the container or support of Seeds, and as containing the Seeds not only of mind and mental factors but also of corporeal matter or even of all dharmas (§ 3.13.4ff.).

429. See § 2.1.

430. See n. 285.

431. Y 5,15: *sarve ca* (sc. *caitasā dharmāḥ*) *svabījan nirjātāḥ* ...

432. Y 109,14f. (see § 6.4) is not unambiguous, but will, in view of the related paragraph of the *Proof Portion* (see n. 434), more probably have to be referred to *vijñānas* (and mental factors) only.

433. Cp. § 6.1.2.1 + n. 775.

434. Y<sub>t</sub> zi 3a8ff. (ASBh 12,25ff.; H 1978, 12 [§ iv]).

435. I.e. if we disregard, in this context, the Mahāyānist elements, i.e. the final surmounting of all diversity (Saṃdh V.6) and the *\*nimitta-nāma-vikalpa-vyavahāra-prapañca-vāsanā* (see § 4.4.2).
436. Yet, the etymology of 'citta' in Saṃdh V.3 (sems *žes kyañ bya ste / 'di ltar de ni gzugs dañ sgra dañ dri dañ ro dañ reg bya dañ chos rnam kyis* (cp. Y<sub>t</sub> 'i 58a8) *kun tu bsags pa dañ ñe bar bsags pa yin pa'i phyir ro //, ≈ \*cittam ity apy ucyate, ... tasya rūpa-śabda-gandha-rasa-spraṣṭavya-dharmācītōpacitatām upādāya*) may not fit in with this statement, provided that the passage has in fact, as Yūki (1935, 170) suggests, to be taken to mean, in the sense of slightly later sources (especially Y<sub>t</sub> zi 189b4; MSg I.9; AS 12,1f.), that ālayavijñāna is an accumulation of or filled/covered with the Impressions (*vāsanā*) or Seeds (*bīja*) of the objects of perception and cognition. Yet, strikingly enough the wording of the passage does not include a word for Impressions or Seeds (cp. Yūki 1935, 169,17), which means that this interpretation may need reconsideration.
437. Cp. Saṃdh V.4-5 (see § 3.9.3), though in these paragraphs no mention is made of Seeds (cp. Suguro 1983, 15,16; but cp. *sarvabīja(ka)* at V.2 and V.7). As for the body and the material sense-faculties, they are only taught to be taken possession of and appropriated by *ādānavijñāna* (Saṃdh V.2 [see § 4.4.2 + n. 508] and V.3 [see n. 352]) and to be the place where it sticks or hides (Saṃdh V.3 [see § 2.8]).
438. Y<sub>t</sub> zi 5b4f. (Y<sub>c</sub> 58ob11f.; Chüeh-ting 1019b24f.; H 1979, 30 [§ 3.b.A.1]): *de la sa bon gyi dños po ni 'jug pa'i rnam par šes pa dge ba dañ mi dge ba dañ luñ du ma bstan pa 'byuñ bar 'gyur ba gañ ci yañ ruñ ste / de dag thams cad ni kun g'zi rnam par šes pa'i sa bon dañ ldan pa yin no (\*ālayavijñāna-bījānugata(?));* Hts.: ... 用阿賴耶識爲種子 ; Pa.: ... 因阿羅耶識以爲種本 ; cp. n. 402 (1c)).



439. Hsien-yang 480c16 ( 眼識者、謂、從阿賴耶識種子所生 ... );  
c23; c28; c29f. ( 心所有法者、謂、若法從阿賴耶識種子所生 ... );  
481a13; a26f.; 483a16.
440. Hsien-yang 483c9f. ( 眼、謂、一切種子阿賴耶識之所執受 ).
441. Cp. passages like ŚrBh 384,14f. (*viññāna-parigṛhītaṃ paunarbhavika-nāma r ū p a -bījaṃ ś a ḍ ā y a t a n a -bījaṃ ...*; cp. n. 147(b)) and Y 200,16f. (*tac ca viññānam āyati-paunarbhavika-nāma r ū p a -bījōpagataṃ (Y<sub>m</sub>)*; cp. 207, 9), implying that the *viññāna* which has come under the sway of *karman* (ŚrBh 384,12f.; Y 200,13 and 198,22) contains the Seeds of future mental a n d c o r p o r e a l factors.
442. Y 52,15f.: *s a r v e ṣ ā m ādhyātmika b ā h y ā n ā ṃ bhūtānāṃ upādāya-rūpāṇāṃ cādhyātmaṃ citta-santatau bījāni sanniviṣṭāni*; cp. 55,14 (*citta-sanniviṣṭasya ca rūpa-samudāya-bījasya*). Cp. also Odani 1976, 169, who, however, equates *citta-santati* with *ālayaviññāna*, which is inadmissible if we are to understand the materials of the *Yogācārabhūmi* in their original sense, and not from the point of view of later systematization (see § 7.1B.2.1.2).
443. Y<sub>t</sub> zi 15b7f. (see n. 172).
444. Y<sub>t</sub> zi 8a5f. (Y<sub>c</sub> 581a26-29; Chüeh-ting 1020a14-16; H 1979, 37f. [§ 5.b.A.1-2]):  
(*kun gzi rnam par ṣes pa ni ...// 'di ltar*)  
1. *de ni sems can gyi 'jig rten 'grub pa'i rtsa ba yin te / dbaṅ po rten daṅ boas pa rnams daṅ / 'jug pa'i rnam par ṣes pa rnams skyed par byed pa yin pa'i phyir ro //*  
2. *snod kyi 'jig rten 'grub pa'i rtsa ba yaṅ yin te / snod kyi 'jig rten skyed par byed pa yin pa'i phyir ro //*  
The original may have been something like this:  
\*(*tathā hi*) 1. *tat sattva-loka-nirvṛtti-mūlam / sādhiṣṭhā-nēndriya-pravṛttiviññāna-janakatvāt / 2. bhājanaloka-nirvṛtti-mūlam ca / bhājanaloka-janakatvāt //*.

445. AS 3,3f. and 8f.: *yac ca tasya* (sc. *cakṣuṣo/cakṣurviññān-asya*) *bījam upacitaṃ vaipākyaṃ cālayaviññānam* (text revised in accordance with ASBh 2,22f. and AS<sub>t</sub>).
446. ASBh 2,22f.: *yata āyātyāṃ cakṣur nirvartīṣyate*.
447. ASBh 2,23: *yato nirvṛttam*. In the case of *cakṣur v i - j ñ ā n a* , etc., one would perhaps have to say: from which *cakṣurviññāna*, etc., have arisen or are going to arise in the p r e s e n t existence.
448. The two categories do not seem to take into account the reproduction of mental factors by way of self-intensification (i.e. by way of what is later called *niṣyanda-vāsanā* but clearly distinguished from karmic Impressions already in the *Pravṛtti Portion* (Y<sub>t</sub> zi 5b7ff.; H 1979, 31 [§ 3.b.B, more precisely B.1])), except if one regards them to be included in the category 'upacita', only that this term suggests rather accumulation of *k a r m a n* . Accordingly, ASBh 35,22ff., in order to include the Seeds of [mental states] produced by effort (*prāyogika*), replaces 'upacita' by 'ābhisaṃskārika'. - My impression is that in the early Yogācāra sources a clear-cut distinction between the different categories of *vāsanās* or *bījas* is often missing or at least not easily recognizable (cp. also n. 831).
449. AS 3,5f. (cp. ASBh 2,24): *yac cakṣurdhātos \*tatra* (ASBh : *rūpe*) *ādhipatyam*; cp. ASBh 2,24f.: *rūpīndriyādhipatyena bāhyaviṣayanirvartanāt*.
450. AS 12,1: *skandha-dhātv-āyatana-vāsanā-paribhāvitaṃ sarvabīja-kam ālayaviññānam*; 32,8f.: *\*skandha-dhātv-āyatanānāṃ yad bī-jam ālayaviññānam* [retranslation changed by me in accordance with Tib. (AS<sub>t</sub> 82b8: *phun po dan / kham dan / skye mched rnam kyī* (ASVy<sub>t</sub> 2o9a3; AS<sub>t</sub>: *kyis*) *sa bon kun gzī rnam par šes pa gañ yin pa*)].
451. See n. 131. If more passages could be found which like the present one (see n. 452) are - unless added by a later

redactor - obviously due to the compiler himself and which at the same time show remarkable agreements with undisputed works of Asaṅga, this would no doubt considerably enhance the possibility that it was indeed by Asaṅga that at least VinSg was compiled.

452.  $Y_t$  zi 17b6 ( $Y_c$  584a27ff.): *sa bon rnam par g'zag* (D; P: *b'zag*)  
*pa'i tshul 'di ni kun g'zi rnam par šes pa rnam par ma b'zag*  
(D; P: *g'zag*) *pa la rig par bya'o // rnam par b'zag pa la ni*  
*mdor bsdu na de la chos thams cad kyi sa bon yod par rig par*  
*bya ste / ...*
453. Cp. MSg I.1-2; I.14; II.2 (dharma replaced by \**abhūtapari-*  
*kalpa-saṃgrhītā vijñāptayaḥ*); II.32 (dharma explicitly  
equated with *pravṛttivijñāna(s)*).
454. MSg I.3 (see n. 137) and I.2+27 (see n. 136).
455. This is what the canonical explanation of the Noble Truth of  
Suffering (SN V 421, etc.) obviously intends to point out.  
Cp. also passages like MN I 91 (etc.): *app'-assādā kāmā*  
*bahu-dukkhā bah'-upāyāsā*; AKBh 329,2of.: ... *duḥkham*  
*evāryasatyam ucyate / sukhasyālpavāt*; similarly Vi 40c2f.  
+ 13-16; cp. also \**Catuḥsatyaśāstra* (T 1647), 386a19ff.: The  
Sūtra declares the [five] *upādānaskandhas* to be unsatisfacto-  
ry/painful (*duḥkha*) (see n. 458) because pain torments more  
[than pleasure makes happy] ( 苦盛過故 ) and because there  
is much more pain [than pleasure] ( 苦最多故 ).
456. Cp., e.g., MN I 232f.; SN IV 216f. Cp. S 1977, 918f.
457. S 1977, 919 + 928 notes 10 and 11.
458. SN V 421 (etc.): *saṅkhittena pañc' upādānakkhandhā dukkhā*  
(Skt. e.g. ŚrBh 251,16f. and 255,3: *saṃkṣepataḥ pañcōpā-*  
*dānaskandhāḥ duḥkham*).
459. Cp., e.g.,  $Y_t$  zi 70b7ff. ( $Y_c$  605a20-22): *skye ba'i sdug*  
*bsñal dan / mdor na ñe bar len pa'i phuñ po lña sdug bsñal*  
*ba šes bya ba'i bar du gañ gsuñs pa'i rnam pa, de dag las*

... du *śig* 'du byed kyi sdug bsñal ñid kyis bsdus (D) *še* na / smras pa / tha ma gcig go /; Y<sub>t</sub> zi 22ob6f. (Y<sub>c</sub> 663b 12f.): 'du byed kyi sdug bsñal ñid de'i dbaṅ du mdzad nas bcom ldan 'das kyis "mdor na ñe bar len pa'i phuṅ po lña po dag sdug bsñal ba" *śes* gsuṅs te; Y<sub>t</sub> zi 246a5 (Y<sub>c</sub> 672c2): tha ma mdor na ñe bar len pa'i phuṅ po lña sdug bsñal ba *śes* (D) bya ba gcig pus ni 'du byed kyi sdug bsñal ñid yonṣ su bstan to //; AS 38,5f. (which I should, with the help of AS<sub>t</sub> 88b5f. and ASBh 49,18f., restore to \**yad uktaṃ "saṃkṣepataḥ* (see n. 458) *pañcôpādānaskandhā duḥkham*" iti, anena saṃskāraduḥkhatā paridīpitā bhavati). Cp. also ŚrBh 257,6f.: *saṃskāraduḥkhatā punaḥ sarvatragā upādānaskandheṣu*.

According to ŚrBh 255,3ff., however, the statement "*saṃkṣepataḥ pañcôpādānaskandhā duḥkham*" refers to *saṃskāra-duḥkhatā* as well as *vipariṇāma-duḥkhatā*, and even points out the five *upādāna-skandhas* as the recipient (*bhājana*) of *duḥkha-duḥkhatā*. Cp. also Y<sub>t</sub> zi 169a3-5 (Y<sub>c</sub> 642b17-19) where the unsatisfactoriness of the five *upādānaskandhas* is declared to be based on the fact that they are essentially characterized (\*-*svabhāva*) by *saṃskāra-duḥkhatā* and on the fact that they are the recipient (-*bhājana*) of *duḥkha-duḥkhatā* and *vipariṇāma-duḥkhatā* (which usually are taken to be characteristic of painful and pleasant sensations, respectively: see S 1977, 92o).

46o. E.g. Y<sub>t</sub> zi 246a5ff. (Y<sub>c</sub> 672c3ff.); Hsien-yang 548c1off., especially 15f.; cp. S 1977, 923f.

461. E.g. ŚrBh 257,12ff.: *ye skandhā anityā udaya-vyaya-yuktā <ḥ>* (ŚrBh<sub>m</sub>) *sopādānāḥ ... da u ṣ ṭ h u l y o p a g a - t ā ayogakṣemapatitā avinirmuktā duḥkha-duḥkhatāyā vipariṇāma-duḥkhatayā <ca> asvavaśavartinaś ca, iyam ucyate sa ṃ s k ā r a - d u ḥ k h a t a y ā duḥkhatā /*; ŚrBh 49o, 5-9 + 14-16 (see n. 49o);

Y<sub>t</sub> zi 22ob5f. (Y<sub>c</sub> 663b9ff.):

"What is *saṃskāra-duḥkhatā*? This disposition (\**sanniveśa*?),

of conditioned entities (*saṃskāra*) produced by Actions and Defilements entailing rebirth (*\*paunarbhavika-karma-kleśa*), which is favourable to the arising, in any [basis-of-]personal-existence (*\*teṣu teṣv ātmabhāveṣu*), of all [kinds of] Defilements and Suffering (*\*sarva-kleśa-duḥkhōtpatty-anukūla*), and which falls under [the category of] Omnipresent Badness (*sarvatraga-dauṣṭhulya*) - it is [therefore also] called ' B a d n e s s ' -: this is *saṃskāra-duḥkhatā*."

(... 'du byed kyi sdug bsñal ñid gañ 'ze na / yañ 'byuñ ba'i las dañ / ñon moñs pas bskyed pa'i 'du byed rnams kyi lus de dañ de dag la ñon moñs pa dañ / sdug bsñal thams cad 'byuñ ba dañ mthun pa kun tu 'gro ba'i gnas ñan len du gtogs pa gnas pa gañ yin pa de ni gnas ñan len 'zes bya ste / de ni 'du byed kyi sdug bsñal ñid yin no //);

Y<sub>t</sub> zi 71a6f. (Y<sub>c</sub> 605b1f.): rnam pa tha ma (sc. *saṃkṣepataḥ pañcōpādānaskandhā duḥkham*: Y<sub>t</sub> zi 70b7f. (see n. 459)) dañ sdug bsñal tha ma (sc. *dauṣṭhulya-duḥkha*: Y<sub>t</sub> zi 71a4) yañ geig gis geig bsduṣ so //;

AS 37, 21f.: *\*saṃkṣepataḥ* (see n. 458) *pañcōpādānaskandhāḥ* (i.e. *saṃskāra-duḥkhatā* [AS 38, 5f.: see n. 459] = *paramārtha-satyena duḥkham* [AS 38, 9]) *kim upādāya duḥkham? dauṣṭhulya-duḥkhatām upādāya* /; MAVBh 50, 9f.: *dauṣṭhulyaṃ hi saṃskāra-duḥkhatā*; ASBh 86, 8: *saṃskāra-duḥkhatā-lakṣaṇena dauṣṭhulye-na*. Cp. S 1977, 925.

462. *kleśa-pakṣ(y)aṃ dauṣṭhulyam*, e.g. ŚrBh 272, 4; 451, 19; 500, 18f.; 507, 7f.; BoBh<sub>D</sub> 18, 16; 196, 17; etc.; Y<sub>t</sub> 'i (VaSg) 222a1f.; 261b4.

463. *dauṣṭhulya = a k a r m a ṇ y a t ā* : e.g. Y<sub>t</sub> zi 202b2 (see S 1969a, 154f.); cp. also ŚrBh 451, 19f. (*karmanyatā* as the opposite of *dauṣṭhulya*). Cp. also the specific aspect of *\*gurutva* (Y<sub>t</sub> zi 202b3: *lei ba ñid*; cp. ViSM XX.116: *gārava*, and ASEh 92, 14f.: *āhāra-dauṣṭhulya = aty-alpa-bahu-bhojane-na prayogāyogyatā*).

464. Cp. ASBh 92,16: *svapna-dauṣṭhulyam* = *middhakṛtam āśraya-j ā ḍ y a m*; ASBh 92,19: *parīśrama-dauṣṭhulyam* = *atigamanā-di-kṛto* ' *ṇ g a - m a r d a ḥ* (EDS: "stiffness of the limbs", but also "pain in the limbs", thus clearly involving the nuance of uneasiness); cp. ŚrBh 271,16f. and Y<sub>t</sub> zi 2o2b3 (*sra ba ṇid*; cp. VisM XX.116 *kakkhaḷatā*).
465. Cp. ASBh 92,17f.: *jarā-dauṣṭhulyam* = *bhūta-vipariṇāmakṛtā* ' *v i ḍ h e y a t ā*; cp. also Y<sub>t</sub> zi 2o2b3 *dbaṇ (b)sggyur ba med pas mi bzod pa ṇid* (Y<sub>c</sub> (657a22) 不自在轉無堪能, suggesting "incapability (*akṣamatā*) due to lack of control") and ŚrBh 257,14+16 (*dauṣṭhulyopagatāḥ ... asvavaśavartinaś ca*: see n. 461); Y 9o,18f.: *dauṣṭhulya-duḥkhena ... duḥkhi-tāḥ, ... asvatantratvāt*.
466. Cp., apart from the notion of *dauṣṭhulya- d u ḥ k h a* (e.g. BoBh<sub>p</sub> 169,15; Y 9o,18f. and 2o; Y<sub>t</sub> zi 71a4; AS 37,22 [see n. 461]), ASBh 92,17: *vyādhi-dauṣṭhulyam* = *dhātu-vaiṣamya-kṛtā* ' *s v a s t h a t ā* (ms.: *aprasva°*); Y<sub>t</sub> zi 2o2b3: *sgyid lug pa (\*avasāda?)*; cp. also VisM XX.116 (*passaddhi* (i.e. *pra-śrabdhi*, = antonym of *dauṣṭhulya*!) characterized by lack of *d a r a t h a* ("affliction"); SAVBh mi 3oob1f.: *dauṣṭhulya-kāya* (MSA XIV.2o) = *kāyākarmaṇyatā* (= *lus lei ba* (see n. 463) *daṇ mi b d e b a 'o*) + *cittākarmaṇyatā* (= *sems mi b d e ṣ i ṇ dge ba la mi 'jog pa'o*).
467. Cp. ŚrBh 257,14 (*dauṣṭhulyopagatā a y o g a k ṣ e m a -pati-tā avinirmuktāḥ duḥkha<sup>57</sup>duḥkhatāyā vipariṇāmaduḥkhatayā <ca?>*); 49o,5ff. (see n. 49o).
468. The term '*dauṣṭhulya*', especially its use in the *Yogācāra-bhūmi*, requires more detailed investigation. Preliminary attempts: Wogihara 19o8, 29f.; S 1969a, 154ff.; S 1977, 925; cp. also BDJT 16o3-16o5.
469. Y 26,16f.: *ataś ca sakalam āśrayam dauṣṭhulyopagatatvād dauṣṭhulyasvabhāvatvāt tathāgatā duḥkhataḥ prajñāpayanti yaduta saṃskāraduḥkhatayā* /. Cp. also Y 99,5f.: ... *katham traidhā-*

*tukāvacarāṇām sattvānām āśrayo draṣṭavyaḥ / tadyathā saparī-  
dāho gaṇḍo, dauṣṭhulyānugatatvāt /.*

470. Even in later texts, *dauṣṭhulya* is sometimes only in part or alternatively defined as Seeds (*bīja*), Impressions (*vāsanā*) or evil propensities (*anuśaya*). Cp., e.g., ASBh 92,5ff. (several kinds of *d.*, of which only some are defined as Impressions, etc., whereas the majority is specified otherwise (for examples see ns. 464-466)); TrBh 27,15f. (*d.* = *akarmaṇyatā* and [TrT<sub>J</sub> 480,39: or ] *bījas*); MAVT 167,9ff. (*d.* = *duṣṭhītatā* or *akarmaṇyatā* or *bījas*); 215,19ff. (*d.* = *vāsanā-parīpuṣṭi* or *satkāya-dṛṣṭi*); Si 52c7f. (*d.* = *bījas* or *akarmaṇyatā*).
471. ŚrBh 490,7f.: *skandhāḥ ... dauṣṭhulya-sahagatāḥ* <*sukha*>-*duḥkha*- *bījānugatāḥ* (see n. 490) ...;  
Y<sub>t</sub> 'i 2b4-7 (Y<sub>c</sub> 696c18ff.): "Just as ... Badness (*dauṣṭhulya*) and Ease (*praśrabdhi*), though in fact existing in body and mind, cannot be stated to be either something else (*\*anya*) or nothing else (*\*ananya*) than body and mind; and just as Seeds (*bīja*), though in fact existing in (!) good, evil and neutral dharmas, cannot be stated to be something else or nothing else than these [dharmas]; ..."  
(*'di lta ste dper na ... lus dan sems la gna s nān  
le n dan śin tu sbyaṅs pa dag yod kyaṅ / lus dan sems las  
de dag gzan pa'am / gzan ma yin par brjod par mi bya ba  
dan / dge ba dan mi dge ba dan lun du ma bstan pa'i chos  
rnams la sa bon yod kyaṅ / de dag las gzan pa'am /  
gzan ma yin par brjod par mi bya ste / ...*); cp. also BoBh<sub>D</sub>  
265,5 (BoBh<sub>W</sub> 384,4ff.): *sa-vāsanānāṃ sarva-kle-  
śa-pakṣyāṇām dauṣṭhulyānām*, though in this passage *vāsanā*  
should perhaps be understood not as Seed but as the *kleśavā-  
sanā* of the Arhat (cp. S 1969a, 55 and 157).
472. Y<sub>m</sub> 66b4 (Y<sub>t</sub> dzi 145a3f.; Y<sub>c</sub> 331b7f.): *tatra laukikena dhyāne-  
na tat-pakṣyaṃ* (i.e. *daurmanasyēndriya-pakṣyaṃ*, etc.) *dau-  
ṣṭhulyaṃ prajahāti, na tu bījasthānam asyōddharati.*

473. BoBh<sub>D</sub> 18,16f. (BoBh<sub>W</sub> 27,4f.) *sarva-kleśa-pakṣasya dauṣṭhulyasyāśrayagatasya*; 253,23 (BoBh<sub>W</sub> 368,5) *dauṣṭhulyam āśrayād apakarṣati*; cp. 265,5f. and 280,1f.; ŚrBh 283,7 *dauṣṭhulya-sahagata āśrayaḥ*; 500,19 *dauṣṭhulyasannīśraya-* (read *-lyam āśraya-*?) *-sanniviṣṭam*; Y 26,16 and 99,5f. (see n. 469); Y<sub>m</sub> 69a4 (Y<sub>t</sub> dzi 151a2) *apagata-sarva-dauṣṭhulye āśraye*; Y<sub>t</sub> 'i 222a2 (Y<sub>c</sub> 802b9; see n. 480).
474. Y<sub>t</sub> 'i 261b4 (Y<sub>c</sub> 818a16f.): *rgyud* (but Y<sub>c</sub> 所依) *la gnas pa'i ñon monṣ pa'i phyogs su gtogs pa'i gnas ñan len*.
475. Cp. Y<sub>t</sub> 'i 312b3f. (Y<sub>c</sub> 839a27ff.; cp. n. 300):  
 "Due to the fact that the Basis (*āśraya*) has been transmuted (*\*pari-vṛt*), [i.e. due to the fact that] the *B a d n e s s* (*dauṣṭhulya*) in it has been removed (*\*prati-pra-śrabh-*), in this [very] life one's *S i x S e n s e s* (*ṣaḍ-āyatana*, = *āśraya*) which are stricken with *avidyā-dhātu* cease to exist, and another [set], which is furnished with *vidyā-dhātu*, arises."<sup>1</sup>  
 (gnas yonṣ su gyur ciñ d e ' i n a ñ g i g n a s ñ a n l e n śin tu sbyaṣ pa'i phyir tshe 'di la de'i (D; P om.) ma rig pa'i khams dañ ldan pa'i s k y e m c h e d d r u g gañ yin pa de ni 'gag la rig pa'i khams dañ ldan pa ni skye'o //).
1. Ch. differs: "When in this life ..., this is called 'Transmutation of Basis', because the Badness affiliated to it (sc. to *avidyā*?) is removed."
476. E.g. ŚrBh 271,17ff. *kāya-dauṣṭhulyam citta-dauṣṭhulyam* or *kāya-citta-dauṣṭhulyam*; 432,21f. + 433,3f.; Y<sub>t</sub> 'i 2b5 (see n. 471); cp. also Y<sub>t</sub> 'i 134a5 (Y<sub>c</sub> 746b24f.): *'jig tshogs la lta ba'i phyogs dañ mthun pa'i gnas ñan len dañ ldan pa'i m i ñ d a ñ g z u g s* (≈ *\*satkāyadṛṣṭi-pakṣya-dauṣṭhulyā-nugataṃ nāmarūpaṃ*).
477. E.g. Y<sub>t</sub> yi 35b6-8 (Y<sub>c</sub> 763c11ff.): *'rajas'* (cp. AS 67,14) = the *asmimāna* which prevents the first *satyābhisamaya* (cp. ŚrBh 497,7ff.) (and the other *darśanaheyāḥ kleśāḥ*); *'mala'* = the *dauṣṭhulya* which is affiliated to them.



478.  $Y_t$  'i 335a8f. (see n. 480: *d e s k y e b a r ' g y u r b a ' i p h y i r*).
479.  $Y_t$  yi 46b5 ( $Y_c$  768b9): "... because he (i.e. the Arhat) has got rid of (\*-prahāṇāt) Badness which is the cause of unhappiness (*duḥkha*) in the present [existence]" (*da ltar gyi sdug bsñal gyi rgyu gnas ñan len spoñ ba'i phyir*, Ch. 現在苦因龜重斷故 ); cp. also  $Y_t$  'i 271a2 *gnas ñan len l a s g y u r p a ... ' i s d u g b s ñ a l* ( $Y_c$  821c24: 龜重所作苦 ).
480.  $Y_t$  'i (= Vastusaṃgrahaṇī) 222a1f. ( $Y_c$  802b9ff):  
 "The Badness affiliated to Defilements which sticks in the basis[of-personal-existence] is called 'evil propensity', for it is like a seed (*bīja*) from which the actual outbursts (*paryavasthāna*) [of Defilements] originate."  
 (*ñon moñs pa'i phyogs su gtogs pa'i gnas ñan len gyi* (sic P and D) *rten du gnas pa ni bag la ñal źes bya ste / kun nas dkris pa skye ba'i s a b o n l t a b u y i n n o //*; the first part is, in view of Ch. ... 龜重隨附依身, probably based on a misreading of a presumable original \**kleśapakṣyaṃ dauṣṭhulyam āśraya-sanniviṣṭam anuśaya ity ucyate*);  
 $Y_t$  'i 335a8f. ( $Y_c$  849a3-5):  
 "The Badness (*dauṣṭhulya*) affiliated to [*kāmacchanda*, *vyāpāda* and *vihimsā*], which is present in the basis[-of-personal-existence] because *kāmacchanda*, etc., have not yet been abandoned, and which is l i k e s e e d s , is called 'disposition (*dhātu*) to *kāma[cchanda]*', etc., respectively, because it leads to the arising of these [Defilements] (Ch.: because like seeds it engenders these [Defilements])."  
 (... 'dod pa'i 'dod chags dañ / gnod sems dañ / rnam par 'tshe ba ma spoñs pa'i phyir gnas la yod pa de'i phyogs dañ mthun pa gnas ñan len s a b o n l t a b u gañ y i n p a d e s k y e b a r ' g y u r b a ' i p h y i r n i c i r i g s p a r ' d o d p a d a ñ / g n o d s e m s d a ñ / r n a m p a r ' t s h e b a ' i k h a m s ź e s b y a s t e / );  
 $Y_t$  yi (*Paryāya-saṃgrahaṇī*) 50b2 ( $Y_c$  770a6): *ñon moñs pa'i phyogs kyi gnas ñan len s a b o n d a ñ m t h u n p a r g y u r p a n i b a g l a ñ a l t e*.

Cp. also ŚrBh 490,7f. (see n. 471) where <sukha->duḥkha-bī-jānugata may (but of course need not) be taken as an expli-cation of dauṣṭhulya-sahagata (cp. ASBh 44,9: duḥkha-vīpa-riṇāma-duḥkhatayor dauṣṭhulyenānugatativāt tena duḥkhatādvaye-nāvinirmuktativāt ...), and ŚrBh 507,7f. sarva-kleśapakṣya-(ms. -kṣyaṃ) -dauṣṭhulya-bījā-samudghātāt ("Badness which is [like] a seed", as in the above-quoted passages? Or "Badness and Seeds", as in ns. 471 and 472?).

481. Y 26,11ff.: ... yāni bījāni kleśapakṣyāṇi, tatra dauṣṭhu-lyānuśayasamjñā / yāni ca punar vipākapakṣyāṇi tadanyāvvyā-kṛtapakṣyāṇi ca, teṣu dauṣṭhulyasamjñaiḥ, nānuśayasamjñā.
482. Cp. also Y<sub>t</sub> zi 118b2 (Y<sub>c</sub> 623a22f.): de (sc. ñon moṇs pa) ñid kyī s a b o n ma spaṇs śiṇ yaṇ dag par ma bcom pa ni bag la ñal 'ses bya ste / g n a s ṇ a n l e n kyaṇ de yin no //; 215a5f. (see n. 495).
483. Y 26,11-14 (see n. 481) + 16f. (see n. 469).
484. Comm. ad PG 33-34: duḥkha<ṃ> saṃskāra-duḥkhatā-saṃgrhītaṃ ālayavijñāna-mayam (see App. + n. 1472); cp. also comm. ad PG 37: ālayavijñāna-saṃgrhītasya duḥkhasya. Cp. also ASBh 44,8ff. and 55,13-15 (see n. 489).
485. See § 6.7.
486. See n. 226.
487. See n. 548.
488. Cp. AKBh 329,10f.: sarve tu saṃskārāḥ saṃskāraduḥkhatayā duḥkhāḥ / tāṃ tv āryā eva paśyanti /; cp. also PG 33-34 (tad ā r y ā duḥkhato viduḥ) + comm. (duḥkha<ṃ> saṃskāraduḥkhatā-saṃgrhītaṃ); Y<sub>m</sub> 88a2 (Y<sub>t</sub> dzi 194a5ff.; Y<sub>c</sub> 349a9ff.): tri-bhiḥ sthānaiḥ sattvānāṃ duḥkhasya duḥkha-saṃgraho bhavati / saṃyogajaṃ, viyogajaṃ, s ā t a t a ṇ ca / ... tṛtīyaṃ sar-vā<sup>1</sup>sv avasthāsu varttamānasya d a u ṣ ṭ h u l y a - s a ṃ - g r h ī t a m , tathā-sambhūtatvāt saṃskārāṇāṃ / yatr ā - r y ā < ṇ ā > m e v a d u ḥ k h a b u d d h i r bhavati, na pṛthagjanānāṃ /; Y<sub>t</sub> zi 61a8f. (see n. 602).

1.  $Y_m$ : -tvā-;  $Y_t$  (gnas skabs) thams cad (du).

489. ASBh 44,8ff.: aduḥkhāsukhā vedanā 'layavijñāna-saṃpratyuktā tadvedantīyāś ca saṃskārā duḥkha-vipariṇāma-duḥkhatayor dauṣṭhulyenānugatatvāt tena duḥkhatādvayenānirmuktatvād eka-dā duḥkhāvasthām bhajante ekadā sukhāvasthām, na nityakālam aduḥkhāsukhāvasthā eva bhavanti; tasmād ... ayogakṣematvāt saṃskārāduḥkhatā veditavyā /; 55,13-15: kleśadauṣṭhulya-prabhāvitatvād aduḥkhāsukha-vedanā-prabhāvitatvāc ca ālayavijñānam viśeṣeṇa saṃskāra-duḥkhatāvasthām.

490. Cp., e.g., ŚrBh 257,7ff.: saṃkṣepatas tu yā (ms.) ca duḥkha-duḥkhatā yā ca kleśa-saṃgrhītā vipariṇāma-duḥkhatā (Tib., Ch.; cp. ŚrBh 256,12ff. and 17ff.; S 1977, 921) yā ca sādhiṣṭhānā<sup>1</sup>-sukhā<sup>2</sup>vedanā-saṃgrhītā (ms.) (sc. vipariṇāmaduḥkhatā: cp. ŚrBh 256,7ff. and 15f.), tāṃ sthāpayitvā ye tad-anye skandhā aduḥkhāsukha<sup>1</sup>sahagatāḥ ..., iyam ucyate saṃskāra-duḥkhatā //; 490,5ff.: tatrāyogakṣemākāreṇa aduḥkhāsukha-sthānīyeṣu skandheṣu duḥkhākāram avatarati / tathā hy aduḥkhāsukhā<sup>2</sup>sthānīyāḥ skandhāḥ <sāsravāḥ?<sup>2</sup>> sopādānā dauṣṭhulya-sahagatāḥ <sukha>-duḥkha<sup>3</sup>-bījānugatā avinirmuktā duḥkha-duḥkhatayā vipariṇāma-duḥkhatayā ca ... / evam ... aduḥkhāsukha-sthānīyeṣu saṃskāreṣv aduḥkhāsukhāyāṃ ca vedanāyāṃ saṃskāra-duḥkhatām avatīrṇo bhavati ... //. Cp. S 1977, 920.

1. = ms.

2. Thus Tib. and Ch., but missing in ms.

3. Thus Tib. and Ch.; ms. aduḥkha-.

491. See comm. on PG 37 (... ālayavijñānasamgrhītasya duḥkhasya ... viśoṣaṇam darśayati: see App. II; cp. also § 7.1B.2.1.4.2.b).

492. Y 109,13-15 (see § 6.4.1). Cp.  $Y_t$  zi 3a8ff. (Proof Portion: see n. 434);  $Y_t$  zi 5b4f. (Pravṛtti Portion: see n. 438);  $Y_t$  zi 19ob3 ( $Y_c$  651c25 [Sacittikabhūmiviniścaya]): de dag (sc. the four k l e ś a s of the new manas) ni kun g'zi rnam par śes pa'i sa bon las byuñ ba kho na yin pas ...

493. See n. 462.

494. Y 26,11f. (see n. 481).

495. Y<sub>t</sub> zi 215a5-7 (Y<sub>c</sub> 661b26ff.):

"The Seeds of Defilements (*kleśabīja*) ... in pellucid matter (*rūpaprāsāda*, i.e. *indriyarūpa*) and in mind (*citta*) and mental factors (*caitasikā dharmāḥ*) ... are called 'anuśayas', and they are also [called (Y<sub>c</sub>)] 'Badness' (*dauṣṭhulya*). Therefore as long as these [Seeds of Defilements = Badness] are not altogether eliminated, those [dharmas] are under the sway (and favourable to the arising) of Cankers by way of latent tendency (*\*anuśaya-taḥ sāsrava*)."

(dañ ba'i gzugs dañ / sems dañ sems las byuñ ba'i chos ... la ñon moñs pa'i sa bon ... gañ yin pa de ni bag la ñal źes bya ste / gnas ñan len kyañ de yin no // de'i phyir ji skad (P, D; read srid?) du de ma lus par ma spanis pa'i bar du des na de dag bag la ñal las zag pa dañ bcas pa źes bya'o //).

Y<sub>t</sub> zi 78a6f. (= Y<sub>c</sub> 608a13f.; cp. Hsien-yang 506b1f.): zag pa dañ bcas pa rñams don gañ gis yin /(D) ... gnas ñan len dañ rjes su 'brel ba'i (D: pa'i) don ... gyis so // (\*kenārthena ... sāsravāḥ ... / dauṣṭhulyānubandhārthena ...); ASBh 23,18f. (see n. 545); Y<sub>c</sub> 880a12-14 (no Tib.): 言有漏者、謂、若諸法 ... 諸漏處重之所隨縛 .

496. E.g. BoBh<sub>D</sub> 35,24 (see n. 540); SĀ<sub>c</sub> 92b11 quoted AKBh 197,13; DBhS 48,10; Vi 543a28; 544c13 (quoted from the Vijñānakāya); (*sāsrava* +) *sopādāna* + *dauṣṭhulyasahagata*: ŚrBh 490,7 (see n. 490); cp. ŚrBh 257,13f. (see n. 461).

497. SĀ<sub>c</sub> Nos. 39 (9a6f.; cp. AKBh 333,6f.; PSVy 50b8; JP<sub>H</sub> 1031c13) and 1175 (316a3; cp. Hsien-yang 549a7; Vi 903a11f.), corresponding to SN Nos. 22.54 (*viññāṇaṃ s ā h ā r a ṃ*) and 35.204 (IV 195; *viññāṇaṃ* only), Cp. Y. Sasaki 1982, 187 and 196 note 36ff.

498. On *vijñāna* compared to a seed see MPPU<sub>L</sub> 1151ff.

499.  $Y_t$  'i 2o1a2 =  $Y_c$  794b6 (ad  $S\bar{A}_c$  No. 39 [see n. 497]; cp. Y. Sasaki 1982, 188): *yañ srid par skye ba'i myu gu 'byuñ ba la ni ... / ñ o n m o ñ s p a ' i (D) s a b o n d a ñ l d a n p a ' i rnam par śes pa ni rgyu'o //*; cp. also  $Y_t$  'i 311b6; 312a6; 314b4 (see n. 504).
500.  $Y_t$  'i 311a5ff. ( $Y_c$  838c6ff.); cp. Y. Sasaki 1982, 183.
501. Cp. SN II 11;  $S\bar{A}_c$  1o1c26f. (not quite precise); AKBh 153,7; Y 1o9,16f.
502.  $Y_t$  'i 311b2f. ( $Y_c$  838c18-2o):  
 "The *viññāna* which appropriates the gross elements of the sense-faculties is the cause of the continuance (*sthitī*) of the gross elements of the sense-faculties endowed with life-force (*āyus*) and [bodily] heat (*uṣman*), and this continuance [of the gross elements of the sense-faculties] is[, in its turn,] the cause of [the continuance of life which is characterized by the fact] that *viññāna* does not withdraw from the body (*\*viññānasya kāyād anapakrāntiḥ*)."  
*(dbañ po'i 'byuñ ba chen po len par byed pa'i rnam par śes pa ni dbañ po'i 'byuñ ba chen po tshe dañ bcas pa dañ drod dañ bcas pa de rnams kyi gnas pa'i rgyu ste / gnas pa de ni rnam par śes pa lus dañ mi 'bral ba'i rgyu yin no //)*.  
 On the mutual support (*ādhāra* = *sthitī-hetu*) of *āyus*, *uṣman* and *viññāna* see AKBh 73,21ff.
503. See n. 501.
504.  $Y_t$  'i 311b5f. ( $Y_c$  838c27-29):  
 "Through these three (viz. searching after the other three kinds of "nourishment", i.e. [real] food, pleasant touch and hope for pleasant things) one actualizes karman and *kleśas* leading to rebirth (*\*paunarbhavika*), and [thereby] causes one's *viññāna* to be subject to (-*upaga*) karman and *kleśas* and [to remain] under the sway of Clinging (*sopādāna*) in this life (*drṣṭe dharme*), and to be favourable to rebirth in the future (*āyatyaṃ punarbhava*)."

(des gsum po de dag gi sgo nas yañ srid par skye ba'i las  
dañ ñon moñs pa bsgrubs (D) nas tshe 'di la rnam par śes pa  
ni (D: de) las dañ ñon moñs par ñe bar 'gro ba dañ len pa  
dañ bcas pa dañ phyi ma la yañ srid par skye ba la phan  
'dogs par byed do //);

cp. Y<sub>t</sub> 'i 312a5f. (Y<sub>c</sub> 839a14ff.); 313a3f. (Y<sub>c</sub> 839b2of.);  
314b4f. (Y<sub>c</sub> 840a17ff.; cp. Y. Sasaki 1982, 188f.):

"By these two Defilements (*saṃkleśa*; Ch. 煩惱 = *kleśa*),  
[viz. *nandī* and *rāga* (cp. SĀ<sub>c</sub> No. 374 = SN No. 12.64),]  
*viññāna* becomes polluted (*saṃkliṣṭa*) in this life (*drṣṭe*  
*dharme*), and ... thrives (*\*vardhate*, cp. Ch. 增長) as a  
Seed of rebirth in the future."

(kun nas ñon moñs pa 'di gñis kyī<s> tshe 'di la rnam par  
śes pa kun nas ñon moñs pa can du 'gyur te / ... phyi ma la  
yañ srid par skye ba'i sa bon gyi tshul gyis [bzin: om. D]  
skye bar 'gyur ro //).

Cp. also Y<sub>t</sub> 'i 167b3f. (Y<sub>c</sub> 781c12f.):

"*Viññāna* is understood to be similar to an object for magic  
(*māyā*, cp. MSABh 59,5: *māyā*<sup>1</sup> = *ma*<sup>2</sup>*ntra-parigrhītaṃ bhrānti-*  
*nimittaṃ kāṣṭha-loṣṭādikam*) [which under the influence of  
an incantation successively appears as various other things],  
in so far as *viññāna*, being under the sway of Clinging  
(*sopādāna*), [successively] imitates (*\*anu-vṛtti* or *-vartana*,  
i.e. unites itself with) various personalities (*ātmabhāva*)  
[based on, or consisting of,] the four entities attached to  
which *viññāna* persists [in *saṃsāra*] (*viññāna-sthiti*, i.e.  
the remaining four skandhas)."

(rnam par śes pa la ni len pa dañ bcas pa'i rnam par śes pa  
dañ / rnam par śes pa'i gnas bzi dañ / lus sna tshogs kyī  
rjes su 'jug pa'i sgo nas sgyu ma lta bur rtogs pa; my  
rendering is, however, closer to Chin. which presents a more  
plausible analysis of the presumable Sanskrit compound *\*sopā-*  
*dānaviññāna-caturviññānasthiti-vicitrātmabhāvānuvṛttitaḥ* (or  
the like)).

1. Word repeated from MSA! Therefore against Tib. not to be  
read as *māyā*-.

2. Thus S. Lévi in his translation of MSA(Bh) (109 note) and Jñānaśrīmitranibandhāvalī (ed. Thakur, Patna 1959) 484,6.

505. See n. 499.

506. Cp. Y<sub>t</sub> zi 5b8 + 6a3f. (see § 3.12.5 + ns. 417 and 418) and 8b1f. (H 1979, 38 [§ 5.b.A.4(b)]; see § 4.7.1 + n. 548).

507. See n. 226.

508. Saṃdh V.2: ... der dañ por 'di ltar len pa rnam pa gñis po  
- r t e n d a ñ b c a s p a ' i d b a ñ p o  
g z u g s c a n l e n p a dañ / mtshan ma dañ miñ dañ  
rnam par rtog pa la tha sñad 'dogs pa'i spros pa'i bag chags  
len pa - la <b>rten nas<sup>1</sup> / sa bon thams cad pa'i sems rnam  
par smin ciñ 'jug la rgyas śiñ 'phel ba dañ yañs par 'gyur  
ro (\* ... vipacyate saṃmūrcehati vṛddhiṃ virūḍhiṃ vipulatām  
āpadyate) //.

1. The old Tib. translation in Stein Tib. No. 194 (see H 1984), fol. 44b4f. (breaking off in the middle of the sentence) has *blañs pa* instead of *la brten nas*, which suggests \*(*dvividham upādānam*) u p ā d ā y a for the original Sanskrit.

509. See n. 172.

510. 'Prapañca' is used both in the sense of the p r o c e s s of proliferation, especially conceptual proliferation, or even of (emotionally involved) proliferating or diversifying conceptual a c t i v i t y , as also in that of what is the r e s u l t of such a process ("diversity") or the o b j e c t of such an activity (cp. also ns. 514, 532(b), 1405 and 1425). The rephrasing of the present Saṃdh expression at Si 10a15 ( 相名分別習氣 ), omitting 'prapañca', would seem to support an interpretation in the "resultative" or "objective" sense of "diversity" (which of course includes, though only as an element of diversity, the subjective entity *vikalpa*), for taken in this sense *prapañca* would c o n s i s t of *nimitta*, etc., so that the word 'prapañca' is virtually redundant. On the other hand, VinSg (see § 4.5), replacing 'prapañca' by 'abhiniveśa', gives relief to

and corroborates the aspect of an emotionally involved mental activity or attitude.

511. In this context: objective phenomena as they are experienced or imagined, admitting of being associated with names, and being (co-)conditioned by subjective conceptual activity (*vikalpa*), which has become habitual so that it permeates all (ordinary) perceptions and cognitions.
512. According to Takasaki (1982, 28) the twofold *upādāna* corresponds to *nāma-rūpa* (as the *pratyaya* of *viññāna* = *sarvabhī-jakaṃ cittam* in the sense of the ten-membered *pratītyasamutpāda*; cp. also n. 1143). This would be quite natural in the context of rebirth but is not explicitly stated, and hence does not seem to be focussed upon by the text.
513. Cp. Pr 212,18: *upādīyata ity upādānam*. Cp. also the interpretation of the *Viniścayasamgrahaṇī* (see § 4.5) and Takasaki (1982, 27; 1982a, 50) who even renders '*upādāna*', in the case of both *upādānas* of the present passage, as "material" ( 素材 ).
514. As for quasi-synonymity of '*prapañca*' and '*upādāna*', cp. AN IV 68f. and Y 26,18 ( *p r a p a ñ c a* , *ālaya* and *u p ā - d ā n a* : see n. 391). Cp. also the fact that the *Viniścaya-samgrahaṇī* replaces '*prapañca*' by '*a b h i n i v e ś a*' (see § 4.5 + n. 532).
515. As would, primarily at least, hold good for the *prapañca*-(*abhi*)*rati* of the *Basic Section* of the *Yogācārabhūmi* (PG 28, etc.: see App. II + ns. 1408 and 1405(C-H)).
516. Cp. the *Vastusamgrahaṇī* passage quoted in § 4.3.1 + n. 499 according to which *s o p ā d ā n a -viññāna* means *viññāna* containing the *Seeds* of the *kleśas* ( $\approx$  *upādāna* in the sense of spiritually negative Clinging). The use of 'x' in the sense of '*x-vāsanā*' is explicitly vindicated in PSVy 20a8: *yid kyi bag chags la yañ yid ces lan 'ga' brjod de / ji ltar las kyi bag chags la yañ las źes bya ba ... bźin no //*.



517. According to SaṃdhVy co 81a4f., *parikalpitasvabhāvābhini-veśavāsanā* is the *apekṣāhetu* of *ālayavijñāna*'s reincarnation. In BoBh<sub>D</sub> 69,5f. (= BoBh<sub>W</sub> 97,16f.), the *apekṣāhetu* is defined as that with an eye to which or motivated by which one desires and takes hold of something (*yadapekṣaṃ yaddhetukaṃ yasmin vastuni arthitvam u p ā d ā n a ṃ ca bhavati, ayam asyocyate 'pekṣāhetuḥ*; cp. Y 107,13f.). However, the illustrations added by BoBh do not fit this definition but imply a concept according to which *apekṣāhetu* is the cause or instrument required for some action, as e.g. the hands are required for seizing or the feet for walking. In the present case, it would seem reasonable to understand *prapañcavāsanā* as the *apekṣāhetu* of Linking up (*pratibandhi*) in the sense of its being the latent drive due to which a new existence is taken hold of. Cp. also TrBh 19,11ff. where *upādāna* in the sense of (*ātmādi-* and *rūpādi-dharma-vikalpa-*)*vāsanā* seems to be understood as "that due to the existence of which (something, viz., in the given case, the actual *vikalpa*) is "appropriated" (by *ālayavijñāna* as a result)" (*t a t s a d b h ā v ā d ā laya-vijñānenātmadivikalpo rūpādivikalpaś ca kāryatvenôpāttaḥ*; cp. TrT 18a7f.: *de las tshig gi don 'di 'byun ste / de yod na ji skad brjod pa'i 'bras bu len par byed de / de bas na bag chags "len pa" 'jes bya ba'o //*). I cannot follow Takasaki (1985, 37,17) interpreting *upādāna*, in this TrBh passage, not only as "material" (素材 : cp. n. 513) arousing the *vikalpas* but also as "what is apprehended" (*upādeya*) in the sense of the object (所緣).
518. The same would seem to hold good also for Takasaki's interpretation (see n. 513).
519. Cp. SaṃdhVy co 81a4 (*mñon par 'zen pa'i bag chags kyi<s> phyi mar ñin mtshams sbyor bar byed pas ...*).
520. Saṃdh V.2 (end): *de la gzugs can gyi khams na ni len pa gñi ga yod la / gzugs can ma yin pa'i khams na ni len pa gñis su*

*med do* //. Takeuchi (1985, 277,6) takes the last sentence to mean that in *ārūpyadhātu* b o t h *upādānas* are lacking; but this would presuppose *len pa gñis med do*, whereas the text as it stands, viz. *len pa gñis s u med do*, means that in *ārūpyadhātu* the *upādāna* does not exist a s t w o, i.e. is not twofold (*\*advaya?*) but only one (cp. also n. 521).

521. Cp.  $Y_t$  zi 4b2f. ( $Y_c$  580a6f.; Chüeh-ting 1019b3f.; H 1979, 26 [§ 1.b.A.1]): *gzugs can ma yin pa na ni bag chags len pa kho nar zad do* ( $\approx$  *\*arūpiṇi* (sc. *dhātau*) *vāsanōpādānam eva*); SamdhVy co 81b2: *de na gzugs med pa'i phyir rten dan beas pa'i dbaṅ po gzugs can ni med kyi / bag chags 'ba' 'zig la bltos nas skye'o* //.
522. Cp. Yokoyama 1979a, 13ff.; Suguro 1982, 63f.
523. See n. 147.
524. See § 3.9.2.5 + ns. 337-340.
525. The grammatical analysis of the compound would in this case be analogous to that of '*rūpīndriya-upādāna*' (for which see § 4.4.2.1).
526. Cp., e.g., Nagao 1978, 384; Yokoyama 1979a, 8f.; Takasaki 1985, 41.
527. PSkVai 46a8: *s a b o n ñ e b a r l e n p a 'i kun g'zi rnam par ses pa* (*\*bījopādāyakam(?) ālayavijñānam*); Si 10a14-16; 13c10; 14c7f. ( 以能執持諸法種子、 ... 故說此識名阿陀那 ).
528. The reliability of the corresponding expression in Hsien-yang 480c5f. ( 此識能執受 ... 熏習 : see n. 536) is difficult to assess (see n. 538).
529. See n. 517.
530. See n. 226.
531.  $Y_t$  zi 4b2 ( $Y_c$  580a5f.; Chüeh-ting 1019b1f.; H 1979, 26 [§ 1.b.A.1]); Skt. preserved in TrBh 19,7f.: *tatrādhyātman upā-*

*dānaṃ parikalpitasvabhāvābhiniवेशavāsanaṃ sādhiṣṭhānam indri-  
yarūpaṃ ... ca.*

532. a) This looks like an adaptation to the terminology of Saṃdh VI-VII (and  $Y_t$  'i 19b6ff.). Moreover, the terminology of the VinSg passage, though, to be sure, of Mahāyāna proveni-  
ence, would a l s o - more easily than the expression used in Saṃdh V.2 - admit a traditional, Śrāvakayānist interpreta-  
tion in the sense that *parikalpita-svabhāva* may be taken as the fictive *ātman* (and *ātmīya*) and other "wholes" and per-  
manent things (see § 10.3.2). From this point of view, the fact that Hsien-yang 480c6 (*\*prapañcavāsanaṃ*, see n. 536) and especially Si 10a15 (*\*nimitta-nāma-vikalpa-prapañca-vāsanaṃ*, see n. 510) return to the terminology of the Saṃdhinirmocana-  
sūtra may indicate an intention to stress the Mahāyāna position (if I may, in spite of problems of origin, use this label for the ontology of *dharmanairātmya*). It may however also have been motivated by the fact that - especially in the system ascribed to Dharmapāla, according to which not all kinds of mind and mental factors but only *manas* and *manoviññāna* and their associates were regarded as *parikalpa-  
ka* (Si 45c25ff.) - the *parikalpitasvabhāvābhiniवेशavāsanaṃ* is, in spite of  $Y_t$  zi 30a5ff. (see n. 553), not easily interpret-  
ed as comprising a l l Seeds that ālayaviññāna contains (see § 4.5.2) and makes its object (see §§ 5.6.3.2; 5.6.4.2; 5.16).
- b) The equivalence of the *-abhiniवेशavāsanaṃ* of VinSg with the *-prapañcavāsanaṃ* of Saṃdh V.2 is explicitly stated at SaṃdhVy co 81a3. Cp. also ASBh 92,5f. (*sarvadharmānāmābhini-  
वेशavāsanaṃ = prapañcavāsanaṃ*). '*Abhiniवेशa*' is used as a synonym of '*prapañca*' at Akutobhayaṃ tsa 82a1; cp. also MAVT 146,3f. beside 144,6f. (cp. n. 729); Hsien-yang 579c4 (quasi-synonymity of concepts including 執著 (*\*abhiniवेशa*), 為作 (*\*abhisamskāra*), 戲論 (*\*prapañca*) and 取 (*\*upādāna*)). Cp. also the not infrequent equation of *prapañca* with *trṣṇā* or *kleśa*, both in non-Yogācāra (see Ñāṇananda 1971, 11f.;

108ff.; AN IV 68f.; Vibh 392f.; Vi 344a12f.; 420c15f.) and Yogācāra texts, e.g. Y<sub>m</sub> 84b2 (= Y<sub>t</sub> dzi 185b8): *prapañcaḥ*<sup>1</sup> *katamaḥ* / *kṛṣṇāḥ* > *sakṛṣṇāḥ ca skandhāḥ*; Y<sub>m</sub> 128b2 (= Y<sub>t</sub> dzi 288b3f.; cp. ŚrBh 233,16f.): *sarvêñjita-manyita- p r a - p a ñ c i t ābhisaṃskṛta- t ṛ ṣ ṇ ā -gataiḥ*. This material would seem to show that *prapañca* (as a subjective act) is a wrong attitude that has both an intellectual and an appetitive or emotional aspect (cp. also n. 1405(A)), and that in this sense *prapañca* is, though focussing on the somewhat different aspect of conceptual proliferation (Ñāṇananda 1971, 4), closely related to *abhiniveśa* and even *upādāna* (in the sense of spiritually negative Clinging).

1. Y<sub>m</sub> *pañcamah*, but *prapañcaḥ* in the uddāna (Y<sub>m</sub> 84a1).

533. E.g. Saṃdh VII.10.

534. E.g. Y<sub>t</sub> 'i 137b6f. (cp. S 1969a, 44f.).

535. '*abhiniveśa*' and '*upādāna*' may, of course, also be used as quasi-synonyms (c.p., e.g., SN No. 12.15, or Hsien-yang 579c4 (see n. 532(b))).

536. Hsien-yang 480c3ff.:

"ālayavijñāna is produced by *kṛṣṇas* and predominant karma committed at a former time (i.e. in a former life) as its conditions (*pratyaya*), and by the beginningless Impressions of [saṃsāric] diversity/diversification (*anādikālikaprapañca-vāsanā*) as its [homogeneous] cause (*hetu*) ...; it a p p r o p r i a t e s /contains and perceives the material sense-faculties along with their bases and the p r a - p a ñ c a v ā s a n ā ."

(阿賴耶識者、謂、先世所作增長業煩惱為緣、無始時來戲論熏習為因所生、...。此識能執受了別色根根所依處及戲論熏習。)

537. This term indicates that in the Hsien-yang *prapañcavāsanā* is clearly distinguished, namely as the fundamental cause of diversity as such, from (the Impression of) spiritually negative Clinging (represent-

ed, in this passage, by the *kleśas*) as a specific condition of rebirth. Cp. n. 831.

538. In view of the absence of another version it is, however, difficult to decide to what extent Hts.'s rendering of this passage is faithful to the original.
539. Cp. definitions of *sāsrava* (*tva*) in terms of being the object of *āsravas* = *kleśas* (e.g. VisM XIV.10;  $Y_t$  zi 215a8ff. [ $Y_c$  661c2ff.]: *zag pa'i dmigs pa las zag pa dañ bcas pa* = \**āsravālambanataḥ sāsrava*;  $Y_c$  880a12-14 [no Tib.]: 言有漏者、... 諸漏所緣; rejected in VGPVy 386b2-4), or in terms of being the objective basis (\**vastu*?) of *satkāya*- or *ātma*-*dṛṣṭi* (Vi 392b26f.: 若法是有身見事 ..., 是有漏義; cp. also Vi 92b20).
540. Cp. BoBh<sub>D</sub> 35,24ff. (= BoBh<sub>W</sub> 52,10ff.):  
 "When an object which is under the sway of Cankers and Clinging has for a long time habitually, obstinately and intimately been conceived of as one's Self or one's own, [and when], due to this habit of wrong apprehension, the [corresponding] wrong idea arises in dependence upon the [occurrence of] its object [because the latter is] liable to give rise to [such] a wrong view (cp. CPD s.v. *āsavaṭṭhānīya*), then this is called the idea of 'I' and 'Mine'" (*yad vastu sāsravaṃ sopādānīyaṃ dīrghakālam ātmato vā ātmīyato vā samstutam abhiniviṣṭaṃ paricitaṃ, tas-mād asadgrāhasamstavāt svaṃ dṛṣṭiṣṭhānīyaṃ vastu prati-tyôtpadyate vitatho vikalpaḥ, ayam ucyate aham iti mamêti ca vikalpaḥ* /).
541. On *sāsrava* see, besides ns. 539 and 542-547, also n. 495 and, e.g., de la Vallée Poussin 1932, 114ff.; AK<sub>P</sub> I, 6f.; J. Katō 1973; Odani 1977. *Sāsravatva* based on the *ahamkāra* of *kliṣṭa-manas*: MSg I.7A.6; Si 25c20ff. (Si<sub>P</sub> 285 ff.). On the use of *sāsrava* with reference to the skandhas of an Arhat (not relevant to the present context), see ASBh 24,1f. and S 1969a, 157.

542. Cp. ASBh 23,15f.: *ā s r a v a - t a d ā t m a t a ḥ* (= AS 18,4) *āsravāṇāṃ sāsrauatvam, āsrava-svabhāvena yuktatvāt.*
543. Cp. ASBh 23,16f.: *ā s r a v a - s a ṃ b a n d h a t a s t a t - s a h a b h u v ā ṃ c i t t a - c a i t t ā n ā ṃ c a k ṣ u r ā d ī n ā ṃ c a* (*sāsrauatvam*), *āsrava-saṃprayuktatvād āśrayatvāc* (Tib.; ms. *āsravatvāc*) *ca yathākramam.*
544. Cp. ASBh 23,18: *ā s r a v a - b a n d h a t a ḥ k u ṣ ā l a - s ā s r a v ā ṇ ā ṃ* (*sāsrauatvam*), *tad-vaśena* (i.e. *āsrava-vaśena*) *punarbhava-nirvartanāt.*
545. Cp. ASBh 23,18f.: *ā s r a v ā n u b a n d h a t o* ... (*sāsrauatvam*), ...-*āsrava-dauṣṭhulyānuga*<sup>1</sup><*ta*><sup>2</sup>*tvāt.* Cp. also n. 495.
1. Thus the ms.; cp. Tib. *dan ldan pa* and Ch. 所隨逐; ed.: -*śraya*-.
  2. Cp. ASBh 23,20.
546. As an exception, ASBh 23,20f. mentions the *nirvedhabhāgīyas.*
547. Cp. AS 18,5: *āsravānukūlyataḥ* (*sāsrauatvam*); Y<sub>c</sub> 880a12-14 (no Tib.): 言有漏者、... 能生諸漏 .
548. Y<sub>t</sub> zi 8b1f. (Y<sub>c</sub> 581b4-6; Chüeh-ting 1020a18-20; H 1979, 38 [§ 5.b.A.4]);  
... *kun g'zi rnam par ṣes pa de nīd ni sa bon thams cad pa yin pa'i phyir* (a) *da ltar gyi dus na sdug bsñal gyi bden pa'i ran bzin dan* / (b) *ma 'oñs pa'i dus na sdug bsñal gyi bden pa skyed par byed pa dan* / (c) *da ltar gyi dus nīd na kun 'byun ba'i bden pa skyed par byed pa'añ yin no //*  
( $\approx$  *\*tad evālayavijñānaṃ sarvabīja(ka)tvād* (a) *varttamāne 'dhvani duḥkhasatya-svabhāvam*, (b) *ānāgate 'dhvani duḥkhasatya-janakam*, (c) *varttamāne 'dhvani samudayasatya-janakam ca*).
549. Y<sub>t</sub> zi 8a4f. (Y<sub>c</sub> 581a25f.; Chüeh-ting 1020a13f.; H 1979, 37f. [§ 5.b.A]): *kun g'zi rnam par ṣes pa ni mdor na kun nas ñon moñs pa thams cad kyi rtsa ba yin no //*; cp. also Y<sub>t</sub> zi 9a3f. (see § 10.1 (o) and § 11.2.4).

550. See n. 568.

551.  $Y_t$  zi 9b5 ( $Y_c$  581c11f.; Chüeh-ting 1020b14f.; H 1979, 41f. [§ C.2(b)]), were being permeated by Badness is presented as one of the characteristics of ālayavijñāna in contrast to \*āśrayaparivṛtti: *kun g'zi rnam par šes pa ni gnas ñan len dan ldan pa yin la gnas gyur pa ni gnas ñan len thams cad dan gtan bral ba yin no //*.

552. See n. 568 and the fact that ālayavijñāna is entirely absent in Arhats, etc. (see § 4.9).

553.  $Y_t$  zi 30a5-8 ( $Y_c$  589a9ff.; Chüeh-ting 1025c9ff.):  
 "What is the brief characterization of Seeds (*bīja*)? [They are] the Impression of sticking to the Imagined Character of all dharmas (\*sarva-dharma-parikalpita-svabhāvābhīniveśa-vāsanā) [- an Impression] which exists in ālayavijñāna. ... This [Impression] is also called 'Omnipresent Badness' (\*sarvatraḡa-dauṣṭhulya). (Question:) If this Impression (*vāsanā*) comprises all Seeds and is also called 'Omnipresent Badness', ..."

(*sa bon mdor bsdus pa'i rnam par bzag pa gañ ze na / chos thams cad kyī (D) kun brtags pa'i ño bo ñid la mñon par žen pa'i bag chags kun g'zi'i rnam par šes pa la yod pa gañ yin pa ste / ... de yañ kun tu 'gro ba'i gnas ñan len yin par brjod par bya'o // gal te bag chags des sa bon thams cad bsdus la (D: pa) / de yañ kun tu 'gro ba'i gnas ñan len ces bya bar gyur na / ...*).

Cp. also ASBh 92,5-7: *sarvatragam abhilāpadauṣṭhulyaṃ yā cakṣurādi-sarvadharmā-nāmābhīniveśa-vāsanā 'layavijñāne san-niviṣṭā 'nādikālānusṛtā, yā 'sāv ucyate prapañcavāsanēti, yataṣ cakṣurādayo dharmāḥ sanāmābhīniveśāḥ punaḥ punaḥ pravartanta iti /*.

554.  $Y_t$  zi 9b4 ( $Y_c$  581c9f.; Chüeh-ting 1020b12f.; H 1979, 41f. [§ C.2(a)]), characterizing ālayavijñāna as *sopādāna* in contrast to \*āśrayaparivṛtti which is *nirupādāna*: *kun g'zi rnam*

*par śes pa ni ... len pa dañ bcas pa yin la / gnas gyur pa ni ... len pa med pa yin te /.*

555. Cp. Y<sub>t</sub> zi 9b3ff., especially 9b8ff. (Y<sub>c</sub> 581c17ff.; Chüeh-ting 1o2ob19ff.; H 1979, 42f. [§ 5.b.C.3]), where the state of the Arhat who has got rid of all Pollution (*saṃkleśa*) due to having eliminated its principle, viz. *ālayavijñāna*, is characterized as follows:

"The characteristic of *ālayavijñāna* eliminated is that as soon as it is eliminated (*\*samanantara-prahīṇe tasmin* or *\*saha(-?) tat-prahāṇāt* (?): cp. n. 1248) a twofold *upādāna* (→ 1.a, 1.b) is abandoned and the body [is no longer fraught with Badness but only] continues [a while], similar to a *nirmāṇa* (→ 2). [I.e.:] (1.a) Because [*ālayavijñāna*, as]<sup>1</sup> the cause which gives rise to rebirth (*punarbhava*), i.e. Suffering, in future, has been abandoned, t a k i n g p o s s e s s i o n (*upādāna*) of rebirth in the future is abandoned. (1.b) Because [*ālayavijñāna* comprising]<sup>2</sup> all causes of pollution (*saṃkleśa*) in this life (*drṣṭe dharme*) has been abandoned, Clinging (*upādāna*)<sup>3</sup> to the basis (*āśraya*) of pollution<sup>4</sup> (i.e. to the animated, sensible body consisting of or furnished with the Six Senses, in so far as it is conceived of and felt as one's self or own) in this life is abandoned; (2) and, being free from all Badness (*dauṣṭhulya*), [this basis, i.e. the body furnished with or consisting of the Six Senses<sup>5</sup>] continues [for some time], being merely (*-mātra*) the condition of physical life (*jīvitapratyaya*)."

*(kun gzi rnam par śes pa de'i spaṅs pa'i mtshan ñid ni de spaṅs ma thag tu len pa rnam pa gñis spon ba dañ / sprul pa lta bu'i lus kun tu gnas pa ste / phyi ma la sdug bñal yañ 'byuñ bar byed pa'i rgyu spaṅs pa'i phyir / phyi ma la yañ 'byuñ bar byed pa'i len pa spon ba dañ / tshe 'di la kun nas ñon moṅs pa'i rgyu thams cad spaṅs pa'i phyir / tshe 'di'i kun nas ñon moṅs pa'i gnas len pa<sup>3</sup> thams cad spon ba dañ / gnas ñan len thams cad dañ bral źiñ srog gi rkyen du gyur pa tsam kun tu gnas so //).*



1. Cp. Y<sub>t</sub> zi 8b1f. (H 1979, 38 [§ 4(b)]); see n. 548.
  2. Cp. Y<sub>t</sub> zi 8b2 (H 1979, 38 [§ 4(c)]); see n. 548.
  3. So to be read in accordance with Hsüan-tsang (一切雜染所依之取) and because two kinds of *len pa* had been announced. Y<sub>t</sub> and SaṃdhVy co 78b2: *gnas nan len*; SaṃdhVy co 105b7: *gnas na len pa*.
  4. Or: Clinging to the basis (i.e. the animated body ...) [which (viz. Clinging) constitutes] Pollution.
  5. To be supplied from the preceding sentence (which has to be interpreted accordingly), on the lines of the introductory *sprul pa lta bu'i lus* ( ལྟུགས་ཀྱི་ལུས་ ) *kun tu gnas pa ste* of which the present passage is obviously the explanation. Cp. MN III 107f. (*imam eva kāyaṃ ... saḷāyatanikaṃ jīvita-paccayā*) and AS 42,12 (*yad aśaikṣāṇāṃ jīvitendriyapratyayaṃ śadāyatanam*).
556. Hsien-yang 48oc12-14; cp. Y. Sasaki 1982, 189; 1982a, 133.
557. The passage translated in n. 555 would even seem to presuppose that it is still the polluted basis-of-personal-existence as a whole, especially the body, that is considered to be clung to (as Ego or as Mine).
558. Y 25,1f.: *tat punaḥ sarvabījakam vijñānam parinirvāṇadharma-kāṇām paripūrṇabījam, aparinirvāṇadharma-kāṇām punas trividhabodhibījavikalam*.
559. Y<sub>t</sub> zi 3b2f. = ASBh 12,28f. (H 1978, 12 [§ iv]; Griffiths 1986, 134f.).
560. See §§ 10.3.1.1 and 10.3.2.
561. Y<sub>t</sub> zi 9a3ff., especially b2f. (see § 10.1).
562. See § 11.2.
563. Y<sub>t</sub> zi 8b4-6 (Y<sub>c</sub> 581b10-12; Chüeh-ting 1020a2off.; H 1979, 39 [§ B.1]): *kun gzi rnam par ses pa thar pa'i cha dan mthun pa dan / nes par 'byed pa'i cha dan mthun pa'i dge ba'i rtsa ba rnams kyi sa bon yons su 'dzin pa gañ yin pa (\*yad ālayavijñānam mokṣabhāgiya-nirvedhabhāgiya-kuśalamūla-bīja-parigrhitam) ... (continuation see n. 566).*
- The *nirvedhabhāgiya-kuśalamūlas*, which immediately precede and introduce *darśanamārga*, consist of

*uṣmagata*, etc. (cp., e.g., Vi 35a6f.; AKBh 274,22; 346,1f.; ŚrBh 324,10ff.; AS 65,20f.; Si<sub>p</sub> 575ff.). The *m o k ṣ a - b h ā g ī y a s* seem to comprise all preparatory wholesome factors accomplished with the intention to attain salvation (Si<sub>p</sub> 564f.), such as almsgiving, morality, or reciting/memorizing Sūtras (Vi 35a21ff.), or *kuśalo dharmacchandaḥ*, etc. (ASBh 118,15ff.; cp. ŚrBh 8,15ff.), up to the four *smṛtyupasthānas* (cp. AKVy 528,12f.). They have to be distinguished from "good dharmas conducive to merit" (*p u ṇ y a b h ā - g ī y a -kuśala*) which serve the purpose not of liberation but of intramundane happiness (AKBh 274,18ff.; AD 218,1ff.; Vi 34c27ff.).

564. Cp. Y<sub>t</sub> zi 9a4 (*so so'i skye bo!*), and perhaps 8b6-8 speaking, probably with reference to the *punjabhāgīya-kuśala-mūlas* (see n. 1344), of "the other mundane *kuśalamūlas*" (though the expression is, to be sure, not unambiguous since an interpretation as "the other, viz. the mundane *kuśalamūlas*" does not seem to be entirely impossible. For according to ASBh 23,19-24,1 the *nirvedhabhāgīyas* may, in a sense, even be established as *anāsrava* because they are opposed to [the *āsravas* not because of actually eradicating them but] in so far as they are averse to all [mundane] existence (*sarva-bhava-vaimukhyena tatpratipakṣatvāt*).
565. See § 4.8.2.
566. Y<sub>t</sub> zi 8b4-6 (H 1979, 39; as for the initial portion of the text, see n. 563): ... *de ni kun 'byun ba'i bden pa'i rgyu (samudayasatya-hetu) ma yin te / thar pa la sogs pa'i cha dan mthun pa'i dge ba'i rtsa ba rnams ni 'jug pa dan 'gal ba nīd yin pa'i phyir ro (\*pravṛttivairodhikatvān mokṣādibhāgīyānām kuśalamūlānām) //*.
567. Cp. the express statement, to this effect, of MSg I.45 (see n. 574).
568. Y<sub>t</sub> zi 9b6 (Y<sub>c</sub> 581c12f.; Chüeh-ting 1o2ob15-17; H 1979, 41f. [§ C.2(c)]): *kun g'zi rnam par ṣes pa ni ñon moṅs pa rnams*

kyi 'jug pa'i rgyu dan lam gyi 'jug pa'i rgyu ma yin la /  
 ≈ \*ālayavijñānaṃ kleśa-pravṛtti-hetur mārgāpravṛtti-hetuś  
 (cp. Y<sub>c</sub> 聖道不轉因) ca. Cp. also Hsien-yang 480c9f.: "The  
 function (karman) [of ālayavijñāna] is to increase the defil-  
 ed (\*kliṣṭa) pravṛttivijñānas, etc., and to diminish (or:  
 to prevent the arising and growth of?) the pure pravṛttivi-  
 jñānas, etc." ( 能增長有染轉識等為業、及能損減清淨轉識等為業 ).

569. See § 4.7.2 (+ n. 553).

570. Y<sub>t</sub> zi 30a8f. (Y<sub>c</sub> 589a14ff.; Chüeh-ting 1025c14ff.; cp. S 1969a, 166):

"[Objection (continued from n. 553):] ... In this case, what  
 is then the Seed from which the supramundane dharmas arise?  
 [A Seed incorporated in ālayavijñāna is out of the question,  
 for it is included in Badness, and] what has the nature of  
 Badness cannot be the Seed from which the [supramundane  
 dharmas] arise!<sup>1</sup>

Answer: Supramundane dharmas arise from (-anugata?)<sup>2</sup> [their]  
 ālambana-pratyaya, viz. tathatā, as their "Seed"; they do  
 not arise from a Seed accumulated [by way of] Impression  
 (\*vāsanācita-bīja)."

(de ltar na 'jig rten las 'das pa'i chos rnams skye ba'i sa  
 bon gañ yin / de dag skye ba'i sa bon gyi dños po gnas nan  
 len gyi rañ bñin can yin par ni mi ruñ ño ze na / smras pa /  
 'jig rten las 'das pa'i chos rnams ni de bñin ñid la dmigs  
 pa'i rkyen gyi sa bon dan ldan par skye'i<sup>3</sup> </> bag chags  
 bsags pa'i sa bon dan ldan pa ni ma yin no //).

1. In the Tib. version, dños po is odd. In view of Y<sub>c</sub> (...  
 龜重自性種子為種子生、不應道理 ) it would seem that Tib.  
 has misconstrued a presumable original like \*dauṣṭhulya-  
 svabhāvasya tadutpattibījabhāv(asy)āyogāt.

2. Cp. ns. 172, 402 and 438. Y<sub>c</sub> here: 從 ...

3. D wrongly skye ba'i.

Strictly speaking, such a use of the term 'Seed' (bīja) in  
 the sense of ālambanapratyaya means that a Seed proper, in  
 the sense of hetupratyaya, is denied to exist in the case

of supramundane dharmas (or at least of the first of them), as is made explicit at VGPVy 43ob6ff.

According to Y<sub>t</sub> zi 9b6f. (Y<sub>c</sub> 581c13-15; Chüeh-ting 1o2ob17-19; H 1979, 41f. [§ C.2(c)]), \**āśrayaparivṛtti* (in this paragraph of the text probably to be identified with *tathatā* (see n. 1337) freed, or partly freed, from Impurities) is the cause of the coming forth (*pravṛtti*) of the [supramundane] Path (*mārga*), yet not in the sense of being the cause which generates the latter [for the first time] (*jana-na-hetu*: cp. AKBh 1o2,24; ASBh 3,4) but only in the sense of the cause which supports [its continuance] (*pratiṣṭhā-hetu*: cp. Y 217,4 and, though in a somewhat different sense, AKBh 1o2,25 and ASBh 3,4 (cp. Y 53,1ff.); H 1979, 23 note 49). Cp. also Y<sub>t</sub> zi 3ob6f. (Y<sub>c</sub> 589a28ff.; Chüeh-ting 1o25c23f.): 'jig rten las 'das pa'i chos skyes pa rnam kyī (D) rjes su 'jug pa ni gnas gyur pa'i stobs bskyed pa las rig par bya ste / (≈ \**lokottarāṇām dharmāṇām utpannānām anuvṛttir āśrayaparivṛtti-balādhānād veditavyā*).

571. Y<sub>t</sub> zi 3ob3ff. (Y<sub>c</sub> 589a21ff.).

572. Cp., e.g., Yūki 1935a; Takeuchi 1950-51; Frauwallner 1969, 332; Seyfort Ruegg 1969, 49of.

Since MSg I.45-49 is a kind of excursus, which may (but of course need not) reflect a slightly later stage in the development of the author's thought (cp. § 5.12.4 + ns. 71o and 711), the theory of supramundane purification in MSg may have started from a simpler view (MSg I.44) in which ālayavi-jñāna's receiving Impressions or Seeds leading to supramundane insight was not yet felt to involve difficulties, as may also be true of the Abhidharmasūtra (for which see Keenan 1982, 11ff., though in view of the Abhidharmasūtra verses quoted at MSg I.2 and I.27 [see n. 136] I should prefer to understand the *anādikāliko dhātuḥ* of the famous verse quoted at MSg I.1 as virtually referring to ālayavi-jñāna).

573. Cp. MSg I.45: *bag chags de med na sa bon gañ las 'byuñ ba brjod dgos so .../.*
574. MSg I.45: *sa bon thams cad pa rnam par smin pa'i rnam par šes pa ni kun nas ñon moñs pa'i rgyu yin na de'i gñen po 'jig rten las 'das pa'i sems kyi sa bon du ji ltar ruñ /, ≈ \*katham punaḥ sarvabījakam vipākaviññānam saṃkleśahetubhūtaṃ tat-pratipakṣa-lokottara-citta-bījatvena yujyate* (cp. S 1985, 156 note 29).
575. Cp. MSg I.45: *'jig rten las 'das pa'i sems ni ma 'dris pa ste / de bas na de'i bag chags ni med pa ñid do //.*
576. MSg I.46: *sañs rgyas kyi byañ chub la brten nas* (\*buddhānāṃ bodhim āgamyā, cp. Y 30,15).
577. Cp. MAVṬ 101,11: *dharmadhātu-prativedha-prabhāvitatvād bud-dhavadanasya.*
578. MSg II.26(3.d): *... theg pa chen po'i dam pa'i chos bstan pa ste / ... de ni ... chos kyi dbyiñs rnam par dag pa'i rgyu mthun pa yin pas ...; cp. RGV I.145; MAVBh 35,14f.; MAVṬ 101,13f.; 229,5f.*
579. MSg I.45: *chos kyi dbyiñs śin tu rnam par dag pa'i rgyu mthun pa thos pa'i bag chags; I.48* (see n. 582); MAVṬ 186,6f.
580. MSg I.46:  
 "When *śruta-vāsanā* arises in a basis[-of-personal-existence] (*āśraya*, i.e. in the stream-of-personality (*santāna*: MSgU<sub>t</sub> 262a2) or mental series (*\*citta-santāna*: VGPVy 432a4) of a living being), it abides in the *vipākaviññāna* [of this living being] by way of existing together with it [without being a part of it], like milk and water [when they are mixed]."  
*(... thos pa'i bag chags ... gañ yin pa gnas gañ la 'jug pa de lhan cig 'dug pa'i tshul gyis rnam par smin pa'i rnam par šes pa la 'jug ste / 'o ma dañ chu bñin no //);*  
 MSg I.49: *... kun gñi rnam par šes pa dañ / kun gñi rnam par šes pa ma yin pa chu dañ 'o ma bñin du lhan cig gnas pa ...*

In view of the fact that the latter passage (I.49) uses 'ālayavijñāna' instead of the 'vipākavijñāna' of the former (I.46), I hesitate to follow Nagao (1982, 223f.) who with VGPVy<sup>1</sup> and the later system<sup>2</sup> concludes from MSg I.46 that in MSg 'vipākavijñāna' is used in a wider sense than 'ālayavijñāna', and who seems to find it odd that the Bhāṣya takes the salvific *śrutavāsanā* to subsist in or on ā l a y a v i j ñ ā n a (MSgBh<sub>t</sub> 163a1f.: *thos pa'i bag chags ... kun g'zi rnam par śes pa la gnas pa*).

1. 432a5f.: *kun g'zi'i rnam par śes pa <ma> smos pa ni ma khyab pa'i phyir te </> 'di ltar de ni sa brgyad pa la sogs pa la gnas pa'i byañ chub sems dpa'i rgyud dañ / dgra bcom pa dañ / rañ sañs rgyas rnams kyi rgyud la med kyi </> rnam par smin pa'i rnam par śes pa ni yod do //*.
2. See § 4.10.2 + n. 608.

A wider extension of the term 'vipākavijñāna' could only be proved if one could point out a passage expressing or at least implying that in the case of Arhats, etc., subliminal mind continues to exist but is no longer called 'ālayavijñāna' but only 'vipākavijñāna'. Yet, I for one have found no such passage in MSg. MSg I.46 is not concerned with Arhats, etc., but with the p r o c e s s of salvation, especially with its preparatory phase preceding even the first supramundane *citta*, i.e. preceding the attainment of the state of Ārya, let alone of Arhat, etc. Actually, MSg does not seem to be interested in the theory of the Arhat except that it points out the superiority of Tathāgatas over (ordinary) Arhats. In this sense, MSg I.61D<sup>3</sup> states that in the case of Arhats and Pratyekabuddhas (before death) ā l a y a v i j ñ ā n a is free from the whole *kleśāvaraṇa* but not, as in the case of Tathāgatas, also from *jñeyāvaraṇa*, and this might seem to imply that even in the Arhat ā l a y a v i j ñ ā n a (!) somehow continues to exist. On the other hand, at MSg I.48 (MSg<sub>L</sub> 20,12-14) the v i p ā k a v i j ñ ā n a (!) containing all Seeds is stated to have become free from [all] Seeds and [hence] to be completely eliminated

(\**prahīṇa*) when the Transmutation of Basis is accomplished (*gnas rnam pa thams cad du gyur na*), and there is no reason to restrict this statement to Tathāgatas only since a few lines earlier (MSg<sub>L</sub> 2o,5ff.) both Bodhisattvas and Śrāvakas/Pratyekabuddhas had been mentioned. And since the immediately preceding sentence had spoken of a gradual decrease of vipākavijñāna itself (and not merely of Seeds contained in it), the resulting complete "evacuation" and elimination of vipākavijñāna should be regarded as c o i n c i d i n g<sup>4</sup>, and n o t as two alternatives (Arhat ↔ Tathāgata) or (with MSgBh<sub>C</sub> [Pa.] 175a4-6) as two successive steps.

3. = MSg<sub>L</sub> I.61.4. The paragraph, though contained in all Chinese versions, is not commented upon in MSgBh nor in MSgU (cp. Nagao 1982, 269)!
4. Cp. also the equivalence of expressions characterizing ālayavijñāna /vipākavijñāna as c o n t a i n i n g or as b e i n g Seed(s); see § 5.12.2 + n. 703.

Thus, I for one fail to perceive, in MSg, a clear-cut difference of the extension of the terms 'ālayavijñāna' and 'vipākavijñāna', and it seems to me that they are better taken to refer to precisely the same entity, and that the choice of one term or the other is rather a matter of a s p e c t s to be emphasized in a given case:

'Ā l a y a v i j ñ ā n a ' is, of course, used when subliminal mind is envisaged as "what is clung to" as Self (MSg I.3; 13C; 58+59.3). This term is also preferred when the c a u s a l function of subliminal mind is prominent, i.e. when it is primarily viewed as the cause or Seed of Pollutive dharmas (I.3; 8-9; 19.1; 32; 58) or of false representations (II.2; III.12), a preference found even in connection with the mutual causality of subliminal mind and Pollutive dharmas in the sense of *hetupratyaya* causality (I.14; 17).

On the other hand, the term 'v i p ā k a v i j ñ ā - n a ' is preferably used with reference to subliminal mind as the result of karmic Maturation (I.62) merging with

embryonic matter at the moment of conception (I.34), as the principle constitutive of affiliation to a specific (level of) existence (I.40-41; cp. I.21), or as the principle of biological appropriation (I.35; 50-51), and also when subliminal mind is primarily viewed as a neutral recipient or support of Impressions including *śrutavāsanā* (I.43-44; I.46).

Yet, since these aspects are not mutually exclusive and characterize one and the same entity, one will not be surprised to meet with occasional exceptions to the usage described above. Thus, 'ālayavijñāna' is once used in the biological context, viz. with reference to mind withdrawing from the body in the process of dying (I.42); and at I.49 'ālayavijñāna' denotes subliminal mind as that with which the *śrutavāsanā* coexists (though this time with additional emphasis on the heterogeneity of the two elements). On the other hand, 'vipākavijñāna' once occurs in the context of the mutual dependence of subliminal mind (/abhilāpavāsanā, i.e. *hetupratyaya* causality!) and pravṛttivijñānas/dharmas (II.32B; MSg<sub>L</sub>: II.32.1), and even in the context of subliminal mind as the cause of Pollution (I.45) which is gradually reduced and finally eliminated by the salvific *śrutavāsanā* (I.48, discussed above). Besides, in situations covering aspects of both sets, both terms are used indiscriminately (e.g. I.29 beside I.56).

581. MSg I.46: *de ni kun g'zi rnam par śes pa ma yin te /*; I.48: *thos pa'i bag chags ... kun g'zi rnam par śes pa'i gñen po yin pas kun g'zi rnam par śes pa'i ño bo ñid ma yin pa ...*; III.1 (see n. 582).
582. MSg I.45: *thos pa'i bag chags kyi* (MSgBh<sub>t</sub>; om. MSg<sub>t</sub>) *sa bon las de (= lokottaram cittaṃ) 'byun ño //*; I.46: *thos pa'i bag chags ... de'i gñen po'i* (i.e. *ālayavijñānapratipakṣasya = lokottarasya cittasya*) *sa bon ñid yin pa'i phyir ro //*; I.48: *thos pa'i bag chags ... 'jig rten pa yin yañ*



'jig rten las 'das pa chos kyi dbyiñs śin tu rnam par dag pa'i rgyu mthun pa yin pas (\*lokottara-suviśuddha-dharmadhātu-niṣyandatvena) 'jig rten las 'das pa'i sams kyi sa bon du gyur pa'o //; cp. also III.1: mañ du thos pas bsgos pa'i gnas / kun g'zi rnam par śes pas bsdu pa ma yin la / kun g'zi rnam par śes pa ltar / tshul b'zin yid la byed pas bsdu pa... 'i yid kyi brjod pa rnams kyi sa bon yin pa'o // (\*bāhuśrutya-bhāvita āśraya<sup>1</sup> ālayavijñānāsaṃgrhita ālayavijñānavad bījabhūto yoniśo-manasikāra-saṃgrhitānām ... manojalpānām /). Cp. also AS 28,9f. (hetupratyayaḥ kata-maḥ / ālayavijñānaḥ kuśalavāsanā ca) + ASBh 35,21f.; Si 8b13-15.

1. MSgBh<sub>t</sub> 192a1: bdag ñid kyi ño bo (= \*ātmabhāva, cp. Hts. 自體<sup>t</sup>); MSgU<sub>t</sub> 295a8: sams dan sams las byuñ ba'i rgyud (= \*citta-caitta-santāna).

583. Si 8b4f. (Si<sub>p</sub> 1o2ff.: Candrapāla); 9a7ff. (Si<sub>p</sub> 1o7ff.: Dharmapāla); cp. also Si 11a6-8; 45b29ff. VGPVy 431a2; YVy 112b5ff.; YidKun 38,5ff.
584. Especially LAS VI (pp. 22off.).  
Cp., e.g., Brown 1981, 271ff.; Y. Shimizu in: IBK 25.1/1976, 162f., and in: ŌsG 128/1976, 111ff.; E. Kan in: IBK 25.2/1977, (21) ff.
585. Cp. also the stanza from the Abhidharmasūtra quoted at MSg I.1, RGVV 72,13f. and TrBh 37,12f.; see Seyfort Rugg 1969, 494f.
586. Y<sub>t</sub> 1ob3f. (Y<sub>c</sub> 582a8f.; Chüeh-ting 1o2oc7-9; H 1979, 44 [§ b]: "One has pravṛttivijñāna(s) but no ālayavijñāna: an Arhat, Pratyekabuddha, Bodhisattva not liable to turn back, or Tathāgata, [as far as they are] in a conscious state (sacittikāyām avasthāyām)" ('jug pa'i rnam par śes pa dan ldan la / kun g'zi rnam par śes pa dan mi ldan pa ni sams yod pa'i gnas skabs na dgra bcom pa dan / ran sañs rgyas dan / phyir mi ldog pa'i byañ chub sams dpa' dan / de b'zin gśegs pa'o //).

587. Cp.  $Y_t$  'i 201a4f. ( $Y_c$  794b12f.) where it is said that by attaining Arhatship one "decomposes" (\**pūtīkaroti* (?)) and destroys this *viññāna* (i.e. *viññāna* furnished with the Seeds of Defilements = *sopādāna-viññāna*, see § 4.3.1 + n. 499) with its Seeds (*de yañ de'i 'og tu dgra bcom pa thob nas rnam par śes pa sa bon dañ bcas pa de rul bar byed ciñ med par byed de /*).
588.  $Y_t$  zi 10b5f. ( $Y_c$  582a10-12; Chüeh-ting 1020c11-13; H 1979, 44 [§ d]): "One is devoid of both [*pravṛttivijñāna(s)* and *ālayavijñāna*]: an Arhat, Pratyekabuddha, Bodhisattva not liable to turning back, or Tathāgata, when they have entered *nirōdhasamāpatti*, or in the state of Extinction where no Possessions (i.e. *skandhas*) remain (*nirupadhiśeṣe nirvāṇadhātau*)" (*gñi ga dañ mi ldan pa ni dgra bcom pa dañ / rañ sañs rgyas dañ / phyir mi ldog pa'i byañ chub sams dpa' dañ / de bñin gśegs pa 'gog pa la sñoms par žugs pa dañ / phuñ po'i lhag ma med pa'i mya ñan las 'das pa'i dbyiñs na'o //*).
589. Paramārtha's rendering of \**āśrayaparivṛtti* by 阿摩羅識 (*amala-viññāna* or *-jñāna*; cp. Iwata 1971, especially 50) is obviously an i n t e r p r e t a t i o n, which, interesting though it is in itself, is better left aside in ascertaining the original import of the text.
590.  $Y_t$  zi 9b7f. ( $Y_c$  581c16f.; Chüeh-ting om.; H 1979, 41f. [§ C.2(d)]): *gnas gyur pa ni dge ba dañ luñ du ma bstan pa'i chos thams cad<sup>1</sup> la dñan byed pa'o<sup>2</sup> //*

1. SaṃdhVy co 105b5: *chos rnams*

2. SaṃdhVy: *dñan du byed pa yin no*

The older layer of the *Nivṛtti Portion* material (see n. 1337) appears to characterize the state of Transmutation of Basis only in a negative way by stating that the *āśraya* (i.e. the body furnished with or consisting of *ṣaḍāyatana*: see ib.) is f r e e d from all B a d n e s s (*daṣṭhulya*).

591. Cp. Y 27,1-3: *parinirvāṇakāle* (i.e. when attaining Arhat-ship?) *punar viśuddhānām yoginām parivṛttāśrayānām sarva-kliṣṭa-dharma-nirbīja āśrayaḥ parivartate* (i.e. a Basis which is free from all Seeds of defiled dharmas replaces the old one which contained such Seeds<sup>1</sup>) / *sarva-kuśalāvyākṛta-dharma-bījeṣu ca pratyayān vikalīkaroti, adhyātmapratyaya-vaśitām (Y<sub>m</sub>) ca pratilabhate* /.

1. Tib. and Ch.: "the Basis containing all Seeds of defiled dharmas is Transmuted" (\*-dharma-bījāśrayaḥ ...?), but probably a *lectio facilior*.

592. SacAcBh (see App. I) § 5.

593. Y<sub>t</sub> zi 189a7ff., especially 190a6ff. (Y<sub>c</sub> 651b6ff., especially c15ff.).

594. Y<sub>t</sub> zi 189b5 (see n. 946).

595. Y<sub>t</sub> zi 190b5: *mi slob pa la ni rnam pa thams cad du kun tu mi 'byun' no //*, ≈ *\*aśaikṣasya sarvathā na samudācaranti* (sc. the Defilements of the new *manas*).

596. Y<sub>t</sub> zi 190a6f.: *kun gzi rnam par šes pa ni ñon moṅs pa gañ dan yañ mtshuṅs par ldan pa ma yin no //*. Direct association (*samprayoga*) of *ālayavijñāna* with actual Defilements is, of course, not asserted by any *Yogācāra* source, including the *Nivṛtti Portion*, but the fact that the matter is explicitly stated in the present context appears to be significant.

597. See § 4.8.5.

598. See ns. 556 and 568.

599. Tr 5a: *tasya (= ālayavijñānasya) v y ā v ṛ t t i r a r - h a t t v e .*

600. Y<sub>t</sub> 'i 138a5ff. (Y<sub>c</sub> 748a14ff.; S 1969a, 46ff. [§ 4]): *dgra bcom pa ... sems gañ la gnas nas phuñ po'i lhag ma med pa'i mya ñan las 'das pa'i dbyiṅs su yoṅs su mya ñan las 'da' še na / smras pa / ... 'gog pa la sñoms par 'jug la / 'jug pa'i rnam par šes pa 'gog par byed cin / d e ' i ' o g t u kun*

*g'zi rnam par śes pa'i gnas spoñ bar byed de* ( ≈ \*ālayavijñā-  
nam āśrayaṃ muñcati: see S 1969a, 128f.) / *kun g'zi rnam par*  
*śes pa dañ* / 'jug pa'i rnam par śes pa rgyu med pa mi skye  
bas / ...

601. Cp. also  $Y_t$  'i 2o7b8f. ( $Y_c$  796c29f.): "All Paths (*pratipad*)  
of the Arhats are easy<sup>1</sup> (*sukha*) and quickly realized (*kṣiprā-*  
*bhijñā*) because [ A r h a t s ] h a v e d e s t r o y e d  
a l l B a d n e s s (*dauṣṭhulya*)" (*dgra bcom pa'i lam*  
*thams cad ni mion par śes pa sla ba dañ myur bar rig par bya*  
*ste* / *gnas ñan len thams cad bcom pa...*'i *phyir ro* //).

1. Tib.: easily, but cp.  $Y_t$  dzi 197a6; AKBh 382,7.

602. E.g. BoBh<sub>D</sub> 169,4f. (BoBh<sub>W</sub> 246,25f.): *traidhātukāvacara-*  
*k l e ś a - p a k ṣ y a - d a u ṣ ṭ h u l y a - d u ḥ k h a m*;

$Y_t$  zi 168a4f. ( $Y_c$  642a12ff.):

"... All conditioned factors (*saṃskāra*) belonging to the  
three world-spheres (*traidhātuka*) are, because of being per-  
meated by (or stricken with) B a d n e s s a f f i l i -  
a t e d t o D e f i l e m e n t s (\**kleśa-pakṣya-dauṣṭhu-*  
*lyânugatatvāt* or *-ôpagatatvāt*), unwieldy (*akarmaṇya*) and not  
under one's control (*asvavaśavartin*, cp. ŚrBh 257,16). ...  
Therefore, they are called ' u n s a t i s f a c t o r y on  
account of being permeated by Badness' (\**dauṣṭhulyânū-* (or  
*-ôpa-*) *-gatatvād duḥkha*)."

(... *kham* *gsum pa'i 'du byed thams cad ni ñon moñs pa'i*  
*phyogs dañ mthun pa'i gnas ñan len dañ ldan pa'i phyir las*  
*su mi ruñ ba dañ dbaṅ sgyur ba ma yin la* / ... *de'i phyir*  
*gnas ñan len dañ ldan pa'i phyir sdug bsñal yin źes*  
*bya'o* //);

$Y_t$  zi 61a8ff. ( $Y_c$  601b22ff.):

"What is 'latent tendency' (*anuśaya*)? [Answer:] B a d -  
n e s s a f f i l i a t e d t o D e f i l e m e n t s  
(\**yat kleśapakṣyaṃ dauṣṭhulyam*), [which means] the fact that  
conditioned factors (*saṃskāra*) are in a b a d  
s t a t e o r c o n d i t i o n (cp. MAVT 167,9f.: *duḥ-*

*sthitatā*). On account of this [bad state] (cp.  $Y_c$ ) the Noble Ones (*ārya*), having comprehended (*abhisametya*) them to be characterized by *S u f f e r i n g* (*duḥkha*) in the sense of *s a ṃ s k ā r a d u ḥ k h a t ā*, continue to regard all *saṃskāras* as unsatisfactory (*\*duḥkhataḥ*)."

(*de la bag la ṅal gaṅ ṣe na / ṅon moṅs pa'i phyogs daṅ mthun pa'i gnas ṅan len gaṅ yin pa ste / 'du byed rnams kyi ṅes par kun tu gnas pa ṅid daṅ / ṅes par ṣugs pa ṅid do* ( $Y_c$ : ... 不安隱性、又持諸行令成苦性) // '*phags pa rnams kyiṣ gaṅ 'du byed kyi sdug bsṅal ṅid kyiṣ sdug bsṅal bar mṅon par rtogs nas / 'du byed thams cad la* (om. P) *sdug bsṅal bar gzigs ṣiṅ gnas pa ...*);

Cp. also passages deriving *h a p p i n e s s* (*sukha*) from the *d i s a p p e a r a n c e* of *B a d n e s s* *a f - f i l i a t e d* to *D e f i l e m e n t s*, e.g.: BoBh<sub>D</sub> 18,15-17 (BoBh<sub>W</sub> 27,2ff.): *saṃbodhisukham ... dṛṣṭe ... dhar-me sarva-kleṣa-pakṣyasya dauṣṭhulyasyâśrayagatasyâtyantoparamāt s u k h a m*; ŚrBh 451,19f. (cp. n. 300): *-kleṣa-pakṣa-sarva-dauṣṭhulyâpagamāt ... prītisukham*. Cp. also BoBh<sub>D</sub> 10, 4 (BoBh<sub>W</sub> 14,26: ... *duḥkha-bahulān dauṣṭhulya-bahulān kleṣa-bahulān*) where *dauṣṭhulya* figures as a kind of intermediate notion or connecting link between Suffering and Defilements.

Cp., finally, the fact that the Defilements (*kleṣa*) themselves are sometimes (e.g. ŚrBh 256,17ff.; cp. S 1977, 921) expressly declared to be acutely painful (*paryavasthānata eva duḥkhāḥ*) because involving mental uneasiness or displeasure (*caitasikaṃ duḥkha-daurmanasyam*).

603. See n. 481.

604. S 1969a, 156-158.

605.  $Y_t$  'i 139b6f. ( $Y_c$  748c6f.; S 1969a, 54f.): *dgra bcom pa phuṅ po'i lhag ma daṅ bcas pa'i mya ṅan las 'das pa'i dbyiṅs la gnas pa'i ... rnam par smin pa daṅ / gnas ṅan len gyi sgrib pa* (*\*vipāka-dauṣṭhulyâvaraṇa*, the first part of which has to

be taken not as a dvandva but as a tatpuruṣa: S 1969a, 156)  
ma 'gags pa ...

606. In the case of a Tathāgata, however, this solution would not work because he has even eliminated *vipākadauṣṭhūlyā* (Y<sub>t</sub> zi 107b5f.: see S 1969a, 156f.). In the later school, however, this problem becomes irrelevant due to the introduction of an altogether different theory of the Nirvāṇa of the Tathāgata (infinite *apraṭiṣṭhita-nirvāṇa* (starting with the attainment of Enlightenment) instead of the old dichotomy of *sopadhiṣeṣa-nirvāṇa* (attained with Enlightenment/Arhatship and ceasing at death) and *nirupadhiṣeṣa-nirvāṇa* (after death)).
607. Cp., e.g., S 1969a, 129f.
608. Si 13c13ff.; cp. also VGPVy 432a5f. (see n. 580, footn. 1); YidKun 48,6ff., especially 8f. (Kelsang/Odani 1986, 74).
609. Cp., e.g., MN I 292: *viḥānāti viḥānāti ti kho ... , tasmā viññāṇaṃ ti vuccati*; cp. also SN III.87; SĀ<sub>c</sub> 11c9; YVy 86b6; ŚrBh 143,11 = Pañcav 197,11 (cp. AS 3,1; VisM XIV.82): *viḥāṇanālakṣaṇaṃ viññāṇaṃ*.
610. AKBh 11,7: *viṣayaṃ viṣayaṃ prati vijñaptir upalabdhir vijñānaskandha ity ucyate*; Y 11,8: *viññāṇaṃ katamat? yad ālambana-vijñaptau pratyupasthitam*; cp. Y 4,5 etc. (cp. Prak 693a5 ff.; AS 12,7ff.): *cakṣurviññāna* etc. = ... *rūpaprativijñapti* etc., and Y 5,16 and 12,1f.: *cakṣurviññāna (/manovijñāna)* = *sva-* (/sva-para-) *-viṣaya ālambana vijñapti*; MAV I.8c: *arthadrṣṭir viññāṇam*; PSK<sub>t</sub> 16b8 (PSK<sub>D</sub> § 25): *rnam par ṣes pa gaṇ ṣe na / dmigs pa rnam par rig pa'o* (= \**ālambanavijñaptiḥ*); PSKvai 53a8f.; Tr 2b + 8bc: (*cakṣurādiviññāṇam* [TrBh 18,18] =) *vijñaptir viṣayasya = viṣayasyōpalabdhiḥ* (= *grahaṇam, pratipattiḥ*: TrBh 25,2f.). Cp. M. Takemura 1976, 75ff.; Suguro 1983, 13 and 15; Griffiths 1986, 95f.
611. The view that the mental factors (*caitta*) are not entities on their own but only conceived on the basis of specific

states (\**avasthāviśeṣa*) of the *citta* is expressly rejected in the *Viniścayasamgrahaṇī* (*Y<sub>t</sub>* zi 80b2ff.; see S 1969, 82of. [note 46]).

612. *Y* 57,1of. and 59,16-22.

613. According to *Y* 59,17f., *sparśa* is the apprehension of the agreeable (*śubha*), disagreeable (*aśubha*) or neutral (*ubhaya-viparīta*) character of the object; cp. *Y* 207,16f.: *cakṣuḥsaṃ-sparśaḥ katamaḥ / trikasamavāyajā viṣayaṃ śubhādyarthataḥ udgrahaṇātā* </> *evam avaśiṣṭānaṃ sparśānāṃ prativīṣayaṃ lakṣaṇanirdeśo 'vagantavyaḥ* (corr. acc. to *Y<sub>m</sub>*). According to AS 6,3 and ASBh 5,3f., *sparśa* is the distinct apprehension (*pariccheda*) of such modifications of the sense-organs as are suitable to the arising of pleasant, unpleasant or neutral feelings (*vedanā*); cp. TrBh 20,2ff.; Si 11b16ff. (Si<sub>p</sub> 143ff.).

614. I.e. the recognition or identification of the typical feature(s) of the object, which is the basis of, or even includes, the conceptual and verbal determination of the latter (cp. S 1981, 215 note 51).

615. Cp. TrBh 19,2-4: *yadi pravṛttivijñānavyatiriktam ālayavijñānam asti, tato 'syā lambanam ākāro vā vaktavyaḥ / na hi nirālambanaṃ nirākāraṃ vā vijñānaṃ yuḥyate* (cp. also KSiT 105b1-2; PSkBh 181b7-182a1) and TrBh 19,26f.: *tatrālayākhyam vijñānam ity uktam* (see Tr 2a); *vijñānaṃ cāvaśyaṃ caittaiḥ samprayuktam: ity ato vaktavyaṃ katamaiḥ katibhiḥ ca tac caittaiḥ ... samprayuḥyate*. Cp. also Mizuno 1932, 1086; Nagao 1978, 373; Ui 1958, 170 and 181; Griffiths 1986, 95f.; cp. also Suguro 1983, 21,3-5.

616. See n. 683.

617. Cp. also MĀ<sub>c</sub> 789a15f. and 791c24; *Y<sub>t</sub>* dzi 272a4-6 (*Y<sub>c</sub>* 376b16-18).

618. *Y* 192,8 (see § 6.5.1).

619. See § 1.3.5 + n. 76 and § 7.3.6.3.3; cp. Y 24,4f. and 7f. (see § 6.3.1 and 3); cp. also Y 24,18f. + 25,1f.
620. Cp. the fact that *vedanā* is one of the four entities attached to which mind persists [in *saṃsāra*] (*viññāna-sthiti*: cp. n. 1112).
621. Y 25,16f. (see n. 412).
622. See n. 294.
623. Y<sub>t</sub> 'i 271a2f. (Y<sub>c</sub> 821c23ff.) and 4: *gnas ṇan len las gyur pa... 'i sdug bsñal ... ṇams su myoñ bar 'gyur ro // ... lus dañ sems śin tu sbyaṅs par mi 'gyur te / des na gnas ṇan len gyi sdug bsñal ṇams su myoñ bar 'gyur ro //*.
624. Y<sub>t</sub> zi 22ob8ff. (Y<sub>c</sub> 663b15ff.): "And this Badness (*dauṣṭhulya*) is clear and manifest only in [states of] neither-painful-nor-pleasant sensation (*aduḥkhāsukhā vedanā*). ... In [states of] pleasant and painful [sensation], this Suffering [constituted by] Badness (*dauṣṭhulya-duḥkha*) is not easily ascertained because<sup>1</sup> one's mind is agitated by attachment (*anunaya*) and aversion (*pratigha*) [respectively]" (*gnas ṇan len de yañ sdug bsñal yañ ma yin bde ba yañ ma yin pa'i tshor ba la ni gsal ba dañ mñon pa yin pas ... // bde ba dañ sdug bsñal la rjes su chags pa dañ khoñ khro ba dag gis rnam par dkrugs pa'i sems kyis* (D: *kyi*) *gnas ṇan len gyi sdug bsñal de ni yoṅs su bcad* (P, D; read *gcad?*) *par sla* (P: *pas bla*) *ba ma yin te /*).
1. The Skt. original may have contained a *bahuvrīhi* (*-cittena* or *-cittasya*) construed as the agent but including a causal nuance (cp. Y<sub>c</sub>: 愛悲 ... 擾亂心故).
625. Cp. S 1984, especially 439ff. - Unfortunately, this article contains a number of misprints. I take the opportunity to correct the most disturbing ones:

436,23: word order of

436, note 24: n. 1+m

438,15: in the sentence

439,25: definition of



439, note 30, 4th line: of the 1st person sg. is rendered  
almost certain by the fact that in the Saṃdhinirmocana  
 444,19 and note 43a 2nd line: Bh<sub>Pa</sub>  
 447,4: the fact that  
 448,11: Msg II.7  
 449,16: or,  
 449 note 49: U<sub>c</sub>

Apart from this, I have to admit that the article was written in all too great a haste and that I have overlooked several pertinent contributions, like Kawasaki 1976, 158, and, especially, M. Takemura 1976, 78ff, and Hotori 1980, 76. Another important discussion of Saṃdh VIII.7 to which the interested reader is referred is found in Suguro 1982c, 17off. (cp. also Suguro 1983, 16f.).

It was only after the publication of the article that, thanks to the kindness of Prof. N. Hakamaya, I could get the wording of the crucial passage in the Tunhuang version of the Saṃdhinirmocanasūtra (Stein Tib. No. 194: see H 1984; now also H 1986a and 1987). It runs like this (fol. 31b,4-5; see H 1987, 336):

*rnam par rig pa tsam gyi phyir te / gzugs brñan de la*  
*dmyigs pa rnam par rig pa tsam du rab du bsgoms pa ste /*  
*byams pa ñas rnam par rig pa źes bśado //*

Provided that this translation keeps more or less close to the word order of the original, this would seem to correspond in Skt. to something like

*\*vijñaptimātratvāt [/] tasya pratibimbasya </> ālambana(m?)vijñaptimātraprabhāvitam Maitreya mayā vijñānam uktam /,*

though *uktam* and *mayā* (and the position of the latter) as also the absence or presence of particles (*hi*, *iti*) are questionable. The Tun-huang translation seems to punctuate *b e f o r e* *\*tasya pratibimbasya*, but according to all other versions (except perhaps, Pa.'s rendering at MSg II.7) punctuation should *f o l l o w* upon these words.

As for *ālambana-/ālambanaṃ*, I find it difficult to decide on which of these readings the Tun-huang translation is based. But if the vocative *byams pa* (Maitreya) has maintained its original position in the sentence, I feel that the reading *ālambanaṃ* would be odd from a stylistic point of view. For, though admitting that more systematic investigation of this matter is required, as far as I can see a pattern "subj.—pred.noun—voc. ..." does not occur, whereas a pattern "pred.noun—voc.—subj." is quite common. And I doubt that it is possible to take the passage to mean "I have taught *v i j ñ ā n a* to be an o b j e c t constituted by mere cognition/representation".

626. See  $Y_t$  zi 10a6-8 ( $Y_c$  581c28ff.; Chüeh-ting 1020b28ff.; H 1979, 43 [§ 6]).

626a. Cp. also Suguro 1983, 21,3-5.

627. The *Pravṛtti Portion* seems to have made copious use of both Saṃdh V and Saṃdh VIII.37.1 (cp. also Suguro 1983, 19ff.) The following passages appear to be more or less closely related:

$Y_t$ zi	H 1979	Saṃdh
4b2f.	§ 1.b.A.1	V.2
4b3f.	§ 1.b.A.2	VIII.37.1.1
4b8ff.	§ 1.b.C	VIII.37.1.3-7
6a5ff.	§ 4.b.A.1	V.4
6b3f.	§ 4.b.A.2(2nd part)	VIII.37.1.2
6b6ff.	§ 4.b.A.3	VIII.37.1.9-13
7a3f.	§ 4.b.A.4	VIII.37.1.14-16
7a7ff.	§ 4.b.B.2	V.5

In addition, there seems to be a certain (though not very concrete) relationship between parts of the *Nivṛtti Portion* and Saṃdh VIII.37.2-5:

Y <sub>t</sub> zi	§ 10.1	Samdh
9a4	(1a)	VIII.37.2
	(sems gnas par bya ba'i phyir)	(gnas pa)
9a8	(2b)	VIII.37.3
9b2	(3b)	VIII.37.4-5

628. Cp. Y<sub>t</sub> zi 4b4 (r t a g t u r g y u n m i ' c h a d p a r ... snod ... rnam par rig pa ste); 4b7 (see n. 1308). However, \*sthira may just as well be construed with \*bhājana: cp. Y<sub>t</sub> zi 4b6 (d m i g s p a d e n i r t a g t u y o d p a y i n t e); 4b4 (snod k y i r g y u n); cp. also \*eka(?) as an attribute of \*bhājana at Y<sub>t</sub> zi 189b5 (see n. 653).

628a. Taking Samdh VIII.37.1 in isolation, one will hardly hesitate to take viññapti in its traditional meaning of "making known" or "[function of] perception/cognition", i.e. in its surface value, so to speak; but I admit that VIII.37.2, mentioning viññaptitathatā (i.e. viññaptimātratā: Samdh VIII.20.2.3), suggests that VIII.37.1 too should, with "hind-sight", be understood in an "idealist" sense. According to Suguro (1983, 17f.) this implies that viññapti (and even viññāna) at VIII.37.1 acquires the meaning of "representation", particularly of "objectified representation"<sup>1</sup> (対象化された表象). Even if such an assumption may be discussible in the case of Samdh VIII.37.1,<sup>2</sup> it is not justified to extend it to the *VinSg ālay. Treatise*, as Suguro seems to do (1983, 19 [Proof Portion: see § 5.6.2] and - as one aspect - 2of. [Pravṛtti Portion: see § 5.6.3]): cp. n. 631a and §§ 5.13.2 and 10.3.1.4.

1. In using the term 'representation' ('Vorstellung'), I do not of course want to suggest reference to an external object (cp. B. C. Hall in: JIABSt 9.1/1986, 14).

2. At least in the early sources of the *viññaptimātra* doctrine, the "objective" meaning of *viññapti* (cp. § 10.3.1.4) does not appear to be as dominant and as neatly distinguished from the traditional "subjective" one as

Suguro's remarks may suggest. Cp., e.g., the "idealist" rephrasing (?) of § v of the *Proof Portion* (see n. 630) at MAV I.3 (*artha-sattvâtma-vijñapti-pratibhāsam prajāyate / vijñānam ...* //: cp. Suguro 1983, 19,14f.; H 1978, 23), where the expression for "objectified representation" or "mental image" is 'p r a t i b h ā s a' (cp. Suguro 1983, 13,15ff.), n o t ' v i j ñ a p t i ', the latter being used rather to denote the c o g n i - t i v e a c t (which is, in this passage, envisaged in so far as it too may be a c o n t e n t of consciousness). Cp. also DhDhV 15,13 (= 49,6): -indriya-viṣaya- v i j ñ a p t i -bhājanalokā s a m p r a k h y ā n ā t And \* v i j ñ a p t i m ā t r ( a s y ) ā ( p y a ) n u p a l a m b h a at DhDhV 17,9 is equivalent to u p a l a m b h ā n u p a l a m b h a (DhDhVV: *vijñaptimātrānupalambha!*) at 15,9 = 49,1, a fact which indicates that even in the context of the spiritual practice of *vijñaptimātratā* 'vijñapti' has not lost its "subjective" aspect of "perception/cognition" (cp. also MAVT 248,1 [same context!]: *arthopalabdhīr vijñaptir iti kṛtvā*). This is, however, not the right place for entering into a detailed treatment of the meaning(s) of 'vijñapti' in the Yogācāra school. (Most recent contribution, with detailed discussion of earlier attempts: H. Yoshimura 1987 [received only after the completion of the present study]).

629. Saṃdh VIII.37.1.1: *bṛtan pa dan snod* (Y<sub>t</sub> 'i 86a6: *snod kyi*) *rnam par rig pa <mi rig pa>'i ni 'di lta ste / len pa'i rnam par śes pa'i'o* //. This would seem to go back to something like \**asaṃvidita-sthira-bhājana-vijñapter yadutādānavijñānasya*.

As for *mi rig pa* (= *asaṃvidita* according to Tr 3a; cp. also ASBh 21,9<sup>1</sup>), cp. the quotation of the passage at Y<sub>t</sub> 'i 86a6, Jñānagarbha 96,4 (96,3: *m a rig pa*), SaṃdhVy co 253b8 and 254a1 and 3, and the Chinese versions of Hsüan-tsang (T 676, p. 702b25: 不可覺知堅住器識) and Bodhiruci (T 675, p. 679a26: 不覺不知不動器世間識). The Chinese versions also show that *bṛtan pa* is not *āśraya* (Lamotte) but \**sthīra* or the like (cp. also Nozawa 1957, 408, and Yokoyama 1979, 176, preferring \**dr̥ḍha*). This is also confirmed by Jñānagarbha, who (95,18) gives *g-yo ba* "fickle" as its antonym. The Tibetan rendering of the compound \**sthira-bhājana-vijñapti* by *bṛtan pa d a n snod rnam par rig pa* seems to be due to commentatorial exegesis referring *bṛtan pa rnam*

*par rig pa* to *ālayavijñāna* in so far as it is able to receive and support Impressions (*vāsanā*) (Jñanagarbha 95, 14ff.; SaṃdhVy co 254a2).

1. ASBh 20a7 has *ma rig pa*. Since '*asaṃvidita*' means "which is not (fully) recognized, or recognized a - b l e " (cp. n. 732), *mi rig pa* would seem to be the more adequate rendering.

630. Y<sub>t</sub> zi 3b4ff. = ASBh 13,4ff. (H 1978, 13 [§ v]; Griffiths 1986, 135f. and 101f.): *kena kāraṇenāsatyāṃ yugapad vijñāna-pravṛtttau karma na sambhavati / tathāhi samasataḥ caturvidhaṃ karma - bhājana-vijñaptir āśraya-vijñaptir aham iti vijñaptir viṣaya-vijñaptiḥ cēti / etā vijñaptayaḥ kṣaṇe kṣaṇe yugapat pravartamānā upalabhyante / na caikasya vijñāna-syātkasmin kṣaṇe idam evaṃ-rūpaṃ vyatibhinnaṃ karma yujyate //*

631. Cp. § 5.6.3.2 and ns. 187 and 796.

631a. According to Suguro (1983, 19,8ff.), the four *vijñaptis* of this passage should not be referred to the eight *vijñānas* but, being similar to the fourfold contents of mind at MAV I.3<sup>1</sup> (see n. 628a, footn. 2), belong rather to the tradition of *vijñaptimātrata*<sup>2</sup> (which establishes sets of *vijñaptis* independent of the eight *vijñāna* pattern: cp., e.g., MSg II. 2; see also § 5.13.2); and he concludes that therefore '*vijñapti*' should, in this passage, be understood in the "idealist" sense of "objectified representation" (cp. n. 628a). This assumption would presuppose that '*karman*' here means "object", as it in fact may in standard Sanskrit. But I do not know whether it is used in Y with this meaning. I therefore, and in view of the non-occurrence of '*vijñaptimātra(tā)*' in Y, prefer to understand both '*karman*' and '*vijñapti*' in the sense they have at Y 5,16 and 12,1ff., viz. in the sense of "function" and "[act of] perception/cognition [of an object]" (cp. n. 610), respectively. Besides, the context clearly shows that the passage is concerned with

the *vi j ñ ā n a* theory, for it expressly aims at proving the simultaneous occurrence of *vi j ñ ā n a s* (which is the subject-matter of Saṃdh V(.4-5), rightly classified by Suguro [1983, 15] as a text of the *vi j ñ ā n a* theory not mentioning or presupposing *vi j ñ aptimātratā*). Hence, the purport of the argument is to show that in view of the fact that several cognitive functions - too many to be ascribed to one and the same *vi j ñ ā n a* - are simultaneously experienced, one has to accept a simultaneous occurrence of several *vi j ñ ā n a s* to which they belong. To be sure, the text does not specify these *vi j ñ ā n a s* (for in the context of proofs of the existence of ālayavi j ñ ā n a this would, if the first two cognitive functions in fact appertain to the latter, involve a *petitio principii*! <sup>3</sup>); but the experiential facts - real or alleged - on which the argument is based can easily be derived from the fact that historically the argument already presupposes the existence of the full-fledged *vi j ñ ā n a* theory including ālayavi j ñ ā n a and *manas* (cp. § 9.1), and I for one do not perceive any need for assuming an external stimulus (except, of course, from Saṃdh V and VIII.37.1, only that the aspect of *vi j ñ aptimātratā* appears to have been deliberately suppressed or at any rate left inexplicit, as in the case of the *Pravṛtti Portion*: see § 10.3.1).

1. It should, however, be noted that the four *vi j ñ aptis* of the present passage (viz. Y<sub>t</sub> zi 3b4ff.) do not include an element corresponding to the *vi j ñ apti* of MAV I.3, i.e. do not refer to the cognitive act itself as a content of consciousness; for *vi ś a y a - vi j ñ apti* would rather be equivalent to the *a r t h a - p r a t i b h ā s a ṃ vi j ñ ā n a m* of MAV I.3, and it is only on a different level (viz. in so far as all the four *vi j ñ aptis* are declared to be experienced (*u p a l a b h y a n t e*)) that in the *Proof Portion* the cognitive act itself is presented as a content of consciousness. On the other hand, the *b h ā j a n a v i j ñ apti* of the *Proof Portion* has no proper equivalent at MAV I.3. To be sure, it too may be taken to be, in a sense, represented by the *a r t h a p r a t i b h ā s a ṃ vi j ñ ā n a m* (cp. MAVT 17,13f.: see n. 763) in so far as the "objects" (*a r t h a*) may be regarded as being, strictly speaking,

nothing but those elements of the surrounding world which are actually perceived. But primarily, *arthapratibhāsaṃ vijñānam* will correspond to *viśaya-*, not to *bhājana-vijñāpti*, as seems to be confirmed by MSA XI.44 (cp. Takasaki 1976, 15) containing *artha-* and *pada-nirbhāsa* side by side (cp. also MSA XIX.49, MAVBh 48,9, DhDhV 15,13 = 49,6 and the other materials collected in Takasaki 1976: *bhoga* beside *pratiṣṭhā*, *viśaya* beside *bhājana*).

2. Suguro (1983, 20) even envisages a dependence on the appearances of *dēha*, *bhoga* and *pratiṣṭhā* current in LAS (cp. Takasaki 1976), but such a dependence is not probable, not only for general considerations (see n. 102) but also for the special reason that the present passage of the *Proof Portion* does not at all reflect the specific terminology of LAS (a terminology which, to my mind, was more probably taken over by LAS from the early Yogācāra treatises [cp. MAV I.17 and, especially, MAVBh 48,9: see § 5.13.1]).
  3. In this connection it is interesting that Tsoñ kha pa, apart from one interpretation distributing the four *vijñāptis* of *Y. zi 3b4ff.* to *ālayavijñāna*, *manas* and the ordinary *vijñānas* (YidKun 70,9ff. and 71,4-6), also proposes another one which explains them as aspects of a concrete everyday experience, viz. the elements of experience involved in walking on a dangerous mountain footpath, *bhājanavijñāpti* referring to the path (to which in such a situation special attention must be paid), *āśraya-vijñāpti* to the lifting and putting down of the feet (which has to be done carefully and consciously), *aham iti vijñāpti* to the notion of 'I' (involved in the constant preoccupation "may I not stumble"?), and *viśaya-vijñāpti* to earth, stones, etc., on the side of the path (YidKun 70,7-9; Kelsang/Odani 1986, 93).
632. From the chronological point of view, § v of the *Proof Portion* (layer B.2 in § 9) may, but need not necessarily, be slightly earlier than § I.1 (H 1979, 26f.) of the *Pravṛtti Portion*. In view of its having integrated the new *manas* (see § 9.1), most occurrences of which in the *Pravṛtti Portion* look somehow intercalated (see ib.), the former passage may even be slightly later than the latter. In this case, it should have replaced the *upādāna-vijñāpti* of the *Pravṛtti Portion* (see § 5.6.3.2) by *āśraya-vijñāpti* probably because only the perception of one's corporeal basis-of-existence - but not the perception of Impressions - can, somehow, be understood as being actually experienced (see § 5.7).

633.  $Y_t$  zi 4b1f. ( $Y_c$  580a3f.; Chüeh-ting 1019a29f.; H 1979, 26 [§ 1.b.A]): *kun gñi rnam par śes pa ni dmigs pa rnam pa gñis kyis 'jug ste* (see n. 1307) / *adhyātmam upādānavijñāptito bahirdhā 'paricchinākārabhājanavijñāptitaś ca* (= TrBh 19, 5f.).
634. On the - both syntactically and semantically - ambiguous term *aparicchinākāra* see Yokoyama 1979, 176ff.; cp. also H 1979, 71. Unfortunately, the passage in the Sacittikā Bhūmiḥ of VinSg (see n. 653) is also doubtful. But there can hardly be any doubt that the text itself, explaining *aparicchinākārabhājanavijñāpti* as *r t a g t u r g y u n m i ' c h a d p a r (\*sadāvicchedena) 'jig rten dan snod (lokabhājana) kyī rgyun rnam par rig pa ste* ( $Y_t$  zi 4b3f. = H 1979, 26 (§ 1.b.A.2)), takes *aparicchinākāra* to mean "in an uninterrupted manner". For this meaning of *aparicchinna* cp. MW s.v. and SAVBh mi 300b7 where - in another context - *aparicchinna* is explained as "it is not the case that (he) sometimes (knows them) and sometimes (does) not (know them); rather (he) always (knows them)" (*bar 'ga' śes bar 'ga' mi śes pa ni ma yin gyi / dus thams cad du ... śes pa*). Interpreted in this way, *aparicchinākāra* would perfectly correspond to *\*sthira (brtan pa)* in Saṃdh VIII.37.1 (see n. 629) which may have been felt to be liable to be misunderstood as "permanent".

Yet, such an interpretation of *aparicchinākāra* would not seem to be corroborated by the parallel in the Sacittikabhūmiviniścaya (see n. 653) except if, disregarding Chinese, *bye brag med pa* in that passage were taken to represent Skt. *abhinna*. Besides, one might ask why, in reformulating the wording of Saṃdh VIII.37, our passage has ignored *\*asaṃvidita*. Perhaps because this qualification was realized to be valid for *upādānavijñāpti* as well, and is therefore dealt with in the next paragraph of the text (H 1979, 27 [sentence B.1]: *dmigs pa de ni ... yoris su gcad par dka' ba'i phyir phra ba yin no ≈ \*tad ālambanam sūkṣmam ...*



*duṣparicchadatvāt*), which from the point of view of compositional structure one would expect to concern both *viññaptis* (thus in fact Sthiramati, PSkVai 46b6f.)? But if this is true, one cannot but state that in this same paragraph (sentence B.2) the object of *ālayaviññāna* is said to be always present (*rtaḡ tu yod pa*) and uniformly perceived throughout life (see § 5.6.3.4). If this refers to both *viññaptis*, the qualification of *bhājanaviññapti* alone as taking place in an uninterrupted form does not seem to be more justified than its qualification as not clearly discerned or discernible would have been. If, however, paragraph B is understood as referring to *bhājanaviññapti* only (or at least primarily), it would suggest an interpretation of *aparicchinnākāra* as "in an uninterrupted and not clearly discerned (or discernible: see n. 732) form", i.e. as an attempt to combine the two attributes of *bhājanaviññapti* at Saṃdh VIII.37, viz. *\*sthira* and *\*asaṃvidita*, into one single expression (see in fact ASBh 21,9f. for an equation of *asaṃvidita* and *aparicchinnākāra* with reference to *bhājanaviññapti*). Such an interpretation would fit a stage of development - to be placed, perhaps, between Saṃdh and the final redaction of our VinSg chapter, the history of the composition of which seems to be more complicated than what one might expect in view of its apparent formal consistency (cp. § 11) - at which the applicability of those attributes to *upādānaviññapti*, if not *upādānaviññapti* itself, had not yet been recognized.

If, however, *aparicchinnākāra* were to be interpreted in a sense not applicable to *upādānaviññapti*, the lamp simile (see § 5.6.3.3) would suggest to take it to mean (also) something like "in such a way that it is not clearly or sharply delimited": As the flame of a lamp would illumine the surrounding space in such a way that the light it emits will gradually vanish the farther it spreads, without any clear limit between light and darkness being discernible, so

too the perception of the external world - which does not seem to be taken in an idealist way in this text (cp. § 10.3.1.3-4) - would be conceived of as gradually vanishing with increasing distance. Cp. the explanation of PSkBh 182b5: "It is a perception of the surrounding world in such a way that its extent is not delimited [in the form that one could say:] 'So much is perceived; beyond that there is no perception'" (... 'di tsam tshun chad ni dmigs kyi de phan chad mi dmigs śes tshad chad pa med par snod kyi 'jig rten rnam par rig pa'o //), although I admit that this explanation may just as well refer to the later view that ālayavijñāna perceives the whole *bhājanaloka* (Si 10c16-18), an interpretation which to my mind would not, however, easily fit the lamp simile of the *Pravṛtti* Portion.

635. See n. 633.

636. Y<sub>t</sub> zi 4b2 (see n. 531).

637. Y<sub>t</sub> zi 4b3f. (Y<sub>c</sub> 580a7-9: Chüeh-ting 1019b4f.; H 1979, 26 [§ 1.b.A.2]): *de la phyi rol gyi snod rnam pa yoris su ma bcad pa rnam par rig pa ni kun gzi rnam par śes pa nan gi len pa'i dmigs pa gan yin pa de nid la brten nas / rtag tu rgyun mi 'chad par 'jig rten dan snod kyi rgyun rnam par rig pa ste /* ("The perception, in an uninterrupted (and/or indistinct, not clearly delimited) form, of the Receptacle outside (*\*bahirdhā aparicchinākāra-bhājana-vijñapti*) is the continuous, uninterrupted perception of the continuous flow (*\*prabandha?*)<sup>1</sup> of the Receptacle [consisting in]<sup>2</sup> the [external] world (*loka-bhājana*: cp. ŚrBh 486,9) [- a perception arising] on the basis of ālayavijñāna [in so far as it] has the *upādāna* within as its object").

1. Not represented in the Chin. versions (see H 1979, 72 note 16).

2. Tib. has wrongly taken the cpd. as a dvandva.

638. Cp. SN II 86f.; SĀ<sub>c</sub> 80a12f.

639.  $Y_t$  zi 4b4f. ( $Y_c$  58oa9ff.; Chüeh-ting 1o19b5-7; H 1979, 26f. [§ 1.b.A.3]): 'di lta ste / dper na mar me 'bar ba (\*pra-dīpa-jvālā) ni sñiñ po dañ snum gyi rgyus ni nañ du 'jug par 'gyur la / phyi rol du ni 'od 'byuñ bar byed pa bzin du nañ gi len pa'i dmigs pa dañ / phyi rol gyi dmigs pa 'di la yañ kun gzi rnam par ses pa'i tshul de dañ 'dra bar <b>lta bar bya'o (\*tadvad atrāpy adhyātmam upādānāmbane bahirdhā-lambane cālayavijñānasya nayo draṣṭavyaḥ) //.
640.  $Y_t$  zi 4b6 ( $Y_c$  58oa15; Chüeh-ting 1o19b8f.; H 1979, 27 [§ B.2]): dmigs pa de ni rtag tu yod pa yin te / lan 'ga' gzan du 'gyur la / lan 'ga' gzan du 'gyur ba ma yin no ("it is not now this, now that") //.
641.  $Y_t$  zi 4b7f. (H 1979, 27 [§ B.3]): kun gzi rnam par ses pa de ni dmigs pa la skad cig pa (\*āmbane kṣaṇikaṃ) yin par blta bar bya ste / skad cig pa'i rgyun gyi rgyud kyis 'jug pa yin gyi / gcig pa ñid ni ma yin no //.
642. See § 1o.3.1.4 + n. 13o8.
643.  $Y_t$  zi 4b7 (see n. 13o8).
644. See § 4.4.3 + n. 52o.
645.  $Y_t$  4b2f. (see n. 521).
646.  $Y_t$  zi 4b8ff. ( $Y_c$  58oa18ff.; Chüeh-ting 1o19b1o ff.; H 1979, 27f. [§ C.1-5]): kun gzi rnam par ses pa de yañ 'dod pa'i khams na ni rgyu (see n. 647) chuñ nu'i dmigs pa can du brjod par bya'o, etc. - This passage is moulded upon Saṃdh VIII.37.1.3-7 which, however, is not specifically concerned with ālaya- (or ādāna-)-vijñāna and has nimitta instead of upādāna, i.e. specifies the objective or objectified content in general which is experienced at the various stages.
647. Hakamaya's alteration of rgyu to rgya (H 1979, 27f. and 47 note 19) has to be rejected in view of Hts. 執受 and Pa. 取 unambiguously confirming upādāna (which is occasion-

ally rendered as *rgyu* [MSg I.5; cp. MSg<sub>N</sub> 89 and PSkViv 95b3]  
or *len rgyu* [see n. 653]); cp. also SaṃdhVv co 75b4ff.

648. Cp. Y 76,11ff.

649. Cp. Y 76,19ff.

650. Y 77,7.

651. Cp. AKBh 434,11ff.; Bareau 1955, 62 (thèse 25); 94 (thèse 37); 96 (thèse 53); 174 (thèse 20); 195 (thèse 13). Cp. Si 10c26f. (Si<sub>p</sub> 137), and perhaps also Y<sub>t</sub> zi 41b8f. (Y<sub>c</sub> 594a 11ff.), pointing out that even in the *ārūpyadhātu* there is subtle matter proceeding not from karman but from *samādhi*.

652. Cp. T 1828, 598b29ff., especially c10ff.; T 1829, 174c28ff.; Shu-chi 327c16ff.; T 2266, 341b25. - Mind in *ākīñcanyāyatana* is stated to be subtle (微細) at TSi 344a25f.

653. Y<sub>t</sub> zi 189b4f.: *de* (= *kun gzi rnam par śes pa*) *yañ dus rtag par len rgyu*<sup>1</sup> *la dmigs pa dañ / bye brag med par ram* (sic!) *gcig tu snod la dmigs pa yin no* (= Y<sub>c</sub> 651b21f.: 於一切時緣執受境緣不可知一類器境), which may go back to something like *\*tac ca nityakālam upādānālambanam aviviktaikabhājanālambanam ca*. But *\*avivikta-* is admittedly quite doubtful since *bye brag* (= *viveka* at PV III.146) may also correspond to *viśeṣa* or *bheda* which, however, would hardly fit the Chinese. If *\*avivikta* (or *\*aviveka*) is correct, the passage would mean that *ālayavijñāna* perceives the surrounding world without clearly discerning (or: delimiting?) it, or without making distinctions (i.e. as an undifferentiated whole).

1. *len rgyu* seems to be an attempt to combine both meanings of *upādāna*, viz. "appropriation" and "cause", the latter meaning probably referring to the Impression (*vāsanā*) of Sticking to the Imagined Character [of reality], since this Impression is the cause of taking possession of a new existence (see § 4.4.2.2 + n. 517); cp. also n. 647.

654. Hsien-yang 480c5-7: 此識 能執受了別 色根根所依處 及戲論熏習。  
於一切時 一類生滅。 不可了知。又能執持了別 外器世界。

655. In view of the corresponding passages in Saṃdh VIII.37 (see n. 629), in the *Pravṛtti Portion* (see n. 633) and in the *Sacittikabhūmi-viniścaya* (see n. 653), one may consider the possibility that what is, in Chin., represented by 於一切時 一類生滅 不可了知 ("it arises-and-ceases all the time [as a series of] uniform [moments], and it cannot be clearly ascertained") originally belonged to what follows, viz. to the perception of the surrounding world.
656. See n. 630.
657. Cp. § 4.9. Cp. also the fact that *nirodhasamāpatti* is not mentioned in Saṃdh V (see § 3.9.1) nor in the *Pravṛtti Portion* nor in the *Sacittikabhūmi-viniścaya* (note that the latter texts - in contexts where one may expect them to do so [viz.  $Y_t$  zi 6a5ff., 7b7f. and 19ob1ff.] - do not yet point out the (systematically inevitable) absence of the new *manas* in *nirodhasamāpatti*, which probably means nothing else but that they did not pay special attention to this state).
658. TrBh 19,10 and 22; Si 11b3f.
659.  $Y_t$  zi 4b5f. (see n. 1171); Si 11b4f.
660. Saṃdh VIII.37.1.1 (see n. 629); Tr 3ab (see n. 737).
661.  $Y_t$  zi 4b1 and 3 (see ns. 633 and 637); KSi § 36, etc. (see § 5.14.1 + n. 733; cp. also n. 732); Jñānagarbha 96,1-3; SaṃdhVy co 254a1-3. Cp.  $Y_t$  189b5 (see n. 653); cp. also ASBh 21,9f. (but see n. 755).
662.  $Y_t$  zi 4b5f. (see n. 659); cp. ASBh 21,9 (*durvijñāna*).
663.  $Y_t$  zi 4b5f. (see n. 659); Si 11b4f.
664. PSkBh 182b5ff.: *dper na nin par srin bu me khyer 'phur ba ni de la 'od med pa ma yin gyi ni ma la sogs pa'i 'od bzin du de'i 'od gsal bar snan ba ma yin pa dan 'dra bar kun gzi rnam par ses pa yan nan gi ne bar len pa... la dmigs pa'i tshe na yan mi gsal bar dmigs pa'am / phra bar dmigs pa'am / zan par dmigs pa dan / phyi'i snod kyi 'jig rten la dmigs pa*

na yañ mi gsañ bar dmigs pa dañ / phra bar dmigs pa dañ /  
ñan par dmigs pas ...

665. For a different attempt, see Nagao (1935 =) 1978, 373ff., trying to explain the threefold object of ālayavijñāna by recurring to Dignāga's Ālambanaparīkṣā and MAV I.3, and presupposing a substantial agreement of the sources concerned. Against this, my own attempt starts from what I consider to be the earliest sources and takes the differences between the pertinent statements of the various sources seriously.

Though not categorically denying the possibility that there is some (direct or indirect) historical connection between ālayavijñāna and *b h a v a ṇ g a v i ṇ ṇ ā ṇ a*, I find it difficult for the moment - and not only for the general reason indicated in n. 68 - to derive the specification of the object of ālayavijñāna from, or by the same principle as, the determination of the object of *bhavaṇga-viññāna*. To be sure, both kinds of *vijñāna* are taken to apprehend, in a sense, the existence to which they belong and the cause of this existence, and they are taken to do so in a uniform manner throughout a given existence. But by and large ālayavijñāna apprehends these objects *t o g e t h e r*, whereas for *bhavaṇgaviññāna* they are alternatives.<sup>1</sup> Moreover, ālayavijñāna apprehends the existence to which it belongs *i n c o n c r e t o*, viz. in the form of corporeal matter and of the surrounding world, while *bhavaṇgaviññāna* does so only by way of a *s y m b o l* (or prognostic), i.e. some characteristic visible feature of this existence;<sup>2</sup> and as for the cause, ālayavijñāna apprehends the Impression of *C l i n g i n g* (or of saṃsāric diversity/diversification) which *p e r s i s t s* in the new existence, whereas *bhavaṇgaviññāna* apprehends *p r e v i o u s k a r m a n* or some characteristic object or ideational image symbolizing it.<sup>3</sup> Accordingly, the object of *bhavaṇga-viññāna* is determined by, and entirely similar to the object of, the last conscious mind process *b e f o r e*

death in the preceding existence, while in the Yogācāra sources such a relation is, as far as I can see, nowhere indicated, the choice of the object of ālayavijñāna appearing, instead, to be derived from its functions in the present existence. Yet, I admit that the matter requires more careful investigation.

1. Cp. VisM XIV.111-114 (*kamma-kammanimitta-gatinimittānaṃ a ñ ñ a t a r a ṃ ārammaṇaṃ katvā*); XVII.136ff.
  2. Cp. VisM XIV.111-114 (cp. footn. 1) + Paramatthamañjūsā (cp. S 1986, 206 + 222 notes 22-24); XVII.136ff.; Collins 1982, 245; Nārada 1975, 182 + 190f.; Paramārtha, T 1618 (Hsien-shih-lun, \*Khyātivijñāna-śāstra), 881b1ff. (= Ui 1965, 371, 14ff.).
  3. Cp. ib., except for the Paramārtha passage.
666. Cp. TrBh 18,24 explaining 'ālaya' (in 'ālaya-vijñāna') as *sthāna*, and Tr 3b using 'sthāna' in the sense of *bhājana(loka)* (TrBh 19,21).
667. Cp. Y 26,18 (see n. 391) + § 7.1B.2.1.3.a-b.
668. Cp. Si 19b9f., expressly stating that the cpd. 'ālaya-vijñāna' is a karmadhāraya and not a tatpuruṣa.
669. See § 4.4.2-3. - If in connection with *vāsanā*, 'upādāna' could be taken to mean "what is received by or contained in [ālayavijñāna]" (cp. § 4.4.3), one may consider the possibility that ālayavijñāna was understood to c o g n i z e not only what it appropriates but also what it c o n t a i n s (cp. Nagao 1978, 384).
670. Saṃdh V.2 (see § 4.4.3 + n. 520) and Y<sub>t</sub> zi 4b2f. (see n. 521).
671. There is, of course, no surrounding world (which is material!) in the *ārūpyadhātu* (cp. T 2266, 341b25); and even according to the dominant current of the later school, the ālayavijñāna of a living being reborn in the *ārūpyadhātu* does n o t develop an image of the surrounding world of the material world-spheres (Si 10c20f. and 25ff.; cp. YidKun 14,1ff. (Kelsang/Odani 1986, 44-46); divergent opinion: Si 10c16-18).

672. Cp. the parallel situation in the case of *karmān*, which produces a new (basis of) personal existence (*ātma-bhāva*) as its main result (*vipāka-phala*) but at the same time is also responsible for the origination of the surrounding world (*bhājānaloka*) as a kind of by-effect (*adhipati-phala*) (see § 10.3.1.3).
673. See § 5.6.3.3 + n. 639.
674. See n. 627.
675. Cp. Ui 1965a, 341,13ff.
676. The additional inclusion of the Impression (*vāsanā*) would seem to have been stimulated by Saṃdh V.2 and made necessary by the special case of rebirth in the *ārūpyadhātu* where a corporeal basis of personal existence as well as a surrounding world are lacking (see § 5.8.3 + n. 671). But cp. also n. 669.
677. Cp. the simile of the radiating jewel in *Y<sub>m</sub> 75a7* (*Y<sub>t</sub> dzi 164b6*): *tadyathā maṇer bahir ābhā bhavati, maṇis tu nābhā*. Cp., perhaps, also Śāṅkara, *Pātañjalayogaśāstravivarāṇa* (Madras 1952), 60,10ff., illustrating the outward functioning of the luminous substance of mind (*citta-sattva*) through the sense-organs with the simile of a lamp covered by a screen(?) and emitting light (*bahiḥ prakāśayati*) through holes in that screen.
678. Cp. *Y<sub>m</sub> 75a7* (*Y<sub>t</sub> dzi 164b6f.*): *yathā tu pradīpasya bahir ābhā, sa ca pradīpa ābhā* (ms.: *ābhāḥ*), ...
- 678a. See n. 631a, footn. 1.
679. *Y<sub>t</sub> zi 5a7f.* (H 1979, 29 [§ B.3-4]): *kun gzi rnam par śes pa dañ mtshuñs par ldan pa'i tshor ba gañ yin pa, de ni gcig tu sdug bsñal yañ ma yin bde ba yañ ma yin pa ...*
680. *Y<sub>t</sub> zi 5a5f.* (H 1979, 28 [§ 2.b.A]): *'di la kun gzi rnam par śes pa ... sems dañ mtshuñs par ldan pa kun tu 'gro ba lña po yid la byed pa dañ / reg pa dañ / tshor ba dañ / 'du śes*



*dan / sems pa rnams dan mtshuñs par ldan no //* ( $\approx$  \*... ālayavijñānaṃ ... pañcabhiḥ sarvatragaiḥ cittasamprayuktaiḥ samprayuktaṃ - manasikāreṇa, sparśena, vedanayā, saṃjñayā, cetanayā ca (or: manasikāra-...-cetanābhiḥ)/).

681. MN I 301.

682.  $\bar{S}A_c$  150a25; AKṬU tu 9a5; Vi 127a17; Vi<sub>2</sub> 100c6f.; PSVyṬ 122b7f.; MSgBh<sub>t</sub> 167a7f.

683. MN I 296 (= SN IV 294): *yo ... saññāvedayitanirodhaṃ samāpanno, tassa ... c i t t a - s a ṅ k h ā r ā n i r u d - d h ā paṭippassaddhā*; cp. AKṬU tu 9b5;  $\bar{S}A_c$  150b13.

684. Y<sub>t</sub> zi 5a7 (Y<sub>c</sub> 580b2f.; Chüeh-ting 1019b18; H 1979, 29 [§ B.2]): (*chos de dag kyañ*) ... 'jig rten gyi mkhas pa rnams kyis kyañ rtogs par dka' ba'i phyir phra ba ... (yin no //). Cp. Y<sub>t</sub> zi 190a6 (Y<sub>c</sub> 651c12).

685. Y<sub>t</sub> zi 190a3f. (Y<sub>c</sub> 651c7f.): *kun gzi rnam par šes pa dan mtshuñs par ldan pa'i tshor ba gañ yin pa, de ni rtag tu sdug bsñal yañ ma yin bde ba yañ ma yin pa... 'o //*.

686. Hsien-yang 480c7f.: 與不苦不樂受等<sup>1</sup> 相應 ; cp. also 504b12f.

1. 等 may be Hts.'s addition.

687. Cp. § 1.6.1 (and the reserves in n. 98).

688. Cp., e.g., Frauwallner 1951, 156 (= 1982, 645); Nagao 1982, 17.

689. Cp. especially the *pratyayavijñāna* of MAV I.9 (see n. 693), the *bījas* as a special layer of personality (MSA XI.44), or the *dauṣṭhulyakāya* in MSA XIX.51 (all of them identified by the Bhāṣya with ālayavijñāna; cp. Nozawa 1957, 76f.). Cp. also expressions like *padārthadehanirbhāsa* (MSA XI.44; see Takasaki 1976), which are, however, not usually connected with ālayavijñāna by the Bhāṣya (for an exception see § 5.13.1 + n. 720) but only by (\*Asvabhāva and) Sthiramati (cp. Aramaki 1967, (43)ff.; Suguro 1983, 24f.; for details see § 5.15.3.2-3).

690. This discrepancy does not favour Suguro's (1985, 365) view that in the case of these texts the commentary by Vasubandhu and the verses may have originated simultaneously (同時に成立した).

691. DhDhV 17,1ff. (cp. DhDhVV 39,17ff.):

*de b'zin nīd mi śes pa thog ma med pa'i dus can las te / yañ  
dag pa ma yin pa'i kun tu rtog pa<sup>1</sup> sa bon thams cad pa  
ste / <sup>(2)</sup>mi bden pa<sup>2</sup> gñis su snañ ba'i rgyu dan / de la  
brten <sup>(3)</sup>pa tha dad pa<sup>3</sup> yañ yin te / ...*

1. Thus D; P and DhDhVV 39,18: *pas*.

(2 ... 2) DhDhVV 40,1: *med pa*.

(3 ... 3) DhDhVV 40,2f.: *pa'i rgyud gzān*.

692. DhDhVV 40,1.

693. Cp. also the dichotomy, at MAV I.9ab, of *viññāna* (comprised in *abhūtaparikalpa* according to MAV I.3-4 and I.8) into a causal one (*pratyaya-viññāna*; MAVBh: = *ālayaviññāna*) and an experiencing one (*aupabhogikaṃ*, sc. *viññānam*; MAVBh: = *pravṛttiviññāna*). Likewise remarkable in this connection is the structural parallelism, to DhDhV 17,1ff. (see n. 691), of MAV V.16 where the position of *sarvabījakāḥ abhūtaparikalpāḥ* is occupied by *jalpamanaskāra* taught to be "perfumed by [the Impression of verbal or conceptual] speech in terms of the [dichotomy of *grāhya* and *grāhaka*]" (*taj-jalpa-paribhāvita*) and thus to be "the basis of this" (*tad-āśraya*), i.e. the "cause of the appearance of the dichotomy [of *grāhya* and *grāhaka*]" (*dvayaprakhyānakāraṇa*, as in the DhDhV passage quoted in n. 691). In this case, it is only Sthiramati (MAVṬ 218,14) who points out the functional identity of this *jalpamanaskāra* with *ālayaviññāna*. Cp. also MŚg II.2 (beginning).

694. See n. 693.

695. Cp. S 1969, 819f. (note 45) and 821 (note 48, b-c). - I do not feel convinced by Okada's (1981, 636f.) view that the

Bodhisattvabhūmi and the Mahāyānasūtrālaṅkāra made independent use of a pre-existing pattern of arrangement.

696. There may be a reference to *nīrodhasamāpatti* at DhDhV 16,9 (cp. the parallel passages at AS 102,12 + ASBh 139,17 and MSg VIII.2.3, but otherwise DhDhVV 37,13ff.; for details see H 1985), but this passage, apart from being based on the *Viniścayasamgrahaṇī* (Y<sub>t</sub> 'i 29a5ff., especially 8f.; H 1985, 48f.), is not concerned with *nīrodhasamāpatti* itself but merely wants to distinguish *n i r v i k a l p a - j ñ ā n a* from other states including *nīrodhasamāpatti*.
697. Cp., e.g., MAV I.3-4; DhDhV 11,10ff. (§ IV); MSA XIV.32.
698. MSg II.13 (details obscure: cp. Suguro 1982a, 98f.; for discussions of the passage see, e.g., Ueda 1982, 139ff., and Nagao 1982, 313ff.).
699. MSg II.13: *g a ṇ d u y a ṇ kun g'zi rnam par śes pa'i rnam par rig pa ni don gyi rnam par rig par ' j o g p a , der yañ ...*
700. AS 30,21 (see n. 424).
701. MSg I.2; 8-9; 11.3; 14ff.; 21; etc., etc.; II.2; 32; III.1; 12.
702. AS 3,3f. and 8f. (see n. 445); 12,1 (see n. 450); 32,9 (see ib.); cp. also 28,9 (see n. 582).
703. On the alternation of qualifying something as *c o n t a i n - i n g* or as *b e i n g* the Seed of something else see § 7.1B.2.1.3.b and, for MSg and AS, S 1985, 142 + 156f. (notes 29-30).
704. MSg I.5; I.35.
705. MSg I.7A(4) and (implicitly) I.7B(1); cp. VIII.2.3; AS 22, 21; 58,19 (reconstr.; probably: \*... *nīrodhasamāpatter ... vyutthitasya ca*); 69,16ff.; 95,16ff. (see n. 220).

706. As 11,1 (see n. 220 + footn.); 13,9ff. (reconstr.; read *bhavā°*, °*saṃjñāpūrvakeṇa manasikāreṇa*: AS 10,22ff.); especially: MSg I.50ff.
707. MSg I.52.2: (*gañ yañ 'gog pa'i sñoms par 'jug pa la yid kyi rnam par śes pa yod pas sems dañ bcas pa sñam du sems pa de'i ltar* (D) *na yañ sems de mi ruñ ste /*) ... *dmigs pa dañ rnam pa* (D) *mi dmigs pa'i phyir* ...
708. MSg I.52.1-6 and 53 are missing in the oldest Chinese translation (Buddhaśānta: T 1592, 100b5) as well as in one of the two quite obviously juxtaposed (and variously re- and misarranged) versions of the Bhāṣya, viz. MSgBh<sub>t</sub> 166b5<sup>1</sup>-167a6<sup>2</sup> + 166a3<sup>3</sup>-b5<sup>4</sup> + 167a6<sup>5</sup> (+ 167a6-b5<sup>6</sup>); MSgBh<sub>c</sub> [Dharmagupta] 283a1-21<sup>7</sup> + 282c7-11<sup>8</sup> (+ 282c11-22<sup>9</sup>); MSgBh<sub>c</sub> [Pa.] 177a16-b10.
1. *rnam par śes pa dañ bcas pa* ... (ad I.49; Bhāṣya ad I.50 begins in 166b8: *'gog pa la sñoms par* ...).
  2. (*gañ yañ* [167a5]) ... *yin no sñam du sems pa* (= beginning of the Bhāṣya on the introductory phrase of I.52 which in this version was the introduction to I.54!).
  3. *gañ yañ gañ dag* ... (= repetition of the Bhāṣya on the introductory sentence of I.52, followed by the Bhāṣya on I.54 (see 2!)).
  4. ... *ñid du 'gyur /*.
  5. *-s de bas na de ltar ni mi 'thad do //* (= end of Bhāṣya ad I.54).
  6. *'di ltar na* ... *'dod do //* (additional argument!).
  7. Bhāṣya ad I.50+51, followed (283a7ff.) by Bhāṣya on the introductory phrase of I.52 which in this version introduces I.54, and (283a9ff.) by Bhāṣya on I.54.
  8. Repetition of the last part of Bhāṣya ad I.54.
  9. Additional argument (= MSgBh<sub>t</sub> 167a6-b5).

By the way, the second version of the Bhāṣya ad I.50ff. (MSgBh<sub>t</sub> 163b7-166a3), which includes a commentary on I.52-53, seems not to know I.54; for MSgBh<sub>t</sub> 166a2f.,<sup>10</sup> following upon the explanation of MSg I.53, marks the end of the discussion of the view that *nīrodha-samāpatti* is *sacittikā* [by way of a *manovijñāna*], and the subsequent sentence ("now the second position will have to

be explained")<sup>11</sup> obviously introduces the commentary on I.55. It would thus seem that first I.54 was, in a revised version of the basic text, replaced by I.52.1-6 + I.53 (as is also supported by the fact that I.54 is substantially represented by I.52.3), and that only afterwards, perhaps due to the juxtaposition of explanations of both versions in the Bhāṣya, the basic text, too, was reorganized in terms of a juxtaposition of both versions.

10. *sems dan bcas pa'i sñoms par 'jug pa brtag pa rdzogs so //.*

11. *da ni gañ yañ phyogs gñis pa de'i don brjod par bya ste /.*

709. MSgBh<sub>t</sub> 164b2f. (MSgBh<sub>c</sub> [Dharmagupta] 282b3f. and MSgBh<sub>c</sub> [Hts.] 335a5f.): *kun gñi rnam par śes pa yod par 'dod pa la* (D: *las*) *ni skyon* (P, D: *rkyen* but Ch. 過 and 妨難) *'di dag yod par mi 'gyur te / de'i rten ñe bar gzuñ bas rab tu phyé ba'i phyir ro* (\**tasyâśrayopādānaprabhāvitatvāt*).

710. MSg I.7A(4) is part of a later addition (H 1978a, 229f.; 248 note 57). As for MSg I.7B (verse 1c), see S 1985, 148f. What is, however, most important is that even the crucial passage MSg I.50-55 (proving the existence of ālayavijñāna by showing that it is indispensable in *nīrodhasamāpatti*) does not (apart from the fact that a part of it is of later origin: see n. 708) form an organic part of the systematical scheme (stated in advance at MSg I.29) in accordance with which the proofs for the existence of ālayavijñāna are arranged in the Mahāyānaśaṃgraha, i.e. it does not form part of the demonstration that *saṃkleśa* and *vyavadāna* would be impossible without ālayavijñāna; for this demonstration is complete with MSg I.44 (I.45-49 being a kind of supplement to the proof from the viewpoint of *lokottara-vyavadāna*), and yet it is summed up only at MSg I.56, i.e. after the *nīrodhasamāpatti* argument.

711. I.e. by way of a revision of his first draft; cp. S 1985, 148.

712. One can, of course, not exclude the possibility that the *nīrodhasamāpatti* argument was familiar to the author from the outset and that he made use of it already in his first draft but did not succeed in integrating it organically into his own pattern of demonstration.
713. I.e. provided that AS was, as I tend to suppose, composed after MSg.
714. See MSg I.1-2 and I.27.
715. Cp. MSA XI.44.
716. See § 5.11.1-2 + ns. 689, 691 and 693.
717. But cp., at any rate, § 5.12.3 + n. 709. Cp. also MSgBh<sub>t</sub> 159b6f. proving that the *viññāna* which coalesces with (/ "faints into") proto-embryonic matter at the moment of Linking up (*pratisandhi*) cannot be a *manoviññāna* [but only *ālayaviññāna*] by pointing out that it is without an object (*brgyal bar gyur pa de la ni dmigs pa med de / de'i phyir 'di ni yid kyi rnam par šes pa ñid du mi ruñ ño //*).

On the other hand, MSgBh seems to take *ālayaviññāna* as an actual cognition of an object not only when commenting upon MSg II.13 (see n. 698) (MSgBh<sub>t</sub> 174b2ff.; Iwata 1981, 106f. [§ 15]) but also when explaining MSg III.12 where the Bhāṣya seems to state that the fact that the word '*nimitta*' is used a second time<sup>1</sup> has the purpose of showing that the Seeds (*bīja*) - mentioned by the next word of the text - are the object (*ālambana-nimitta*) [of *ālayaviññāna*] (MSgBh<sub>t</sub> 195b6f.: *yañ rgyu mtshan smos pa ni de'i sa bon de dag gi dmigs pa'i rgyu mtshan bstan pa'i don to //*; MSgBh<sub>c</sub> [Hts.] 352b25f.: 復舉相者、為欲顯示 即彼種子 是所緣相 ; similarly MSgBh<sub>c</sub> [Dharmagupta] 297b11f.); ≈ \**punar nimittagrahaṇam tasya*<sup>2</sup> *teṣāṃ bījānāṃ ālambananimittatva*<sup>3</sup> *-udbhāvanārtham*).<sup>4</sup>

It is, however, noteworthy that the sentence under discussion (viz. MSgBh<sub>t</sub> 195b6f.) is found in yet one more (see n.

708) passage in which a piece of MSg is commented upon twice; for the explanation of MSg III.1ff. to which our sentence belongs is preceded, in MSgBh<sub>t</sub> (189a6-191b8),<sup>5</sup> by another explanation of MSg III.2-13 (which, by the way, does not comment upon III.12!). Our sentence may therefore, like the commentary on MSg I.52-53 (see n. 708), form part not of the original version of MSgBh but of a later commentary or of a revised or supplementary version of MSgBh. But I have to admit that this point (which may be relevant also to the "Vasubandhu problem") needs more careful investigation into the whole piece of text involved.

1. This second occurrence of 'nimitta' is not found in the Tibetan version of the basic text (*kun g'zi rnam par šes pa'i sa bon rgyu mtshan dan beas pa thams cad*), but it is confirmed by MSgBh<sub>t</sub> 195b5 and by the Chinese versions including Buddhaśānta (T 1592, 105a21f.) who has 同相一切阿梨耶識因種子 which would seem to correspond to \**sa-nimitta-sarvālayavijñāna- n i m i t t a -bīja°*, i.e. "all Seeds, in ālayavijñāna, of the phenomena (i.e. actual *vijñaptis*), along with the phenomena [themselves]", but appears to be understood by the Bhāṣya as "all Seeds in ālayavijñāna which are [at the same time] the object (of ālayavijñāna), along with ...".
2. Not represented in Hts. and Dharmagupta.
3. -*tva-* is not represented in Tib.
4. Paramārtha (MSgBh<sub>C</sub> [Pa] 207a18f.) seems to take the sentence to mean that the *nimittas* (= sense-objects) are the objective condition (*ālambanapratyaya*) of the Seeds, which is however - as far as I can see - an unusual idea.
5. In MSgBh<sub>C</sub> [Dharmagupta, Hts., and also Pa.] the two sets of explanations do not appear *en bloc* but stand distributed to the individual paragraphs of MSg III, the relevant portions being variously combined or juxtaposed.

718. See n. 101.

719. a) Cp. MSABh XI.32 (63,5): *svadhātuta iti sva b ī j ā d ālayavijñānataḥ*; XI.44 (66,5): *b ī j a -parāvṛtter ity ālayavijñāna-parāvṛttitaḥ*; XIX.49 (169,6): *bījanimittam*. (=) *yat teṣāṃ b ī j a m ālayavijñānam*;<sup>1</sup> XIX.51 (169,23): *dauṣṭhulyakāyasya* (=) *ālayavijñānasya*; cp. also I.18 (7,20). At XIX.76 (174,16), ālayavijñāna is equated with *citta* and

stated to be the object of *manas* in the sense of continuous feeling of Ego, but nowhere is it itself taken to cognize an object.

1. Note that in all these passages *ālayavijñāna* is the Seed. Cp. n. 703.

b) MAVBh I.9 (21,3f.): *ālayavijñānam anyeṣāṃ vijñānānāṃ pratyayatvāt* (MAVṬ 32,21: *hetu pratyayabhāvena*; cp. ib. 33,12) *pratyaya-vijñānam*; III.22 (48,8f.): see n. 720.

c) DhDhVV 26,16: *gnas ni kun gzi rnam par ṣes pa ste / ... rnam par ṣes pa'i ba g c ha g s rnam ni de la gnas pa'i phyr ro //*; 40,1, equating the *\*sarva b ī j a k.o 'abhūtaparikalpaḥ* (see § 5.11.2 + n. 691) with *ālayavijñāna*.

d) TSN 6-9: *citta* is divided into *ālaya-* and *pravṛtti-vijñāna*; the former is the cause (*hetu*) and is called '*citta*' because it is an accumulation of (or filled, covered, "set" with) the Seeds [into which] the Impressions of Pollution (i.e. pollutive factors) [turn] (*saṃkleśa-vāsanā-bījaiś citatvāt*); *ālayavijñāna*, also called '*mūlavijñāna*', is, by nature, [the result of karmic] Maturation (*vipākātma-ka*) and thus called '*vaipākiko 'bhūtakalpaḥ*', in contrast to *pravṛtti-vijñāna* which is *abhūtakalpa* in the sense of arising (*vṛtti*) as the representation or appearance (*vitti*) of either an object (*dṛśya*) or a perception / cognition (*dṛś*) [of an object]. The text thus takes *ālayavijñāna* as the result of Maturation containing all Seeds but not as an actual cognition of an object. In TSN 29f., *mūlavijñāna* (i.e. *ālayavijñāna*) is stated to be the cause due to which False Imagination (*asat-kalpa*) appears as the two (viz. object and subject), and it is compared with an incantation (*mantra*) due to which a piece of wood falsely appears as an elephant.



720. MAVBh 48,8-10 (ad MAV III.22bc): "*hetur*" *bījasamgrhītam ālayavijñānam* / "*nimittam*" *pratiṣṭhā-deha-bhoga-samgrhītam* /. Cp. Nozawa 1957, 77f.; Yeh 1975, 669f.; Takasaki 1976, 18; H 1979a, (73).
721. I.e. if, as is probable, in the second sentence of the passage quoted in n. 720 the word '*ālayavijñānam*' is to be supplied. Thus expressly MAVṬ 161,13. Cp. also some of the parallels from the *Laṅkāvatārasūtra* collected in Takasaki 1976, 3ff., especially Nos. A.2 (LAS II.125 = X.435), B.1 (LAS 42,4f.), B.3 (LAS 56,7f.), and B.4 (LAS 62,14f.). If, however, Suguro (1983, 25,3f.) is right in desisting from supplying '*ālayavijñāna*', even in this passage *ālayavijñāna* would be limited to its Seed aspect.
722. Cp. ASBh 137,9-11; H 1979a, (73)f. - On the difficulty of establishing *bhoga* as a category on its own besides *pratiṣṭhā* and *deha* see MAVṬ 161,18ff. (MAVṬ<sub>t</sub> 112b3-5 [D: bi 268a4f.]): *bhoga* is nothing else but the surrounding world and the body in so far as they are experienced by the other perceptions/cognitions, though not as their object proper but only as an influencing factor (*adhipati*: cp. MAVṬ 146,6-9 [see n. 769]), hence indirectly, i.e. by way of corresponding mental images; or *bhoga* means objects enjoyed or consumed, like food and drink.
723. Cp. § 10.3.1.4.
724. Cp. MAVBh I.3 (18,26f.): "*nāsti cāsyārtha*" (= MAV I.3c) *iti artha-sattva-pratibhāsasyānākāratvāt*, i.e.: "'It has no object', because [the *vijñāna* which] appears as object(s) or as [corporeal] living being(s) (MAVBh 18,23f.: as the five sense-faculties) has no mode-of-apprehension", i.e. no intentional structure: cp. MAVṬ 18,23 (*anākāratvād: agrāhakatvādity arthaḥ*) and 18,18 (*grāhyarūpeṇa prakhyānāt*). In this passage, it is not in the *Bhāṣya* but only in the *Ṭīkā* (17,13f.: cp. § 5.15.3.3 + n. 763) that what appears as object(s) and corporeal matter is expressly identified with *ālayavijñāna*.

725. Cp. MSA XI.44-45; 40; 24; MAV I.3; MSg II.1ff., especially 5 and 9.
726. E.g. TSN 8-9 (see n. 719(d)); MSg II.32: *vipākavijñāna* = *vāsanā*, contrasted with *pravṛttivijñāna* = *dharma*s having the nature of *v i j ñ a p t i s* comprising an objective and a subjective element (*\*sa-nimitta-darśana*, cp. MSg II.11).
727. Cp. also MAVṬ 17,13f. (ad MAV I.3): see n. 763; perhaps also MSg II.13 (see n. 698) and MSgBh on this passage (cp. n. 717).
728. Otherwise, however, YidKun 14,2ff. (Kelsang/Odani 1986, 44), taking the object of (= objective image in) *ālayavijñāna* to be also the object of the sense-perceptions.
729. This holds good for the external objects and the body. As for the subtle and imperceptible (material) sense-faculties, their representation (*cakṣur-vijñapti*, etc.) creates the impression of having been transformed into a kind of supporting substance or centre of the corresponding representations of object (*rūpa-vijñapti*, etc.: *nimitta*) and perception (*cakṣurvijñāna-vijñapti*, etc.: *\*darśana*) at MSg II.11. On the other hand, the sense-faculties are o b j e c t s of *ā l a y a vijñāna* in the *Pravṛtti Portion* (see § 5.6.3.2) and at Hsien-yang 480c5 (see § 5.6.4.2), and i m a g e s in *ālayavijñāna* according to passages like Si 10a17f. and 11a8f. In the mind-only system of the *Viṃśatikā* which presupposes a "one-layered" series of mind (S 1967, 112f.), the sense-faculties are reduced to the S e e d s from which the respective perceptions arise (Vś verse 9 [see n. 761]; cp. also § 5.15.3.2 and S 1967, 116ff.; cp. also ib., 126). In his *M a d h y ā n t a v i b h ā g a ṭ ī k ā*, Sthiramati seems to accept the view that *ā l a y a v i j ñ ā n a* a p p e a r s a s corporeal matter including the sense-faculties (see n. 763), but at the same time he seems to follow the *V i ṃ ś a t i k ā* in taking the sense-faculties proper to be S e e d s , more precisely to be *ālayavijñāna*

in so far as it has been "pre-disposed" (*ākṣipta*) by karman imbued with (*paribhāvita*) [emotionally involved] proliferous conceptual activity (*prapañca*) [concerned with] sense-faculties - or in so far as it has been perfumed (*vāṣita*) by karman [qualified by] Sticking to (*abhiniveśa*) sense-faculties (MAVṬ 144,6f. [*anye!*]) -, and has thereby developed in such a way that it is the Seed of such (images of) sense-faculties (MAVṬ 146,3-5).

730. See n. 101.

731. KSi § 36 (Muroji p. 45,6): 'o na de'i dmigs pa dañ / rnam pa ci yin 'ze na /; PSVy 24b6 (Muroji p. 46,1).

732. Cp. PSkVai 46b4, explaining *aparicchinṇālambanākāra* as 'di'i dmigs pa dañ rnam pa yon's su gcad (D; P: beaḍ) pa r m i n u s s o . Cp. Wackernagel II,2, p. 578.

733. KSi § 36 (Muroji p. 45,7): dmigs pa dañ / rnam pa ma chad pa yin no //; PSVy 24b6 (Muroji p. 46,2; cp. Matsuda 1982, (65)): dmigs pa dañ rnam pa yon's su ma chad pa'o //; PSk<sub>t</sub> 17a1 (Muroji p. 44,7f.; PSk<sub>D</sub> § 26, but mistranslated): de yañ dmigs pa dañ rnam pa yon's su ma chad pa'o //; Skt.: TrBh 19,4f. Cp. also Griffiths 1986, 181 note 45.

The characterization of the actual cognitive aspect of ālaya-vijñāna in Vasubandhu's KSi, PSk and PSVy is, in spite of Sthiramati's attempt to combine them (TrBh 19,4-8), essentially different from that of the *Pravṛtti Portion*. For while in the *Pravṛtti Portion* the term '*aparicchinṇākāra*' is used to qualify - in whatever manner (see n. 634) - the perception of an object which, in its turn, is unambiguously specified (viz. as the surrounding world), Vasubandhu's term '*aparicchinṇālambanākāra*' means that no such specification of the object can be given at all, not to speak of the mode of its apprehension.

734. KSi § 36 (Muroji p. 45,8ff.); PSVy 19a1f.; TrBh 19,22ff.; Si 11b5ff. See also de la Vallée Poussin 1934-5, 159 + note 1.

735. KSi § 25ff. (Muroji p. 27,14ff.).
736. In the *V i ṃ ś a t i k ā*, ālayavijñāna is ignored (cp., e.g., S 1967, 112ff.; Suguro 1983, 6.
737. Tr 3ab: *asaṃviditakopādisthānavijñaptikaṃ ca tat*; the word *asaṃviditaka* reminds of Saṃdh VIII.37 (see n. 629).
738. Tr 3cd: *sadā sparśa-manaskāra-vit-saṃjñā-cetanānvitam*. As in the *Pravṛtti Portion*, the sensation of ālayavijñāna is stated to be neutral (Tr 4a: *upekṣā vedanā tatra*), i.e. neither-painful-nor-pleasant.
739. Cp. also Takasaki 1985, 39ff.
740. See § 5.6.3.1-2 + ns. 633 and 531.
741. Trṭ (see n. 1305) interprets: are representations or images in ...; but cp. § 10.3.1.4 and Takasaki 1985, 36,13-16. Of course, in Tr "cognizing" should, even though the wording of Tr 3ab in itself is ambiguous in this regard, certainly be understood in line with the mind-only theory, i.e. as an intra-mental act of manifestation not based on or referring to an external object. To be sure, the notion of "act" is, strictly speaking, incompatible with momentariness (cp. PG 5 [+ n. 1394] and n. 221) - and the act should thus, strictly speaking, be reduced to *tadākārotpatti* (cp. n. 764; Saṃdh VIII.7<sup>1</sup>) -; but this would also hold good for the use of *vijñapti* in the Abhidharmic definition of *vijñāna* (n. 610) where its use as an action noun designating the function (*karman* in the sense of *kriyā*: see n. 631a; cp. Y 5,7) of *vijñāna* can hardly be doubted; cp. also Dignāga's justification, of the use of the action noun '*pramāṇa*' with reference to cognition/perception, by pointing out that cognition, though in fact merely arising in the form of its object, appears a s i f performing a cognitive function (*Pramāṇa-samuccaya*(vṛtti) I.8cd; cp. PV III.307-309).

1. Quoted in *Jñānaśrīmitranibandhāvalī* (ed. A. Thakur, Patna 1959) 478,3f.: *na hi, Maitreya, tatra kaścīd <dharmo kaṃcid> dharmam pratyavekṣate, api tu tathā samutpannam tac cittam yat tathā khyāti.*

742. TrBh 19,10f.: *asaṃviditaka upādir yasmin asaṃviditakā ca<sup>1</sup> sthānavijñaptir yasmin, tad ālayavijñānam asaṃviditako-pādisthānavijñaptikaṃ /*
1. Cp. Ui 1979a, 55 (from behind).
743. Sthiramati (TrBh 19,22: see n. 744) uses, in this context, Vasubandhu's term '*aparicchinnālambanākāra*' (see § 5.14.1), but in Sthiramati the term does not involve that the object cannot be specified at all.
744. TrBh 19,21f.: *sthānavijñaptir bhājanalokasaṃniveśavijñaptiḥ / sāpy aparicchinnālambanākārapravṛttatvād asaṃviditēty ucyate /.*
745. TrBh 19,10 (see n. 742) and 19,16ff. (*upādiḥ = āśrayopādānam = tasya* (sc. *āśrayasya*: objective genitive) *upagamanam ekayogakṣematvena*), implying that in this context Sthiramati takes *upādi = upādāna* as an *a c t i o n* belonging to, or performed by, *ālayavijñāna*, and *n o t* as an *o b j e c t* *c o g n i z e d* by it.
746. Cp. TrBh 19,14 (see n. 748): *so* (sc. *upādiḥ = vāsanā*) '*s m i n n*' (sc. *ālayavijñāne*) ...
747. TrBh 19,20f.: *tat punar upādānam idaṃtayā pratisaṃvedayitum aśakyam ity ato 'saṃvidita* (sc. *upādiḥ*) *ity ucyate.*
748. TrBh 19,14f.: *so 'sminn* (cp. n. 746) "*idaṃ tad*" *iti pratisaṃvedanākāreṇāsaṃviditaḥ ...*
749. Viz. Saṃdh VIII.37.1.1 (see § 5.6.1) + Saṃdh V.2 (see § 4.4.2). - I for one do not perceive anything indicating that Sthiramati himself was aware of the incoherence between his introductory remarks and his own explanation of the line Tr 3ab, let alone that he attempted to resolve it. Therefore, in contrast to Takasaki (1985) who attempts a - fairly speculative - harmonization, I prefer to leave the incoherence as it stands and to take it as the expression of a juxtaposition of two different exegetical traditions, one of which is based on the *Pravṛtti* Portion of the *VinSg ālay*.

*Treatise*, whereas the other may be based on the *Samdhinirmo-  
canasūtra* and perhaps also be motivated by the intention to  
harmonize the present line with *Viṃśatikā* verse 9 (see §  
5.15.3.2).

750. Cp. n. 222.

751. TrBh 19,7f.; 19,16f.: *āśrayopādānaṃ cōpādih / ... āśraya  
ātmabhāvaḥ sādhiṣṭhānam indriyarūpaṃ n ā m a c a /*; cp.  
H 1979, 71 (note 10); Takasaki 1985, 40. - When, at TrBh  
19,7f., Sthiramati supplements the definition of *upādāna*  
which is taken from the *Pravṛtti Portion* by adding *nāman*,  
this would, if the text is taken literally, imply that  
*naman*, too, is the object of *ālayavijñāna*'s c o g n i -  
t i v e function, but in view of his subsequent explanation  
of Tr 3ab this is of course not what Sthiramati intends.

752. See n. 517; otherwise Takasaki 1985, 41, but not supported  
by the wording of the text.

753. TrBh 19,18f.: *ārūpyadhātau tu rūpavītarāgatvād rūpavipākāna-  
bhinirvṛtter n ā m o p ā d ā n a m e v a /*. Cp. Takasaki  
1985, 40,15, who however tries to devaluate the statement by  
pointing out that *vāsanā* is available as another object; but  
see n. 752. As for Takasaki's own explanation of the addi-  
tion of *nāman*, see Takasaki 1985, 47ff.; cp. also ib., p.  
53 note 32.

754. See § 3.9.2.5 + ns. 337 and 338.

755. I have so far not come across any reference to *ālayavijñāna*  
as an actual cognition of an object in the *A b h i d h a r -  
m a s a m u c c a y a b h ā ṣ y a* (of which, in my opinion,  
Sthiramati is n o t the author: see S 1969a, 101 note y<sup>1</sup>).  
Even in a passage like ASBh 21,9f. which, clearly alluding  
to *Samdh VIII.37.1.1*, speaks of an un- or subconscious  
perception of the surrounding world, *ālayavijñāna* is, curi-  
ously enough, not mentioned. And at ASBh 137,8ff. (cp. also  
21,5f.) it is (as at M<sup>S</sup>g II.20) only equated with the

*mūlavikalpa* (in the sense of b e i n g the S e e d of all other *vikalpas*) but n o t with the *nimittavikalpa*, i.e. representations or images appearing as (or: perceptions in so far as they appear as) body, foundation (i.e. surrounding world) and (objects-of-)enjoyment (*deha-bhoga-pratiṣṭhā-pratibhāsā vijñaptayah*; cp. § 5.13 + n. 72o!).

1. Cp., on this problem, also de Jong 1973, 34of. (= 1979, 6o2f.), with further references; Yoshimoto 1977, 89; H 1977a, 256f.
756. Because Sthiramati himself refers the reader to it at TrBh 39,4.
757. PSkVai 46b4-6: 'di ltar kun g'zi rnam par śes pa ni dmigs pa gñis la 'jug pa ste / nañ gi ñe bar len pa'i rnam par rig pa dañ / phyi rol gyi snod la rnam par rig pa rnam pa yonś su ma chad pa'o // de la nañ gi ñe bar len pa ni kun brtags pa'i no bo ñid la mñon par śen pa'i bag chags dañ / gnas dañ bcas pa'i dbañ po'i gzugs so // (Skt. must have been more or less the same as TrBh 19,5-8, but without *nāma*).
758. Cp., e.g., SAVBh mi 2o7b5f. = Hayashima 1978, 1o6,5f. (ad MSA XI.4o): gnas su snañ ba (*padābhāsa*: MSABh 65,2) ni kun g'zi rnam par śes pa snod lta bur snañ ba ste / sa g'zi chen por snañ ba'o // (in contrast to *padābhāsa*, *dehābhāsa* and *arthābhāsa* are n o t referred to *ālayavijñāna*!); tsi 242a 2f. (ad MSA XIX.49): snod kyi 'jig rten ni kun g'zi rnam par śes pas dmigs par bya ba'i yul yin pa ...; tsi 248a3f. (ad MSA XIX.55): dus thams cad skad cig ma re re la yañ kun g'zi rnam par śes pa las sa g'zi chen po lta bur snañ bar 'gyur na ... ("continuously in every moment *ālayavijñāna* comes to produce an appearance of (or, if *las* [P,D] is a misrendering: comes to appear as) the Wide Earth (*mahāprthivī*)"); mi 143b5 = BShk 2, 87,13f. (ad MSA IX.45): rten (*pratiṣṭhā*) gyi sgras ni kun g'zi rnam par śes pa dañ / de'i dmigs par (P,D: pa'i) bya ba'i yul snod kyi 'jig rten sa g'zi chen po la bya ste / (cp. also 143b7f.). Cp. also Suguro 1983, 24,13f.

I wonder if SAVBh mi 143b6 (BShK 2, 87,16f.: *lus dan loṅs spyod dan / gnas lta bur yaṅ kun g'zi ṅid de ltaṅ snaṅ baṅ na kun g'zi la rten 'jes bya'o //*) is an exception; but since the passage is intended to give another reason for the fact that, according to Sthiramati, besides its object (viz. the surrounding world) ālayavijñāna also is itself called 'foundation' (*pratiṣṭhā*), I suppose it should mean something like: "ālaya[vijñāna] is called 'foundation' (because it is the support of Impressions (*vāsanā*) ..., and) because it is ālaya[vijñāna] that appears as the [surrounding world which is the] foundation o f the body and of the [objects-of-] enjoyment (*\*deha-bhoga-pratiṣṭhā*)." But I have to admit that this is doubtful.

759. Cp. SAṬ 80a4f. (ad MSA IX.45): *rten (pratiṣṭhā) du gyur pa ni sa (\*pr̥thivī) ste (D) / de g'zan du gyur na (\*asyāḥ parā-vṛttau) ... / 'di ni kun g'zi rnam par 'ses pa 'i dmigs pa g'zan du gyur pa yin te (\*iyam ālayavijñānālambanaparāvṛttiḥ)*; 101a5 (ad MSABh 65,2): "'Appearing as the Foundation' (*padābhāsaḥ*) means appearing as the earth, etc.; [by this the text] shows that [it is] ālayavijñāna [in so far as it is] the perception (or: a representation, image) of the Foundation (*\*pada-vijñapti*) [that] appears as the [earth, etc., i.e. as the surrounding world]" (*gnas su snaṅ ba 'jes bya ba ni sa la sogs par snaṅ ba ste / kun g'zi rnam par 'ses pa gnas kyi rnam par rig pa la der snaṅ ba ṅid du ston to //*; like the corresponding passage of Sthiramati's commentary (see n. 758), \*Asvabhāva, too, does not mention ālayavijñāna in the case of *artha*- and *dehābhāsa*); 180a2 (ad MSABh 169,5: *pratiṣṭhā-nimittam bhājanalokaḥ*): "The [Foundation = surrounding world] is the *nimitta*, i.e. object, of ālayavijñāna (*\*sṛ' ālayavijñānasya nimittam, ālambanam ity arthaḥ*); for ālayavijñāna is called (cp. SAṬ 80a5) a perception (or representation) of the Foundation (*sthāna-vijñapti*) [at Tr 3b]" (*de ni kun g'zi (D) rnam par 'ses pa 'i rgyu mtshan dmigs pa yin no 'jes bya ba 'i*



- tha tshig ste* (D)/ 'di ltar kun g'zi rnam par śes pa ni gnas rnam par rig pa 'byun ba yin no //). Cp. also Suguro 1983, 24,13f.
760. SAṬ 80a5; 180a2 (see n. 759); SAVBh mi 143b7f. (BShK 2, 88,3ff.); tsi 242a3f.
761. Vś 5,25ff., especially 27ff.: *rūpapratibhāsā vijñaptir yataḥ svabījāt pariṇamaviśeṣaprāptād utpadyate, tad ... bījaṃ ... tasyā vijñapteś cakṣur-...-āyatanatvena ... bhagavān abravīt.*
762. SAṬ 79a6ff. and SAVBh mi 141b5ff. (BShK 2, 81,8ff.), replying to the objection that it is difficult to see how there can be a renewal (*parāvṛtti*) of the (material) sense-faculties (MSA IX.41) in a system for which matter (*rūpa*) does not exist (*gzugs med na*).
763. MAVṬ 17,13f.: *a r t h a - s a t t v a - pratibhāsam ālaya-vijñānaṃ sasamprayogam* (18,5: *artha* = *rūpa*, etc. [here obviously viewed as constituting the surrounding world, in contrast to SAVBh mi 207b6 (cp. n. 758) where *arthābhāsa* would seem to refer to the images of sense-objects in ordinary perception; cp. also n. 769, but also n. 631a, footn. 1]; 18,6ff.: *sattva* = the five material sense-faculties [probably comprising, in this context, all corporeal matter]); 33,9f.: "Since [*ālayavijñāna*] makes known the (world of?) living being(s) (i.e. corporeal matter) and the surrounding world by way of appearing as these, it is [actually] a *vijñāna*" (*s a t t v a - b h ā j a n a - l o k a -vijñāpa-nāt tannirbhāsatayā vijñānam*); 146,6f. <\**ya ālayavijñānasya s ā d h ā r a ṇ ā r t h a - prati>bhāso yaś ca s a t t v a - pratibhāsaḥ ...*; 161,14ff.: <... *pratiṣṭhā* (= MAVBh 48,9) \* *b h ā j a n a l o k a ḥ*; *tad-ā>bhāsam ālayavijñānam / ... dehaḥ s e n d r i y a ṃ ś a r î r a ṃ*; *tad-ābhāsam ālayavijñānam*. Cp. also Suguro 1983, 24,10ff. As for the sense-faculties, cp., however, also n. 729.

764. See n. 763, underlined words, especially MAVṬ 33,9f.; 18,9: *tadākārotpattitām upādāya vijñānaṃ tad-ābhāsam*. Cp. also SAVBh mi 2o7b5f. and tsi 248a3f. (see n. 758).
765. Cp. also the view of Tsoñ-kha-pa (YidKun 13,8ff.; Kelsang/Odani 1986, 43f.) for whom the Seeds are n o t r e a l l y an object of ālayavijñāna's cognitive function. The consequence that then in the ārūpyadhātu ālayavijñāna will have no object proper is not regarded by Tsoñ-kha-pa as a serious defect because the arising-and-continuance of ālayavijñāna depends on karmic impulse but not on the presence of an object proper or content (*snañ ba'i dmigs pa*) (YidKun 15,5 ff.; Kelsang/Odani 1986, 45). In other words: According to Tsoñ-kha-pa, the specific nature of ālayavijñāna e x - e m p t s i t f r o m b e i n g r i g i d l y s u b - j e c t e d t o t h e A b h i d h a r m i c d e f i n i - t i o n o f v i j ñ ā n a .
- 765a. Nagao (1978, 382,18ff.) notes two passages where the Seeds are missing, but at least in one of these cases they are lacking because of the nature of the context.
766. Si 1o14ff.; 11a3-5; Yokoyama 1979a, 14f.
767. Si 19c12ff.
768. Si<sub>p</sub> 23o: Nanda. Cp. also de La Vallée Poussin 1934-35, 167. It should however be noted that Nanda differs from the Viṃśatikā (and the Ālambanaparīkṣā) by advocating the simultaneity of several *vijñānas* and by taking the sense-faculties to be Seeds in *vipākavijñāna*, i.e. *ā l a y a v i j ñ ā n a*.
769. Cp. especially Si 4oc14-21 and 27ff. (Si<sub>p</sub> 445f. and 447f.): distinction between a " n e a r " ( 親 ) object, i.e. the "image ( 相 , *nimitta*) part" of a given perception or cognition, and a " r e m o t e " ( 疎 ) object, i.e. the proto-type ( 質 ) on which this image is based, preferably the corresponding element in the "image part" of ālayavijñāna (Shu-chi 5o1c16ff.).

Cp. also MAVT 146,5ff.:

"The appearance of the visible, etc., in Forthcoming Mind is the 'external' Basis [of the latter]. The appearance of the object(s) common [to all people] (i.e. of the surrounding world) in ālayavijñāna ... is also called the 'external' Basis [of Forthcoming Mind], because [this appearance in ālayavijñāna] is an influencing condition for the arising of this Forthcoming Mind [in so far as the latter] appears as an object ..., but not because it is - as one may [falsely] think - its object."

(... pra<\*vṛttivijñānasya rūpādi-pratibhāsaḥ<sup>1</sup> bāhyam āyatanam<sup>2</sup> / ya ālayavijñānasya sādharmaṇārtha-prati>bhāso ..., so 'pi grāhya-...pratibhāsasya pravṛttivijñānasyōtpattāv adhipatipratyayatvād bāhyam āyatanam ucyate, na tu tadviṣayatvād iti /).

1. Ed.: °saṃ

2. Ed.: bāhyāya°.

770. Cp. VGPVy 427b4ff.:

"So far the interpretation [of MSg I.42] in terms of admitting external things. [But] for [one who advocates] the view that [all] objects of cognition (jñeya) are internal, [it is as follows:] When ālayavijñāna arises in such a way that the whole body appears as oneself, then the whole body is [biologically] appropriated (upātta). When a part of the body appears as oneself and another part as external, then the part which is characterized (\*-ākāra) as appearing as oneself is appropriated, the one which is characterized as [appearing as] external is not appropriated ..."

('di ni re zig phyi rol gyi don khas blaṅ ba'i (D: blaṅs pa'i) tshul yin (D: can) no // śes bya naṅ gi yin par lta ba la ni gaṅ gi tshe kun g'zi'i rnam par śes pa lus mtha' dag <bdag> tu snaṅ bar skye ba de'i tshe lus thams cad zin pa

*yin la / gañ gi tshe lus kyi phyogs la la ni bdag tu / la la ni phyi rol gyi rnam par snañ ba de'i tshe gañ zīg bdag tu snañ ba'i rnam pa can de zin pa yin la / phyi rol gyi rnam pa can ni ma zin pa...*

Cp. also VGPVy 376a6ff., where "[biologically] appropriated" (*upātta*) is stated to mean "made one's own (\**ātmasātkṛta*) so as to prevent decay" ('*jig pa'i gags su bdag gir byas so*) or, more precisely, "that which, if benefited or injured, appears as the basis of pleasure and pain, etc." (*phan pa dañ gnod pa dag gis bde ba dañ sdug bsñal la sogs pa'i rten ñid du snañ ba*), whereas that which appears as not made one's own (*bdag gir ma byas par snañ ba*) is unappropriated (*anupātta*).

I am not sure whether this is identical with what Takasaki (1985, 46f.) wants to suggest. But I think that the "appearing as oneself", of the living body, in the first VGPVy passage can not be equated with the *ātma-vikalpa* (of TrBh 19,12ff.) because biological appropriation continues even in Arhats, who, however, have completely eradicated *ātmavikalpa*.

- 771. Si 10c16ff. (Si<sub>p</sub> 136ff.). Cp. also YidKun 14,1ff. and especially 16,2ff. (Kelsang/Odani 1986, 44ff.).
- 772. Si 11a11ff. (Si<sub>p</sub> 138f.). Cp. also YidKun 18,7ff. (Kelsang/Odani 1986, 48).
- 773. Si 40c21ff. (Si<sub>p</sub> 446f.).
- 774. Cp. AS 12,7f.; Prak 693a5.
- 775. Cp. the fact that at Y 6,8 etc. the *sarvabījakam vijñānam* of Y 4,11 ((d)Y in § 6.1.1), i.e. the *bījāśraya* of (1)/(1A), is represented by *b ī j a o n l y* (*mano b ī j a yoh pūrvavad vibhāgaḥ*). Cp. also Y 105,6f. (*kiṃpūrvā, kiṃ pratiṣṭhāya ... / svabījapūrvā, bījāśrayaṃ sthāpayitvā tadanyam āśrayaṃ pratiṣṭhāya* (Y<sub>m</sub>) ...) where *bījāśraya* resumes the preceding *svabīja*. Cp. also Suguro 1982, 64.

776. See n. 428.
777. See § 3.11.4.2 + n. 392.
778. I.e. not at all, except for Y 11,4, to be treated in § 6.2, and perhaps (viz. in case that 藏 represents \**ā-lī-*/ *ālaya* and hence implies an etymological nuance) Hsien-yang 505b21f. (see n. 159).
779. See ns. 138-140.
780. See § 3.11.2-3; cp. also n. 172.
781. E.g. Y 52,16; 61,8; cp. 55,14.
782. MSg I.23; 30ff.; 43f.
783. Y<sub>t</sub> zi 3a8-b4 = ASBh 12,25ff. (H 1978, 12 [§ iv]; Griffiths 1986, 134f.).
784. Let alone the central argument of the Mahāyānasamgraha, viz. that Impressions (*vāsanā*), turning into Seeds (*bīja*) or reinforcing them, can only be received by a s i m u l t a - n e o u s recipient (e.g. MSg I.15; 23.1; 30; 32; 43f.).
785. As for the first problem, the Vaibhāṣikas do not seem to have felt any difficulty in an immediate sequence of opposite (e.g. good and bad) states of mind (cp. AKBh 103,18ff.), whereas the Theravādins appear to have precluded the possibility of such a sequence by intercalating a neutral state of mind (cp. Paṭṭhāna [Nālandā-ed.] I 5,1ff. and 6,4ff.).
786. PG 28-29 (see App. II).
787. See § 3.11.3.
788. Y<sub>t</sub> 'i 288a8f. (Y<sub>c</sub> 829a5f.): "Mind-containing-all-Seeds (\**sar-vabījakam vijñānam*) which is ... under the sway of (\**-upaga*) those Deeds (*karman*) and Defilements (*kleśa*) comes, at the moment of Linking up (*pratisandhi*) [a new existence] in future, to settle down (\**pratiṣṭhām labh-* [Y 230,9], cp. Ch.: 一切種子識 ... 住於名色) in *nāmarūpa*" (... *las dan ñon moṃs pa de dan ldan pa sa bon thams cad dan ldan pa'i rnam par śes pas ni phyi ma la ñiñ mtshams sbyor ba'i tshe*

*min dan gzugs la gnas thob* (P,D: *thod*; but cp. *Y<sub>t</sub>* dzi 135b7) par 'gyur ro //).

789. Cp. Y 198,22 (read *karmopagam* [*Y<sub>m</sub>*]); cp. also my preliminary remarks on *apun̄yopaga*, etc., in my review of the 2nd fascicle of SWTF (in: ZDMG 137/1987, 152f.).
790. See § 7.3.6.3.3.
791. Y 25,15f. (see § 3.11.2 + n. 368); PG 29-30 (see § 3.11.3 and App. II).
792. Y 26,18 (see § 3.11.4.2 + n. 391).
793. See n. 137.
794. One should also consider the possibility that we may have to presuppose a situation in which Mind-containing-all-Seeds, arising, in the beginning of a new existence, as the result of previous karman and delight in wordly existence (see n. 374), was regarded to be the basis-in-the-sense-of-Seed (*bi-jāśraya*) of all perceptions and cognitions in an indirect way, i.e. as the starting point of the new existence, which contains, in nuce, all elements of the latter and from which they evolve one out of the other, as seems to be the case, with *nāmarūpa* etc., in the *Pratītyasamutpāda Analysis* of the Savitarkādi-bhūmi (see § 7.3.6.3.1).
795. Cp. also § 7.3.6.3.4.
796. '*Āśraya*' may be equivalent to '*ātmabhāva*' (see n. 1009), both as "corporeal basis-of-personal-existence" (see ns. 187 and 1477(E.d)) and as "basis-of-personal-existence" in a more comprehensive sense (cp. n. 1477(E.c.)); and '*upādāna*' may be used not only as "biological appropriation" but also as "taking possession of" (= *parigraha*, *ādāna*: see § 3.9.2.5). This means that '*āśrayopādātṛ*' may also mean "taking possession of a [new] basis[-of-personal-existence]". In fact, '*āśrayopādāna*' seems to be used in this sense at AS 97,19 (see n. 338 and the parallel - and

presumably earlier - formulation at BoBh<sub>2</sub> 265,6f. [see n. 340]). Yet, even in this AS passage, *āśraya*, in view of its being distinguished from *citta* (as the "seat" of *saṁādhis*) and *jñāna*, is obviously the (animated, sensible) body (*(savi-jñānakaḥ) kāyaḥ*) or the Six Senses (*ṣaḍāyatana*; cp. § 3.11. 2 + ns. 375 and 376). Since in the passage under discussion (viz. Y 4,7) the s u b j e c t of the act of *upādāna* is - in contrast to what is implied at AS 97,19, etc. - not a "person" (AS 97,19: Buddhas and advanced Bodhisattvas, cp. ASBh 129,5f.) but ( ā l a y a ) v i j ñ ā n a , i.e. the mental component of the complex designated as *savijñānakaḥ kāyaḥ* or *ṣaḍāyatana* (cp. n. 363), the object of *upādāna* should, at Y 4,7, comprise c o r p o r e a l m a t t e r only. This is not contradicted by the fact that we find, at ASBh 11,12f. (see n. 336), *ādānavijñāna* = *ālayavijñāna* as the subject of *ātmabhāvopādāna* in the sense of taking possession of a new *ātmabhāva* at the moment of *pratisandhi*. For either in such a passage too the prominent aspect of '*ātmabhāva*' is the c o r p o r e a l basis-of-existence, or '*ātmabhāva*' will have to be understood in the less concrete sense of a [new] personal existence (cp. n. 1477(E.a-b)). In the case of '*āśraya*', however, the latter possibility does not exist since this term is restricted to the c o n - c r e t e meaning of " b a s i s -of-personal-existence". Thus, in '*āśrayopādātṛ*' at Y 4,7, '*āśraya*' should be taken in the sense of " c o r p o r e a l basis[-of-personal-existence]", and this means that '*upādātṛ*' will refer to, or at least include, b i o l o g i c a l a p p r o p r i a - t i o n , as begun at the moment of conception but probably also as continued throughout life. This seems to be corroborated by the *Proof Portion* of the *VinSg ālay. Treatise* (Y<sub>t</sub> zi 2b4ff. = ASBh 12,1ff.; H 1978, 8f. [§ i]; Griffiths 1986, 13off.; cp. also Y<sub>t</sub> zi 4a3f. [see n. 281]: *ālayavijñānasya ... d e h o pādāyakasya*). Cp. also the fact that Tib. renders, at Y 4,7, *āśraya* by *lus* (cp. Suguro 1976, 39), and

YVy 88a2: "That [ālayavijñāna] is the cause of subsistence is what is expressed by the word 'āśrayopādātṛ'; for when (i.e. as long as) the body is appropriated by [ālayavijñāna], it will not putrefy" (*gnas pa'i rgyu nid ni "lus len par byed pa" źes gañ smos pa ste / 'di ltar des zin na lus rul bar mi 'gyur ba'i phyir ro //*).

797. As for the fact that 'ālaya' is, in spite of YVy 98b2 (see n. 817(d)), hardly current in the sense of biological appropriation, see § 2.9.
798. Of course, the fact that the reference to ālayavijñāna is an accretion to the context does not *eo ipso* exclude the possibility that it was in this very accretion that ālayavijñāna was introduced for the first time. But, apart from the preceding considerations, this possibility is, in the present case, improbable also against the background of the picture emerging from a comprehensive evaluation of all the occurrences of ālayavijñāna in the *Basic Section* (see § 6.8).
799. See n. 788.
800. Y 25,1 and 24,7 (see § 6.3.1); cp. also the expression \*'sarvabījakaṃ cittam' in Saṃdh V.2 (see § 3.9.2.1; cp. also Suguro 1983, 15,11-13).
801. In this case, (1A) and (1B) would have preserved an older stage of (1). Of course, the absence of 'āśrayopādātṛ vipāka-saṃgrahitam' in (1A) and (1B) would, without additional evidence, not be sufficient to prove that these attributes were added only later; for it would be equally reasonable to assume that it was considered superfluous to repeat, in the further occurrences of ālayavijñāna, its qualifications also (apart, of course, from 'sarvabījaka' which, according to my view (see § 6.1.3), was there already before 'ālaya-').
802. Cp. also Suguro 1976, 38f.; 1977, 129 below.
803. Y<sub>t</sub> zi 2a3f.: *sa bon gyi gnas kun gzi rnam par źes pa źes gañ smos pa* ['o] ...



804. See §§ 2.13.4-5; 3.3.1.4; 3.5; 3.12.1.
805. Y 11,4 *-niṣṭham*, but Y<sub>m</sub> *-sanniṣṭam*, to be emended to *-sanni-viṣṭam* (*-vi-* having dropped out at the beginning of a new line) with Tib. *-r gnas pa* and Ch. 依附 ... (cp. Y 52,16 beside Y<sub>t</sub> dzi 29a7 and Y<sub>c</sub> 290a5).
806. This appears to be corroborated by at least most of the explanations of (2) in YVy (98a1ff.) and its parallel in SaṃdhVy (co 83b4ff.), especially YVy 98a6f. ≈ SaṃdhVy co 84a1: "[It is *upādātṛ*] because even matter becomes something included among [entities constituting] living beings: (*sattva-saṅkhyāta*) when it is appropriated by it (sc. *ālayavijñāna*)" (*des zin na gzugs kyan sems can du bgrān ba nīd du 'gyur ba'i phyir ro //*); YVy 98b2 ≈ SaṃdhVy co 84a4f. (see n. 817(d)); cp. also SaṃdhVy co 83a6-8.
807. The body may be called '*āśraya*' or '*ātmabhāva*' but not, as far as I know, '*āśrayabhāva*'.
808. Y<sub>t</sub> dzi 6b1.
809. Y<sub>c</sub> 28ob7.
810. In my opinion, *-upagata* is here (against Ch.) better taken in an active sense (cp. § 6.2.2.c), but cp. n. 816.
811. YVy, in its interpretations of the four attributes '*sarva-bījopagata*', etc., does not supply unambiguous support for this interpretation; the third interpretation (YVy 98a7f. = SaṃdhVy co 84a1f.) may point in this direction but it is too concise to be clear. The other explanations differ. In the second explanation (YVy 98a6; cp. SaṃdhVy co 83b8f.), '*āśrayabhāvasanniviṣṭa*' is taken to refer to the mutual support of *vijñāna* and *nāmarūpa* (the latter comprising, according to SaṃdhVy co 83a5f., *rūpa* and the three immaterial skandhas except *vijñāna*!), whereas '*āśrayabhāvopagata*' is, if I understand the text correctly, taken to point out that - on the basis of Y<sub>t</sub> zi 5b6f. (see n. 358) - *ālayavijñāna* becomes (?) the support (*gnas kyi*

*dhos po ñe bar len pa* [YVy], *~ po ñid len pa* [SaṃdhVy]: \**āśrayabhāvopādāna*(?)) of the material sense-faculties it has appropriated (YVy 98a3-5). At SaṃdhVy co 83b8, however, the latter function is misreferred to '*āśrayabhāvasanniviṣṭam upādātṛ*', probably under the influence of the first explanation (YVy 98a2f.; SaṃdhVy co 83b4f.), which takes '*āśrayabhāvopagata*' to substantiate '*sarvabījopagata*' (which probably means that ālayaviññāna is equipped with all Seeds because it is their support: cp. SaṃdhVy co 83b6), and '*āśrayabhāvasanniviṣṭa*' to be substantiated by '*upādātṛ*' (which may mean that ālayaviññāna has become the support of corporeal matter because it appropriates the latter biologically: see SaṃdhVy co 83a6f.). As for the fourth explanation, see n. 817 (b and c).

812. The expression '*bījopagata*' is already found in "pre-ālayaviññānic" materials: cp., e.g., Y 200,17f.; 25,3.
813. Cp. G. Schopen in: IJ 17/1975, 177f.
814. YVy 98b1 (see n. 817(b)).
815. See CPD s.v. *upagata* 1.d and *upagacchati* 1.d; Pr 312,8: *hetubhāvam upagamyā*; BCAP 172,24; 177,9; 244,13.
816. Cp., e.g., AKBh 97,7 *bījabhāvopagama*; 215,6 *upāsakatvopagama* (SN IV 301: *upāsakattaṃ upagatassa!*); Pr 65,5 *aṅgībhāvopagamāt*; PW s.v. *gam* + *upa* (5).

It should, however, be pointed out that among the examples noted by me there is no instance of the ppp. '*-upa-gata*' as second member of an accusative tatpuruṣa compound with an abstract noun as prior member. If this is of evidential value, the interpretation of the Chinese translation, viz. "furnished with the nature of basis", may, from the grammatical or idiomatic point of view, be the most probable one. In this case, '*āśrayabhāva-sanniviṣṭa*' would be a grammatical vari-

a n t to 'āśrayabhāvōpagata', the latter implying a passive/locative analysis of 'ālaya' ("that which is stuck to by, i.e. furnished with ..."), in contrast to the active analysis ("sticking to ...") presupposed by the former. On the other hand, 'upagata' is well documented as second member of accusative tatpuruṣas with a concrete prior member (cp. CPD s.v. *upagata*: āsan'ūpagata; vās'-ūpagata and vass'ūpagata beside vāsaṃ u. and vassaṃ u.; PW s.v. *gam* + *upa* (1) and (5)). It may therefore be difficult to exclude the possibility that 'upagata' was, in a text like Y, and in an etymologizing explanation at that, by way of exception also used with an abstract prior member. In this case, 'āśrayabhāva-sanniviṣṭa' would be nothing but a grammatically unambiguous synonym of 'āśrayabhāvōpagata'.

817. YVy 98a8-b2 (SaṃdhVy co 84a2ff.):

yañ na don gñan du na <sup>(1)</sup>kun gñi'i don rnam pa bñi ste /<sup>(1)</sup>

a) 'byuñ ba dañ ldan pa'i 'du byed rnam de la 'bras bu'i dños por sbyor bar byed pa'am / de dag la de<sup>2</sup> rgyu'i dños por sbyor bar byed pa'i phyir kun gñi źes bya ba dañ /

b) sems can rnam de<sup>3</sup> la bdag tu sbyor ba'i<sup>4</sup> phyir kun gñi źes bya ba dañ /

c) de<sup>5</sup> dañ po kho nar mer mer por źugs pa na grub pa dañ bde ba geig pa'i phyir kun gñi źes bya ba dañ /

d) des lus zin pa'i phyir <sup>(6)</sup>kun gñi źes bya ste /<sup>(6)</sup> len par byed pa'i don ni kun gñi źes <sup>(7)</sup>bya'o // don bñi po de dag ni tshig bñi po rnam dañ go rims<sup>7)</sup> bñin du sbyar ro //

(1 ... 1) SaṃdhVy: tshig bñi po de dag gis ni kun gñi rnam pa bñi bstan te /

2. SaṃdhVy: de'i

3. SaṃdhVy om.

4. SaṃdhVy: bar byed pa'i

5. SaṃdhVy: de la

(6 ... 6) SaṃdhVy om.

(7 ... 7) SaṃdhVy: bya ba ste / go rim

Translation:

"Or, in another sense, ['sarvabījopagata', etc., refer to the fact that] the meaning of 'ālaya' [in 'ālayavijñāna'] is fourfold:

a) It is called 'ālaya[vijñāna]' because conditioned factors (*saṃskāra*), which originate, stick (*ālīyante*) to it as its effects, and because it sticks to them as their cause.

b) It is called 'ālaya[vijñāna]' because living beings stick (= cling) to it as to their Self.

c) It is called 'ālaya[vijñāna]' because, having, at the very beginning [of a new existence], merged into (*\*saṃ-mūrch-* or *\*san-ni-viṣh-*?) proto-embryonic matter, it shares the destiny [of the latter] (*\*ekayogakṣema*).

d) It is called 'ālaya[vijñāna]' because the body is appropriated (*\*upātta*) by it; for 'ālaya' has the meaning of *upādāna*<sup>1</sup> (*\*upādānārtho hy ālaya iti (?)*).

1. *\*'len par byed pa'* may render '*upādātṛ*' (*Y<sub>t</sub> dzi 6b1 = Y 11,4f.*) as well as the action noun '*upādāna*' (Takasaki 1985, 50 note 7).

These four meanings [of 'ālaya'] have to be referred to the four qualifications ['sarvabījopagata', 'āśrayabhāvopagata', 'āśrayabhāvasannivṛṣṭa', and '*upādātṛ*'], respectively."

To be sure, it does appear that none of these etymological explanations coincides with the original intention of the basic text; *a* and *b* are obviously taken from MSg I.3 (cp. n. 137), *c* would seem to correspond, substantially, to the explanation of Saṃdh V.3 (see §§ 2.8 and 3.3.1.3). Yet, its over-extension to '*upādātṛ*' apart, where it meets with basic semantical difficulties (see § 2.9), the application of the principle of "etymological" interpretation as such is in accordance with the original purport of the passage.

818. Cp. YVy 98a3 (SaṃdhVy co 83b6): *phun po lñas bsdus pa'i chos rnam kyī ba g cha gs kyī rten yin pa'i phyir ...*

819. For the explanations of the commentary, see n. 811.
820. Cp., e.g., NidSa §§ 7.3 and 7.7; Katsumata 1974, 321ff.; Kumoi 1980, 47f.; VisM XIV.82; Prak 692b24f. (Imanishi 1969, 7; cp. T 1555, 993b1ff.) AK II.34ab; Vi 371a17ff. (Vi<sub>2</sub> 281b11ff.); ŚrBh 242,4-6: *tatra vijñānaskandhaḥ katamaḥ / yac cittam mano vijñānaṃ / te punaḥ ṣaḍ vijñāna-kāyāḥ - cakṣur-vijñānaṃ śrotra-ghrāṇa-jihvā-kāya-mano-vijñānaṃ /*; Y<sub>m</sub> 135b1 (Y<sub>t</sub> dzi 299a1; Y<sub>c</sub> 386a22): *yad idam ucyate cittam iti vā mana iti vā vijñānaṃ iti vā ...*; MSg I.13A (cp. also MSg<sub>L</sub> vol. II, 4\*); VGPVy 377a6f. and b4 (*yañ na sgra tsam źig tha dad par zad do*); VŚ 3,3; quasi-synonyms denoting all the e i g h t vijñānas of the Yogācāra system: Y<sub>t</sub> zi 189b3f.; Hsien-yang 48ob26-c2.
821. Cp. especially Vi 371b3ff. (Vi<sub>2</sub> 281b18ff.); T 1555, 993b5ff.; VGPVy 377a7ff. (cp. H 1978a, 283f.); cp. Katsumata 1974, 325.
822. See n. 1433.
823. Saṃdh V.3 (see n. 436).
824. Y<sub>t</sub> zi 189b4f. (Y<sub>c</sub> 651b19ff.): *kun gźi rnam par śes pa ni dños su na sems yin te / 'di ltar de ni sa bon thams cad kyis kun tu bsags śiñ ñe bar bsags pa yin no // ... // yid ni dus rtag tu ña dañ bdag gi źes rlom sems kyi bdag ñid can yin no // rnam par śes pa ni yul so sor rnam par rig pa'i mtshan ñid gañ yin pa'o //*, corresponding to something like \*mukhyatas tv(?) ālayavijñānaṃ cittam; tathāhi tat sarvabīja- (or: -jair) ācitopacitam (cp. Saṃdh V.3) / ... / mano nitya-kālam ahaṃ mameti manyanātmakam / vijñānaṃ yad viśayaprati-  
jñāptilakṣaṇam /.
825. I.e. if we disregard problems of exegetical detail (see n. 436).
826. See n. 821.
827. See n. 824.
828. Thus, e.g., the functions of *cyutī* and *upapatti* (see § 3.3.o + n. 233). As for the fact that, among the exclusive

o b j e c t s , the S e e d s (*bīja*) are mentioned (see n. 382): since the preceding items (including *ṣaḍāyatana*, for it is only the m a t e r i a l sense-faculties that are, later on, regarded as the object of *ālayavijñāna*) can hardly be understood but as exclusive objects of *m a n o - v i - j ñ ā n a* , it would be quite arbitrary to take this item, without the slightest indication, in the text, of a difference, to refer to the (later) view that the Seeds are the object of *ālayavijñāna* (see § 5.16; cp. also §§ 5.6.3.2, 5.6.4.2, and n. 717); the more so since even at Y 11,4-8 *ālayavijñāna* (= *citta*) is n o t characterized as a cognition of a n y object, the function of being actual cognition of objects being reserved rather for, and characteristic of, *v i j ñ ā n a* (Y 11,8). Therefore, if Seeds are mentioned at Y 11,11-13, it is probably only as another item of i m p e r c e p t i b l e entities, to be cognized exclusively by *m a n o v i j ñ ā n a* (and not by sense-perception).

829. Cp., e.g., AKBh 11,25f.; 34,5ff.

830. Cp., e.g., MSg I.7A.2.

At MSg I.6, the new *manas* is determined as the *\*saṃkle-ṣāśraya* of *vijñāna* (in general); a similar function with regard to *manovijñāna* seems to be indicated at Y<sub>t</sub> zi 6b2 (see § 10.3.1.2.b + n. 1298; cp. also Y<sub>t</sub> zi 190a2 [see n. 1297]), a passage which is, however, intrusive to the main subject matter from the compositional point of view (see n. 1351). At Y<sub>t</sub> zi 5b6f. (see n. 358), the basis of *manovijñāna* comparable to the sense-faculties is not *manas* but *ālayavijñāna* (thus Tib. and Pa. [see n. 357], whereas Hts.'s rendering [see n. 358] has modified the text in the sense of the later system).

831. This would seem to be implied at Si 19c11f. stating that all the e i g h t *vijñānas* necessarily have a *bījāśraya*. Cp. also Hsien-yang 48oc3f. (see n. 536) stating that *ālayavijñā-*

na is produced from previous karman and *kleśas* as its conditions (*pratyaya*) and from the *\*anādikālikā prapañca-vāsanā* as its [homogeneous] cause (*hetu*). Cp. also the attempts of YVy to interpret the statement of Y 4,11f., viz. that Mind-containing-all-Seeds has arisen on the basis of delight in wordly existence (cp. n. 1405) as its cause (*prapañcaratihetum upādāya*), in such a way that this statement is taken to include the Seeds, e.g. by artificially analyzing '*prapañcaratī*' as a dvandva in which '*prapañca*' means the Seeds (YVy 89a6f., adducing Y 26,18 where '*prapañca*' is listed among the quasi-synonyms of '*bīja*'; but see § 3.11.4.2). However, in the first two chapters of the *Basic Section* and in related materials, the *sarvabījako vipākaḥ* or *ātmabhāvaḥ* (identified, at Y 4,11f., with the *sarvabījakam vijñānam* which in its turn resumes, in the text as it stands, *ālayavijñāna*) is only taught to be caused by previous delight in wordly existence (*prapañca-(abhi)ratī*) and by good and bad karman (Y 25,12f., etc.; PG 28 [see App. II + n. 1408]; cp. also n. 374). To be sure, the (present) *ātmabhāva* is also taught to contain the Seeds of all (possible, i.e. future) *ātmabhāvas* (Y 25,3ff.; cp. § 3.11.2), which means that a given *ātmabhāva* originates from its Seed [in the preceding *ātmabhāva*] (Y 108,8f.; cp. also 26,4f.: *s v a b ī j a t a ś ca teṣām ātmabhāvānām p a r i p ū r - i r bhavati*; for *antarābhava*: 19,1); but these Seeds can, in that material, hardly be dissociated from the Impressions of karman (see n. 448). This would seem to hold good also for materials like ŚrBh 384,12ff. or Y 200,13ff. and 206,14f. (see n. 1154), etc., where the Seed which *vijñāna* contains or is (cp. § 7.1B.2.1.3.b) does not appear to be anything else but the effect-oriented, productive aspect of the *k a r m i c* Impressions.

832. Cp. AS 12,11f.

833. Y<sub>t</sub> zi 10b6ff. (H 1979, 45f. [§ III]).

834. The text is reproduced not as it stands in Y but in accordance with Y<sub>m</sub>. A *de facto* quotation in Tibetan, interspersed with glosses, is found in SaṃdhVy co 80a8ff. Cp. also SaṃdhṬ ti 266a1ff.
835. Cp. Y<sub>t</sub> (dzi 13a8) *mjug kho nar khu ba s k a b a* (so to be read also at SaṃdhVy co 80b1 instead of *skye bar*) ...; cp. also Y<sub>c</sub> (283a2) 濃厚 .
836. Y<sub>m</sub> -aṃte
837. Y<sub>t</sub> *k h u b a d a ṇ khrag gi thigs pa gñis*; Y<sub>c</sub>: 一滴 ... 精血; cp. SaṃdhVy co 80b1: *pha [ma] las k h u b a thigs <pa> cig d a ṇ / ma las khrag thigs pa cig ...*
838. Y<sub>m</sub> taṃ /
839. Y<sub>m</sub> tr
840. Y<sub>m</sub> -laṃbanaḥ / a-
841. Y<sub>m</sub> -n
842. Y<sub>m</sub> -te / sendriyaḥ
843. Y<sub>m</sub> -ddhaḥ /
844. Cp. Y 23,2: *tasya mātāpitṛsambhūte śukraśoṇite viparyastaṃ darśan<aṃ> tadā pravartate*, confirmed by Y<sub>c</sub> 282c15-17 and also by Y<sub>t</sub> D (tshi 11b4: *pha ma las byuṇ ba'i khu ba daṇ / khrag de yaṇ / de'i tshe phyin ci log tu mthon bar 'gyur ro //* [presupposing perhaps *tasmin* instead of *tasya*]). But P (dzi 12b8f.) differs, reading '*... khu ba daṇ khrag m e d k y a ṇ ...*' (cp. Y 23, note 1), i.e. "although there is not [yet] semen and blood". This would, however, seem to be a deliberate change stimulated by the fact that the present sentence, as it stands in Skt., does not tally well with the following statements of the text which stress that in reality the sexual union of father and mother (and thus the ejaculation of semen) has not yet taken place but is only wrongly perceived by the *antarābhava-sattva*. To be sure, it may not be impossible to interpret Y 23,2, somehow



or other, in the sense that the being of the intermediate state has a hallucination with regard to the parents' blood and semen by perceiving it to be ejaculated though this is not yet the case. But this is hardly the natural way of understanding the sentence. Rather, the natural way is to take it, with  $Y_c$ , to mean that the being of the intermediate state forms some wrong idea with regard to the blood and semen that has already been produced (i.e. ejaculated) by father and mother. But in this case, as was stated above, the sentence hardly tallies with the following sentence (Y 23,3-5). Accordingly,  $Y_c$  presents an altogether different version of the latter, taking it to mean that the being of the intermediate state fancies the sexual act to be performed not by the parents (with each other) but by himself (with one of the parents). This, however, hardly fits in with the fact that the misconception described at Y 23,3-5 has only the purpose of arousing sexual desire in the being of the intermediate state and of making him (or her) approach the place where the copulation of his (or her) prospective parents will take place. Thus, the sentence under discussion (viz. Y 23,2) is likely to be a heterogeneous or misplaced element, as is also supported by the Vaibhāṣika sources, according to which the being of the intermediate state, perceiving his/her prospective parents' sexual union, feels sexual desire for the parent of the other sex (cp. Y 23,5-7) and hatred against the parent of the same sex (not in Y), approaches their place (cp. Y 23,7f.) and, desirous to usurp his/her rôle in copulation, wants the parent of the same sex to move away (cp. Y 23,8f.). The *antarābhavasattva* then actually has the (erroneous) experience that the parent of the same sex moves away (cp. Y 23,9) and that he himself (or she herself) copulates with the other parent, and when semen and blood are ejaculated, he/she wrongly thinks that it is his own semen or her own blood,

whereupon, infatuated with pleasure, he/she sinks into the mother's womb (Vi 363b2off.; Vi<sub>2</sub> 268b2off.; AKBh 126,19ff.; NA 477c1off.).

845. I.e. the *antarābhava(sattva)*'s.
846. Which probably correspond, from the phase of *kalala* onward, to the gross elements of the supports of the sense-faculties (*indriyādhiṣṭhāna-mahābhūta*: Y 24,11f.).
847. Viz. the sense of touch (*kāyendriya*: Y 24,11).
848. I.e. apart from the fact that the sequence of '*vipākaṣaṃ-grhīta*' and '*āśrayopādātṛ*' is reversed.
849. Cp. SaṃdhVy co 80a7; see also Y 199,17.
850. Ui 1958, 17of.
851. See § 6.1.2.
852. See § 3.3.1.2 + n. 246.
853. Cp. Y 25,3ff. and 26,10 (though in these passages it is the whole *ātmabhāva* that is stated to be equipped with all Seeds).
854. See n. 374.
855. See § 3.3.1.4.
856. See § 3.3.1.2.
857. See § 3.3.1.4.
858. MSg I.34.
859. Y<sub>t</sub> zi 2b5f. (≡ ASBh 12,2ff.; H 1978, 8f. [§ i(a)]; Griffiths 1986, 130): *ālayavijñānaṃ pūrvasaṃskārahetukaṃ / cakṣurādi-pravṛtti-vijñānaṃ punar vartamānapratyayahetukaṃ / yathoktam: indriya-viṣaya-manaskāra-vaśād vijñānānāṃ pravṛttir bhavātīti vistareṇa /*.
860. Y<sub>t</sub> zi 2b7 (see n. 415).
861. Y 12,8; cp. § 6.2.4.a and § 3.3.0 + n.233.

862. Y 198,17ff. (see § 7.3.1 + ns. 1083-1084).
863. See §§ 7.3.2 and 7.3.6.3.1-2.
864. As far as I can see, there is, from the point of view of textual history, no reason for separating (a) and (b) in the text quoted in § 6.3.1. But the question *katham punaḥ saṃmūrccati* is perhaps intrusive, for as far as I can see, it does not receive a proper answer in what follows (for at Y 24,14ff. the subject of *saṃmūrcc-* is *kalala rūpa*, and mind is called '*cittacaitasikā dharmāḥ*', and not '(ālaya)vi-jñāna').
- 864a. Cp. n. 327.
865. See § 6.3.2 (+ n. 861).
866. Y 25,3, etc.
867. Y 24,10; 24,18f.; cp. 18,16ff.
868. Y 25,1.
869. This fact will, in combination with the somewhat erratic train of thought, suggest that this portion of the text was probably pieced together out of rather small bits, some of which may be interrelated while obviously others are not.
870. \**sādhīṣṭhāna-rūpīndriyōpādāna* (see n. 508).
871. \*... *sarvabījakaṃ cittam vipacyate* ... (see ib.).
872. Incorporated, along with its context, in Hsien-yang 571c1f.
873. See § 6.1.2.1 + n. 783.
874. At Y 109,3 there is only an introductory *evam*.
875. Y 192,4-6: *puṇyaṃ karma yat sugati-vaipākyaṃ pañca-gati-vedanīyaṃ ca kuśalaṃ / apuṇyaṃ karma yad apāya-vaipākyaṃ pañca-gati-vedanīyaṃ cākuśalaṃ / āniñjyaṃ karma yad rūpârūpya-vaipākyaṃ rūpârūpya-vedanīyaṃ ca kuśalaṃ [ca] /*.
876. AS 58,10-14 (merely reconstructed from Chin. and partly unreliable) ≡ AS<sub>t</sub> 105a1-3.

877. AKBh 228,3ff.; Vi 596b12ff.
878. Which means that the distinction between *punya* and *āniñjya* is not made, both of them being comprised in *kuśala*.
879. AKBh 258,12f., technically defining *janman* as *nikāyasabhāga*; cp. 230,16f.; cp. also the expression '*nikāyasabhāga-parigraha*' (AKBh 122,15f.), reminding one of '*ātmabhāva-parigraha*' (see n. 1477).
880. AS 54,14 (ASBh 66,6).
881. AKBh 258,2off.; AS 54,11-13 (unreliable reconstruction) = AS<sub>t</sub> 102a2-4.
882. AS 54,12f. (see n. 881) = AS<sub>t</sub> 102a3f. (AS<sub>c</sub> 679b3f.): 'phen pa ni gañ gis rnam par smin pa 'phen pa'o (\*yena v i - p ā k a m ākṣipati) / yoñs su rdzogs par byed pa ni gañ gis skyes pa na (D)<sup>1</sup> 'dod pa dañ mi 'dod pa myoñ ba'o //; probably also AS 54,3ff. (AS<sub>t</sub> 101b7ff.; ASBh 65,1ff.; cp. MN No. 135).
1. I.e. "after having been reborn"; P: skyes pa; ASVy<sub>t</sub> 242b1: skyes pa n i ; AS<sub>c</sub>: 生已.
883. See n. 875.
884. AKBh 227,13f. + 228,1; AS 54,19-21 (AS<sub>t</sub> 102a7f.): *punya*m = *kāma-pratisamyuktaṃ* (AKBh: -*avacaraṃ*) *kuśalam*, *apunya*m = *akuśalam*; *āniñjyam* = *rūpārūpyaprasamyuktaṃ kuśalam* (cp. ASBh 66,11).
885. Y 25,16ff. (see § 3.12.2.2 + n. 412).
886. See § 6.1.1, (d)γ; cp. also §§ 3.12.2.2 and 6.1.2.2.a.
887. See n. 428.
888. See §§ 2.10 and 3.11.8.
889. The (less probable) "active" use of '*ālayavijñāna*' as "*vi-jñāna* that comes to stick to [a new (basis of) personal existence]" would still be fairly close to the use of the term in (3) (see § 3.3.1.3), but the (more probable) "pas-

sive" use as "*viññāna* that is stuck to [as one's basis-of-personal-existence]" would constitute a decisive modification.

890. Cp. especially PG 28 + n. 1408; PG 31 + n. 1416; PG 34ab + n. 1428 (Y 25,15f.).
891. See §§ 4.1.2-3 and 3.11.4.2, and ns. 469, 481 and 391.
892. It should, however, be noted that (7A) would - always under the proviso that its '*niveśana*' is used in a non-causative sense - imply the view that *ālayaviññāna* is (in an "existential" sense) stuck to by people as the basis of their personal existence (see n. 1477(I.b.α)) whereas in (2) the "de-personalized" idea is expressed that *ālayaviññāna* itself sticks (in an ontological sense) to the state of basis(-of-"personal"-existence). If it is possible to understand, in (7A) β, '*niveśana*' in a causative sense, the idea would be that people make *ālayaviññāna* enter, or (in the "existential" sense) stick to, a new *ātmabhāva*, either in the sense of a new basis-of-existence (in the traditional meaning, i.e., primarily, corporeal matter), or in the less concrete sense of a new existence, of which *ālayaviññāna* itself may be the basis (see n. 1477(I.b.β)).
893. The Taishō Index notes only one passage in the Śrutamayī Bhūmiḥ (Y<sub>c</sub> 354c6) where 轉識 (i.e. Hsüan-tsang's rendering of '*pravṛttivijñāna*') is used without '*ālayaviññāna*'. But Tib. (Y<sub>t</sub> dzi 211a1) lacks an equivalent for '*pravṛtti*-', which is in fact missing in the original (Y<sub>m</sub> 94b2: *ekāntena tatra vijñānaṁ s y ā samudācārān nāsaṁjñisattvāḥ* (sc. *vijñānasthitih*)).
894. Suguro 1977, 131 (above, 2f.); cp. § 1.8 + ns. 142 and 143; § 2.9 + ns. 193 and 195.
895. Suguro 1977, 130 (below, 18ff.); see also Suguro 1982, 66 note 1; 1982a, 111 note 13; cp. § 4.10.2 + n. 607.

896. Suguro 1977, 130 (below, 25f.); 1982a, 111 note 13; as o n e aspect of the meaning of 'ālayavijñāna': 1982a, 105,4; 1976, 38, 5-7; 1963, 566,1of.
897. Suguro 1977, 131 (above, 5-7); 133 (below, 22f.).
898. Ibid., 132ff; 137 (below, 23) - 138 (above, 4); 138 (below, 1ff.). Similarly Ōsaki 1976, 262ff. (see n. 942).
899. Suguro 1977, 131 (below, especially 17ff.); 133 (above, 5ff.); cp. also Suguro 1963, 566, 12-14.
900. Suguro 1977, 131 (above 20-22).

It appears to be due to his view that the different *vijñānas* were, originally, nothing but modes of one and the same mind (a view which seems to be influenced by Ui<sup>1</sup>) that Suguro can also speak of a splitting off (分離独立せしめる) of *manas* from ālayavijñāna (Suguro 1977, 137 [above, 3f.]; cp. 1963, 564,3f.). Cp. also Funahashi's opinion,<sup>2</sup> to my mind rightly rejected by Hakamaya,<sup>3</sup> that even in the pertinent passages of the *Viniścayasamgrahaṇī* the new *manas* is not yet clearly conceived of as a *vijñāna* on its own. *Manas* is unambiguously classified as a *pravṛtti-vijñāna* already in the *Pravṛtti Portion* (Y<sub>t</sub> zi 6a5f. = H 1979, 32 [§ 4.b.A.1]: kun g'zi rnam par šes pa ni res 'ga' ni 'j u g p a 'i r n a m p a r š e s p a g c i g k h o n a d a ṅ l h a n c i g t u 'j u g s t e / 'd i l t a s t e y i d d a ṅ n o //) and in the *Sacittikabhūmiviniścaya* (Y<sub>t</sub> zi 189b2 [see n. 357]).

1. E.g. Ui 1965, 728. Contrary view in S. Katō 1935, and Yūki 1935, 329ff.
2. Funahashi 1967, 185f.; 1976, 115ff.; cp. also Kelsang/Oda-ni 1986, 142; Hattori 1986, 80.
3. H 1978a, 302.

901. Suguro 1977, 133 (below, 14-16); cp. 1976, 38,7ff.
902. Suguro 1977, 129ff.; 133 (below, 10ff.); 1976, 38f.
903. Y 11,1ff. (see § 6.2).
904. Thus the paraphrase of the passage at *SamdhVy* co 71b6f.

905. Thus expressly YVy 102a8f. - Taken by itself, Y 11,8 (*viññānaṃ katamat? yad ālambanaviññāptau pratyupasthitam*) would seem to give a general definition of all the traditional forms of mind (i.e. both *manoviññāna* and sense-perception; cp. Y 65,[1+]6), but in the context of *manobhūmi* as opposed to the level of sense-perceptions (treated in the preceding chapter) it appears reasonable to take it as being, implicitly, intended to refer to *manoviññāna* only. The ambiguity is, of course, due to the fact that the differentiating interpretation of *citta*, *manas* and *viññāna* is a heterogeneous element in the present context (see § 6.2.3).
906. Cp. Y<sub>t</sub> zi 189b3f. as an example for a statement of principle that all (the eight) *viññānas* may be called '*citta*' or '*manas*' or '*viññāna*'.
907. Suguro 1977, 132 (above, 12).
908. Ibid., 133 (above, 7ff.).
909. Y 12,8; cp. § 6.3.2 + n. 861.
910. Y 24,4f.
911. Suguro 1977, 132 (below, 2off.).
912. See also n. 943.
913. Viz. in the *VinSg ālay. Treatise* and in the *Sacittika-bhūmi-viniścaya*. As for Y 11,6f., see § 6.2.3 and n. 943. The fact that '*kliṣṭa*' as an attribute of the new *manas* is, apart from Y 11,6f., used only in somewhat later sources (from MSg I.6 onward) but missing in the earliest pertinent documents does not, of course, necessarily signalize a change in the concept itself, since it may (probably under the influence of the phraseology of PG 39: see App. II) just as well have been introduced afterwards merely for the sake of clarification, viz. as a convenient means for expressly and at the same time briefly distinguishing the new *manas* from the traditional one. This possibility

becomes almost a certainty in view of the fact that - apart from Hts.'s version of the problematic passage Y 11,6f. (see n. 943) - even the earliest pertinent documents, though not using the attribute '*kliṣṭa*', yet clearly define *manas* as consisting of, or being associated with, Defilements (*kleṣa*) like the notion of Ego (see § 7.1A.2.2.c.α and ζ).

914. S 1979. Unfortunately, I have not so far found the time to finalize the elaborate German version of that paper which I had submitted to Japanese colleagues in connection with a lecture organized by the International Institute for Buddhist Studies (the then Reiyukai Library) in January 1979.
915. The older view (documented in texts like Vin I 14 or SN III 82f.) was obviously that by understanding that none of the five skandhas is one's Self or one's own, one becomes (more or less immediately) detached from them and, consequently, liberated (the passage ends with an "Arhat formula"). This view is, even in the Kṣemakasūtra (see n. 916), clearly presupposed, as a matter of course, by Kṣemaka's interlocutors (SN III 128: *no ce kir' āyasmā Khemako imesu pañcasu upādānakkhandhesu kiñci attañ vā attaniyaṃ vā samanupassati, tena hi* (PTS: *tena*) *āyasmā Khemako araham khīṇāsavo*).
916. SN III 126ff. (No. 22.89); SĀ<sub>C</sub> No. 1o3; cp. SHT IV (198o), 8off.; ASBh 62,5ff.; TSi 361b(3-)5; 333b14ff.; AD 237,12-14; Y<sub>t</sub> (VaSg) 'i 21oalff. = Y<sub>C</sub> 797c9f.
917. Cp. G. Sasaki 1957 and 198o.
918. SN III 128: *api ca me ... pañcasu upādānakkhandhesu "asmī" ti avigataṃ*<sup>1</sup>, *"ayam aham asmī" ti* (more or less equivalent to *satkāyadrṣṭi*: cp. n. 92o) *ca na samanupassāmi*; 13o: *kiñcāpi ... ariyasāvakassa pañc' orambhāgiyāni saṃyojanāni* (which include *satkāyadrṣṭi*!) *pahīnāni bhavanti, atha khv assa hoti yeva*<sup>2</sup> *pañcasu upādānakkhandhesu anusahagato* (CPD: *aṇu*<sup>o</sup>) *asmī ti māno asmī ti chando asmī ti anusayo asamūhato*; ASBh 62,5ff.: *nāham ... imān pañcōpādānaskandhān ātmato*



<vā>tmīyato vā samanupaśyāmi (i.e. there is no satkāyadrṣṭi: cp. AS 7,8f.), api tv asti me eṣu pañcasūpādānaskandheṣu asmīti māno 'smīti chando 'smīty anuśayo 'prahiṇo 'parijñāto 'nirodhito 'vāntikṛtaḥ (or: 'vyantī-).

1. Text: *adhigataṃ*, as in DhSk<sub>p</sub> 55,18 (*astīti vācādhigataṃ*, which is certainly a corruption of *asmīti cādhigataṃ*, cp. 55,26); but DhSk 511c18 不離我慢, SN III 46 (Nāl.-ed.; cp. SĀ 16b19: 不離 ... ) and DN III 249 (Nāl.-ed.: *asmīti* ...<sup>c</sup> *vigataṃ*, confirmed by T 1536, 431a7 遠離我慢 ) support *avigataṃ*.
2. So to read with v.l. (Nāl.-ed. II 35o note 6) instead of *yo ca*; cp. also Spk II 316,29.

919. Cp., e.g., TrBh 29,10f.: *pañcasūpādānaskandheṣu ... ātmāt-mīyābhīniveśād yā cittasyōnnatiḥ*, so 'smimānaḥ; AS<sub>t</sub> 94b3f.; Y<sub>t</sub> 'i 222b4f.; DhSk<sub>c</sub> 495c20-22; Vibh 356; ŚA 654a14; no, at least no explicit, reference to the aspect of conceit: AKBh 285,2; TSi 314b10. With special reference to the *asmimāna* of *kliṣṭaṃ manaḥ*: MSgBh<sub>t</sub> 15ob3 (*ña'o sñam pa'i ña rgyal te / bdag ñid mtho'o źes pa*); MSgU<sub>t</sub> 24ob3f. (*ña'o sñam pa'i ña rgyal te / ña'o bdag go sñam du kheñs so //*); VGPVy 379a3; cp. TrBh 23,15f. (see n. 920).
920. Cp., e.g., AKBh 286,11 (*satkāyadrṣṭīpuṣṭā hi mānavidhā asmimānaś ca*); NA 609c22-24; 610a23; 617c15f.; BoBh<sub>w</sub> 51,9f.: *satkāyadrṣṭim ... mānamūlam* (BoBhVy 86b8: '*jig tshogs la lta ba de ñid kyis ña'o sñam pa'i ña rgyal yañ rgyas par byed pa yin la /...*); AS 7,4: *mānaḥ katamaḥ? satkāyadrṣṭi-sanniśrayeṇa cittasyōnnatiḥ*; Y<sub>t</sub> 'i 209b4 (Y<sub>c</sub> 797b28f.): ... '*jig tshogs la lta ba la brten nas 'du byed rnams la ña'o sñam pa'i ña rgyal ... gañ yin pa'o*; 210a1ff.; TrBh 28,28f.: *māno hi nāma sarva eva satkāyadrṣṭisamāśrayeṇa pravartate*; MAVT 71,26f.: *satkāyadrṣṭibalenaivāsmimānapravṛttitaḥ*; 215,23 + 216,1: *dauṣṭhulyavaśenāsmimānasamudācārād iti ... </ tadvaśenāsmi>māna-samudācārāt<sup>1</sup> satkāyadrṣṭir dauṣṭhulyam ity anye*. With special reference to the *asmimāna* of *kliṣṭaṃ manaḥ*: MSgBh<sub>t</sub> 15ob3; MSgU<sub>t</sub> 24ob3 (... '*jig tshogs la lta ba ... // de 'i d b a ñ g i s ña'o sñam pa'i ña rgyal te //*);

VGPVy 379a3; TrBh 23,15f. (*ātmadarśanād yā cittasyōnna-tiḥ*, so 'smimānaḥ); similarly PSkBh 2o3a1 (with \**ātmamāna* for *asmimāna*: see Tr 6cd + TrBh 23,13).

Originally, the u n s p e c i f i e d feeling of identity ("*asmī*"*ti* (*māno*)) would seem to have been the basic element, compared with which all s p e c i f i e d notions of identity - e.g. "*ayam aham asmī*" *ti*, which seems to correspond, in the Khemakasutta, to *pañcasu upādānakkhandhesu kiñci attam vā attaniyam vā samanupassati*, i.e. *sakkāyadiṭṭhi*; or "*itth' asmī*" *ti*, etc., in AN II 212, etc. - are derivatory, being occasionally presented as f o l l o w i n g upon the former<sup>2</sup> or even as p r e s u p p o s i n g it.<sup>3</sup>

1. Ed. (with MAV<sub>T</sub> 143a7): -*rāt* /, but taken with the preceding sentence the argument would be redundant.
2. E.g. SN III 46; IV 2o2f.
3. AN II 212: "*asmī*" *ti sati* ... "*itth' asmī*" *ti hoti* ...; for parallels see n. 1425(D), footn. 2).

921. Vi 226a4f. and 6f.; NA 61ob4f.
922. NA 61ob6-8.
923. Vi 226a8ff.; AKBh 285,15-17; 286,4f.; NA 61ob5f.
924. AKBh 286,6-11; cp. AD 239,4ff.; NA 61oc12ff.
925. For the identity of Saṅghabhadra's "Sthavira" with Śrīlāta, see AK<sub>P</sub>, Index, 14o; Mimaki 1972, 87 note 22; J. Katō 1976, 56; 1977, 116f.; 1978, 117 and 13o.
926. NA 6o9a14ff.; cp. AKBh 284,14ff. Cp. also n. 1445.
927. Y<sub>t</sub> 'i 21oa1ff. (Y<sub>c</sub> 797c9ff.).
928. Y<sub>t</sub> zi 112b6ff. (Y<sub>c</sub> 621b6ff.) and 115b2; Y<sub>t</sub> 'i 162a5ff. (Y<sub>c</sub> 779c1off.); cp. AKBh 29o,19f. and NA 618a17-19.
929. Y<sub>t</sub> zi 115b2f.; cp. AKBh and NA, loc. cit.

- 93o. Cp. Y 162,1ff. (especially 5ff.) counting - as what is obviously equivalent to *bhāvanāheya* - two more *kleśas* than the Vaibhāṣika system, these two being, according to what can be inferred from the similar system at AS 52,1ff. (especially 8ff.), *i n n a t e s a t k ā y a d ṛ ṣ ṭ i* and (innate) *antagrāhadṛṣṭi*. Actually, Y 162,1ff. says that *satkāya*- and *antagrāhadṛṣṭi* may also arise from *n a t u - r a l* or spontaneous (*naīśargika*) inadvertancy (*smṛtisampramoṣa*) and be either explicit(?) or inexplicit(?) (*nīrdhāritā vā anīrdhāritā vā*: Y<sub>m</sub>) - a distinction which appears to be more or less equivalent to the dichotomy of "speculative" (*vi-* or *parikalpita*) and "innate" (*sahaja*), as is corroborated by the related passage Hsien-yang 482a13ff.

The principle that spontaneously arising Defilements are *bhāvanāheya* is expressly stated at Hsien-yang 485b13f.

Cp. also the "very subtle (*susūkṣmā*) *satkāyadṛṣṭi*", liable to disappear through *bhāvanā*, of BoBh<sub>D</sub> 232,6 (= BoBh<sub>W</sub> 339, 16f.; cp. DBhS 39,21ff.), belonging, however, to a different frame of reference.

931. ASBh 62,3ff.: *s a h a j ā s a t k ā y a d ṛ ṣ ṭ i* <sup>h</sup><sub>1</sub> *bhāvanāprahātavyā*: *yām adhiṣṭhāyōtpannadarśanamārgasyāpy ārya-śrāvakasyāsmimānaḥ samudācarati / yathōktam*: "... (= quotation from the Kṣemakasūtra, see n. 918) ..." *iti* /...

1. Ms. and ed. add *kā* which is, however, not represented in Tib.

932. The text (ASBh 62,9ff.) rather says that the objective basis to which the conception of Self of this innate *satkāyadṛṣṭi* refers is not clearly defined or delimited: *darśanamārgaṇa prahīṇa-parikalpita-satkāyadṛṣṭi-malasyāpy āryaśrāvakasya pūrvābhīniveśābhyāsa-kṛtam aparicchinnavastukam ātmadarśanam anuvartate ...* /. Since '*aparicchinnavastuka*' is obviously used to point out a *s p e c i f i c* aspect of the *i n n a t e s a t k ā y a d ṛ ṣ ṭ i*, its meaning must be quite different from that of the term '*anirūpita-vastuka*'; for this

latter term is, at AS 8,11f., applied to *satkāyadr̥ṣṭi* as a whole, i.e. to all its varieties, and according to ASBh 7,10ff. it means that *satkāyadr̥ṣṭi* arises only on condition that its objective basis has not (yet) been ascertained correctly (as mere dharmas which are not Self).

933. Cp. the allusion to the term '*vāsanā*' in the paraphrase of the simile of the Kṣemakasūtra at ASBh 62,7ff.: *yathā kliṣṭa-sya dhātrīcailasyôṣādibhiḥ sudhautasya nirmalasyâpi sataṣ t a d a d h i v ā s a n ā k ṛ t a ṃ gandhamātram anuvartate* /...
934. E.g. on account of the fact that the notion of 'I' can be observed to occur even in good (*kuśala*) states of mind (MSg I.7.6; AKBh 290,16) but, as a form of the cardinal error, does not itself, of course, admit of being qualified as good and hence cannot be directly associated with such states of mind.
935. Being conceived of as [Result-of-]Maturation (*vipāka*), ālaya-vijñāna cannot be directly associated (*samprayukta*) with a n y Defilement (cp. n. 596).
936. Cp. the passage quoted in n. 944.
937. See § 7.1A.2.2.c.ε.
938. Katsumata 1974, 327ff., especially 330f.; Ōsaki 1976, 254f. (+ 258). - I must admit that I did not pay due attention to this set in the paper mentioned in n. 914.
939. See n. 820.
940. See n. 821.
941. Saṃdh V.3; cp. n. 436.
942. Ōsaki's (1976, 254,6f., 262ff. and 267; cp. 1975, 202f.) attempt to show that the new *manas* can already be found in Saṃdh is not based on philologically acceptable evidence: There is no mention or indication of *manas* as *sahabhū-āśraya* in Saṃdh V (let alone at AKBh 11,25f., misinterpreted by

Ōsaki [1976, 263]); Saṃdh V.7 does not imply a spontaneous conception of *āḍānavijñāna* as Self (see § 3.11.6); and Saṃdh VIII.37.1.14 refers, of course, to all kinds of (i.e. ordinary) defiled mind, not to *kliṣṭaṃ manaḥ* in the specific Yogācāra sense.

943. I agree with Suguro (1977, 132; 1976, 40) to the extent that at Y 11,6f. the specification of (the second) *manas* as Defiled (*kliṣṭa*) and as associated (*saṃprayukta*) with four Defilements (*kleśa*), viz. *avidyā*, *ātma-dṛṣṭi*, *asmimāna* and *trṣṇā*, is, since it is missing in Hts.'s version, probably a later addition (to a passage which is, however, itself an intrusive and obviously comparatively late element: see § 6.2.3-4). This view receives additional support from the fact that in this passage, in the list of the Defilements associated with *manas*, *avidyā* is placed first and *satkāya-dṛṣṭi* replaced by *ātma-dṛṣṭi* - two tendencies to be observed in somewhat later sources, which moreover tend to substitute *ātmamoha* for *avidyā*: cp. AS 12,3f. (*ātma-dṛṣṭi*, but still *avidyā* in final position); Hsien-yang 48oc 23f. (我我所執, which would correspond to \**ātmātmiyagrāha*, though the reliability of at least some elements of this initial portion of the Hsien-yang should, in the absence of any other testimony but Hts.'s version, perhaps be considered with caution; cp. n. 538); MAVBh 18,24; PSk<sub>t</sub> 17a5 (PSk<sub>D</sub> § 27; Muroji p. 44,2of.); verse quoted at TrBh 23,18ff. (exactly the same sequence and terminology as at Y 11,7!); cp. Tr 6cd (*ātmamoha* in second position after *ātma-dṛṣṭi*). The tendency to replace *avidyā* by *ātmamoha* would seem to be due to a wish for greater precision or/and formal unity (cp. also *ātmamāna* for *asmimāna* at Tr 6cd and PSk<sub>t</sub> 17a5); the trend to place it in front may be motivated by the dogmatic reason that *avidyā* is the basis of the other *kleśas* (see n. 963). The oldest sources, on the other hand, starting with *satkāya-dṛṣṭi* and *asmimāna* and placing *avidyā* at the end (VinSg [see § 7.1A.2.2.c.ζ + ns. 958 and 959] and MSg I.6), follow what I would call the "historical" sequence.

As for the text presupposed by Hts.'s version (Y<sub>c</sub> 28ob8: 恒行意及 ..., <sup>1</sup> i.e. \**yac ca nityam (manah)*)<sup>2</sup>, Suguro's view that the *nityam manah* is, as a kind of simultaneous *samanantarapratyaya*, nothing but another facet of the *anantaraniruddham manas* (Suguro 1977, 132 below) appears to me rather speculative; for why should the author have used the word '*nitya*' if he merely intended to express the idea "simultaneous" or "present"? And against Suguro I find that '*yat ... yac ca ...*' should, in this passage, almost certainly refer to two different entities, as it actually does in the closely related syntactical structure of AS 12,2ff. (*manah katamat / y a n n i t y a k ā l a ṃ manyanātmakam ālayavijñānālambanam* [ms., AS<sub>G</sub>] ..., *y a c c a ś a ṇ ṇ ā ṃ v i j ñ ā n ā ṇ ā ṃ s a m a n a n t a r a n i r u d d h a ṃ vijñānam*). I for one have to admit that the text as it stands in Hts.'s version would not, by itself, enable me to draw any concrete conclusion as to the function of the "continuous *manas*" or the motive for its introduction. I rather think that in this form the text presupposes that the new *manas* was already known (cp. the slightly more informative but, it too, by itself insufficient reference to the new *manas* at MAVBh 48,12: *mano y a n n i t y a ṃ manyanākāram*). Consequently I suppose that if not the whole passage defining *citta*, *manas* and *viññāna* (viz. Y 11,3-8), then at least the short reference to the new *manas* (enigmatic by itself and therefore expanded later on) was inserted only after the introduction of this concept, and this most probably means: after the composition of VinSg (cp. § 6.2.4), or at least of the material on which the *Pravṛtti Portion* of the *VinSg ālay. Treatise* and the *Sacittikabhūmiviniścaya* are based.

1. The addition 依止性 in some sources seems to have intruded from the preceding definition of *citta*; and yet, although it is corrupt (and may therefore have been omitted afterwards), it may be an old (mechanical) blunder which had supplanted an expression, formally similar, like 思量性 (*manyanātmakam*), which

would render the characterization of the new *manas* in the present passage substantially less vague and would also be in agreement with the close parallels in the *Sacittika-bhūmi-viniścaya* (see n. 946) and at AS 12,2f. (see above).

2. In Hts., this phrase stands in the beginning of the sentence, but this may be a secondary change motivated by the predominance of the new *manas* as against the old one (cp. also AS 12,2ff.).

944.  $Y_t$  zi 6a6(f.) ( $Y_c$  58oc2ff.; Chüeh-ting 1o19c7f.; H 1979, 32f. [§4.b.A.1]):

"That *manas* which has the form of conceiving <sup>(1)</sup>consisting in <sup>(1)</sup> the notion of 'I' and the feeling of identity (lit.: conception/conceit 'I am [this]') (\**yan mano* 'haṃkāṛāsmīmā-na-manyanākāraṃ, tat ...), (arises continuously ... together with ālayavijñāna)."   
 (ñar 'dzin pa dañ ña'o sñam pa'i ña rgyal dañ / rñom pa'i rnam pa can gyi yid gañ yin pa de ni (... dus rtag tu kun gñi rnam par šes pa dañ lhan cig 'byuñ žiñ 'jug ste //)

(1 ... 1) Tib. *dañ* "and", but I prefer to take *manyānā* as an etymologizing generic term to be specified by *ahamkāra* and *asmīmāna*, in analogy to the subsequent sentence quoted in the following note.

945.  $Y_t$  zi 6a7f. ( $Y_c$  58oc4f.; Chüeh-ting 1o19c8f.; H 1979, 32f. [§ 4.b.A.1]):

"[This *manas* is characterized by 'conceiving' (*manyānā*),] for(?) <sup>(1)</sup>it has the form of <sup>(1)</sup>conceiving its object, viz. ālayavijñāna, <sup>(2)</sup>as 'I am [this]', '[this is my] self' <sup>(2)</sup>."

(*de ni kun gñi rnam par šes pa la ña'o sñam pa dañ / bdag go sñam du dmigs žiñ rñom pa'i rnam pa can yin no //*, corresponding, perhaps, to something like \**tad dhy(?) asmīty aham ity* (or: *ātmety*) <sup>(3)</sup> ālayavijñānāḷambana-manyanākāraṃ).

(1 ... 1) Or: its mode [of apprehension] consists in ...

(2 ... 2) Construed with \**ālambana* by Tib. but taken by me with *manyānā* in accordance with Hts. and Pa.

3. For *bdag go* = *aham iti*, see Y 25,15 =  $Y_t$  dzi 14b1; for the pair *ña'o sñam pa* and *bdag go sñam pa*, see AKTU tu 1o9b7 + 1lob3 (corresponding to SA<sub>C</sub> No. 984 ≡ AN II 211-213), but I have nowhere found the Skt. original for the second part (*bdag go* ...) of this Sūtra.

946.  $Y_t$  zi 189b5 ( $Y_c$  651b22f.): *yid ni dus rtag tu na dan bdag gi 'jes rlom sems kyi bdag nid can yin no //*, probably going back to \**mano nityakālam ahaṃ mamēti manyanātmakam*. Cp. also  $Y_t$  190a7 ( $Y_c$  651c15-17) where *manas* is taught to be associated with (see § 7.1A.2.2.c.ζ) *satkāyadṛṣṭi* in the form of the notion of 'I' (*ahaṃkāra*) and the notion of 'mine' (*mamakāra*) (*nar 'dzin pa dan na yir 'dzin pa'i rnam par 'jig tshogs la lta ba*).

947. At least according to what is expressly stated at  $Y_t$  'i 210a6-8 ( $Y_c$  797c2off.):

"As for the Ārya, even when he contemplates (*manasi-kṛ-*) conditioned factors (*saṃskāra*) under the aspect of their specific character (*svalakṣaṇataḥ*), the feeling of identity (*asmimāna*) does not arise (*samudācarati*), let alone [when he contemplates them] under the aspect of their common character (*sāmānyalakṣaṇataḥ*, viz. impermanence, etc.). Even when he contemplates (or: views) them in their conventional form (*prajñaptitaḥ*), the feeling of identity does not arise as long as Mindfulness (*smṛti*) is present (*upa-sthā-*). [But] when he just views [them in] their conventional form, without Mindfulness being present, the feeling of identity may (or: will) arise."

(*de la 'phags pa ni ran gi mtshan nid kyi sgo nas 'du byed rnam yid la byed pa na yan na'o sñam pa'i na rgyal kun tu spyod par mi 'gyur na spyi'i mtshan nid kyi sgo nas lta ci smos / gdags pa'i sgo nas yid la byed pa na yan dran pa ne bar gnas na ni na'o sñam pa'i na rgyal kun tu mi spyod do // gdags pa nid yid la byed pa na dran pa ne bar gnas pa med na ni na'o sñam pa'i na rgyal kun tu spyod par 'gyur ro //*).

948. I.e. provided that the definition/explanation of the new *manas* in the *VinSg ālay. Treatise* (see § 7.1A.2.2.c.α + n. 945) is not by a later hand. However, note that this definition/explanation too uses the notion of Ego and the feeling of identity for characterizing the nature of *manas*



i t s e l f (i.e. does not, explicitly or implicitly, establish them as *caittas*), and hence looks as archaic as the "statement of identity" (n. 944).

To be sure, the Sacittikabhūmi-viniścaya does n o t contain any reference to ālayavijñāna as the object of *manas*, but this too need not be of evidential value; for, the general problem of the precise textual relation between the *Pravṛtti/Nivṛtti Portion* and the Sacittikabhūmi-viniścaya (see add. ad p. 82) apart, it would be difficult even in the specific case of the characterization of *manas* to derive that found in the *Pravṛtti Portion* (n. 944) from that in the Sacittikabhūmi-viniścaya (n. 946), or vice versa (for I for one cannot imagine a convincing reason why the *Pravṛtti Portion* should have added *asmimāna*, or the Sacittikabhūmi-viniścaya *mameti*). It would seem to be more probable that both characterizations, as also the explanation/definition of the *Pravṛtti Portion* (n. 945), are different "redactions" of a common (possibly oral) source the formulation of which may have been close to that of Y 25,15f. (see n. 368).

949. Such a thing one would n o t necessarily expect when starting from the *asmimāna* and innate *satkāyadrṣṭi* of Śaikṣas: cp. ASBh 62,1of. (see n. 932) where the object of the innate notion of Self in Śaikṣas is not identified as ālayavijñāna but merely specified as something "not clearly ascertained, or definable" (*aparicchinna*). Of course, one would have to consider the possibility that, b e f o r e the question of the a s s o c i a t i o n of this *satkāyadrṣṭi* with a suitable *citta* arose, a need for concretizing its o b j e c t may have been felt. This, however, would mean that the problem of the *asmimāna* of Śaikṣas and of the innate *satkāyadrṣṭi* it was (at least according to ASBh 62, 3ff.) considered to presuppose would, b e f o r e giving rise to the idea of the new *manas*, have come to involve, or to merge with, the problem of the o b j e c t of (the innate) *satkāyadrṣṭi* and *asmimāna* i n g e n e r a l .

950. Y 25,15f. (see n. 368); PG 30 + 34 (see App. II).
951. Y 25,15 and 212,18 (see n. 368); 26,18f. (see n. 391 and § 3.11.4.2); PG 29 (see App. II).
952. We are, of course, in this context not concerned with a purely speculative view of Self which may arise through (a misunderstanding of) the theory of ālaya-vijñāna (in the sense of Saṃdh V.7: see § 3.11.6).
953. Already in the Viniścayasamgrahaṇī *satkāya-dr̥ṣṭi*, etc., associated with *manas* are qualified as "innate" (\**sahaja*: Y<sub>t</sub> zi 7b8 = Y<sub>c</sub> 581a18f. = H 1979, 36f. [§ 4]) or "spontaneous" (Y<sub>c</sub> 651c15ff.; Y<sub>t</sub> zi 190a7ff.: *ye yod pa*). At Si 2a12ff., the continuous, subliminal *sahaja ātmagrāhaḥ* of *manas* (which has ālayavijñāna as its object) is distinguished from a sporadic *sahaja ātmagrāhaḥ* on the supraliminal level of *manovijñāna*, which has the five skandhas as its object.
954. Y<sub>t</sub> zi 19ob3 (Y<sub>c</sub> 651c25f.): *de dag* (viz. the Defilements associated with *manas*) *ni kun gzi rnam par šes pa'i sa bon las byun ba kho na yin pas dus rtag tu yod pa ...*
955. Cp. Y<sub>t</sub> 'i 21ob1f. (Y<sub>c</sub> 797c24f.):  
"As for ordinary people (*pr̥thagjana*), even when they contemplate conditioned factors (*saṃskāra*) under the aspect of their common character (*sāmānyalakṣaṇataḥ*, i.e. as impermanent, etc.), their mental series (\**citta-santati*) is mixed up with the feeling of identity (*asmimāna*), let alone in other states."  
(*so so'i skye bo'i* (P; D: *bo*) *ni spyi'i mtshan ñid kyi sgo nas 'du byed rnams yid la byed pa na yañ sems kyi rgyud ña'o sñam pa'i ña rgyal gyis rnam par 'dres na* (D; P: *nas*) *gnas skabs gzan dag la lta ci smos /*)
956. Y<sub>t</sub> zi 19ob4 (Y<sub>c</sub> 651c28ff.):  
"In a Śaikṣa who has seen [all the four Noble] Truths (\**dr̥ṣṭapada*),<sup>1</sup> [the four Defilements of *manas*, and therefore *manas* itself, too,] do not arise as long as the Supramundane

Path is present (*\*saṃmukhī-bhūta*). As soon as [the Śaikṣa] has re-emerged from the [Supramundane Path] (*\*tato vyutthita-sya*), they arise [again]. For, [on the one hand, in Supramundane Insight the unreality of their content, viz. Self,] is clearly realized (*\*supratividdha*), and [on the other hand] they are not yet eradicated (*aprahīṇa*) [and thus re-arise as soon as their *pratipakṣa*, viz. Supramundane Insight, ceases to be present]."

(slob pa (D; P: ma) gźi (D and P: bźi) mthon ba la ni 'jig rten las 'das pa'i lam mion du gyur na ni mi 'byuñ ño // de las lañs pa la ni kun tu 'byuñ ste / śin tu rtogs pa'i phyir dañ ma spañs pa'i phyir ro //)

Cp. TrBh 24,19ff. (at 24,21f., read *yaugapadyābhāvāl* [with TrT<sub>J</sub> 476,35]).

1. Cp. DhP 273b, etc.; the expression is variously interpreted by the commentaries. According to Vi 504 a28f., it means one who has perceived *mārga(satya)*, and thus completed the *darśanamārga*.

957. See n. 947.

958. Y<sub>t</sub> zi 190a7f. (= Y<sub>c</sub> 651c15ff.):

yid ni dus rtag tu ye yod pa'i ñon moñs pa rnam pa bźi po 'di lta ste / ñar 'dzin pa dañ ña yir 'dzin pa'i rnam par 'jig tshogs la lta ba (cp. n. 946) dañ / ña'o sñam pa'i ña rgyal dañ / bdag la chags pa dañ / ma 'dres pa'i ma rig pa dañ ... mtshuñs par ldan te /.

959. Y<sub>t</sub> zi 7b8f. (Y<sub>c</sub> 581a18ff.; H 1979, 36f. [§ 4.b.B.4]):

(yid ... ni ...) dus rtag pa kho nar lhan cig skyes pa'i (= sahaja) ... kun nas ñon moñs pa rnam pa bźi po 'jig tshogs la lta ba'i kun nas ñon moñs pa dañ / ña'o sñam pa'i ña rgyal gyi kun nas ñon moñs pa dañ / bdag la chags pa'i kun nas ñon moñs pa dañ / ma rig pa'i kun nas ñon moñs pa dañ mtshuñs par ldan pa yin par blta bar bya'o //.

960. See n. 1351.

961. See n. 918.

962. Cp. PG 32 (see App. II), but also Y 18,2 belonging, like the passage Y 25,15 referred to above ( § 7.1A.2.2.c.γ + n. 951), to the context of death and rebirth; cp. also the expressions 'ālaya' and 'upādāna', in the sense of "what is c l u n g to" (see § 7.1B.2.1.3), in the list of terms, pointing to the objective basis of *satkāyadr̥ṣṭi* and *asmimāna*, at Y 26,18f. (see n. 391). In a sense, *ātmāsneha* may even be regarded as a kind of inevitable consequence of the view of Self; cp. AKBh 287,4f.: *yatrātmadr̥ṣṭis tatrātmatr̥ṣṇā*.
963. Cp., e.g., Y 166,16f.: *tatra viparyāsamūlam avidyā / viparyāsaḥ* (Tib., Ch.; Y<sub>m</sub>, ed.: °*sanīṣyandaḥ*) *satkāyadr̥ṣṭir ... rāgaś ca*; cp. also AKBh 304,11ff.; with reference to the Defilements associated with *kliṣṭaṃ manaḥ*: MSgBh<sub>t</sub> 15ob4: *gsum po de dag* (i.e. *satkāyadr̥ṣṭi*, *asmimāna* and *ātmāsneha*) *gi rgyu ma rig pa ste*; MSgU<sub>t</sub> 24ob4; VGPVy 379a4; TrBh 23,14ff.; PSkBh 202b8ff.; YVy 101b3f.: *rmoṅs pa med na* (= *asati mohe*) *g'zan dag 'byuñ bar mi 'gyur ba'i phyir ...*
964. Cp. PG 30 (*ajānakāḥ*), 33 (*mohāt*) and, especially, 36cd.
965. Suguro 1977, 133.
966. See App. II. As for Suguro's suggestion (1977, 133 (below, 17ff.)) - provided that I have grasped his intention correctly - that *kliṣṭaṃ manaḥ* at PG 39 is substantially identical with *ālayavijñāna* (having the function of Clinging to Self), it appears to me entirely unacceptable, even in its modified form to be discussed in § 7.1B.2.1.4.
967. See § 7.1B.2.1.4 and 7.1B.2.2.1.
968. Suguro 1982a, 105f.
969. Ibid.
970. Y 4,7, etc.: see §§ 6.1-3 and 6.5. Cp. Saṃdh V.2 (55,11f.): *sa bon thams cad pa'i sems r n a m p a r s m i n c i ṇi* (= \**vipacyate*). As for Suguro's (1982a, 105,15ff.) remark

that the expression '*vipāka- s a ṃ g ṛ h î t a*' suggests that the concept of ālayavijñāna was (at that stage regarded to be) s u b s u m e d under the concept of *vipāka*, i.e. that d i f f e r e n t concepts had come to be combined with each other, I agree with him, but I find that the only thing one can deduce from this observation is that ālayavijñāna was, at that stage, probably not yet considered to be the s o l e entity to be classified as *vipāka* proper (the rest being *vipākaja* only) but was only one among several others (especially those included in the *ātmabhāva* or *ṣaḍāyatana*) (see § 3.12.1).

971. Y 109,13-15 (see § 6.4).

972. Y<sub>t</sub> zi 224b6 (Y<sub>c</sub> 664c24f.; cp. Suguro 1982a, 106,6f.); cp. Y 222,12; Y<sub>t</sub> zi 47a3f. (Y<sub>c</sub> 595c19); AKBh 95,9f.

973. E.g. MSg I.21; 34ff.; 62.

974. Viz. at MSg I.62 which gives the impression of being a kind of supplement (cp. also n. 975) but, being confirmed by all versions, may nevertheless stem from the author himself; see also Hsien-yang 480c8, but cp. the remark on this text in n. 943.

From the argument of MSg I.62 that the *vipāka(vijñāna)* is *anivṛtāvyākṛta* because otherwise, i.e. if it were good or bad, the cessation of Pollution would not be possible, Suguro (1982a, 107,10ff.) tries to deduce a distinction between *vipākāvyākṛta* and *anivṛtāvyākṛta*. I.e. in his opinion the former only qualifies ālayavijñāna as the result of previous karman and only fits its function of being the basis of Pollution, whereas the latter characterizes ālayavijñāna as forming - in the sense of what Suguro regards as the view of MSg - the basis, and being susceptible of the Impressions, of both polluted a n d p u r e dharmas (cp. Suguro 1982a, 106,8ff.). Apart from the fact that I have considerable reserves against this interpretation of the character of ālayavijñāna in MSg (for which see § 4.8.5), I

do not find any such distinction involved in the wording of MSg I.62. To me, it merely yields the information that the result of Maturation of good and bad dharmas (thus particularly ālayavijñāna as the primary result of Maturation) must, in its turn, be morally neutral because otherwise [intramundane] good and bad actions, once performed, would automatically reproduce themselves ad infinitum, not allowing of an interruption of this mechanism for the sake of salvation.

975. MSg I.7C (later addition, cp. H 1978a, 23o); cp. Y<sub>t</sub> zi 19oa8 and 8a2f. (= H 1979, 37 [§ 4.b.B.4]).
976. SacAcBh § 5 [see App. I]; cp. also S 1969a, 46f. (see § 4.1o.2).
977. Suguro 1982.
978. Ibid., 54,1ff; cp. Suguro 1963, 566,1o.
979. Cp, Suguro 1963, 566,11.
980. Suguro 1982, 6o; 63.
981. Ibid., 61,1f.; 63,6f. (肉体・個人存在に ...); cp. 66,5 (身体に ...).
982. Ibid., 65f.
983. Ibid., 66,5ff; cp. § 3.9.2.5.
984. Especially Y 18,1-26,19, epitomized in Suguro 1982, 54f.; cp. also Shimizu 1985, 18ff.
985. Suguro (1982, 54f.), expressly pointing out the ambiguity of this concept (cp. n. 1477(E)), makes use of various renderings: 自己存在 ("individual existence"), 肉体 ("body"), 生命体 ("basis of life or existence").
986. Suguro 1982, 55,15ff.
987. Ibid., 55,17f. (... 因果の両方にわたると見るのが妥当なところであろう).

988. Ibid., 55,2of. (アーラヤ識は我を執着する識(因)であるとともに我として執着される識(果)である...).
989. Cp. Suguro 1963, 566,1off.
990. See § 6.3.1.
991. See § 6.1.1.
992. Suguro 1977, 129 (below, especially 10-12); 1976, 38f. (especially 39,1f.); cp. also § 6.3.2.
993. See n. 374.
994. See § 6.3.3.
995. See § 6.8.
996. As for the fact that these materials, too, have, in view of abrupt transitions and significant diversity of terminology, obviously been compiled from different sources, see n. 869.
997. Cp. Mikogami 1965, 120, and, in a different context, Odani 1976 (see ns. 1103 and 442).
998. See n. 391.
999. Suguro 1982, 55,18-20.
1000. Cp. also Suguro 1963, 566,5ff., especially 8f. and 10f.
1001. Cp., e.g., MN I 299; SN IV 259f.; AKBh 281,20.
1002. Cp. MN I 191 (*yo imesu pañcasu u p ā d ā n a k k h a n - d h e s u chando ā l a y o ...*). As for 'ālaya' in the sense of "what is clung to", see § 2.10 + ns. 202-204. As for the present passage (Y 26,18), the meaning "what is clung to" is, by the way, confirmed by the commentaries of Kuei-chi (T 1829, 13a17) and Tun-lun (T 1828, 324c7f.): 阿頼耶者、所愛著義。
1003. See n. 513; cp. also Kuei-chi (T 1829, 13a17) and Tun-lun (T 1828, 324c7f.): 取者、所取義。
1004. *sakkāyābhirata*: Th 765; AN III 293f.; III 435;  
*papañcābhirata*: Th 989; AN III 294f.;

*papañcārāmo papañca-rato/-rati*: MN I 65; AN III 293f.;  
*ālayārāma*, etc.: see § 1.3.4.2 + n. 71;  
*upādānārāma*: SN IV 390.

1005. SN IV 389.

1006. Significantly enough, other complements of these expressions, not admitting of being interpreted in the sense of the five skandhas (as the totality of the constituents of one's personality), as e.g. *kāma* or *taṇhā* (see n. 1062), are not included in the list of Y 26,18f.

1007. Y 26,16f. (see n. 469).

1008. This expression is used here with reference to the *ālayavijñāna* passage (Y 24,4f.) as a later element (see § 6.3.3) but not with the intention of excluding internal heterogeneity of materials (see n. 996). Considering this heterogeneity, my interpretation of Y 26,18f. in the light of the preceding sentence may not be entirely unobjectionable. Indeed, I should prefer to understand *satkāya*, etc., at Y 26,18f. not so much in the (canonical) sense of the five *upādānaskandhas* in their entirety as rather in the sense of the *sarvabījaka ātmabhāvaḥ* consisting of the *vipāka* (or even primary *vipāka*) elements only (cp. § 3.11.2). One may argue - though I for one do not find it probable - that the notions of *satkāya*, etc., should, in view of their equation with the *bījas*, be interpreted as denoting, at Y 26,18f., the *bījaśāntāna* of Y 25,20ff. (cp. § 3.4.3). But it would be rather arbitrary to take them to have, from the outset, denoted *ālayavijñāna*.

1009. Cp., e.g., TrBh 19,16f. (see n. 372); MSABh 186,1; ASBh 45,23; AKBh 278,21 and 279,12 + AKVy 444,9 and 445,2f.

1010. Y 26,11ff; 25,3; 25,12; 26,10.

1011. See § 3.11.2.

1012. See n. 1007.



1013. Y 26,11ff. (see n. 481).
1014. Cp., e.g., AKBh 92,25: *santatau bījabhāvaḥ*; 64,1; 97,6f.; 278,2of.: *ko 'yaṃ bījabhāvo nāma / ā t m a b h ā v a s y a ... kleśotpādanaśaktiḥ*; probably also 63,20: *agnidagdhavrīhi-vad abījībhūte ā ś r a y e kleśānām ... upahatabījabhāve vā laukikena mārgena*, i.e. "when the basis[-of-personal-existence] has become a non-Seed for Defilements ... like a rice-grain burnt by fire ..., or when its being a Seed [for Defilements] has been [temporarily] suppressed by the Mundane Path" (the illustration does, to my mind, not favour an interpretation of *abīja-* as a Bahuvrīhi), and 63,23f.: *āśrayasya tadbījabhāva-* (cp. AKVy 147,18f.); MSg III.1 (*\*āśrayaḥ ... bījabhūto ...*: see n. 582); I.45 (see n. 574); Y 206,15 *-bīja b h ū t a ṃ vijñānam*, and 207,9, etc. (same expression with reference to *nāmarūpa*, etc.); 219,13: *vijñānādīni vedanāvasānāni bījabhūtāni*.
1015. Cp., e.g., Y 61,3: *bījānām ... dharmāvyatirekatvāt*; Y<sub>t</sub> 'i 2b6f.
1016. Cp. Y<sub>t</sub> zi 30a6f.; Y<sub>t</sub> zi 208a4 (*'dus byas kyi miñ can gyi dños po la* (= *\*saṃskṛtasaṃjñake vastuni*) ... *sa bon ... ñe bar 'dogs pa*); cp. AKBh 64,4; with reference to *ā l a y a - v i j ñ ā n a* (instead of *ātmabhāva*, etc.): MSg I.16; with reference to *citta*: AKVy 149,3ff.
1017. It appears that Suguro himself does not exclude such an interpretation but seems to take it as one aspect only, to be supplemented, even in the concept of *ā l a y a v i j ñ ā n a*, by the aspect of *ālaya* as the subjective *a c t* of conceiving Self (Suguro 1963, 566,10-12).
1018. YVy 115b7f.: *'jig tshogs la lta ba dan' na'o sñam pa'i na rgyal gyi d m i g s p a* (= *ālambana!*) *yin pa'i phyir de dag gi gnas* (= *adhiṣṭhāna*) *yin no //*.
1019. Cp., e.g., BoBh<sub>D</sub> 35,2ff. (BoBh<sub>W</sub> 51,3ff.): *vikalpaprapañc ā d h i ṣ ṭ h ā n a ṃ vikalpaprapañc ā l a m b a n a ṃ*

*v a s t u ... rūpādīsaṃjñakam, yad vastu adhiṣṭhāya ... vi-*  
*kalpaḥ ... tasminn eva vastuni vicarati ...*; Sāgaramegha is  
quite explicit in equating *adhiṣṭhāna* with *ālambana* (BoBhVy  
86b1: *de'i gnas ni dmigs pa'o*); cp. also ASBh 45,2f.:  
*iṣṭaviṣayādhiṣṭhānena sparśena* ("[objective] basis"); slight-  
ly different ASBh 40,12f.: the object of false views (*dṛṣṭi*)  
and *asmimāna* is the object (*ālambana*) of [mental factors]  
referring to what has no reality (*avastukaviṣaya*), because  
[false views, etc.] have [non-existing] Self as their object  
(i.e. content!) (*ātmā d h i ṣ ṭ h ā n a tvāt*). Cp. also Y  
191,18 (*adhiṣṭhāna* of the act of giving is the t h i n g  
g i v e n (*deyaṃ vastu*) and the receiver (*pratigrāhaka*).  
Of course, '*adhiṣṭhāna*' is also used in other meanings,  
e.g. in the sense of the support or gross material substra-  
tum of the subtle sense-faculties.

1020. Y 25,15f. (see n. 368).

1021. See ns. 377-379.

1022. Suguro 1982, 60,13f.

1023. PG 37ff. (see App. II).

1024. Suguro 1982, 61,15f. and 20f.

1025. Ibid., 61,5ff. (... 因も果もともに中心主体としての一つの識のはた  
らきに属するとみなされている。 ...).

1026. Ibid., 61,14f. (... アーラヤ識と染汚意は、対治によって解脱に達  
する実践主体...である ...).

1027. Ibid., 62,1ff. (... この染汚意は、自性清浄心と異なるものと  
されている。 ...).

1028. See PG 44 ( *na ceha* (W.: *veha*) *kaścīt saṃsartā, nirvāty api*  
*na kaścana*); cp. 41cd (see App. II).

1029. See § 7.1B.2.1.4.2.d.

1030. For this verse and the following ones see the corresponding  
passages in App. II.

1031. It is thus at least as much concerned with *s a m u d a - y a s a t y a* as with *duḥkhasatya*: see n. 1444(C).
1032. ŚrBh<sub>W</sub> 174: *pudgalanairātmyaṃ paramārthaḥ* / (= Y<sub>m</sub>; ŚrBh<sub>m</sub>, W.: -*rthatas*) *tad-adhikārāt paramārthagāthāḥ* (= Y<sub>m</sub>; ŚrBh<sub>m</sub>, W.: -*rthaṃ gāthā*) /.
1033. Liberation as a *s t a t e* resulting from this "process" is treated in PG 42-44 (not included in App. II).
1034. PG 40ab.
1035. PG 5ab: *kṣaṇikāḥ sarvasaṃskārāḥ, asthirāṇāṃ* (see 1394) *ku-taḥ kriyā* /.
1036. PG 39ab.
1037. PG 39cd.
1038. PG 41a.
1039. PG 40c.
1040. PG 41b.
1041. See n. 1447.
1042. See ib.
1043. See App. II and § 6.7.
1044. Suguro 1982, 60,4ff.
1045. In the latter case Suguro (1982, 60,11-13 and 18) would consider, for '*ātmabhāva-parigraha*', the meaning "C l i n g - i n g to the body" ( 肉体に執着すること ). I have, however, not so far noted any passage where '*ātmabhāva-parigraha*' is unambiguously used in this sense. A passage in AKBh (333,19: see n. 1477(A (17))) which appears to express the idea of Clinging to the basis-of-personal-existence rather uses '*ā-grah-*'.
1046. Suguro 1982, 60,1off.
1047. Ibid., 61,1.
1048. Ibid., 61,1f.

1049. Exceptions are AS 1,24 + 2,2f. and 54,5 and 8 (see n. 1477 (A (15) and B)).

1050. See n. 1477.

1051. Cp. especially MSgU<sub>t</sub> 240a7f. (H 1975, (18),7) expressly referring, in this context, to the arising of a basis-of-personal-existence in the *ārūpya(dhātu)*.

1052. See § 6.2.2.d.

1053. Cp. Suguro 1982, 59,2f. (それが ... ニヴェーシヤナをなして ...); cp. also ŚrBh<sub>w</sub> 184,7.

1054. Madhyamakakārikā XXVI.2:

*viññānaṃ saṃniviśate saṃskārapratyayaṃ gatau /  
saṃniviśte 'tha viññāne nāmarūpaṃ niścicyate //*

1055. Pr 552,4f., paraphrasing the second half of the verse:  
... *viññāne s a ṃ m ū r c h i t e* ... *nāmarūpaṃ niścicyate*  
... *prādurbhavatīty arthaḥ /*.

1056. The same semantic affinity would also be implied if '(vi)-niveśana' is interpreted as a non-causative action noun (cp. n. 1474(C.b)), because in this case, too, ālayaviññāna would be what is stuck to.

1057. ŚrBh 16,15-18 (Katsube et al. 1981, (28)f.); Suguro 1982, 62.

1058. Which is, however, essentially confirmed by Y<sub>c</sub> (398a2f.), whereas Y<sub>t</sub> (wi 8a7) takes it, as I do, with *ādita eva*.

1059. Cp. DBhS 74,9 where '*dūrānugata*', said of *kleśas*, is rendered by one Chin. translation (T 1522, 187a25) as 深入 ("what has deeply entered"); cp. also the expression '*dūrānupraviṣṭa*' (Y<sub>c</sub> 487b18: 深入) at BoBh<sub>D</sub> 28,10 (BoBh<sub>w</sub> 41,15).

Yet, '*dūrānugata*' may, of course, also mean "permeating [it] (or: continuing) for a long period" (cp. SHT I, p. 279 [No. 623, fol. 27 V 3f.]: *trī(!)kalpāsaṃkhyeyānugatavād dūrānugataḥ*). If this meaning is applied to the ŚrBh passage under discussion, it would not essentially change the import of the sentence as long as it is primarily referred to the

past (cp.  $Y_m$  135b1 [ $Y_t$  dzi 299a2;  $Y_c$  386a23-25]: *tad* (= *cittam*) *a t i t a m' a d h v ā n a m u p ā d ā y a v i - c i t r ā t m a b h ā v a p ā r a m p a r y e ṇ a ... d ū r ā n u g a t a m*). But if it is primarily referred to the future, one would perhaps have to translate as follows: "... *ālayatrṣṇā*, [because] deeply (*saṃ*?) sticking in his basis[-of-personal-existence] from the very beginning (i.e. from time immemorial), ... cannot be eradicated ... [and is hence] everlasting, sticking [in it] most firmly".

At T 1522, 187b1-3, '*dūrānugata*' is taken to indicate that the *kleśas* continue up to the highest level of mundane existence.

1060. Suguro 1982, 62,15. Cp. the equation of *ālaya* with *trṣṇā* at Vi 746c11ff. (see n. 193).

1061. Cp. n. 71.

1062. See ns. 202-204. - In other canonical texts, we find, as the first member of compounds in *-ārāma*, *-(abhi)rata*, etc., apart from *ālaya* both concepts like *sakkāya* equivalent to the five skandhas or to (the basis of) personal existence (*ātmabhāva*) (see n. 1004) as also the sense-objects or sensual pleasures (*kāma*: Ja V 254; AN IV 438; *rūpa*, *rasa*, etc.: MN I 503). To be sure, occasionally even *taṇhā* occurs in such a position (SN IV 390), but even such a combination (which may be due to a mechanical variation of the subjective meaning of *ālaya*) does not support the assumption of a synonym compound but will rather convey the - admittedly somewhat odd (cp. n. 202) - idea of delighting in desire or attachment.

1063.  $Y_t$  zi 127a2 (*rtaḡ tu 'brel* (D) *ba'i lus kun gzi la chags pas so //*)  $\equiv$   $Y_c$  626a19 (常隨自身而藏愛故).

1064. According to Y 99,5ff. (cp.  $Y_t$  zi 221a2ff.  $\equiv$   $Y_c$  663b18ff.; cp. n. 624), the occurrence of pleasant and painful sensation in the basis-of-personal-existence (*āśraya*,  $\approx$  *ātmabhāva* [see n. 1009]) which on account of being permeated by

Badness (*dauṣṭhulya*) is like a burning ulcer (see n. 469), may be compared to the supervention (*upanipāta*) of contact with a cool object or of a caustic thing, respectively, whereas the occurrence of neither-painful-nor-pleasant sensation - unsatisfactory on account of *saṃskāraduḥkhatā* (cp. § 4.1.4 + n. 490) - is like the natural burning of the ulcer itself when it is not touched by anything cool or caustic. Later on, when the basis-of-personal-existence in the older sense (see § 3.11.2-3) had, as the fundamental constituent of a living being and ultimate object of the notion of Ego, come to be replaced by *ālayavijñāna*, Thirst (*tṛṣṇā*) for (or attachment to?) conditioned entities in the state of *saṃskāra-duḥkhatā* is stated to be, in particular, Thirst for (or attachment to?) *ā l a y a v i j ñ ā n a* (cp. also n. 1421[end]), since the latter is characterized by neither-painful-nor-pleasant sensation (ASBh 55,13ff.; cp. § 4.1.4 + n. 489 and § 5.9).

1065.  $Y_t$  zi 127a1: *phrad par mñon par dga' ba dañ / mi 'bral bar mñon par dga' ba*, clearly referring to *sukhā vedanā* and its objects, etc. (cp. ASBh 55,12f.).

1065a. In the sense of the d i s p o s i t i o n to desire: cp. ŚrBh 493,16 *āśrayasannivīṣṭas* (ms.) *tṛṣṇā n u ś a y ā dikaḥ*.

1066. Cp. the examples collected in Suguro 1982, 63f., and Yokoyama 1979a, 13f.

1067. Y Sasaki 1982 and 1982a.

1068. AS 27,9.

1069. Y. Sasaki 1982, 190; 1982a, 133.

1070. Y. Sasaki 1982, 190.

1071. Ibid., 190f.; 192; Y. Sasaki 1982a, 133.

1072.  $Y_t$  'i 311a5ff. (see § 4.3.2 + ns. 500, 502 and 504).

1073. Y. Sasaki 1982, 183 and 185f.; 1982a, 133.

1074. See n. 196; cp. also ns. 587 and 555 (for the a b s e n c e, in Arhats, of *s o p ā d ā n a -vijñāna* and of *ālayavijñāna* as its successor) and Y 202,15ff. and SacAcBh § 5 (see App. I) (for the p r e s e n c e, in *sopadhiśeṣa-nirvāṇa* [i.e. in Arhats], of *vijñāna* in its b i o l o g i c a l function and of *ālayavijñāna* as its successor).

1075. MSg. I.36: *rnam par śes pa dañ / miñ dañ gzugs mduñ khyim ltar gcig la gcig brten pa'i tshul gyis 'dug pa gañ yin pa de yañ rnam par smin pa'i rnam par śes pa med na mi ruñ ño //*.

1076. SĀ<sub>c</sub> No. 288 (81a9ff., especially b5ff.); AKṬU thu 112b1ff., especially 114b2-4 (cp. Honjō 1982, especially (82) § 13); cp. NidSa No. 6, especially 6.13; YVy 100a2ff.; AKVy 668, 2ff.; SN No. 12.67 (II 112ff.).

The title of the Sūtra ought perhaps to be read as 'Naḍa-kalāpikā-sūtra': cp. n. 1086 and Honjō 1982, (85), pointing out that at NidSa § 6.13, too, feminine forms should be read in accordance with AKVy and Tripāṭhī's own ms. (NidSa p. 29); the uddāna at NidSa p. 10 (*Nagara-Naḍakalāpīke*) is ambiguous.

1077. MSgU<sub>t</sub> 259b6f. = MSgU<sub>c</sub> 393b6ff. (cp. MSg<sub>L</sub> II,59 and 14\*f.); see also T 1833, p. 886a4ff.

1078. MSgU<sub>t</sub> 259b5 (see § 12.2.2).

That this quotation is, as Enomoto (1982, 46) and Kajiyama (1985, 339 and 353) suggest, taken from the Nagarasūtra (see ns. 1139 and 1140) and not from the Mahāvadānasūtra, follows from the fact that it uses the first person singular which is n o t used in the Mahāvadānasūtra (MAvSū p. 137, Vorgang 9b.13; Fukita 1982, p. 35 § 13). This fact was kindly pointed out to me by Fumio Enomoto.

1079. Enomoto 1982, especially pp. 49ff.

1080. Y<sub>t</sub> 'i 289a5ff. (Y<sub>c</sub> 829b7ff.), especially 289b1f.; quoted and discussed in Enomoto 1982, 50ff.

1081.  $Y_t$  'i 290a3ff. ( $Y_c$  829c7ff.), especially 290a5f., cp. Enomoto 1982, 53,5-8.
1082.  $Y_t$  'i 294a4ff. ( $Y_c$  831b2ff.).
1083.  $Y_t$  'i 285a3ff. ( $Y_c$  827c3ff.); cp. Enomoto 1982, 53,8ff.
1084. Y 198,17ff. ( $Y_t$  dzi 115b3ff.;  $Y_c$  321a17ff.); Kajiyama 1985, 337f.; cp. also Aramaki 1963, 211f.; Odani 1976.
1085. Y 199,4-15 (though 199,10-13 appears to be a fragment from an explanation of the dependence of the Six Senses (*ṣaḍāyatana*) on *nāmarūpa*!); cp. 202,16.
1086. Y 199,14 (where  $Y_m$  reads: ... *varttamāne* <'>*dhvani naḍa-kālāpikā-yogena varttate* (read *naḍakā*-?) ...).
1087. Y 230,4-9; this passage may refer to the *Naḍakālāpikā*<sup>(4)</sup>sūtra (cp. also Y 230,16-18), but more probably does so to the *Mahānidānaśūtra* since a part of it (viz. Y 230,7-9) is almost a paraphrase of a passage of the latter (see § 7.3.4.1.3.b + ns. 1120 and 1121).
1088. Y 230,10-15.
1089. Enomoto 1982, 54,15ff.
1090. Ibid., 54,19f.
1091. Ibid., 54,20f.
1092. Y 199,8-10; cp. 14f.
1093. Enomoto 1982, 53,10-12.
1094. Ibid., 53,27ff.
1095. Kajiyama 1985, 353.
1096.  $S\bar{A}_c$  85a28f.; *NidSa* 16.7: *viññānapratyayaṃ nāmarūpaṃ (iti) nāma* (text: *nāmarūpaṃ*) *katarat / catvāro 'rūpiṇaḥ skandhāḥ / vedanāskandhaḥ saṃjñāskandhaḥ saṃskāraskandho viññāna-skandhaḥ* / (cp. *MSūSg* 117,24f.).
1097. Kajiyama 1985, 354,11f.
1098. Ibid., 345,13f.; 353,13-15.



1099. See n. 1078.

1100. Kajiyama (1985, 353) places him ca. 450-530, as does Nakamura 1980, 276. Katano (1975, 38-40), though very cautious, seems to favour a still later date. However this may be, in view of the fact that Hsüan-tsang quotes \*Asvabhāva's MSgU as an *a u t h o r i t y* (which he never does with Dharmapāla [ca. 530-561], let alone Sthiramati [ca. 510-570]), it does seem that \*Asvabhāva belongs to a generation earlier than the latter masters (cp. also Lindtner 1985, 115). Therefore, it is highly probable that, as Katano (1975, 39) himself suggests, chronological precedence is the import also of the fact that in the Tanjur \*Asvabhāva's commentary on MSA is placed before Sthiramati's.

1101. Kajiyama 1985, 353, 15ff.

1102. Ibid., 338, 8ff.

I do not find that there is any possibility of understanding, as Kajiyama (1985, 338, 10-13) suggests, the six *āśrayas* of *viññāna* at Y 199, 7f. as the six (ordinary) *v i j ñ ā - n a s*, and the *viññāna* based upon them as *ālayaviññāna*, for the normal thing would be to call *ālayaviññāna* the basis (*āśraya*) of the six *viññānas* (cp. Y 4, 4ff., etc.), not the other way round. Actually the Vastusaṃgrahaṇī version of the passage *e x p r e s s l y* specifies the six *āśrayas* as the *s e n s e - f a c u l t i e s* (*cakṣurādi*) (Y<sub>t</sub> 'i 285b1f.: *de yañ m i g l a s o g s p a r t e n d r u g p o r n a m s l a g n a s śiñ 'dug ste*; similarly Y<sub>c</sub> 827c16).

I equally fail to see why one should, in a "pre-*ālayaviññānic*" text, find it odd (Kajiyama, op. cit., 338, 13-15) that the *viññāna* arising at the moment of conception as the result of the Maturation (*vipāka*) of previous karman, as well as the subsequent *viññānas* which are still *vipāka* (for there is no reason why the *vipākaviññāna* of Y 199, 7 should be limited to the moment of conception, '*... pratiṣṭhāya v a r t a t e*' clearly suggesting duration), are identi-

fied with the six ordinary kinds of *viññāna* (*yathāyogam*, of course). Even at the moment of conception, the assumption of a (dim form of) *manoviññāna* - as in Vaibhāṣika dogmatics: see § 3.3.0 + n. 232 -, though denied by later Yogācāra authors (cp. ib. + n. 237), does not seem to be impossible in an early text, at any rate not for lack of its *indriya* (viz. *manas*, i.e. any immediately preceding *viññāna*, in this case the last *citta* of the preceding existence).

1103. Kajiyama 1985, 338,18ff.

However, Odani (1976) thinks that what is meant here by the terms '*vipākaviññāna*', etc., is *ālayaviññāna*. But in my opinion Kajiyama, Enomoto and Aramaki (see n. 1084) are right in refraining from such an identification which would only be justified from the point of view of later systematization. In view of the heterogeneity of the materials assembled in Y it is, however, - at least as long as we are concerned with the original meaning of a given passage - advisable to abstain from such harmonizations and to take the text at its word (cp. § 7.1B.2.1.2).

1104. Kajiyama 1985, 352,15ff.

1105. Ibid., 352,18ff.

1106. See § 2.3 + ns. 155 and 156.

1107. The versions used by the Sarvāstivādins and Yogācāras contain 12 members in the case of *nivṛtti* but only 10 in the case of *pravṛtti* (Murakami 1973, 29ff.; Fukita 1982, 32ff.; Enomoto 1982, 47; Kajiyama 1985, 327ff.).

1108. Vi 124a26ff. (Vi<sub>2</sub> 97b13ff.); NA 504c15ff. (cp. Enomoto 1982, 48f.; Kajiyama 1985, 334f.). The problem is also discussed in the *Vastusaṃgrahaṇī* (Y<sub>t</sub> 'i 289b1f.; cp. Enomoto 1982, 50ff.) and the *Savitarkādhībhūmi* of the *Basic Section* (Y 230,10ff.; see § 12.1).

1109. This problem is expressly posed by the Sthavira (Śrīlāta) at NA 503b11f.

1110. I.e. in the context of the mutual dependence of *viññāna* and *nāmarūpa*, which is taken as a causal relation between simultaneous factors (cp. NA 503b23ff.; 504a7ff.; c21f.), i.e. as concerning "origination-in-dependence [explained as] referring to one single instant" (*kṣaṇikaḥ pratītyasamutpādaḥ*: NA 504a10; cp. AKBh 133,1ff.). It is, of course, only in this context that the difficulty of a "doubling" of *viññāna* might be felt. It does not arise in the framework of "origination-in-dependence [explained as] referring to [successive] states" (*āvasthikaḥ pratītyasamutpādaḥ*: AKBh 133, 8f.) to which the unilateral dependence of *nāmarūpa* on *viññāna* is usually related by the Vaibhāṣikas (NA 504a9f.). In this case, both *viññāna* and *nāmarūpa* are taken to comprise all the five skandhas, but at different moments (AKBh 131,24ff.).
1111. Vi 124c6ff. (Vi<sub>2</sub> 97c26ff.); cp. NA 504c25ff.
1112. Cp. AKBh 117,18ff.; NA 504c26; DN III 228; SN III 53f.; cp. Collins 1982, 216.
1113. I fail to understand why Kajiyama (1985, 351,1of.) thinks that even in this theory there is still "doubling" of *viññāna*.
1114. NA 503b13f. (Śrīlāta's view, but in this respect not refuted by Saṅghabhadra; cp. NA 504c26); AKBh 133,3f. (context: *kṣaṇikaḥ pratītyasamutpādaḥ* [see n. 1110]): *viññāna-sahabhavaḥ c a t v ā r a ḥ skandhā nāmarūpam* (cp. AKVy 286,6: *saṃjñādi-skandha- t r a y a ṃ nāma*); Shu-chi 366a16-19 (cp. also T 1832, p. 736b6f.). - Cp. also the Theravāda interpretation of *nāman* in the context of *pratītyasamutpāda*, e.g. Vibh 162 (*nāmaṃ = vedanākkhandho, saññākkhandho, saṅkhāra-kkhandho*); VisM XVII.187; Nyanatiloka, Buddhistisches Wörterbuch, 131. - On the other hand, in the canonical Abhidharma texts of the Sarvāstivādins the situation appears to be different: cp. DhSk<sub>D</sub> 35f. (DhSk<sub>C</sub> 507c25ff.), where in the explanation even of '*nāmarūpa-pratyayaṃ viññānam*' *nāman* is

consistently interpreted as including *viññāna* too. But for deciding whether this implies a "doubling" of *viññāna* (cp. DhSk<sub>C</sub> 508aó and 10: 俱生識 ; not confirmed, however, by Skt.), more careful investigation is required. Anyhow, DhSk<sub>C</sub> 508a11ff. adds an explanation with a different interpretation of *nāmarūpa*, which clearly does not imply a "doubling" of *viññāna*.

1115. Cp. Y<sub>t</sub> 'i 295a3f. (Y<sub>C</sub> 831c5ff.): *miñ dañ gzugs de'añ skye mched drug po ñid de / ... y i d k y i s k y e m c h e d ... de las g'zan pa'i gzugs med pa'i chos rnam dañ mtshuñs par ldan pa ... ni miñ yin no //*.

1116. Cp. Vi 124b25 (Vi<sub>2</sub> 97c11); NA 503b29ff. Cp. also n. 1110. But (at least some of) the explanations proposed in DhSk may not presuppose simultaneity (see n. 1114).

1117. Cp., e.g., the theory of Bhadanta Rāma (a pupil of the Sthavira (i.e. Śrīlāta) acc. to Ak<sub>p</sub> III, p. 81 note 2) at NA 504a10ff.: *nāmarūpa* of *antarābhava* → *pratisandhiviññāna* → *nāmarūpa* of *upapattibhava*.

1118. Cp. NA 503b17.

1119. Y 230,5f.: *viññānasya dṛṣṭe dharṃe nāmarūpapratyayatvāt, nāmarūpasya punaḥ samparāye viññānapratyayatvāt*. - Cp. also Vasubandhu's *Pratītyasamutpādayākhyā* (PSVy 18b1f.) where the mutual dependence of *viññāna* and *nāmarūpa* is, in a similar way, relaxed by referring the dependence of *viññāna* on *nāmarūpa* to a context different from rebirth, viz. to the context of perception of objects on the basis of sense-faculty, object, and attention (*manaskāra*). Cp. also MK XXVI.2+4 and (though somewhat obscure in detail) DhSk<sub>D</sub> 35, 16ff. (DhSk<sub>C</sub> 507c25ff.).

1120. DN II 63: *nāmarūpapaccayā viññāṇaṃ ti ... / viññāṇaṃ ca hi ... nāmarūpe patitṭhaṃ na labhissatha, ...*; DhSk<sub>D</sub> 36,2of.

1121. Y 230,8f.: *tan-nāmarūpa-pratyayañ (Y<sub>m</sub>) ca punas tad viññānam tatra pratiṣṭhāṃ labhate*.

1122. It is, however, more probable that in this statement, as also in the preceding sentence (see n. 1130), *nāmarūpa* originally means *m a t t e r* as far as it is biologically *a p p r o p r i a t e d* by mind, i.e. *l i v i n g c o r - p o r e a l* matter (see § 7.3.4.1.3.c).
1123. Cp. MAVT 37,18.  $Y_c$  interprets '*pratisandhim upādāya*' as "after [the act of] Linking up [a new] life has been achieved" (續生已後).
1124. *Manovijñāna* is, of course, based on *nāman* only.
1125. Y 199,7ff. ( $\approx Y_t$  'i 285b1-3): *tac ca (Y\_m) vipāka-vijñānam<sup>1</sup> tad eva nāmarūpaṃ pratiṣṭhāya vartate - ... -, yenōcyate "nāmarūpa-pratyayaṃ vijñānam" iti / sahabhūtaṃ cēndriya-rūpaṃ samanantara-niruddhaṃ (Y\_m) ca nāma ṣaṇṇaṃ vijñānānaṃ yathāyogam āśrayo, yad āśritya yāvajjīvaṃ vijñānasya pravṛttir bhavati /*
1. VaSg: *\*vipākajaṃ vijñānam* (see n. 255).
- $Y_t$  'i 295a5f. ( $Y_c$  831c1off.): "The *vijñāna*, in its turn, continues, from Linking up onward (see n. 1123), to arise on the basis of (*\*pratiṣṭhāya vartate*) just [that] *nāmarūpa*, by way of being simultaneous [with the corresponding material sense-faculty (= *rūpa*)] and arising immediately after [the preceding moment of mind which functions as *mana-indriya* (= *nāman*)] (*\*sahabhāva-samanantarotpatti-yogena* (?)). Therefore, it (sc. *vijñāna*) is, in its turn, in the present life conditioned by *nāmarūpa*" (*rnam par ṣes pa de yañ nīn mtshams sbyor ba blaṅs nas miñ dañ gzugs kho na la gnas te lhan cig 'byuñ ba dañ de ma thag tu skye ba'i tshul gyis 'jug go / de bas na de yañ tshe 'di la miñ dañ gzugs kyi rkyen las byuñ ba yin te /*).
1126. The term '*pratisandhi-vijñāna*' may also designate the *vijñāna* immediately *p r e c e e d i n g* the moment of conception or Linking up (see n. 259(c)). Cp. also the use of the term at  $Y_t$  'i 295a4f. (see n. 1127).

1127. Cp. Y 198,22ff.; Y<sub>t</sub> 'i 295a4f. (Y<sub>c</sub> 831c8-10):

"This *nāmarūpa*, in its turn, is, in the present life, conditioned by being "pre-disposed" (\**ākṣepa*) and taken possession of (\**parigraha*) by the *pratisandhi-vijñāna*, ... (to be continued in n. 1131)."

(*miñ dañ gzugs de'añ tshe 'di la ñiñ mtshams sbyor ba'i rnam par śes pas 'phañs pa dañ zin pa'i* (Y<sub>c</sub>: 執持) *rkyen las byuñ ba ste / ...*).

It appears that in this passage the term '*pratisandhi-vijñāna*' denotes *vijñāna* not only in so far as it p e r f o r m s Linking up but also in so far as it has p r e p a r e d it during the prior existence.

1128. This is the position advocated in Vasubandhu's PSVy, where the *saṃskāra-pratyayaṃ vijñānam*, which, in its turn, becomes the condition (*pratyaya*) of *nāmarūpa* (PSVy 23a3f.; 29b7), is the ( p r i o r ) *vijñāna* infested with karmic Impressions (18b5; 23a1f.), and n o t the *pratisandhi(pha-la)vijñāna* (detailed refutation: 20b4ff.), which is rather taken to be simultaneous with (and comprised in) the first moment of *nāmarūpa* (21b4; cp. also n. 1129).

1129. Cp. MAV(Bh) I.10b (see n. 1477(A<sup>7</sup>)); AS 26,21f. + ASBh 31,12ff.; PSVy 21b4 (see n. 1128); TrBh 37,22.

1130. Cp. Y<sub>t</sub> 'i 313a6f. (see n. 240).

Cp. also Y 199,3ff. ( ≈ Y<sub>t</sub> 'i 285a7f.) [difficult passage, translation tentative]:

"After dying in the past (i.e. in the prior existence), one produces a new (basis of) personal existence in the present, in due order, [viz. producing at first,] in the mother's womb, the *vijñāna* at the moment of Linking up, which is Result[-of-Maturation since it is] conditioned by the [*karmo-pagaṃ*] *vijñānam* [of the prior existence] as its cause, and which entails (? *yāvad eva ... °āya*) the [formation and growth] of *nāmarūpa* (i.e. living, animated corporeal matter) in the womb through the successive stages of *kalāla*, etc.,

[its birth, etc., and] finally (*yāvad*) its decay."

(*sa kālaṃ kṛtvā pūrvāntād vartamāne 'dhvany ātmabhāvam abhinirvartayaty anupūrveṇa mātuh kukṣau hetuvijñāna-pratyayaṃ (Y<sub>m</sub>) pratisandhi-phala-vijñānaṃ yāvad eva kalalatvādibhir avasthāviśeṣair uttarottaraś tasya garbhagatasya nāmarūpa-sya yāvaj jīrṇatvāya /*).

Yet, the use of the anaphoric pronoun '*tasya*' may be taken to signalize that already the *pratisandhiphalavijñāna* stands for a (*viz.* the initial) phase of *nāmarūpa*.

Still less clearly Y 230,7f.:

"Due to *vijñāna*, matter [consisting of] semen-cum-blood in the mother's womb, being taken possession of by *nāman* (i.e. by mind and the mental factors), coalesces [with them] so as to become the *kalala* (= *nāmarūpa*)."

(*vijñāna-pratyayaṃ (Y<sub>m</sub>) mātuh kukṣau śukraśoṇitarūpaṃ nāmaparigṛhītaṃ kalalatvāya saṃmūrcchate*).

1131. Y<sub>t</sub> 'i 295a5 (continued from n. 1127): "... and it (*sc. nāmarūpa*) does not perish so long as it is not separated from this [*vijñāna*]" (*de dan ma bral na med par mi 'gyur ba'o //*).

1132. VGPVy 421b6-8; Shu-chi 366b1f. cp. also NA 503c1ff. Saṅghabhadra (NA 503c6ff.; 504c2ff.) points out that the lack of agreement, of *n o n*-simultaneous *vijñāna* and *nāmarūpa*, with two reed bunches mutually supporting each other becomes altogether inevitable when the existence of past and future entities (which may be considered to imply a kind of co-existence even of non-simultaneous factors) is rejected.

1133. It could just as well have been interpreted in the sense of being only the *n o r m a l* condition but admitting of exceptions, as was done by (certain?) Mahāsaṅghikas according to Shu-chi 366b2off.

1134. See n. 154.

1135. Y 200,1f. (= Y<sub>t</sub> 'i 285b7f.):

"In the case of [living beings in] the immaterial [world-

spheres], however, *viññāna* [subsists] on the basis of *nāman* and of the S e e d of corporeal matter, [and] *nāman* and the S e e d of corporeal matter [in their turn] subsist on the basis of *viññāna*."

(*ārūpyeṣu* ( $Y_m$ -*peṣu*) *punar nāmāśritaṃ rūpabījāśritaṃ* ( $Y_m$ ) *ca viññānaṃ, viññānāśritaṃ nāma rūpabījaṃ ca pravartate* /).

1136. One might argue that the Yogācāras may have been forced to assume, as the basis of *rūpa* in the state of *nirodhasamāpatti*, a new kind of *viññāna*, and not just S e e d s of *viññāna*, precisely on account of the passage of the Dharmadinnāsūtra (etc.) which states, in their version, that in *nirodhasamāpatti* *viññāna* has not withdrawn from the body (§ 2.4). But of course it would be much more probable that this Sūtra passage took effect d i r e c t - l y on the theory of *nirodhasamāpatti* (as is actually documented in Y: see § 2.1), and not merely by m e d i a - t i o n of the interpretation of the mutual dependence of *viññāna* and *nāmarūpa*, *kalpanāgauravāt*, and because there is no pertinent textual evidence in Y.

1137. The explanation of the dependence of *nāmarūpa* on *viññāna* in the Mahānidānasūtra, viz. that, if *viññāna* does not enter the womb or if it happens to leave it again or happens to be interrupted while the child is still young, *nāmarūpa* will not concretize or thrive,<sup>1</sup> might be taken to imply the idea that *viññāna* must not quit the body during one's lifetime, not even in *nirodhasamāpatti*, and thus to call for the assumption of some kind of *viññāna* even in this state. But apart from the fact that it is more probable that it was the e x p l i c i t reference to the existence of *viññāna* in *nirodhasamāpatti* in the Dharmadinnāsūtra, etc., that led to this assumption (see n. 1136), other schools like the Sarvāstivādins, though acknowledging similar versions of the Mahānidāna passage (the  $M\bar{A}_C$  version, at least, is a Sarvāstivāda version), do not seem to have felt any need for drawing



such a conclusion. Actually, the wording of the Sūtra could even be taken to imply that it is only during the embryonic state and early childhood, but not later, that an interruption of *viññāna* is fatal.

1. AKVy 669,1ff.; AKṬU tu 157a1ff.; DhSk<sub>D</sub> 34f.; NA 485 b27ff.; MĀ<sub>C</sub> 579c17ff.; T 14, 243b18ff.; DN II 63; DĀ<sub>C</sub> 61b9ff.

1138. A Mūlasarvāstivāda version of the Mahāvadānasūtra is not known to me.

1139. Murakami 1973, 31ff. and Fukita 1982, 35 (revising NidSa No. 5.11-15; cp. also Vi 124a18ff.):

... *viññānapratyayaṃ ... nāmarūpaṃ / tasya mamaītaḍ abhavat: kasmin nu sati viññānaṃ bhavati, kiṃpratyayaṃ ca punar viññānaṃ / tasya mama yoniśo manasikurvata evaṃ yathābhūtasābhisaṃsaya udapādi / nāmarūpe sati viññānaṃ bhavati / nāmarūpa-pratyayaṃ ca punar viññānaṃ / tasya mama viññānāt pratyudāvartate mānaṣaṃ nātaḥ parato<sup>1</sup> vyativartate / yaduta nāmarūpa-pratyayaṃ<sup>2</sup> viññānaṃ<sup>2</sup> / viññānapratyayaṃ nāmarūpaṃ / ...*

1. Thus with MAvSū p. 137 (9b.13) and Fukita 1982, 41 note 13; Murakami 1973, 36: *pareṇa*.

2. Thus with MAvSū p.137 (9b.14), T 714 and 715, Vi 124a 21f., and Fukita 1982, 41 note 17; omitted by Murakami.

1140. Murakami 1973, 31ff., especially 36 (SĀ<sub>C</sub> No. 287, especially 80c2ff.; T 714 = vol. 16, p. 828a4f.; Gopālpur Brick II [see Murakami 1973, 24; cp. also de Jong 1974, 140 = 1979, 240]); Näther 1975, 29 (kindly brought to my notice by Fumio Enomoto):

... *viññānapratyayaṃ ... nāmarūpaṃ iti / (<sup>1</sup>tasya mamaītaḍ abhavat: kasmin sati viññānaṃ bhavati, kiṃpratyayaṃ ca punar viññānaṃ iti / <sup>1</sup>) tasya mama viññānāt pratyudāvartate mānaṣaṃ, nātaḥ pareṇa vyativartate / yaduta viññānapratyayaṃ nāmarūpaṃ / ...*

(1 ... 1) Lacking in SĀ<sub>C</sub> and T 714.

1141. As for Enomoto's and Kajiyama's thesis that some Yogācāra sources presuppose not the Mūlasarvāstivāda version of the

Nagarasūtra but rather one that contained, like that of the Sarvāstivādins, an express statement of the mutual dependence of *viññāna* and *nāmarūpa*, I refer to § 12. The matter is, however, not decisive for my argument since even in case Enomoto and Kajiyama were right the version used by these Yogācāras would be just the same as that of the Sarvāstivādins, and not a more specific one.

1142. a) *Nāman* invariably includes *viññāna*;  
b) *nāman*, *rūpa* and *viññāna* are strictly simultaneous;  
c) *nāmarūpa* and *viññāna* are invariably concomitant, i.e. both *nāmarūpa* and *viññāna* are always present throughout *saṃsāra*, though it seems that lack of either *nāman* or *rūpa* must be admitted in certain situations (no *nāman*: *nirodhasamāpatti* etc., provided that the *caittas* of *ālayaviññāna* and, in the case of the other unconscious states, *kliṣṭaṃ manaḥ* are disregarded in this context; no *rūpa*: *ārūpyadhātu*).
1143. This development seems to have a forerunner in the *Pravṛtti* Portion of the *VinSg ālay. Treatise* ( $Y_t$  zi 5b3ff.; H 1979, 3of. [§ 3]), where the mutual dependence (*\*itaretara-* or *anyonya-pratyayatva*) of *ālaya viññāna* and *pravṛttiviññāna* (which may be taken to represent *nāman*) is treated; and this passage may be regarded as a forerunner all the more since it also alludes, in passing, to the material sense-faculties being appropriated by *ālaya viññāna* (see n. 358). Cp. also *Samdh* V.2 which may be understood as explaining - though not professedly - the dependence of *viññāna* on *nāman* and *rūpa* (cp. Takasaki 1982, 28), whereas V.4f. may be taken as an explanation of the dependence of at least *nāman* on *viññāna*.
1144. Cp., e.g., NA 484b19ff.
1145. According to Kuei-chi (Shu-chi 366b23-25), precisely with regard to the moment of conception the introduction of

ālayavijñāna is not sufficient to settle the problems involved in the mutual dependence of *nāmarūpa* and *vijñāna* (interpreted in the strict sense). Since in Kuei-chi's opinion in the first moment of a new existence none of the ordinary six kinds of *vijñāna* arises, he has to recur to *kliṣṭaṃ manas* (366b27f.) in order to supply (ālaya)vijñāna, in that moment, with a simultaneous *nāman*.

1146. See § 7.3.2 + n. 1104.

1147. See § 7.3.2 + n. 1105.

1148. See also ŚrBh 384,11ff.

1149. See § 3.8.1 + n. 303.

1150. Y 200,15f.

1151. See n. 789.

1152. Y 200,13; 198,22 (see n. 1153).

1153. Y 198,22f.: *tatkarmopagaṃ (Y<sub>m</sub>) cāsyā vijñānam ā maraṇasamayād anuvṛttaṃ bhavati pratisandhivijñānahetubhūtaṃ*; 199,4: *hetuvijñānapratyayaṃ (Y<sub>m</sub>) pratisandhiphalavijñānam*; 200,14f.

1154. Cp. Y 206,14ff.: *āyatyāṃ cakṣurindriyāśrayāyā (etc.) rūpaprativijñāpter (Y<sub>m</sub>) (etc.) yat puṇyāpuṇyāneñjya(Y<sub>m</sub>)-paribhāvita-bījabhūtaṃ vijñānam, yac ca tadbījasamudbhavaṃ phalabhūtaṃ (Y<sub>m</sub>)*; ŚrBh 384,12f.: *saṃskāra-parigrhītaṃ ca punarbhava-vijñānāṅkura-prādurbhāvāya tad-bījaṃ* (see n. 147(c)).

1155. Y 207,9: *vijñāna-bīja-parigrhīta-bīja-bhūtaṃ* (sc. *nāmarūpaṃ*).

1156. Y 200,16ff.; 207,13 (read, with Y<sub>m</sub>, *nāmarūpa-bīja-parigrhīta-bīja-bhūtaṃ*), etc.; cp. ŚrBh 384,14f. where, however, the *bījas* of *nāmarūpa*, etc., are simply stated to be possessed by or contained in (*-parigrhīta*: see n. 147(b)) *vijñāna*.

1157. Y 198,22f. (see n. 1153).

1158. *antarābhava* is not mentioned in this passage, i.e. is either disregarded or had not (yet?) been accepted at the time of composition (to be distinguished, of course, from the time of incorporation into the *Vastusaṃgrahaṇī* or the *Savitarkādi-bhūmi*). Cp. also n. 259(a).

1159. Y 199,4f. (see n. 1130).

1160. Cp. Y 199,9.

1161. Y 200,2 (see n. 1135); 201,11.

1162. Y<sub>t</sub> 'i 288a8f. (see n. 788).

1163. Y 24,7f. (see § 6.3.1).

1164. Y 4,11f. (see § 6.1.1(d)γ).

1165. Cp., e.g., Y 52,16 (*citta-santatau bījāni sanniviṣṭāṇi*) or 61,8 (*viññāna-santāne ... bīja-santānaḥ*).

1166. See n. 10.

1167. Y<sub>t</sub> zi 9a4ff.: see § 10, especially 10.1 ((2a) and (3a) - (3d)) and 10.3.3.

Cp. also MSA XIX.51:

"Insight which, being devoid of Clinging to both (sc. *grāhya* and *grāhaka*), has True Reality for its object and immediately perceives the mass of Badness (MSABh: = *ālayavijñāna*), is considered to entail, for the Wise (= Bodhisattvas), the extinction of the latter."

(*tathatālambanaṃ jñānaṃ dvaya-grāha-vivarjitaṃ /  
daṣṭhūlya-kāya-pratyakṣaṃ tat-kṣaye dhīmatāṃ matam //*)

1168. See §§ 1.3.1(10), 3.7.1 and 5.4.2.

1169. See §§ 1.3.1(11) and 5.7.

1170. See § 9.

1171. This would even fit Y<sub>t</sub> 4b5f. (Y<sub>c</sub> 580a13f.; Chüeh-ting 1019b7 f.; H 1979, 27 [§ B.1]): "As this object [of *ālayavijñāna*] is not easily discerned (*duṣpariccheda*) even by the learned among [ordinary?] people, it is subtle (*sūkṣma*)" (*dmigs pa*

*de ni 'jig rten gyi mkhas pa rnams kyis kyan yoris su gcad par dka'ba'i phyir phra ba yin no //*). Yet, it cannot be taken for granted that the different parts of the *VinSg ālay. Treatise* have to harmonize in every respect (cp. §§ 9 and 11).

1171a H 1978, 21,9; cp. 21,23f.; 22,15f.; 23,6.

1171b Ib. 22,1; cp. 22,7f.

1171c Ib. 21,8f.

1171d Ib. 21,19f.

1171e MSg I.2o.

1171f See n. 321 and § 3.11.6.

1172. Cp. also Ui 1958, 17o; Griffiths 1986, 94 ("... constructed as an *ad hoc* explanatory category ...") and 96 ("... as an *ad hoc* intellectual construct ...").

1173. Such a decision does not mean that one has to forget the existence of "tradition" altogether and forever, as one of Hakamaya's arguments (H 1977, 223 [above, 10-12] + 227 note 23) seems to suggest. Of course it is necessary to raise, after an unbiased investigation of the text itself, the question whether and, if yes, how tradition can be reconciled with its results, even if one feels unable to offer a definitive solution (cp. S 1969, 819). It is, no doubt, good method to approach a problem independently from as many different starting points as possible and afterwards compare and evaluate the results (for an instructive example see Oetke 1977).

1174. Cp., e.g., Demiéville 1954, 38off.; Seyfort Ruegg 1969, 5off.; Mukai 1976, 23ff. and 33ff.

1175. H 1977, 22o (below, 14ff.); 221 (below, 14ff., especially 24ff.); 225 (above, 6ff.).

1176. Mukai 1976, 24f.

1177. Cp. Mukai 1978, 272; 1981, 681.

1178. H 1977, 223 (above, 3ff.); cp. 221 (below, 4f.).

1179. H 1977, 223 (above, 4ff.); 224 (above, 3-5).

1180. Other cases admit of being explained by the fact that later masters sometimes adopted the names of famous predecessors (as e.g. the Tantric masters called Nāgārjuna, Āryadeva, etc.: Seyfort Rugg 1981, 104ff.), or that the name of the founder of an institution was used as a title by his successors (as in the case of Śāṅkara: P. Hacker, Śāṅkarācārya and Śāṅkarabhagavatpāda, in: Hacker 1978, 42f.).

1181. Cp. n. 451.

1182. H 1978, 2, 22f.

1183. To be sceptical with regard to the historicity of "traditions" does not imply (as H 1977, 221 [below, 14ff.] seems to suggest) that one does not acknowledge that different cultures have different patterns of thought or evaluation (I should even maintain that such a difference is, on the contrary, rather ignored by those who take legends for historical documents in our sense). On the other hand, to acknowledge such a difference does not mean that one has to presuppose, at least in what we call philosophical texts, substantially different standards of logical or structural consistency, as is easily observed by looking into other Yogācāra texts like, e.g., Viṃśatikā and Triṃśikā, or Hsüan-tsang's Siddhi (成唯識論).

1184. H 1977, 221 (below, 23f.).

1185. Cp., e.g., Karl H. Schlesier, Zum Weltbild einer neuen Kulturanthropologie, in: Zeitschrift für Ethnologie 105/1980, 32 (This most impressive article was kindly brought to my notice by Mr. Volker Dumann).

1186. Schlesier, op. cit., 33; L. Klages in: H. Gruhl, Glückliche werden die sein ... - Zeugnisse ökologischer Weltsicht aus vier Jahrtausenden, Düsseldorf 1984, 197f.
1187. S 1985a, 115ff.
1188. S 1985a, 102f.; 107f.; 112.
1189. Schlesier, op. cit., 33; Werner Müller, Indianische Welt-  
erfahrung (Ullstein-Taschenbuch, 1981), 40ff.
1190. S 1969, 820f.; cp. also Yokoyama 1971.
1191. H 1977, 222 (above, 3ff.).
1192. Cp., e.g., § 5.11.
1193. I.e. except, perhaps, the absence of *viññaptimātratā* in some parts of VinSg which presuppose Saṃdh (see § 10.3.1).
1194. S 1969, 819ff. notes 45 and 48.
1195. The same is, of course, also true of whole text passages (cp. H 1977, 226 note 22), which may freely be taken over from (the author's own or other authors') earlier works as also from Sūtras, either by way of explicit quotation or by way of simply being incorporated, with or without change in wording, with or without "cryptomnesia" (H 1977, 224 and 227 note 30). To expect a classical Indian author to distinguish expressly between what he has conceived or at least formulated himself and what he has taken over from earlier works of his line of tradition is to attribute to him a modern historical sense and to assume that he set great store by originality. For a traditionalist author, "originality" would have been a serious charge (even the Buddha is related to have stressed that he merely rediscovered the Ancient Path), but "plagiarism" a nonsensical one. Of course, an author will be inclined to expressly quote from a highly authoritative or canonical source in order to give additional support to his own view, but in the case of a work which has not (yet) reached such a status he will more probably simply make use of it.

1196. Deliberate avoidance appears to be the reason for, e.g., the non-occurrence of ālayavijñāna in the "Maitreya texts" (see § 5.11).
1197. Cp., as an example, the material discussed in § 6.5.3, where the explanation in AS is more archaic than that given in Y.
1198. One has to stress that the notions "compiler" and "author" in the strictest sense are only the (hardly ever actualized) extreme points along a whole scale of intermediate stages.<sup>1</sup> Even a compiler usually contributes or selects at least some order or framework of arrangement, and most authors will, more or less, and consciously or not, draw on what other people have already said. One may speak of "compilatory elements" if such elements are not fully integrated from the point of view of either form or contents. An author may even "compile" from his own works or rework them at different periods of his life, and if the development of his thought covers a variety of standpoints and/or if he is not a very systematic thinker, it may be quite difficult (and perhaps not even of primary importance) to distinguish such "pseudo-compilations" from compilations proper.
1. I owe this idea to S. A. Srinivasan who expressed it in an unpublished lecture on the composition of the Nāṭya-śāstra, delivered in 1975 on the occasion of the XIXth German Congress of Orientalists at Freiburg.
1199. P. Hacker, Eigentümlichkeiten der Lehre und Terminologie Śaṅkaras: Avidyā, Nāmarūpa, Māyā, Īśvara, in: ZDMG 100/1950, 246ff. (= Hacker 1978, 69ff.).
1200. I.e. Yogācāra literature before (or anyway under exclusion of) the works of Vasubandhu (or of both Vasubandhus, if Frauwallner's theory is adopted).
1201. S 1969, 820; Yokoyama 1971, 30ff.
1202. S 1969, 821 note 47.
1203. Cp., e.g., MSg II.2, etc.



1204. H 1977, 226 note 13.

1205. Yokoyama 1971, 31.

1206. H 1977, 222.

1207. S 1972, 155f.

1208. H 1984a, 57f.

1209. BoBh<sub>D</sub> 32,11ff. = BoBh<sub>W</sub> 47,16ff.

1210. Seyfort Ruegg 1969, 319ff.; Nagao 1978, 542ff.; 1978a; Mukai 1974; 1983; Mizuo 1983; Hotori 1984, 55f.; 74f. note 1 (with further references); H 1984a, 55f.

1211. MN No. 121; MĀ<sub>C</sub> No. 190; Kj No. 956.

1212. *Nitya*, etc., are taken as attributes of *ātman* in accordance with the final sentence of the quotation (*ātmano 'bhāvah*, showing that *ātman* is the dominant word) and ASBh 51,20 (*nityādīlakṣaṇasyātmānaḥ*); cp. also the parallel from AD in n. 1214, etc. On the other hand, AS<sub>t</sub> (90b3f.) *j u x t a - p o s e s nitya*, etc., and *ātman*; cp. also SĀ<sub>C</sub> 56b24f. (see n. 1215); Y<sub>t</sub> 'i 246a7 = Y<sub>C</sub> 812a10; Y<sub>t</sub> 'i 360a6 = Y<sub>C</sub> 859a8f.; SĀ<sub>C</sub> 72c14f.; MĀ<sub>C</sub> 542c18f. In this case, the *skandhas*, etc., would be devoid of Self and Mine and of the qualities of permanence and unchangeability (in contrast to *asaṃskṛta* which is devoid of Self and Mine but not of permanence, etc.: Y<sub>t</sub> 'i 246a7f.). But this too is absolutely traditional (Śrāvakayāna) ontology.

1213. The original wording of the passage is lost (Pradhan's retranslation is unacceptable), but it can be restored to approximately the following text (for details see S 1972, 155f.): *\*śūnyalakṣaṇaṃ katamat? yad yatra nāsti tat tena śūnyam iti samanupaśyati, yat punar atrāvaśiṣṭaṃ bhavati tat sad ihāstīti yathābhūtaṃ prajānāti; iyaṃ ucyate śūnyatāvakraṅtir yathābhūtāvīparitā. kutra kiṃ nāsti? skandhadhātuvāyataneṣu nityo dhruvaḥ śāśvato<sup>1</sup> 'vipariṇāmadharmā' ātmā ātmīyaṃ ca<sup>2</sup> nāsti; evaṃ tāni tena śūnyāni. kiṃ punas tatrāvaśiṣṭaṃ*

*bhavati? tad eva nairātmyam. evam ātmano 'bhāvo nairātmyasya  
ca bhāvaḥ śūnyatāvagantavyā.*

1. Or: *nitya-dhruva-śāśvata-*.

2. For the sake of convenience I have disregarded the Sandhi rules in the preceding words. It is not clear whether one has to presuppose *avipariṇāmadharman* or *°dharma* or even *°dharmaka*.

1214. Cp., e.g., AD 254,3f.: *śūnyāḥ sarvasaṃskārāḥ nityena dhruveṇa śāśvatenāvipariṇāmadharmanā* (Jaini changes into *-dharmaṇa*) *ātmanā (ā)tmīyena vā* (cp. *SĀ<sub>C</sub>* 72c14f.).

1215. C.p., e.g., MN I 297 and II 263 (*suññaṃ idaṃ attena vā attaniyena vā*) or SN IV 54 (*cakkhu ... suññaṃ attena vā attaniyena vā*, etc.) besides MN I 8 (*attā nicco dhuvo sassato avipariṇāmadhammo*). Both elements are combined at *MĀ<sub>C</sub>* 542c18f. (corresponding to MN II 263) and at *SĀ<sub>C</sub>* 56b24f. The latter passage (眼空常恒不變易法空我所空) corresponds to SN IV 54 and has been tentatively retranslated into Sanskrit in Lamotte 1973, 321, as *\*cakṣuḥ śūnyam / śāśvatenāvipariṇāmadharmena śūnyam / ātmīyena śūnyam /*. The passage is, however, corrupt, lacking an equivalent of *ātman* as is confirmed by the corresponding passage in the *Vastusaṃgrahaṇī* (*Y<sub>t</sub>* 'i 246a7 = *Y<sub>C</sub>* 812a10).

1216. H 1984a, 58 (above); cp. also Nagao 1978, 548f.

1217. AS 40,14 (corrected in n. 1213).

1218. H 1984a, 58 (above).

1219. AS 40,15 (see n. 1213).

1220. S 1972, 154ff.

1221. SN No. 22.95; *SĀ<sub>C</sub>* No. 265.

1222. AS 15,14-17 (original lost; on the basis of AS<sub>t</sub> 65a2-4 and ASBh 19,25ff., I should retranslate into Skt. as follows):  
*\*yad uktaṃ - <sup>(1)</sup>rūpaṃ phenapiṇḍopamaṃ, vedanā budbudopamā, saṃjñā marīcikopamā, saṃskārāḥ kadalīskandhopamāḥ, vijñānaṃ māyopamaṃ<sup>1)</sup> iti, tatra kim upādāya rūpaṃ phenapiṇḍopamaṃ yā-*

*vaḍ vijñānaṃ māyopamaṃ? ātmāsattāṃ<sup>2</sup> śucyasattāṃ alpāsvādatāṃ<sup>3</sup>  
adr̥ḍhāsāratāṃ<sup>4</sup> copādāya<sup>5</sup> /*

(1 ... 1) Or - perhaps better - with anteposition of the predicates. Cp. SN III 142 (verse); a Sanskrit verse version is available in PG 17-18 (ŚrBh<sub>w</sub> 17o,1-3; cp. also Pr 41,9ff. and 549,2ff.) and should perhaps be inserted here, too, but AS<sub>t</sub> is in prose.

2. ASBh 2o,2 + note 1. Tatia reads *ātmāsattāṃ* which he changes into *anātmātāṃ*.

3. *ro bro ba chuñ ba ñid*; cp. MVy 6894.

4. *mi brtan źiñ sñiñ po meḍ pa ñid*.

5. ASBh 2o,2.

1223. AS 4o,16-18 (retranslation, corrected by myself on the basis of AS<sub>t</sub> 9ob6f. and ASBh 52,1ff.):

*\*api khalu trividhā śūnyatā - <sup>(1)</sup>svabhāvaśūnyatā tathābhāva-  
śūnyatā prakṛtiśūnyatā<sup>(1)</sup> ca / ādyā <sup>(1)</sup>parikalpitaṃ svabhāvaṃ  
upādāya<sup>(1)</sup> draṣṭavyā, dvitīyā <sup>(1)</sup>paratantraṃ svabhāvaṃ upādā-  
ya<sup>(1)</sup> draṣṭavyā<sup>2</sup>, tṛtīyā <sup>(1)</sup>pariniṣpannaṃ svabhāvaṃ upādāya<sup>(1)</sup>  
draṣṭavyā<sup>2</sup> /*

(1 ... 1) ASBh 52,1-4.

2. Thus AS<sub>t</sub> and AS<sub>c</sub>, but perhaps superfluous in Skt.

1224. H 1984a, 58 (below).

1225. Cp., e.g., AS 31,6 = AS<sub>t</sub> 81b3;

31,12 = 81b8; 31,22 = 82a7.

1226. The terms themselves c o u l d , of course, easily be interpreted in the sense of *p u d g a l a -nairātmya*, too: the imagined *ātman* and *ātmīya* is empty of essence; skandhas, etc., originating in dependence, are empty of being such [as they are imagined, viz. as *ātman* or *ātmīya*]; (*pudgala*)-*nairātmya* is emptiness by nature. I do not suggest that the author i n t e n d s them to be interpreted thus, but at any rate he does not e x p l i c i t l y e x c l u d e such an interpretation.

1227. AS 31,6ff. (AS<sub>G</sub> 29,1ff.): ... *tatra parikalpita*lakṣaṇaprabhedaḥ *katamaḥ* / *skandhadhātuvāyataneṣu ā t m e t i v ā sattvo jīvo jantuh poṣaḥ p u d g a l o manujo mānava iti v ā yat parikalpyate* / *vikalpita*lakṣaṇaprabhedaḥ *katamaḥ* / *tāny eva skandhadhātuvāyatanāni* / *dharmatālakṣaṇaprabhedaḥ katamaḥ* / *teṣu eva skandhadhātuvāyataneṣu ātmābhāvaḥ ... nairātmyāstitā* /

In contrast to *parikalpita*, *vikalpita* would seem, in this interpretation, to designate not the effected but the affected object.

1228. S 1972, 156.

1229. Conze/Iida 1968, 238,2ff.; cp. also H 1975b, (20)ff., especially (24)f.; 1975c, (13)ff.

1230. AS 84,11ff.

1231. Cp. Hotori 1982, 44 note 10; 48 note 35; 52 note 95; cp. also Hotori 1984, 61.

1232. = H 1979, 43f. (§ II, especially 2.a). This passage states that not only in *nirodhasamāpatti* but a l s o in a - s a ṃ j ñ i s a m ā p a t t i , *āsaṃjñika*, deep sleep and deep swoon [a non-Arhat] is possessed of ālayavijñāna but l a c k s p r a v ṛ t t i v i j ñ ā n a . In this statement, the new *manas* (which should be missing in *nirodhasamāpatti* but not in *asaṃjñisamāpatti*, etc.<sup>1</sup>) is e i t h e r not taken into account because it was not considered to be included in *pravṛttivijñāna* - which would, however, run counter to Y<sub>t</sub> zi 6a5f. (H 1979, 32 [§ 4.b.A.1]) and 189b2 (see n. 357) -; o r - and this is more probable - the new *manas* is not taken into account because it had not yet been introduced when the above statement was formulated (or had at any rate not been accepted by its author).

1. Cp. - apart from slightly later sources like MSg I.7A.4-5 - Y<sub>t</sub> zi 6a6f. which states (without a n y restriction: see<sup>t</sup>n. 657) that *manas* accompanies ālayavijñāna in both conscious a n d u n c o n s c i o u s states.

1233. Cp. ns. 1351 and 357; Suguro 1977, 138 (above, 5ff.).
1234.  $Y_t$  zi 9a3-b3  $\equiv$   $Y_c$  581b22-c8  $\equiv$  Hsien-yang 567b13-28  $\equiv$  Chüeh-ting 1o2oa28-b11; H 1979, 39-41; cp. SaṃdhVy co 1o4b5-1o5b1 (omitting (2b): see n. 124o) and 78a2-5 (abbreviated).  
- As for the compositional structure of the passage, see § 11.1.
1235. (o) = H 1979, 39 (§ B.1, last sentence);  
(1a), (1b), (2a), (2b) = H 1979, 4o (§ B.2);  
(3a) - (3d) = H 1979, 4of. (§ B.3).
1236. According to N. Hakamaya (1979, 5o note 1o3; cp. also Ui 1965, 746; but otherwise Ui 1958, 188), this sentence has to be taken, against  $Y_c$  (復次 ...) but with Hsien-yang (是故 ..., and 又 in the beginning of the next sentence), with the preceding paragraph of the text (viz. 5.b.B.1). But see § 11.2.4.3.
1237. See § 11.2.2.
1238. Or: \**tasyaīvaṃ saṃkleśamūlasyaālayavijñānasya*; cp. passages like ŚrBh 5o6,6 (*tasyaīvaṃ bhāvanā-prayuktasya* ...) or BoBh<sub>D</sub> 38,8 (sc. *evaṃ vaśitāprāptaḥ* ...); 33,18; 225,11.
1239. Thus acc. to Hts. (達 ... 已); Tib. *rtogs par byed pa na* would seem to be based on \**pratividhyaṇ*. Cp. § 1o.3.3.3.a + n. 1322.
- 124o. According to Pa.'s rendering, one should refer \**tatra* to ā l a y a v i j ñ ā n a : "He then perceives all [constituents of] Pollution to be altogether assembled there (= in ālayavijñāna)." Yet, in my opinion this would unduly anticipate the sentence next but one ((3a)). Of course, there may be incoherence due to heterogeneity of materials; in other words: due to (2b) being, perhaps intrusive to the main material of the *Nivṛtti Portion*. In this connection, it is worth noting that (2b) is missing in the (quasi-)quotation of the passage at SaṃdhVy co 1o5a3 (cp. § 11.2.4.5 + n. 1355). On the other hand, (2b) appears to be reminiscent of

- Samdh VIII.37.3 (see n. 627), though this need not, perhaps, contradict its being intrusive to the "main material".
1241. Or: "should view", if Tib. *blta* is correct; but this is hardly in agreement with Hts. (能 ... 觀察) and Pa. (即見 ...).
1242. \**prapañca* appears, in this passage, to be practically equivalent to *saṃkleśa* (cp. Pa.'s rendering of \**prapañca-saṃgrhīta* by 煩惱攝!), i.e. to be understood as undue (i.e. pollutive or unwholesome) intellectual and emotional psychic activities and the entities which these activities produce or refer to or characterize; cp. the definition of *prapañca* as *kleśāḥ sakleśāś ca skandhāḥ* at Y<sub>m</sub> 84b2 (see n. 532(b)).
1243. I have preferred the reading of SamdhVy ('*du byed kyi rnam pa spros pas bsduṣ pa*) because it is more easily reconciled with Hts.'s rendering (一切戲論所攝諸行, i.e. "... of all *saṃskāras* comprised in *prapañca*"). In contrast to this, the reading of Y<sub>t</sub> ('*du byed kyi rnam par spros pas bsduṣ pa*) would have to be taken to mean "(all those [constituents, or fetters]) belonging to the [pollutive] proliferation/diversity (*viprapañca*: cp. Mvy 2926, but so far not noted by me in Y) of conditioned factors".
1244. I.e.: "these factors" (Hts.) or "all *prapañca*" (*spros pa thams cad*: SamdhVy).
1245. Cp. ASBh 121,29f.; see H 1979, 65 + 78 note 71.
1246. Tib. (*pas*): "by", which is not confirmed by Pa. (Hts. is ambiguous) nor by the parallel passages (see n. 1247), where '*āsevanānvayāt ...*' is never found to occur with an instrumental but only with a genitivus objectivus.
1247. Cp., e.g., ŚrBh 200,7 (Y<sub>t</sub> wi 94b3; Y<sub>c</sub> 428b19f.); 403,1f. (Y<sub>t</sub> wi 178b1; Y<sub>c</sub> 458a14f.); 499,6f. (Y<sub>t</sub> wi 229b5f.; Y<sub>c</sub> 475c3f.); 506,10-12 (Y<sub>t</sub> wi 233b6; Y<sub>c</sub> 476c28f.).

1248. Cp. ŚrBh 506,1 *samanantaraprahīṇe kleś<e>* =  $Y_t$  wi 233b2 *ñon moṇis pa spaṇis ma thag tu* =  $Y_c$  476c21 惑 (text: 或) 斷無間 ; cp. DBhS 82,26 or 83,14 (read *samanantara-samāpanne* ... *tasmin* with DBhS<sub>K</sub> 180,3 + note 9). Another possibility is \**sahāśrayaparivṛtteḥ*: cp. BoBh<sub>D</sub> 13,7 *saha-cittotpādāt* (see BHSD s.v. *saha-*) =  $Y_t$  źi 13b2 *sems bskyed ma thag gis*; cp. DBhS 64,9 and 16, or 83,6 (Tib.: ... *ma thag tu*).
1249. Omitted in SaṃdhVy co 104b5f. and 78a2.
1250. Thus  $Y_t$  and SaṃdhVy co 78a2; ib. 104b5: *bsgom*; cp. 104b3: *sgom*.
- 1250a SaṃdhVy co 104b6: *bya ste /*.
1251. SaṃdhVy co 104b6 and 78a3: *sgom*.
1252. SaṃdhVy co 104b8: *na*.
1253. D: *bsgom*; no equivalent in Hts.
- 1253a Missing at SaṃdhVy co 104b7.
1254. D: *pa yañ*; SaṃdhVy co 104b8: *pas*.
1255. SaṃdhVy co 105a3: *so //*.
1256. Thus D and SaṃdhVy co 105a3; P lacks *chos thams cad kyi*.
1257. P: *ñes par rtog*; D: *bcñis pa rtogs*.
1258. SaṃdhVy co 105a5: *śes pa de ni*.
- 1258a Thus SaṃdhVy (ib.);  $Y_t$ : *par*.
1259. SaṃdhVy (ib.) om. *de dag*.
1260. SaṃdhVy (ib.): *khams d a ṇ l d a n pa*.
1261. SaṃdhVy (ib.): *de la spros pa thams cad* instead of *kun gñi rnam par śes pa la*.
1262. SaṃdhVy (ib.): *gcig bsdus*.
1263. Thus D; P: *spuñ pa*; SaṃdhVy (ib.): *spañ ba/pa*.
- 1263a SaṃdhVy co 105a7 wrongly *bsogs*.
1264. Thus P, D and SaṃdhVy co 105a8; read *pa??*

1265. P, D and SaṃdhVy (ib.): *brten*; but cp. Hts. and Pa. 修習 and the passages referred to in n. 1247.
- 1265a SaṃdhVy (ib.) falsely *gnas par*.
1266. Thus SaṃdhVy co 105a8; 78a4: *su gyur*; Y<sub>t</sub>: 'gyur.
1267. SaṃdhVy co 78a4: *spaṅ bar* (but 105b1 *spaṅs par*).
1268. SaṃdhVy co 78a4 and 105b1: *spaṅ bar*.
1269. Ōsaki 1978.
1270. Ib., 1069. Spacing mine.
1271. Ib., 1068. Spacing mine.
1272. Ib., 1067.
1273. Ib., 1068. Cp. also Ui 1965, 752f.
1274. See n. 1167.
1275. See also § 2.13.7 + n. 221.
1276. Saṃdh VIII.3 (p. 89,14-16). "... he inwardly contemplates that *citta* by means of which he is contemplating [those doctrinal texts]" (*sems gaṅ gis yid la byed pa'i sems de n a ṅ d u ... yid la byed do //*, corresponding to something like \**yena cittena manasikaroti, tac cittam adhyātman ... manasikaroti*). Cp. also VIII.5 (p. 90,8-9); VIII.6 (p. 90,27); VIII.9 (p. 92,1f.).
1277. Cp. the context of the passages indicated in n. 1276.
1278. E.g. ŚrBh 363,14f.; AS 75,15f.; BoBh<sub>D</sub> 77,5ff.; also MSA XVIII.66.
1279. Saṃdh VIII.9 (p. 92,11-13); cp. VIII.20.2.3.
1280. Saṃdh VIII.37.2: *ji ltar na gnas pa la mkhas pa yin ṣe na / gal te rnam par rig pa'i de bṣin ṅid yaṅ dag pa ji lta ba bṣin du* (Y<sub>t</sub> 'i 86b8) *rab tu ṣes pa'o //*.
1281. See n. 627.



1282. ŚrBh 497,2off., especially 498,2ff. (cp. S 1982, 79): *sa utpannotpannaṃ c i t t a ṃ nirudhyamānaṃ ... paśyati ... / sa ... cittena c i t t a ṃ ā l a m b a n ī k a r o t y ... /*
1283. ŚrBh 498,2f. (see n. 1282) and 8ff.
1284. ŚrBh 498,5-7 and 499,6ff.; S 1982, 78f.
1285. S 1982, 80-82.
1286. See n. 1275.
1287. Yokoyama 1980, 201,18.
1288. See n. 627.
1289. Cp. Saṃdh VIII.7-8; S 1984.
1290. See n. 1280.
1291. Cp. S 1976, 239 and 243.
1292. Cp., e.g., MN I 355; ŚrBh 9,16ff. (Katsube et al. 1981, (22)f.) and 68,1ff. (Mano et al. 1985, 56f.).
1293. MN III 18 (... *imasmiṃ ca saviññāṇake kāye b a h i d d h ā ca sabba n i m i t t e s u ahaṃkāra m a m a ṃ k ā r a mānānusayā ...*).
1294. Y<sub>m</sub> 89a7 (Y<sub>t</sub> dzi 197b4-6; Y<sub>c</sub> 350a24-27): (*tatra cittaṃ kāye upādānabandhena baddham / ...*) *rūpādiṣu viṣayanimitteṣu vijñaptibandhena* [h] / *rāgādibhiḥ kleśopakleśair eṣu eva yathānirdiṣṭeṣu kāyādiṣu abhiniveśabandhena baddham /*
1295. Cp., e.g., Saṃdh VIII.34.2 (≡ Hsien-yang 568b6f.; cp. AS 9,21f.):  
"When one lets one's mind stray to the five external objects of desire (*kāmaguṇa*) or ... to [appealing] characteristics (*nimitta*; SaṃdhVy co 238b6f. explains: the characteristics of the figure of a man or woman, etc.) ... or [other?] external objects, then this is 'outward distraction of mind'."  
(*gal te phyi rol gyi 'dod pa'i yon tan lña po dag dan / ... mtshan ma dan / ... phyi rol gyi dmigs pa rnams la sems rnam*

par 'phro bar gtoñ na de ni phyi rol tu sems rnam par g-yeñ  
ba yin no //).

Cp. also the characterization of the five kinds of sense-perception as "distraction by nature" (*svabhāvavikṣepa*) at AS 9,2of. (≡ Hsien-yang 568b12f.).

1296. See n. 1294.

1297. I admit that my interpretation of the passage (text: see n. 1298) is only a preliminary attempt and that a thorough treatment will have to take into account - with due caution - additional materials like the close parallel in the Sacittikabhūmi-viniścaya ( $Y_t$  zi 190a2 =  $Y_c$  651c3f.):

"This *manas* is called the support (*āśraya*) of *manovijñāna*, since [it is] on the basis of the [former that in the latter] conceptual activity (*vikalpa*) arises in such a way."

(g'zi des de ltar rnam par rtog pa 'jug pa ñid kyi phyir  
yid de ni yid kyi rnam par śes pa'i gnas źes (P: śes)  
bya'o //),

or MSA IX 42 (*manaso 'pi parāvṛttau vibhutvaṃ labhyate  
param ... jñāne nirvikalpe ...*; cp. XI.45).

As far as I can see, the passage from the Sacittikabhūmi-viniścaya admits of a similar interpretation as the one under discussion, or may even be taken to mean that under the influence of the conception of 'I' and 'mine' in which *manas* consists (cp.  $Y_t$  zi 189b5: see n. 946), in *manovijñāna*, too, such concepts, viz. the concepts of 'I' and 'mine', arise. And even in the MSA passage the opposition between *manas* and *nirvikalpa-jñāna* is not inexplicable if we start from *manas* as a continuous notion of Ego (cp. MSA XIX.76a?), since *nirvikalpa-jñāna* is taught to penetrate, in the first *bhūmi* of a Bodhisattva, into the True Essence of dharmas (*dharmadhātu*) under the aspect of sameness (*samatā*: MSA XIV.29-30) or omnipresence (*sarvatragārtha*: MAV II.14a) so that one realizes the sameness of oneself and others (MSA XIV.30; cp. MAVBh

35,1of. (ad II.14)) which is just the opposite of the conception of Ego (as d i s t i n c t from others) typical of (*kliṣṭaṃ*) *manas*.

At any rate, unlike Kelsang/Odani (1986, 141f.) I hesitate to deduce, from cryptic passages like the one under discussion, the idea that *manas* was, already in this early period, conceived of not only as a subtle notion of Ego but also as associated with a subtle notion of d h a r m a s [as real entities] (*dharmagrāha*); for such an idea appears to be (unambiguously) documented only in fairly late texts like Si 6c29ff. (or 24a28ff.), VGPVy 385a2ff., or Tsoñ-kha-pa's YidKun 6o,8ff. (Kelsang/Odani 1986, 84) where, by the way, the faculty that gives rise to *dharmagrāha* = *jñeyāvaraṇa* is unmistakably attributed to *manas* as the seventh *viññāna* (*bdun yid*) and not, as Kelsang/Odani 1986, 14of., seem to suggest, to the *samanantara-manas*. (The old dichotomy of *kliṣṭa*- and *samanantara-manas* has nothing to do with the - presumably fairly late - dichotomy of *ātmagrāha* and *dharmagrāha* as two functions of *manas*, i.e. of the "seventh *viññāna*".)

That it is problematic to interpret early sources in the light of this later theory of *manas* comprising *dharmagrāha* seems to be confirmed by the fact that even a comparatively late author like Sthiramati (SAVBh mi 142a5ff. [= BShK 2, 83,2ff.]; cp. SAT 79b5ff.) does not seem to be familiar with it but rather takes the above-mentioned verse MSA IX.42 to presuppose that as long as *kliṣṭaṃ m a n a ḥ* functions in the wrong way of conceiving *ālayaviññāna* as 'I' or 'mine', *mano viññāna*, too, arises, on its basis, in the wrong way of clinging to non-existent d h a r m a s as if they existed.

1298. Y<sub>t</sub> zi 6b2 (≡ Y<sub>c</sub> 58oc9-11 ≡ Hsien-yang 566c6f. ≡ Chüeh-ting 1o19c13f.); H 1979, 32f. (§ 4.b.A.2); cp. also the quotations at Si 25c13f. and YVy 97b7f., and the verse version quoted at MSgU<sub>c</sub> 384c29f. (≈ Si 25c18f.; cp. H

1978a, 305); as for the "intrusive" character of this paragraph see n. 1351.

The first part of the passage does not pose serious problems;

In  $Y_t$ , it runs as follows:

*yid kyi rnam par śes pa de ni yid la brten pa źes  
bya ste /*

This would correspond to something like

*\*tan manovijñānaṃ mana-āśritam ucyate,*

which is essentially confirmed by all the versions (provided that we ignore that Si omits *mano-* before *vijñānam*).

In the second part of the passage, however, the various versions diverge considerably:

$Y_t$ :	<i>rgyu mtshan gyi yid ma 'gags na</i>
YVy:	<i>yid ma 'gags na</i>
Hts. { $Y_c$ :	彼 未 滅 時
{ Hsien-yang:	意 未 滅 時
Pa. (Chüeh-ting):	心 未 滅之時

$Y_t$ :	<i>rnam par rig pa 'i</i>
YVy:	<i>rnam par rig pa rnam kyan</i>
$Y_c$ :	相            了別
Hsien:	於相        了別
Pa:	意識

$Y_t$ :	<i>'chîn ba        mi 'grol    la /</i>
YVy:	<i>mtshan ma la bcîns pa las mi 'grol    la /</i>
$Y_c$ :	縛            不得解脫        。
Hsien:	縛            不得解脫        。
Pa.:	不   解   縛   故   。

Y <sub>t</sub> :		'gags	na	ni
YVy:		'gags	na	
Y <sub>c</sub> :		末那	滅已	
Hsien:	若	意	滅已	
Pa.:	因	心	若	滅

Y <sub>t</sub> :	de		'grol	ba'i	phyir	ro	//
YVy:	de	las	gröl	bar	'gyur	ro	//
Y <sub>c</sub> :	相縛		解脫				°
Hsien:	相縛		解脫				°
Pa.:	意識		則	解			°

As a presumable original on the basis of which all these versions can be explained I should like to propose something like

*\*aniruddhe hi manasi nimitte vijñaptibandh(an)āmuktiḥ,  
niruddhe ca(?) tanmuktiḥ /.*

There can be no doubt that the passage has to be understood along the lines of Y<sub>m</sub> 89a7 (see n. 1294). This means that *\*vijñapti* is not, as in YVy and Chüeh-ting(?)<sup>1</sup>, the subject of *\*amukti* but has to be construed with *\*bandha(na)* and to be taken as the first member of a *rūpakā* compound designating the "fetter consisting in cognition", by which mind, in the present context *mano vijñāna* (to be supplied from the first part of the passage), is tied to its object (*\*nimitte*, mis understood as an apposition to *\*manasi* by Y<sub>t</sub> and Chüeh-ting), and from which (YVy) it is not freed as long as *manas* has not ceased. Accordingly, in the last part of the passage, *\*tad-* (in *\*tan-muktiḥ*) will refer not to *manovijñāna* (as in Chüeh-ting) but to the fetter, to the "[*vijñapti*]bandhana [by which *manovijñāna* is tied] to its object" (Hts.) and from which (YVy) it is freed as soon as *manas* has ceased.

1. Starting from my reconstructed text, it would seem that Pa. has misconstrued *\*vijñapti* as the subject of *\*amuk-tiḥ* and has, as is reasonable on this presupposition, at the same time interpreted it as *manovijñāna*.
1299. It should be interesting - but is of course beyond the limits of the present essay - to analyze the complicated discussion devoted to this passage (and to the reference to it at Si 25c11ff.) in the commentaries of the masters of the Chinese and Japanese Fa-hsiang (Hossō) school (e.g. T 1828, 600b5ff.; T 1829, 175c24ff.; T 1830, 414a5ff.; T 1831, 640b9ff.; T 1832, 747c3ff.; T 2266, 492a21ff.).
1300.  $Y_t$  zi 8a5f. (see n. 444).
1301. See, e.g., AKBh 94,21f.; 95,15; 158,1f.; 192,3ff.; cp. Sh. Kumoi and H. Sakurabe in: Kumoi 1979, 57ff. and 298.
1302.  $AS_t$  102b6-8 ( $AS$  55,10ff. [largely unreliable reconstruction];  $AS_c$  679b24-27; cp.  $ASV_t$  244a2f.): *las thun moñ ba źes kyañ 'byuñ / las thun moñ ma yin pa źes kyañ 'byuñ / ... thun moñ ba gañ źe na / gañ snod kyi 'jig rten rnam par 'byed pa'o // thun moñ ma yin pa gañ źe na / gañ sems can gyi 'jig rten rnam par 'byed pa'o //, corresponding to something like *\*sādhāraṇaṃ karmety apy ucyate / asādhāraṇaṃ karmety apy ucyate / ... sādhāraṇaṃ katamat / yaḍ bhājanalo-kavibhājakam(?) / asādhāraṇaṃ katamat / yat sattvalokavibhā-jakam(?) /**
1303. The same holds good for ālayavijñāna as the "root" or cause of the mutual influence of living beings ( $Y_t$  zi 8a6-b1 = H 1979, 37f. [§ 5.b.A.3]; not referred to in the concluding summary [ $Y_t$  8b2-4 = § 5.b.A.5]!):  
 "Besides, it (viz. ālayavijñāna) is also the root of living beings acting upon one another (*\*anyonya-(pra)vṛtti-mūla*), [such acting upon one another having to be accepted] because all living beings influence one another (*\*itaretarādhipa-tyāt*). For there is no living being which would not experience pleasure or pain, etc., by perceiving, etc., other

living beings (\**tathāhi na so 'sti kaścīt sattvo yas tada-nyasattvadarśanādīnā sukhaduḥkhādi nānubhavet*, or the like)."

(*g'zan yañ sems can thams cad ni gcig gi dbaṅ gcig yin pa'i phyir sems can phan tshun 'jug pa'i rtsa ba yañ yin te / 'di ltar de las g'zan pa'i sems can gyi mthoṅ ba la sogs pas bde ba daṅ / sdug bsñal la sogs pa ṅams su myoṅ bar mi 'gyur ba gaṅ yin pa'i sems can de (D: de dag) ni 'ga' yañ med pa'i phyir ro //*)

In the Abhidharmasamuccaya parallel (see n. 1302), this effect, too, is ascribed to a special kind of *karma* n (AS<sub>t</sub> 102b8f.; AS 55,13ff. [entirely unreliable reconstruction]; AS<sub>c</sub> 679b27ff.; ASVy<sub>t</sub> 244a3f. + 4f.):

*yañ gaṅ gis sems can rñams<sup>1</sup> phan tshun gyi dbaṅ gis byuṅ ba źes brjod pa<sup>2</sup> sems can rñams kyi phan tshun gyi<sup>3</sup> dbaṅ gis 'byuṅ ba'i las kyaṅ yod de / 'di ltar gaṅ<sup>4</sup> sems can<sup>(5)</sup> g'zan rñams daṅ<sup>(5)</sup> phan tshun du lta<sup>6</sup> ba la sogs pas<sup>7</sup> (<sup>8</sup>ñe bar<sup>8</sup>) spyod par mi 'gyur (<sup>9</sup>ba'i sems can<sup>9</sup>) de ni (<sup>10</sup>thun moṅ ba<sup>10</sup>) ma yin no //*

This would be based on something like

\**api khālv<sup>11</sup> asti sattvānām (<sup>12</sup>anyonyādhīpateyaṃ karma<sup>12</sup>), yenānyonyādhīpateyāḥ sattvā ucyante(?) / tathāhi na sula-bhaḥ sa sattvo yasya tadanyasattvānyonyadarśanādyupabhogo na syāt(??) /*

1. D add *kyi*.

2. P *pa'i*; ASVy *pa /*

3. P *gyis*.

4. P om.

(5 ... 5) ASVy: *de las g'zan pa'i sems can rñams kyi gzugs la*, but there is no equivalent for *gzugs* in AS<sub>c</sub>.

6. ASVy (P): *blta*.

7. ASVy: *pa'i*.

(8 ... 8) P: *ñes par*; ASVy: *loṅs*.

(9 ... 9) ASVy: *ba*.

(10 ... 10) D: *moṅ pa*; ASVy: *rñed pa sla ba*; cp. AS<sub>c</sub> (不) 易可得!

11. Cp. AS 30,25, etc.  
(12 ... 12) Cp. ASBh 67,6.
1304.  $Y_t$  zi 4b1f. (see § 5.6.3 + n. 633).
1305. TrT 17b4 and 18a2f.; cp. H 1979, 71 note 6. Cp. also MSgU<sub>t</sub> 271b1-3 (S 1984, 448f.): \**ālambana-vijñapti-* (at Saṃdh VIII.7) glossed by \**ālambana-pratibhāsa* or the like. See also n. 628a.
1306. Cp. also Takasaki 1985, 36,13ff.
1307. I.e. if the reading suggested by TrBh 19,5, viz. \**dvi - d h ā lambanataḥ pravartate*, which in fact appears better, could be preferred to \**dvi v i d h ā lambanataḥ pravartate*, though the latter is, to be sure, supported by all the three versions of the *VinSg ālay. Treatise* (cp. H 1979, 7f.).
1308. Similarly, at  $Y_t$  zi 4b6f. (H 1979, 27 [§ B.2]: *dmigs pa de ni rtag tu yod pa yin te ...// ... ji srid 'tsho'i* (D) *bar du* (\**yāvajjīvam*) *rnam par rig pa ro gcig pas* (\**ekarasa-vijñaptitaḥ*) '*jug par 'gyur ro //*) I should prefer to understand *vijñapti* n o t as " i m a g e " but as "[act of] making known", or "perception/cognition". To be sure, the syntactical situation suggests to understand the passage to mean that t h e o b j e c t [of *ālayavijñāna*], being always present, continues as a uniform i m a g e as long as life lasts. But since there is, in texts like the *Yogācārabhūmi*, no shortage of loose modes of expression, it would not be impossible to understand the passage to mean that the object [of *ālayavijñāna*] is always present (i.e. retained throughout life) and that [hence *ā l a y a v i j ñ ā n a*] continues to operate as a uniform p e r c e p t i o n as long as life lasts.
1309.  $Y_t$  zi 5a2-5 ( $Y_c$  580a23ff.; Chüeh-ting 1019b13ff.; H 1979, 28 [§ c]):  
*de ltar na dmigs pa rnam pa gñis rnam par rig pa dan ... rgyu* (see n. 647) *chuñ nu'i dmigs pa rnam par rig pa...s*



*kyañ kun g'zi rnam par śes pa dmigs pas 'jug pa rnam par g'zag*  
(P: *b'zag*) *par rig par bya'o // ≈ \*iti dvividhālambanavijñā-*  
*ptito 'pi ... parīttopādānālambanavijñāptito 'pi ... ālayavi-*  
*jñānasyālambanataḥ pravṛttivyavasthānaṃ veditavyam.*<sup>1</sup>

This will naturally be taken to mean:

"Thus - so one should know - the operating of ālayavijñāna under the aspect of object is established [by characterizing ālayavijñāna] as p e r c e p t i o n o f a twofold object", etc.;

cp. even Hts., whose rendering 了別二種所緣故, etc., clearly takes *vijñapti* as an a c t i o n n o u n and *\*dvividhālabana* as its object.

1. For phraseology cp. Y 73,7-10 (Y<sub>t</sub> dzi 42b4-6; D: tshi 37b1f.).

1310. Y<sub>t</sub> zi 4b2 (see § 4.5.1 + n. 531).

1311. Cp. n. 532 and Pa.s rendering of *parikalpita-svabhāvābhini-*  
*niveśa-* as 執著邪我見, and AS 31,7-9 (see § 8.4 + n. 1227).  
Cp. also Y<sub>t</sub> 'i 26a7f. and TrBh 19,11f., referring *pari-*  
*kalpita-svabhāva* to both *dharma*s and *p u d g a l a /*  
*ā t m a n.*

1312. Y<sub>t</sub> zi 9b2 (see above: (3b)) and 9b4f. (= H 1979, 41 [§ C.2, line 4])!

1313. Y<sub>t</sub> zi 9a7 (see above: (2a)).

1314. Y<sub>t</sub> zi 9a5 (see above: (1b)): *bden pa r n a m s l a ...*

1315. But cp. n. 1323 (end).

1316. Y<sub>t</sub> zi 9a6f. (see above: (2a)).

1317. Y<sub>t</sub> zi 10b3ff. (H 1979, 44 [§§ II.2.b and d]).

1318. This is, of course, a r e l a t i v e concept admitting of degrees, and in a full treatment of methodology one would have to define it and to point out criteria.

1319. Cp. § 4.7.2 and n. 1337.

1320. Y<sub>t</sub> zi 8b1 (see n. 548).

1321. See § 4.1.2-4.

1322. Though this would seem to be implied by the reading presupposed, in (2a), by  $Y_t$ , viz. *\*pratividhya* (see n. 1239), which would hence appear less acceptable than the reading *\*pratividhya* presupposed by  $Y_c$ ; for the latter would seem to suggest that *ālayavijñāna* is perceived only after the Full Comprehension of (non-analyzed) Truth, and this may mean: in the subsequent "analytical" Comprehension of the four Noble Truths.

1323. Cp.  $Y_t$  zi 3o2b7f. ( $Y_c$  696a12-14):

"Śrāvakas and Pratyekabuddhas, after having penetrated (*pratividhya*) into True Reality (*tathatā*) by means of the first Correct Insight (*samyagjñāna*), then, by means of the [insight which is] attained subsequent (*prṣṭhalabdha*) to [this] first, [purely] supramundane (*lokottara*) insight and which [itself] is both mundane and supramundane (*laukika-lokottara*), cause their mind, on the basis of Truth analyzed [into four] (*\*vyavasth(āp)iteṣu satyeṣu*), to shrink back from the world with its three spheres (*traidhātuka*), relish the taste of its extinction (*praśama*), ... and fully attain purification from the obstacle consisting in Defilements (*kleśāvaraṇa-viśuddhi*)."

(... *ñan thos dan rañ sañs rgyas rnams kyis yan dag pa'i śes pa dan pos de bzin ñid rab tu rtogs nas / 'jig rten las 'das pa'i śes pa dan po'i rje s las 'thob pa 'jig rten pa dan 'jig rten las 'das pas rnam pa r bžag (P, D: gžag) pa 'i bden pa dag la kham gsum pa las yid skrag par byed pa dan / kham gsum pa rab tu ži ba'i ro myoñ (P: myaṅ) bar byed ciñ ... ñon moñs pa'i sgrib pa rnam par dag pa yan dag (Dom. pa yan dag) par 'thob par byed pa'o //*)

$Y_t$  'i 14a6f. ( $Y_c$  7o1a6-8); cp.  $Y_t$  'i 5b4 ( $Y_c$  697c16f.): *de la 'phags pa'i bden pa bži ni rnam par bžag pa'i bden pa yin no // de bzin ñid ni rnam par ma bžag pa'i bden pa yin no //*;  $Y_t$  zi 194b5-7 + 195a3 ( $Y_c$  653c23-26 + 654a5f.).

Cp. also  $Y_t$  zi 73a1-3 ( $Y_c$  6o5c27ff.) where insight into non-analyzed Truth (*rnam par ma* (D [zi 69b2]) *bžag pa'i bden pa*, 非安立諦) is said to comprise three steps (see  $Y_t$  zi 72b3ff.; cp. S 1983, 262f.), the first of which realizes *pudgalanairātmya* only and would thus fit Śrāvakas too, whereas the second, realizing *dharmanairātmya*, would, in Yogācāra dogmatics, probably be reserved for Bodhisattvas, although in the Yogācārabhūmi there seem to be exceptions to this rule: cp. especially  $Y_t$  zi 3o2b5f. (Bodhisattvabhūmiviniścaya), ascribing supramundane penetration into *tathatā*, which had expressly been defined as \**d h a r m a nairātmya-prabhāṇita* in the preceding line, to both Bodhisattvas and Śrāvakas /Pratyekabuddhas.

1324.  $Y_t$  zi 8b2 (see n. 548).

1325. Cp. MAVT 161,9-11.

1326.  $Y_t$  'i 15a8 ( $Y_c$  7o1b14f.): *mtshan ma med pa'i šes pa sdug bsñal la sogs pa'i šes pa'i rgyur gyur pa ñon moñs pa spon bar byed pa gañ yin pa ...*, corresponding to something like \**yad animittajñānaṃ duḥkhādiññānahetubhūtaṃ kleśān prajāhāti ...*;  $Y_t$  zi 2o2b5ff. ( $Y_c$  657a3f.): "Without [insight into] non-analyzed Truth the twofold liberation (\**vimukti*) - viz. liberation from the fetter of phenomena (*nimitta-bandhana*) and liberation from the fetter of Badness (*daṣṭhūlya-bandhana*) - would not be possible" (*rnam par ma bžag pa'i bden pa med na rnam par grol ba gñis po mtshan ma'i 'chiñ ba las rnam par grol ba dañ / gnas ñan len gyi 'chiñ ba las rnam par grol ba mi ruñ ste / ...*).

Yet, in other passages it is, on the contrary, Insight into Truth analyzed [into four] that is taught to eradicate Defilements (*kleśa*), transconceptual, supramundane insight into True Reality (*tathatā*) serving to eliminate *jñeyāvaraṇa* (BoBh<sub>D</sub> 25,22ff.;  $Y_t$  zi 3o2b5ff. [see n. 1323]).

According to  $Y_t$  zi 73b2f. ( $Y_c$  6o6a15-17), transconceptual insight [into non-analyzed Truth] expunges Defilements,

whereas the subsequent insight [into Truth analyzed into four] prevents them from arising again ( 令彼所斷更不復起 ).

1327. Cp. the idea of the gradual disappearance or melting away of the "mass of Badness" (*dauṣṭhulyakāyaḥ ... dravate* § MSA XIV.2o) or removal/dissolution/washing-out (cp. SAṬ bi 127b6) of the "basis [characterized by] Badness" (*dauṣṭhulyāśrayaṃ drāvayati*: Saṃdh VIII.15.1; MSABh ad XX.31; MSg V.4; AS 85,4; cp. H 1972, 5, etc.; 1972a, (42)f. and (46)). As for the meaning of '*dauṣṭhulyakāya*' and '*dauṣṭhulyāśraya*', see n. 133o.

1328. Cp. n. 1337.

1329. See § 7.4 + n. 1167.

133o. '*d a u ṣ ṭ h u l y a k ā y a*' probably means "mass of Badness": cp. the occasional translation of the term by *gnas nan len (gyi) t s h o g s* (instead of the more frequent *gnas nan len gyi lus*), e.g. at  $Y_t$  zi 236b3 ( $Y_c$  669a11: 施重身) or SAVBh tsi 244b5 (= rendering of the present passage MSA XIX.51!), and its interpretation as *kāya*- and *cittākarmaṇyatā* at SAVBh mi 3oob1 (ad MSA XIV.2o); cp. also MSA VI.9 *doṣa s a ṃ c a y a s y a*, commented upon by *dauṣṭhulyalakṣaṇasya* ("consisting of Badness") in the Bhāṣya. On the other hand, the term '*d a u ṣ ṭ h u l y ā ś r a y a*' is perhaps, originally, an abbreviation of '*dauṣṭhulya s a h a g a t a āśrayaḥ*' (ŚrBh 283,7), i.e. the basis-of-existence (body and mind, or even the [animated, sensitive] body alone) in so far as it is bound up with Badness and has to be freed from Badness and filled with Ease (*praśrabdhi*) (ŚrBh 432,2off.; cp. ASBh 115,28f.) or may (probably against the background of the doctrine of momentariness) even be taught to require being s u p p l a n t e d by a [new] basis-of-existence which is *praśrabdhi-sahagata* (ŚrBh 283,6ff.: see n. 3oo; cp. also n. 475). Perhaps the term '*dauṣṭhulya- k ā y a*', too, admitted of being interpreted in a similar sense (cp., per-

haps, SaṃdhVy co 239a7f. [ad Saṃdh VIII.34.5], where it is explained as *ñon moṅs pa d a ṅ b c a s p a 'i lus* which, if correct, would correspond to \**sakleśaḥ kāyaḥ*).

When Badness (*daṣṭhūlya*) had come to be associated with ālayavijñāna and ālayavijñāna was considered to be the fundamental constituent of personal existence (cp. § 3.10.3), *d a u ṣ ṭ h u l y ā ś r a y a* could come to be interpreted as *ā l a y a v i j ñ ā n a* (cp., e.g., MSgU<sub>t</sub> 314b8; ASBh 93,2of.; SAVBh mi 150a8f. [BShK 2, 111,11f.]; BBhVy 120,18f.; cp. H 1976, 61, etc.), in the sense of the "basis which consists of, or is stricken with, Badness", or, later on at least (e.g. SaṃdhVy co 205a4f. (ad Saṃdh VIII.15.1)), in the sense of the "basis o f Badness". *Daṣṭhūlya - k ā y a*, too, could come to be understood as ālayavijñāna - especially if the latter was regarded as essentially c o n s i s t i n g of the mass of Badness -, as is the case, e.g., at MSABh 169,23 (ad XIX.51) or SaṃdhVy cho 207b7 and 208a3 (ad Saṃdh X.8).

1331. Y<sub>t</sub> zi 10a4-6 (Y<sub>c</sub> 581c24-26; Chüeh-ting 1020b26-28 (see n. 1339); H 1979, 42f. [§ 5.c]); cp. SaṃdhVy co 105b8ff. and 78b3-5.

1332. Y<sub>t</sub> zi 8a4-b4 (H 1979, 37f. [§ 5.b.A]).

1333. SaṃdhVy: *goms pa*.

1334. Cp. SaṃdhVy co 78a3 and 104b6ff.

1335. SaṃdhVy co 105a6-8: *yid la byed pa źes bya ba ni khyad par gyi lam ma thob pa mthar thug par bya ba'i phyir de bźin nīd yid la byed pa ste / ... sgom pa'i lam gyi mthar thug pas bsdus pa'i dge ba'i chos sgom pa ni yid la byed pa sgom pa yin te /*; cp. 78a3.

1336. \**praveśa*: Y<sub>t</sub> zi 9a5 (*bden pa mñon par rtogs pa la ' j u g p a r b y a b a ' i p h y i r*); \**prativedha*: 9a7 (*chos kyi dbyiṅs r t o g s p a r b y e d p a na kun gźi rnam par śes pa yaṅ r t o g s p a r b y e d de*); \**bhāvanā*:

9b2 (*de b'zin n'id la dmigs pa'i šes pas kun tu bsten ciñ  
g o m s p a r b y a s p a ' i rgyus* (\*... āśevanā-  
b h ā v a n ānvayāt: see n. 1247)).

1337. To be precise, in the paragraph concerned (viz. (5.b.)C.1 in H 1979; (3b) - (3d) in § 10.1) the Transmutation (i.e. purification or substitution: cp. S 1969a, 92ff., especially 95f.) of Basis seems to be a p r o c e s s , after the completion of which ālayavijñāna can be said to be [definitively] eliminated (*prahīṇa*). This state in which the elimination of ālayavijñāna is accomplished, i.e. the state of an Arhat (as long as he is still alive), is characterized in the paragraph next but one (C.3 =  $Y_t$  zi 9b8-10a4) which connects fairly well with C.1, whereas the paragraph in between (viz. C.2 =  $Y_t$  zi 9b3-8), contrasting ālayavijñāna and *āśrayapari-vṛtti*, appears somewhat heterogeneous; the more so since the latter paragraph (C.2) seems to understand the term '*āśraya-parivṛtti*' not in the sense of a process or its completion but in the sense of an e n t i t y or reality - viz., in view of its being qualified as permanent (*nitya*:  $Y_t$  zi 9b4), the *t a t h a t ā* - which is the cause which supports (*pratiṣṭhā-hetu*) the continuance (*pravṛtti*) of the [Supramundane] Path ( $Y_t$  zi 9b6f.: see n. 570) and is thus the opponent or enemy of ālayavijñāna ( $Y_t$  zi 9b3f.<sup>1</sup>). On the other hand, if C.2 is left out of account, it would be natural to understand, in C.1, the Basis (*āśraya*) which is Transmuted to be the (animated, living) b o d y , i.e. the body furnished with or consisting of *ṣaḍāyatana*, which according to C.3 (see n. 555!) continues to subsist, after Transmutation, as a mere condition of physical life but f r e e f r o m a l l B a d n e s s (*dauṣṭhulya*, in the sense of latent wickedness). Thus, in C.1/C.3 Transmutation of Basis would seem to consist in the Transmutation of the b o d y or *ṣaḍāyatana* by gradually removing all Badness (latent wickedness) that sticks in it. And since ālayavijñāna is, at least in that part of the *Nivṛtti Portion* which

treats the cessation of ālayavijñāna, hardly anything but a hypostasis of this Badness (in the sense of latent wickedness), it is automatically eliminated (*prahīṇa*) as soon as the Basis is entirely Transmuted, i.e. as soon as all Badness is removed from the body or *śaḍāyatana*.

1. *kun gzi rnam par šes pa de'i gnas ni gñen po dañ / dgra bos bsgyur bar rig par bya'o*, which - in view of the Chinese versions which separate \**āśraya* from ālayavijñāna and take it with \**parivṛtti/parivarta* - corresponds, to my mind, to something like \**tasyālayavijñānasyāśraya-parivṛttiḥ* (or *-parivartaḥ*; Tib. wrongly \**āśrayaḥ parivartyaḥ*??) *pratipakṣataḥ śatrutaḥ* (predicative ablatives!) *veditavyā* (/ *-vyaḥ*); cp. *Y<sub>t</sub>* zi 30b7: *de* (sc. *gnas gyur pa*) *yañ kun gzi rnam par šes pa'i gñen por gyur pa* ...

1338. *Y<sub>t</sub>* zi 9a4f. (for text and transl. see § 10.1 (1a)).

1339. Chüeh-ting 1020b26f.: 入通達分故、修善思惟故 .

1340. E.g. ŚrBh 439,5ff. or 278,1ff.

1341. The inclusion of (2b) in the treatment of \**prativēdha-mānaskāra* is, to be sure, somewhat questionable. Cp. also n. 1240.

1342. *Y<sub>t</sub>* zi 9a4ff. (H 1979, 40); see (1a) (ff.) in § 10.1.

1343. *Y<sub>t</sub>* zi 8b4ff. (H 1979, 39).

1344. My interpretation of statement (b), which takes the "other mundane *kuśalamūlas*" of the text (*Y<sub>t</sub>* zi 8b6) to be the *p u ṇ y a bhāgīya-kuśalamūlas* (cp. n. 563), is substantially confirmed by the Chinese commentaries of Kuei-chi (T 1829, 178a2ff.) and Tun-lun (T 1828, 603a29ff.), especially by the interpretation ascribed, by the latter, to the Master Ching (景, cp. Yūki 1962, 256f.).

1345. Cp. *Y<sub>t</sub>* 'i 330a4 (*Y<sub>c</sub>* 846c16f.).

1346. Cp. *Y<sub>t</sub>* 'i 330a6ff. (*Y<sub>c</sub>* 846c21ff.); H 1981.

1347. *Y<sub>t</sub>* zi 9a4 (see § 10.1 (1a)).

1348. Cp. also Yūki 1935, 473.

1349. Cp. also Yūki 1935, 471.

1350.  $Y_t$  zi 8b2-4 (H 1979, 38).

1351. This does not, of course, necessarily mean: after the compilation of VinSg; cp. the similar situation in the Nirvāṇa chapter of VinSg (S 1969a, 32ff., especially 35). There are, by the way, further paragraphs in the *VinSg ālay. Treatise* which are not supported by the corresponding final résumé: § 5.b.A.3 (H 1979, 37f.;  $Y_t$  zi 8a6-b1; see n. 1303!), and much of § 4.b (H 1979, 32ff.;  $Y_t$  zi 6a5-8a3), viz. §§ A.2 and B.1-4. § A.2 (H 1979, 32 f.;  $Y_t$  zi 6b2-4) hardly fits the heading '*sahabhāvataḥ pravṛttiḥ*' (cp. also Ui 1958, 185, where the first part of A.2 [for which see also § 10.3.1.2.b] is considered to have intruded by mistake), but it still seems to be a fairly old addition because it has a parallel, in essentially the same position (i.e. between a treatment of simultaneity of *viññānas* and a treatment of simultaneous occurrence of *viññānas* with *vedanās*) in the Sacittikabhūmi-viniścaya ( $Y_t$  zi 190a2; see n. 1297). § B.1 (H 1979, 34f.;  $Y_t$  zi 7a4-7) looks like a more developed doublet of the concluding résumé (§ 4.c: H 1979, 37;  $Y_t$  zi 8a3f.), whereas §§ B.2-4 (H 1979, 35-37;  $Y_t$  zi 7a7-8a3) are clearly supplements to §§ A.1, A.2(!) and, once again, A.1, respectively. - The fact that one of the "intrusive" elements of § 4.b (viz. A.2) is also found, in substantially the same position, in the Sacittikabhūmi-viniścaya may be taken, if one-sided dependence of one text on the other proves improbable (cp. add. ad p. 82), to suggest that in this case the "intrusive" element already formed part of some earlier material which was only later subsumed under the heading '*sahabhāva*', although the material included more than a discussion of simultaneity.

1352. Cp. Hsien-yang 567b13: 是故 ... (see n. 1236).

1353.  $Y_t$  zi 8b4 (H 1979, 38).

1354. SaṃdhVy co 104b2ff.; cp. 77b8ff.



1355. Especially in view of the fact that this commentary seems to be the work of a Tibetan author (see Steinkellner, I.4).

It is very interesting to see that SaṃdhVy almost invariably omits paragraphs or even sentences or parts of sentences which appear "intrusive" from the point of view of compositional structure, e.g. (SaṃdhVy co 77a2ff.) §§ 4.b.A.2 and B.2-4 (but, curiously enough, *n o t* B.1!), for which see n. 1351. On the other hand, SaṃdhVy occasionally also omits passages which appear to belong to the "main material", as e.g. § 5.b.A.1-2 and 4 (H 1979, 37f.; Y<sub>t</sub> zi 8a5f. and b1f.), SaṃdhVy giving only the concluding résumé (§ A.5 = Y<sub>t</sub> zi 8b2-4).

1356. Enomoto 1982, 53f., especially 54,11ff.

1357. Kajiyama 1985, 336,16ff.; 339,11; 342,18f.

1358. Enomoto 1982, 50-52.

1359. Y 23o,11-13: *tasya yathā vijñānapratyayaṃ nāmarūpaṃ tathai-  
va* (Y<sub>m</sub>) *nāmarūpapratyayaṃ vijñānaṃ vyavalokayato vijñānāt  
pratyudāvṛttam* (sc. mānasaṃ: 23o,11); *tadanyeṣu tv aṅgeṣu  
na tathā pratyudāvṛttam* /

1360. Vi 124c9-11 (Vi<sub>2</sub> 98a1f.); cp. Vi 124c14-17 (Vi<sub>2</sub> 97c28f.).

1361. Or: "... [to nāmarūpa] as (-tayā) a means-for-showing that ..."?

1362. Y 23o,13f.: *tatraīkatrānyonyapratyayatvasaṃdarśanatayā tat-  
pratyudāvṛttam ity ucyate* /

1362a Cp. the use of 'saṃdarśana' in this sense (in exegetical contexts!) at AKBh 15,16, 86,9 and 139,14, and the Chin. rendering 顯示 (Y<sub>c</sub> 328a15). But 'saṃdarśana' may, of course, also mean "watching, perceiving" (cp. the Tib. rendering *mthoñ bas na* [Y<sub>t</sub> dzi 136a2] and passages like BoBh<sub>p</sub> 3,21 or 11,19), or even "becoming manifest" (cp. MSA IX.17 [Tib. *snan*]; PW s.v. *saṃdarśana* (4)). If these latter meanings are preferred, one would have to take the present

passage to mean that "the Sūtra states that the Bodhisattva's mind turned back from the [member *viññāna*] because (or: in the sense that?) he perceived mutual dependence (or: because mutual dependence became manifest to him) in this one case [only]". But even so the passage would not presuppose an express statement of mutual dependence in the wording of the Sūtra.

1363. Y 23o,14f.: *niṣṛtti-pakṣe tu nāmarūpaṃ (Y<sub>m</sub>) na paunarbhavika-sya viññānasya niṣṛtti-hetur, yena pareṇa (Y<sub>m</sub>) pratyavekṣita-vān //*

1364. See n. 114o.

1365. See n. 1139.

1366. MSgU<sub>t</sub> 259b2-7 (MSgU<sub>c</sub> 393a29ff.); Enomoto 1982, 45.

1367. See n. 1075.

1368. In view of the reference to "operating by way of a continuous sequence", one would expect "*kalāla, e t c .*". But cp. n. 1369.

1369. MSgU<sub>t</sub> 259b3f. (Enomoto 1982, 45,9-11): *'di gñis kyi rkyen rnam par śes pa gaṇ yin pa skad cig gcig nas gcig tu brgyud de gnas ñid du gyur pa, de yaṇ kun gñi rnam par śes pa las gñan ma yin no //*. However, the Chinese version (MSgU<sub>c</sub> 393b2f. [cp. Enomoto 1982, 45,19f.]): **此二皆用識為因緣。**

**識復依此剎那傳傳(v.l.)相續而轉。 識者不離阿賴耶識。**) takes the *viññāna* that cannot be anything but *ālayaviññāna* to be the condition (*pratyaya*) of *nāmarūpa* and to arise, in its turn, as a continuous flow of instants, on the basis of it (sc. *nāmarūpa*). Perhaps the original contained, as presumably in the next paragraph also (see § 12.2.2: (b) and (c)) an ambiguous compound (*\*tad-āśraya-?*) which was interpreted as a tatp. by Tib. but as a bahuv. by Chinese. Taken in the sense of the Chin. version, it looks like a *de facto* reference to, or even explanation of, the complementary Sūtra phrase '*nāmarūpa-pratyayaṃ viññānam*'. In

fact, this is precisely how it figures in MSgBh; for all versions of this text not only declare the *viññāna* that arises, without interruption, as a continuous flow of instants and cannot by anything else but *ālayaviññāna*, to be based on *nāmarūpa*,<sup>1</sup> but also explicitly introduce it as the explanation of the *nāmarūpa-pratyayaṃ viññānam*. In its structure (the fact apart, of course, that *viññāna* has come to be replaced by *ālayaviññāna*), the interpretation of MSgBh is, by the way, still reminiscent of the older tendency (see § 7.3.4.1.3) to refer '*viññāna-pratyayaṃ nāmarūpaṃ*' to the situation of Linking up (*pratisandhi*) - which would account for *rūpa* being, in this case, equated with *kalala* only - and '*nāmarūpa-pratyayaṃ viññānam*' to the situation from *pratisandhi* onward.

1. MSgBh<sub>t</sub> 160a6f.: *min dan gzugs la brten nas ... 'jug go*;  
MSgBh<sub>t</sub> [Hts.] 332b3f.: 依名色 ... 流轉; cp. also  
MSgBh<sub>c</sub> [Dharmagupta] 280a4f.; MSgBh<sub>c</sub> [Pa.] 170c4f.

1370. MSgU<sub>t</sub> 259b6f. (MSgU<sub>c</sub> 393b6ff.).

1371. MSgU<sub>t</sub> 259b5f.

1372. MSgU<sub>c</sub> 393b5f.

1373. Cp. PSVy 25a6 (Muroji p. 42,3f.) where the absence of pervading the whole *lus* appears to be adduced as a reason excluding biological appropriation of the body; cp. also KSi § 34a (Muroji p. 41,2-5); Si 20b26ff.; PSkVai 53b7: *kun g'zi rnam par ses pa ni k h o g p a* (\**kāya*, \**kalevara*: LC) *thams cad la khyab pa'o*; PSkBh 199b7f., expressly stating that what is meant by '*lus*' - which is subsequently taught to be pervaded by *ālayaviññāna* - is "[corporeal] matter furnished with the sense-faculties of vision, etc." (*lus kyic<s> mig la sogs pa'i dbaṅ po dan bcas pa'i gzugs la bya'o //*).

1374. Less probable, but cp. MSg I.21 *des* (i.e. *ālayavijñānena*) *kham* *gsum* *pa'i* *lus* (*ātmabhāva*: cp. Hts. 自體) *thams* *cad* ... *bsdus* *so* + MSgU<sub>t</sub> 251a6 *k h y a b p a ' i p h y i r* *thams* *cad* *'dzin* *par* *byed* *pa'o* ("[*ālayavijñāna*, in contrast to *pravṛttivijñānas*, is not absent in any kind of existence nor interrupted at any time as long as its *pratipakṣa* has not arisen;] due to [this] omnipresence (*\*vyāpanāt*, or the like), it comprises (or assumes?) all [existences]").
1375. Or: *\*tena kāyasya* (/ *ātmabhāvasya*) *vyāptatvāt*.
1376. See n. 1078.
1377. See n. 372.
1378. Enomoto 1982, 53, 23ff.
1379. Ibid., 53, 15ff.
1380. See n. 1139.
1381. See n. 1140.
1382. Cp. MSg<sub>L</sub> vol. II, p. 59; Enomoto 1982, 56 notes 4 and 5. To be sure, the wording of Tib. does not altogether exclude a *bahuvrīhi* interpretation, but at least in an ambiguous situation as the present one it is certainly more likely to be understood as a *tatpuruṣa*.
1383. Cp. PSkBh 199b4f.:  
 "What is called '*ātmabhāva*' is the five skandhas, consisting of the four [immaterial] skandhas = *nāman* and the skandha 'corporeal matter' (*rūpa*). Now, it is on *ālaya*-[*vijñāna*] that this *ātmabhāva* is based, it is on *ālaya*[*vijñāna*] that it rests, it is due to *ālaya*[*vijñāna*] that it arises without interruption."  
 (de la ... *min gi phuñ po bži dan* / *gzugs kyi phuñ po ste phuñ po lña la lus źes bya'o* // *lus de yañ kun gži la gnas śin kun gži la brten la kun gži'i mthus rgyun ma chad par 'byuñ ste* /)  
 VGPV 421b2: ... *kun gži'i rnam par źes pa ni chos thams*

*cad kyi rten yin pa'i phyir dbaṅ po gzugs can gyi rten yaṅ  
yin no //.*

Cp. also n. 1390.

1384. If (c) is taken as a parenthesis, (d) may be taken as a further substantiation of (b).

1385. See n. 1383.

1386. See n. 1374.

1387. See n. 1384.

1388. See n. 1373.

1389. Cp. YVy 98a3-5 (see n. 811).

1390. Cp. Y<sub>t</sub> zi 5b5f. (H 1979, 30 [§ 3.b.A.2]); see n. 358.

1391. See § 7.3.4.1.3.c.

1392. Cp. Si 20b25 and 27f.: ālayavijñāna b a s i n g i t -  
s e l f o n the material sense-faculties so as to p e r -  
v a d e them ( 遍依止有色諸根 ); PSVyT 155a2, declaring that  
*cakṣurvijñāna*, etc., do not p e r v a d e the whole body  
because they a r e b a s e d o n their respective  
sense-faculty only; KSiT 103b1f. (same idea).

1393. See n. 1369.

1394. As far as the t e x t of the g ā t h ā s is concerned,  
the part not re-edited by me is (in contrast to the text of  
the corresponding part of the commentary) largely correct.  
Yet, a few passages would seem to need revision:

PG 1c *niṣveṣṭā(h)*: read, here as well as in the comm.,  
*niṣceṣṭā(h)*, with both mss.

PG 5b *asthitānām*: Y<sub>m</sub> reads *asthirānām* (for *asthirānām*); cp.  
Y<sub>t</sub> *mi brtan* and the quotation in BCAP IX.6.

PG 5c *bhūtir yeṣām kriyāsau ca*: Both mss., here as well as  
in the commentary, read *kriyā s a i v a* (cp. Y<sub>t</sub> *de  
ñid*), as do the quotations in BCAP IX.6, Bhāmatī ad  
Brahmasūtra 2,2,20, Nyāyamakaranda (Benares 1907)

35,5, and Hetubinduṭīkāloka (Baroda 1949) 375,1. Instead of *yeṣāṃ*,  $Y_m$  has *yaiṣā* (for *yaiṣāṃ*, as in the commentary and in BCAP), but the *yeṣāṃ* of ŚrBh<sub>m</sub> is confirmed by Tib. (*gañ dag skye ba*, no demonstrative!) and by the Bhāmatī and the Nyāyamaṅgalā. Since the verse is expressly reported to stem from a Sūtra (see n. 1401), we should perhaps start from a MI \*y' *esaṃ* which was mechanically sanskritized to *yeṣāṃ*, in its turn changed, later on, for reasons of meaning and syntax, into *yaiṣāṃ*, which luckily coincides with what was meant in the original.

PG 6b *naḥ* (ŚrBh<sub>m</sub>): read *na* ( $Y_m$ ).

PG 7a *no sparśā*: read *na sparśān* (both mss.).

PG 16 *kalpyate 'nubhūtaṃ <na> ca*: the restoration is unmetrical; read, with  $Y_m$ : *kalpyate anubhūtaṃ ca* (with hiatus), which is in accordance with  $Y_c$  and, from the point of view of meaning, also with the commentary (W. 176,1f.): "Not only what has been experienced is represented by imagination, but also what has not been experienced, [i.e.] the future, is imagined" (*na ca*<sup>1</sup> *kevalam anubhūtam eva kalpyate; ananubhūtaṃ*<sup>2</sup> *cānāgataṃ*<sup>3</sup> *api*<sup>4</sup> *kalpyate*). This is essentially the same as what the verse says, viz.: "Both what has been experienced is imagined, and what has not been experienced is imagined."

1. Thus  $Y_m$  (106b4); ŚrBh<sub>m</sub> om. *ca*.

2.  $Y_m$  *anubhūtaṃ*.

3. Thus ŚrBh<sub>m</sub> and  $Y_t$  *ma 'oṇs pa*;  $Y_c$  *anāgataṃ* (i.e. *anāgataṃ*,<sup>m</sup> or *ana<va>gataṃ*?);  $Y_c^m$ : 隨種類 ("by analogy")!

4. Thus  $Y_m$ ; ŚrBh<sub>m</sub>: *avi-*.

PG 25c. *svayaṃkr̥to 'pabhogaś*: read, of course, *svayaṃkr̥topa-bhogaś*, as in the comm. (W. 176,27).

PG 42a *sarvabījasamutsādāt*: read *sarvabījasamudghātāt* (and *-samudghātēna* in the comm. [W. 177,37]) with both mss.

1395. Suguro 1982, 56ff.
1396. ŚrBh<sub>m</sub> 3A5,2ff. and 15A5,4ff.
1397. Y<sub>t</sub> dzi 237a3ff. and 241a1ff.
1398. Y<sub>c</sub> 363c13ff. and 365a19ff.
1399. Y<sub>m</sub> 1o5b2ff. and 1o7a3ff.
1400. Cp. PG 30a -*yaty*: read -*yanty*; 35c *cittam*: read -*citam*; W 175,13 *ṣaḍ* (Tib. *drug*): one expects *sapta* (thus Ch.); 175, 38 *ava*:- read *anava*- (Tib., Ch.); 176,7 *parīkṣya*:- read *parīkṣa*-; 177,5 [in my ed.: 236,1f.] -*drṣṭi*:- read -*drṣṭiḥ*; -*grāha*:- read -*grāhāt*; 177,1o [in my ed.: 236,8] *nivarta*:- read *nirvarta*-; 177,14 [in my ed.: 236,13]: see n. 1472; 177,29 [in my ed.: 24o,5] *sapta*:- one expects *ṣaḍ*- (Tib., Ch.). At W. 176,27, the scribe of ŚrBh<sub>m</sub> first wrote (copied?) *paridīpayati* but appears to have realized his mistake since the wrong -*dīpayati* is cancelled and followed by the correct -*harati*; Y<sub>m</sub> has only the wrong reading -*dīpayati*.
1401. PG 2-4 are quoted (in different order) at AKBh 466,8ff. as stemming from the Kṣudraka-āgama (cp. also AKṬU thu 128b4ff., showing that the verses are from the P ā r ā - y a ṇ a ; see Honjō 1984, 119). PG 17a-18b is probably taken from the Saṃyuktāgama (SĀ<sub>c</sub> 69a18-2o; SN III 142; cp. Ui 1958, 316). PG 34b seems to be identical with SĀ<sub>c</sub> 88c1o (see n. 1428). PG 39 is reported, by later Yogācāra sources (Si 24c 19ff.; VGPVy 381b2), to stem from a *muktakasūtra*, i.e. a Sūtra which had dropped out of, or not been included in, the literary Āgama/Nikāya collections (cp. H 1978a, 3o6 and 3o9). PG 5 is quoted at BCAP IX.6 as an utterance of the Buddha and appears to preserve traces of a MI version (see n. 1394).
1402. Cp. especially PG 31b (see below n. 1415); cp. also PG 33cd (see n. 1426) and 38 (see n. 1446).

1403. This question requires further investigation.

1404. If the commentator was not the compiler, even three layers of interpretation would have to be distinguished:

- a) the original meaning of the single verses,
- b) what they were considered to mean by the compiler,
- c) how they were understood by the commentator.

Of course, in many if not most cases the understanding of the compiler and/or commentator will coincide with the original meaning of a verse.

1405. A. Though the present verse, in view of the term *sarvabījo vipākaḥ*, does not appear to be of early origin, the expression *prapañca-(abhi)ratī* itself is almost canonical (cp. the Pāli adjectives *papañca-(abhi)rata*, °-*ratī*, °-*ārāma*).<sup>1</sup> I do not venture to be definite about the original meaning of *prapañca* in the Buddhist canon or even in these expressions, but Ñāṇananda (1971, passim, especially 21f.) may be right in understanding it, mainly, in the sense of "conceptual proliferation" or "prolific conceptualization", implying the nuances of *inadequateness* and *obstinate clinging* (cp. the association of the nomen actionis [see n. 1425(B,D)] *papañcita* with *diṭṭhi* and *upādāna*: e.g. AN IV 68f.; cp. Johansson 1979, 191; see also n. 532(b)), *restlessness* (association with *iñjita*, *phandita*: e.g. SN IV 202f.; ŚrBh 233,16f.) and *wishfulness* (*taṇhā*: see ns. 532(b) and 1425(B,D); cp. Johansson 1979, 191f.). Yet, at least in some cases it may be used in a vaguer sense including all restless worldly activity and existence.

1. Cp. also UV XXIX.38c; ŚrBh 146,15f.

B. Anyway, in later sources (cp. also S 1969a, 137ff.) including Yogācāra texts and, last but not least, the Yogācārabhūmi, *prapañca* is not only *de facto* employed in, but even expressly stated to have, both a subjective and an objective meaning (see n. 510); cp., e.g., Y<sub>m</sub> 84b2 (see ns.



532(b) and 1242), or YVy 115b4f.: "'prapañca' is used in the sense both of what is or may be the object of prolific conceptualization (etc.) (\*prapañcya) and of what is the subject (or, more probably: the subjective act) of prolific conceptualization (etc.) (\*prapañcaka or \*prapañcana(?))" (*spro bar bya ba dañ spro bar byed pas na spros pa ste*).

C. Since in the present passage and its parallels (see n.

1408) *prapañcābhirati* functions - in the light of Y 25,12-14 (see ib.) - as the main cause of the origination of a new basis-of-personal-existence, it obviously holds the position of Thirst (*trṣṇā*) leading to rebirth. It may not thus be far-fetched to argue that at least one motive for the choice of this rather elastic term was the wish to combine, into one single concept, at any rate the most important aspects of Thirst or Desire, which would have to include, besides Clinging to conceptual proliferation and the concepts created by it, Thirst for sensual pleasures (*kāma-trṣṇā*) and, above all, Thirst for existence (*bhava-trṣṇā*).

D. Actually, *prapañca* is, in Buddhist texts, occasionally explained precisely in such a way:

a) As the five "qualities which are the objects - of - desire" (*kāma-guṇa*), i.e. the five sense-objects. Cp., e.g., UVViv p. 847 (ad UV XXIX.51): *spros pa ni ... 'dod pa'i yon tan lña źes bya ste*.

b) As transmigration (*saṃsāra*) or worldly existence (*bhava*). Cp., e.g., UVViv p. 836 (ad XXIX.38): *spros pa'i gźi'i dños po ni spros pa ste / 'khor ba źes bya ba'i don to* (≈ \**prapañcādhiṣṭhānaṃ vastu* [cp. BoBh<sub>D</sub> 35,2] *prapañcaḥ; saṃsāra ity arthaḥ*); ŚrBh 17,1: *prapañce saṃsār<e>*; cp. also n. 1425(A); Y<sub>t</sub> 'i 4b8 (\**prapañca* as a synonym of \**bhava*, etc.).

c) Viewed in a concrete way, worldly existence (*bhava*) is the personality (*satkāya*) or its constituents (*skandha*), or the "basis-of-personal-existence" (*ātmabhāva*: AKBh 279,11f.), which is likewise equated with *prapañca*. Cp., e.g., Y 26,18: *prapañca* besides *satkāya* (cp. also *Y<sub>t</sub>* 'i 4b8) in a list of synonyms of [the basis-of-personal-existence (*ātmabhāva*, *āśraya*) in so far as it is] the Seed [of what emerges during a given existence as well as of future existences] (cp. §§ 3.11.4.2 and 7.1B.2.1.3.b); cp. also n. 532(b): *prapañca* denoting (also) the defiled *skandhas*.

In the sense of "basis-of-personal-existence" (as that which one's wishful conceptual proliferations are particularly concerned with?), *prapañca* would seem to come quite close to the notion of *sarvabījo vipākaḥ*, which may be taken to mean the basis-of-personal-existence in the sense of the Six Senses (*ṣaḍ-āyatana*) which are the result-of-Maturation (*vipāka*) and contain all Seeds (see § 3.11.3).

E. In the first parts of the *Basic Section* of the *Yogācārabhūmi*, where *prapañca-(abhi)raṭi* is several times said or indicated to be responsible for the origination of a new basis-of-personal-existence or *vipāka* containing all Seeds (see n. 1408), rebirth is, in fact, taught to be preceded by the occurrence of several forms of desire, viz.

α) as occurring before death: attachment to oneself (or to what one considers to be one's Self, i.e. to one's basis-of-personal-existence) (*ātmasneha*, Y 18,1f.), which in view of imminent death entails welcoming or attachment to or desiring [a new basis of] personal existence (*ātmabhāvābhinandanā*, *ātmabhāva-sneha*, *ātmabhāvābhilāṣa*: see § 3.3.2.1 + n. 264);

β) as occurring in the intermediate state: desire for the diversity of [sense-]objects (or: for [sense-]objects as [part of] what one's thoughts and wishes are about [cp. ASBh

39,7f.: *smaraṇasaṃkalpāṇi atītān viṣayān prapañcayati*?) (*vi-ṣaya-prapañcābhilāṣa*: Y 19,7f.).

In view of the connotations of *prapañca* noted above, it would therefore appear reasonable to understand *prapañca-(abhi)ratī* as the general term covering both forms of desire or attachment (including their former habitual occurrences: Y 18,1; 18,21), viz. delight in, or desire for a new, basis-of-personal-existence, and delight in or desire for sense-objects.

F. This would also seem to be supported by Y 199,1-3 where we read that Thirst with regard to oneself and to [what is] outside (*adhyātma-bahirdhā-tṛṣṇā*) is present as an assisting factor when the *viññāna* [of the previous existence] engenders its effect, viz. a new (basis of) personal existence (*ātmabhāva*). This twofold attachment (*sneha*) to oneself and to external [objects] is, in the context of describing the causes of rebirth, also mentioned in PG 32.

G. Fortunately, the interpretation proposed for *prapañcābhirati* receives additional support from the explanation of a canonical verse which is quoted in another part of the Cintāmayī Bhūmiḥ of the *Basic Section* and in which the expression *prapañcābhirata* occurs (viz. UV XXIX.38, quoted at Y<sub>m</sub> 131b1f. = Y<sub>t</sub> dzi 293b1f.). In commenting upon this verse the Yogācārabhūmi (Y<sub>m</sub> 131b2ff.) says that there are living beings which, desiring excellence for [their] objects-of-desire (*kāma vaiśeṣikatām prārthayamānāḥ*), resort to striving for objects-of-desire (*kāmaparyeṣaṇām āpadyante*), that there are, furthermore, beings which, desiring excellence for [their] (basis-of-)personal-existence (*ātmabhāva*), strive for [better] existence (*bhava*), and that there are, finally, beings which, desirous of release (*mokṣa*), resort to religious practice (*brahmacarya*), some of them, however, to a wrong one. It is these kinds of beings (the first two of which do not, by the way, seem to be

regarded as different groups in the following part of the text) which are taught to be *p r a p a ñ c â b h i r a t a* by way of searching for objects-of-desire (*kāma*), [better] existence (*bhava*), and wrong religious practices. Thus, *prapañca* in the expression *prapañcābhirata* is, in this explanation, understood to include, apart from wrong religious practices (or the concepts they are based on?), the objects-of-desire (*kāma*, i.e. *kāmaguṇa*) and the (basic constituents of) personal existence (*bhava*, i.e. *ātmabhāva*).

H. Several explanations of *prapañca-rati* are offered by YVy

88b7ff. (ad Y 4,12, for which see n. 1408). Among these explanations, too, there is one which, expressly referring to the quasi-synonymity of *prapañca* and *satkāya* at Y 26,18, takes *prapañca* to mean the [ f i v e ] s k a n d h a s belonging to a person (*ādhyātmika*) (YVy 89a1f.: *spros pa 'zes bya ba nañ gi phuñ po rnams la bya ste*), and explains *prapañcarati* as "affection for oneself" (*ātmasneha*, i.e. affection for these five skandhas which one regards as one's Self). This attachment to oneself is regarded as the cause of the [Result-of-]Maturation [containing all Seeds] (*(sarva-bījo) vipākāḥ*), either indirectly by way of stimulating karman, or directly (*mñon sum, \*sākṣāt*) on account of its actual occurrence when one is [about to be] reborn (*skye ba'i tshe*), i.e. - in the sense of Y 18,1f. (see E) expressly quoted by the text - immediately before death. This interpretation of *prapañca-rati*, though not confirming the aspect of "delight in sense-objects", at least corroborates, in substance, the aspect of "delight in (the basis of) personal existence", which is anyway the primary one.

I. In another explanation YVy (89a8ff.) tries to trace back the origination of the [Result-of-]Maturation containing all Seeds to the f u n d a m e n t a l wrong attitude by interpreting *prapañca* as the notion of Ego (*ñar 'dzin pa*,

*aṃkāra*), quoting, in evidence of such a use of *prapañca*, the commentary on PG 33: *tenopaiti prapañcitam - bhaviṣyāmi na bhaviṣyāmīti*. But as far as I can see, such an interpretation is not corroborated by the pertinent parts of the *Yogācārabhūmi*. This would seem to hold good also for the remaining explanations which need not hence be sketched here.

1406. In the sense of the entity resulting from the process of Maturation.

1407. See § 3.11.3.

1408. Cp. Y 25,12ff.: *tasyāṃ punaḥ sarvabījakāyām ātmabhāvābhinirvṛttau śubhāśubhakarmaheturve 'pi sati prādhānyena (Y<sub>m</sub>) prapañcābhiratir eva kāraṇaṃ draṣṭavyaṃ / kula-bala-rūpāyurbhogādīkasya tu phalasya prādhānyena śubhāśubhaṃ karma kāraṇaṃ*, suggesting that in our verse the causes *prapañcābhirati* and *śubhāśubhaṃ karma* should be connected, at least primarily, with *sarvabījako vipākaḥ* and *iṣṭāniṣṭam*, respectively. Cp. also Y 4,11f.: *pūrvakaṃ prapañcaratihetum upādāya yaḥ sarvabījako vipāko nirvṛttaḥ*; 17,2f.; 18,21f.; Hsien-yang 580a19-21: "For three reasons, all diversificatory modes [of cognition?] (*\*praprapaṇcākāra*) make mind (*citta*) proceed [in *samsāra*] (*\*pravṛtti*): 1. On account of their being indulged in (*\*āsevanataḥ?*); ... i.e.: because [diversificatory modes] have [previously] been indulged in, [afterwards, viz.] at the time of receiving [the Result-of-]Maturation (*vipāka*), [one, or: mind?] takes possession (*\*pari-grah-*?) of a [new] basis [-of-personal-existence] (*\*āśraya*)" (... 一切戲論行相、三因緣故、令心流轉。一、由親近故。…謂、得報時、執持所依。).

1409. The particle *hi* may not have any other but a metrical function here. Or it may stress the (self-)evidence of the matter: "It is, of course, the [Result-of-]Maturation ... that comes to be looked upon as Self."

1410. Cp. § 3.11.3. - The comm. (q.v.) gives a more artificial explanation (cp. also n. 1459). For a possible variety of meanings of *rūpin* see AS 17,8ff. (ASBh 22,4ff.).

1411. The usual Abhidharma explanation is "invisible" (cp., e.g., AS 17,16ff.), which in the present passage may mean: not accessible to sense-perception (see § 3.11.3). But the comm. (q.v.) prefers "incommunicable"; cp. CPD s.v. *anidassana*.
1412. "... *ca* ..., ... *tathā* ... *ca* ...". Following the comm., one may, however, assume, in the first line, emphasis on *bālā ajānakāḥ*, which would make this line convey information going beyond 29ab and thus impart, to the *ca* of the first line, an independent meaning: "And [it is only(?)] the ignorant f o o l s [who] imagine ...; and on the basis ...". Yet, occasional repetitiveness may also be due to the fact that the verses are obviously compiled from different sources (see p. 223f.); cp. also n. 1426(C.d).
1413. Cp., e.g., BoBh<sub>W</sub> 51,9f. (BoBh<sub>D</sub> 35,6: text unsatisfactory): *satkāyadr̥ṣṭiṃ ... tadanya-sarva-dr̥ṣṭi-mūlaṃ* ...; Si 31c13f.
1414. I.e. taking an aggregate of many factors, e.g. the body (cp. AKVy 47,25f.) or the basis-of-personal-existence (*ātmabhāva*, cp. AKVy 47,22f.), as a solid unit. Cp. also BoBhVy 87a7): "*piṇḍagrāhavikalpa* is the *vikalpa* which apprehends as a [homogeneous] unit what is [in reality] many" (*mañ po geig nīd du 'dzin pa'i rnam par rtog pa ni ril por 'dzin pa'i rnam par rtog pa'o* //). For *piṇḍagrāha* as a basis of the view of Self see AKVy 47,19f.: ... *piṇḍato gr̥hītṛvā tān evātmato gr̥hṇanti, piṇḍagrāhe saty ātmagrāhapravṛtteḥ*. AKBh 281,20 (AKVy 45o,1o), when indicating the presuppositions of *ātma-grāha*, supplements the "notion of 'a unit'" (*piṇḍasaṃjñā*) by the "notion of 'permanent'" (*nitya-saṃjñā*) - a notion which may perhaps be regarded as i m p l i e d by *piṇḍagrāha* in the present passage. Cp. also Śālistambasūtra 1o3,17 (*piṇḍa-saṃjñā* between *ekasaṃjñā* and *nityasaṃjñā*!).
1415. The comm. has "incorrect reflection" instead, but in view of the parallels (see n. 1416) pointing to "associating with bad people" as one of the causes of the false view of Self, this is definitely n o t what the v e r s e means; the

comm. rather substitutes, for "bad companions", another cause which is, it too, corroborated by the parallels but not mentioned by our verse.

1416. Cp. Y 162,11f.: *tatra satkāyadṛṣṭiḥ katamā? asatpuruṣasaṃsevām* (Y<sub>m</sub>) *āgamyāsaddharmaśravaṇam ayoniśomanaskāraṃ ...*; 164,3ff.: *āśrayato 'pi kleśa utpadyate, ālambanato 'pi, saṃsargato 'pi, deśanato 'pi, abhyāsato 'pi, manasikārato 'pi / tatrāśraya<ta>ḥ katamaḥ* (Y<sub>m</sub>; sc. *utpadyate*)? *yo 'nuśayād* (≈ *svabījāt!*) *utpadyate / tatrālambanato: yaḥ kleśasthānīye* (cp. n. 54o) *viśaye ābhāsagate* (Y<sub>m</sub>; *utpadyate* has, of course, to be supplied in all the sentences) */ tatra saṃsargato: yo 'satpuruṣāṇām anuśikṣamāṇasya / tatra deśanato: yo 'saddharmaśravaṇataḥ / tatrābhyāsato: yaḥ pūrvasaṃstava-bal<ādhā>nataḥ* (supported by Tib. *stobs bskyed pa*) */ tatra manasikārato: yo 'yoniśo manasikurvata utpadyate /*.
1417. Cp. AKBh 287,4f. (see n. 962).
1418. Cp. Y 199,1: *adhyātma-bahirdhā-tṛṣṇā* (see n. 14o5(F)); cp. also BoBh<sub>D</sub> 224,9f., implying that the idea of Self (*ātmasaṃjñā*) is the presupposition of attachment to oneself (*ātmasneha*) and to means of subsistence (*upakaraṇa-sneha*). Cp. also Vetter 1984, 42f. and 113.
1419. In case the reading *-lāṣaś ca* is preferred: "and eagerness to benefit [oneself involving] ...".
- 142o. *Samharati* ("to collect; to lay hold of, attract, appropriate"; cp. Ch. 攝受, also used for *parigraha* and *samādāna* [MAV-I p. 2o4]) is, as far as I can judge, not a very precise term. Primarily, it would seem to mean, in the present passage, that people, due to delusion and against their intention, attract precisely that which they are afraid of, viz. Suffering (cp. Ud III.1o [see n. 1428]); cp. the expression *duḥkhopasaṃhāra* ("inflicting pain") at BoBh<sub>D</sub> 132,4f. This may refer to this life as well as to the next one, and to acute pain or grief as well as to

Suffering in the ultimate, ontological sense (§4.1.1). Yet, if the latter is, as the following lines suggest, understood concretely, especially as the basis-of-personal-existence (*ātmabhāva*, cp. § 4.1.2) – the comm. even takes it as *ālayavijñāna* (cp. § 4.1.4) –, then "laying hold" (*saṃharati*), out of delusion, of what one is afraid of because it is in reality Suffering may be taken to include the nuance of spiritually evil Clinging to the *ātmabhāva* as to one's Self (and perhaps also to objects of sensuality as to one's possessions); and additionally, or alternatively, *saṃharati* may intend the nuance of accumulating (cp. *ācinoti* in PG 35) or producing (cp. Tib. *sgrub par byed pa*) a new basis-of-personal-existence in the next life. It is in the latter sense that the line is probably understood by the comm. where it is comprised in the verses elucidating Suffering [as the result of the causes of rebirth (*samudaya*)]. From the point of view of the original purport of the verses, however, the former nuance (viz. Clinging) may have been central (see n. 1426(C.c)).

1421. *Mohāt* may be used here in a fairly vague sense: "on account of their wrong orientation, their wrong attitudes". If, however, "what people are afraid of", viz. Suffering, is taken to mean, concretely, (the basis of) personal existence (and perhaps also objects of sensuality), *moha* will more specifically mean delusion or ignorance with regard to the true nature of the latter, i.e. to its (or their) being Suffering or unsatisfactory (*duḥkha*; cp. n. 1438). It may even be supposed to include the aspect of wrong notions (*viparyāsa*) like taking as pleasant what is unsatisfactory or taking as Self what is not Self, thus coming close to, or even including, the false view of Self (*satkāya-* or *ātma-dṛṣṭi*).

To be sure, the Yogācāras, like the Sarvāstivādins (cp. Vetter 1984, 25 and 113), usually distinguish clearly between *avidyā /moha/ajñāna* and *satkāyadṛṣṭi/ātmadṛṣṭi* (cp.,



e.g., Y 162,11ff.<sup>1</sup> + 163,19f.<sup>2</sup>; Y<sub>t</sub> zi 112b6ff. + 114b5ff. (≡ Y<sub>c</sub> 621b6ff. + 622a4ff.); Y 166,16ff.<sup>3</sup>; AS 7,5f.+8f.; PSK<sub>p</sub> § 19.4 + § 19.5(1°a)). But the Paramārthagāthās do not seem to be much concerned with such Abhidharmic distinctions. At the same time, they lay considerable stress on the false view of Self (PG 29-31; cp. 24) or the notion of Ego (PG 35; cp. 38) and would thus seem to have, to a certain extent, affinities to a tradition like that of the Śālistambasūtra (represented, later on, by Dharmakīrti) to which the (innate) false view of Self is the fundamental Defilement and not distinct from *avidyā* (Vetter 1984, 22ff.; 42f.; 112f.). Cp. also BoBh<sub>p</sub> 167,5f. (BoBh<sub>w</sub> 243,1of.): ... *ātmabhāve aham iti vā mameti vā saṃmūḍhaḥ* ...; Y 212,18f.: *purvam ātmabhāve ātmagrāhādibhiḥ saṃmūḍhaḥ*.

Besides, even in Yogācāra materials particularly concerned with the analysis of the concepts of *avidyā*, etc., one can find forms of ignorance or delusion which include or are at least connected with (and are so to speak the formally negative aspect of) the notions of Self (*ātman*) and of one's own (*ātmiya*): Cp., e.g., Y 204,10-13 (*adhyātmam ajñānaṃ katamat / pratyātmikān saṃskārān ayoniśa ā t - m a t o* (Y<sub>m</sub>) *manasikurvato yad ajñānam / bahirdhājñānaṃ katamat / bāhyān asattvasaṃkhyātān* (Ch., Tib., and prob. Y<sub>m</sub>) *saṃskārān ātmīyato 'yoniśo manasikurvato yad ajñānaṃ /*), or Y<sub>t</sub> zi 156b2-4 ≡ Y<sub>c</sub> 637b21ff. (\**pratyakṣa-saṃmoha* comprising the notions of 'permanent', 'pleasant' and 'Self' (*ātmasaṃ-jñā*) with regard to what is manifestly impermanent, unsatisfactory and not Self). Cp. also YVy 89a8f. (*nañ gi rnam par smin pa'i 'bras bu rmoṅs pa'i tha sñad kyi gzi ste nar 'dzin pa'o* ≈ \*"*adhyātmam vipākaphalasamṃmoha*"<sup>4</sup>-*vyavahārāspadam ahaṃkāraḥ*, i.e. "the notion of Ego which is what is designated as ...") and 89b4 (... *nar 'dzin pa... des ni ma rig pa bstan to*).

In the present context, it is particularly interesting that in the Abhidharmasamuccayabhāṣya (55,14f.; cp. also n.

1064) Thirst (*trṣṇā*) for (or attachment to?) *ālaya-vijñāna* as the representative of *saṃskāra-duḥkhatā* (with which what is "taken hold of" according to the present verse, viz. Suffering, is expressly identified in the comm. [on PG 33-34]) is taught to arise through delusion with reference to (the true nature of what one regards as) Self<sup>5</sup> (or even: delusion [mistaking for] Self [what is not Self]) (*ātma-saṃmoha-mukhena*).

1. Cp. n. 930.

2. *avidyā katamā / asatpuruṣasaṃsevām āgamyā asaddharmaśravaṇam ayoniśomanaskāraṃ naisargikaṃ vā* (Y) *smṛtisampramoṣaṃ yaj jñeye vastuni ... kliṣṭam ajñānam* /

3. See n. 963.

4. Cp. Y 200,10f.; cp. also 204,10f.; ŚrBh 384,11 (*dvividhe phale sammoha<ḥ>*, one of the two *phalas* being *ātmabhāva-phala*).

5. Cp. PSkBh 202b8: "Not to comprehend *ālaya*[*vijñāna*] as not being Self (Tib.: as being without Self) [although in fact it is] not Self, is called '*ātmamoha*'" (*kun gzi bdag med pa la bdag med par khoṅ du ma chud pa ni bdag tu gti mug pa zes bya'o* //).

1422. A. In classical Skt., *niveśana* (on which cp. also Suguro 1982, 60f.) is used both as an action noun - in the sense of "entering" or "settling" and also of "causing to enter, or settle" - and as a locus noun (designating the "place where one enters or settles", "hiding or dwelling-place"). On the other hand, in Buddhist canonical verse texts (especially in the Suttanipāta), *niveśa(nā)*, like the verb *ni-viś-* and its participle *nivīṭṭha*, is also used in the sense of *abhiniveśa*, i.e. both intellectual and emotional attachment (e.g. Sn 1055 and, perhaps, 470<sup>1</sup>). Occasionally (especially when used in the pl.?) it seems to denote the objects of such attachment, especially wrong views (e.g. Sn 785) and objects of desire or attachment (Sn 210), thus showing the same ambivalence of "subjective" and "objective" use as many other semantically related words (see n. 201).

1. It may, however, be difficult to exclude, for this passage, an interpretation of *nivešana* in the "objective" sense, i.e. as "the [main] object of Clinging", viz. Self, or the skandhas in so far as they are taken to be Self.

B. a) The expression *niveśanaṃ kṛ-* is, besides *niveśaṃ kṛ-*, documented in epic Skt. in the sense of "sich niederlassen, ein Lager beziehen" (PW), i.e. "to settle, encamp" (MW), especially in a military context. PW, tentatively aligning *niveśanaṃ kṛ-* with *nivešana* as an action noun, seems to take it as a periphrastic verb, but adds that *nivešana* may just as well be understood to designate the camp itself, which would mean that the expression is an ordinary combination of object (= effect) + verb. If *niveśanaṃ kṛ-* is understood as a periphrastic verb, one should, in view of the ambiguity of the action noun *nivešana*, also consider as possible that the periphrastic verb, too, is ambiguous and may be used not only in the sense of "taking up one's residence", "settling down (somewhere)", but also in the sense of "causing to settle" "settling (someone or something)".

b) In the case of the semantically related expressions *upadhiṃ karoti* (Sn 1051: see n. 1426(C.b)) and (*ātma-bhāva-*)*parigrahaṃ kṛ-* (see n. 1477(D.b)), the action noun alternative would usually seem preferable if not imperative. But I have not so far come across a sentence where any of these expressions is unequivocally used as a unified transitive verb by being construed with an additional accusative of the object (cp. v. Hinüber 1968, 71ff.; Renou 1975, 495), or, in a passive construction, with a subject in the nominative while preserving the action noun in the accusative. The latter construction would, however, have to be presupposed in PG 34a if *niveśanaṃ kṛ-* were, in the present text, consistently regarded as a unified verbal expression. Yet, actually PG 34a is syntactically ambiguous since *niveśanam* may just as well be taken as a part of the subject or, more

probably, as a predicative complement of *kṛtam*. The question is, however, not essential to my argument as long as *niveśana* is understood in an intransitive or transitive meaning, because it does not make much difference whether one settles somewhere or enters something or sticks to it, or makes something one's abode or object-of-sticking-to. In this connection, the afore-mentioned syntactical alternative may therefore be disregarded. But it would seem to become crucial if we were to take *niveśana* in a causative sense; for in that case there would be, in PG 34a, hardly any reasonable alternative to taking *niveśanaṃ kṛ-* as a unified verbal expression. However, at least as far as the original purport of the verses is concerned, an interpretation of *niveśana* in a causative sense is, in view of the parallel materials (see ns. 1426(B-C) and 1428), highly improbable.

C. As far as the meaning of the expression *niveśanaṃ kṛ-* in the present text is concerned, we are thus left with two main alternatives:

- [1] to make an abode, or to make something one's abode, settle (down) in it, stick to it in an "existential" sense;
- [2] to adhere or be attached or cling to something, i.e. to stick to it in a spiritually evil sense.

D. In the comm., *niveśana* is said to mean *ātmā-bhāva-parigraha*, i.e. taking possession of a new (basis of) personal existence (cp. n. 1477). This interpretation is in accordance with the pertinent introductory remark of the comm., viz. *yathā samudayānupūrvyā duḥkhaṃ nirvartayati*, which can only refer to rebirth. Thus, the comm. unambiguously supports alternative [1].

E. Similarly, Tib. (Y<sub>t</sub> dzi 237a6f.) renders *niveśanaṃ kṛtvā* in PG 33c by *gnas byas nas*, and *yat tan niveśanaṃ kṛtam*

in 34a by *gnas byas gañ yin*. Besides, the metrical *gnas byas nas* appears, in the translation of the corresponding passages of the comm. ( $Y_t$  dzi 241b5), as *gnas p a r byas (nas)*, which, from the grammatical point of view, supports a univocal interpretation of *niveśanam kṛ-*; for *gnas par byas nas* should hardly mean "having made [it] one's abode" (which would be *gnas s u byas nas*) but "having (actively) settled (down)", or even "having caused [it] to settle (down)", for the pronoun *tad* in the sentence *tad dhi(?) niveśanam kṛtvā* is rendered by *d e*, a fact which, as far as I can judge, would normally mean that it is the o b - j e c t of *gnas par byas*, and not its subject, which in the case of an active verb should be in the ergative (*de<sub>s</sub>*).

F. The Chin. version, on the other hand, appears to favour alternative [2], i.e. spiritually evil sticking, since it translates *niveśanam kṛtvā* in 33c by 起愛藏已 ( $Y_c$  363c24; cp. 365b11f.), viz. "after having produced affection-and-storing/hiding (i.e. emotional appropriation?)". From the grammatical point of view, this rendering, too, would seem to support the interpretation of *niveśana* as an action noun. The more so since *tad dhi(?) niveśanam kṛtvā* in the comm. is rendered by an active verbal expression with the demonstrative pronoun as its object ( $Y_c$  365b11: 愛藏此已 "having affectionately appropriated it"), and *yat tan niveśanam kṛtam* in PG 34a by a passive verbal expression ( $Y_c$  363c25: 彼所愛藏者 "that which<sup>1</sup> is affectionately appropriated") - renderings which even suggest a univocal conception.

1. Or: "what is ... appropriated by those [fools]".

1423. I.e. either "at first" or "previously, formerly".

1424. Viz. foolish people (*lokaḥ*).

1425. A. I have not noted any occurrence of *prapañc(it)a* with *upaṭti* in Buddhist texts (no item in PTC, nor in CPD s.v. *upeti*), but K. R. Norman (1969, p. 204) quotes an

instance from canonical Jaina literature, viz. *Sūyagaḍaṃga* I.7.30 *ñidhūya kammaṃ ña pavaṃc' uveī*, where *prapañca* is explained by Śīlāṅka to mean *saṃsāra*. Provided that *prapañcita* is, in the present passage, simply a metrically suitable equivalent of *prapañca*, Śīlāṅka's explanation would suggest interpreting *upaiti prapañcitam* as "they (sc. *lokaḥ*) [again] fall into wordly existence" (cp. the expression *gabbhaseyyaṃ upeti*), which of course involves Suffering.

B. Even if this solution is accepted, *prapañcita* in the present passage, as well as *pavaṃca* in the *Sūyagaḍa* parallel, need not necessarily mean "diversified world". To be sure, *prapañca* does occur in this sense (cp., e.g., S 1969a, 141; Pr 538,5 (*prapañcānām*  $\equiv$  *nimittānām*); cp. also *viṣaya-prapañca* in n. 1405(E)). But I doubt that Norman (loc. cit.) is right in deriving, from Śīlāṅka's explanation,<sup>1</sup> the view that *p(r)apañca* has a l w a y s to be understood in the "objective" sense of the "diversified world" o n l y, and that the Pāli commentaries, which usually take the word to mean the proliferation of desires (*taṇhā*), conceits (*māna*), and [false] views (*diṭṭhi*), "are confusing what causes *papañca* with *papañca* itself". In my opinion - as I have already stated in n. 1405(B) - *p(r)apañca* is, in Buddhist texts at least, rather another instance of the group of words admitting of being used both in an objective and a subjective sense. As for Śīlāṅka's explanation of *prapañca* as *saṃsāra* (which is, occasionally, found in Buddhist sources, too: see n. 1405(D.b)), I for one should, even if the borderline may not always be quite clear, prefer to d i s t i n g u i s h the notions of *saṃsāra* and *bhava* from that of the "diversified world".

The notion of "diversified world" does to be sure involve an "objective" interpretation of *prapañca*. It would seem that *saṃsāra*, too, may, occasionally, be understood in a concrete sense as the world where "transmigration" takes place, or even as the constituents of this world, and that

in this case *prapañca*, denoting *saṃsāra*, would also have to be understood in the sense of "diversified world" (cp. the explanation of UVViv quoted in n. 1405(D.b)). But usually *saṃsāra* ("wandering around", "incurring one rebirth after another") is rather a state in which living beings are and (usually) want to remain. From this point of view, *saṃsāra* will be called '*prapañca*' rather in the sense of what is the object of wishful conceptual activity. This will also hold good for *bhava* ("existence, rebirth"), even if it is understood in the concrete sense of the (or: a new) basis-of-personal-existence (*ātmabhāva*: cp. n. 1405(D.c)).

On the other hand, at least *saṃsāra* may equally well be called '*prapañca*' in a "subjective" sense in so far as it is a kind of "existential" movement or state of turbulence of living beings. This would be relevant to the present passage since it uses not *prapañca* but *prapañcita* (neutr.). For although it would, from the point of view of grammatical form, be unobjectionable to take *prapañcita* in an "objective" sense, i.e. as the result or object of diversificatory or wishful conceptual activity, the word is, at least as far as I can see, generally used as an action noun (cp. Pāṇ. 3,3,114 and Wackernagel II,2, § 436c), as is indicated by its frequent association with words like *injita* (see CPD s.v.!), *phandita/spandita* and *trṣṇāgata* or *trṣṇāvicarita*<sup>2</sup>, which hardly admit of a different explanation.

1. And from Sn 874 and AN II 161f., which, however, would seem to admit of a different interpretation (cp. Nānānanda 1971, 1ff.; but for *saṃjñā* see S 1981, 214f. note 51).
2. Cp., e.g., AN IV 68f.; SN IV 202f.; ŚrBh 233,17; Y. 128b2 (see n. 532(b)); Suvikrāntavikrāmiparipṛcchā, ed.<sup>m</sup> Hikata, p. 81.

C. In view of this "subjective" meaning of *prapañcita* (and the predominance of this aspect in the early Buddhist use of *prapañca*, too), one may even go one step further.

Even if Śīlāṅka's understanding of the purport of the above-quoted Sūyagaḍa line as a whole is correct, still he need not have been aware of the original literal meaning of the expression *ṇa pavaṃc' uvei*. From the Buddhist perspective, at least, one might, in view of the close relation between *p(r)apañca* and *saṅkh(y)ā* (Ñāṇananda 1971, 1ff.), consider the possibility that *ṇa pavaṃc' uvei* might be a quasi-synonym of the well-documented Buddhist expression *saṅkhaṃ nōpeti* or *na upeti saṅkhaṃ*, which means "cannot be defined", with the implication "is not reborn" (CPD s.v. *upeti*, 2.c.λ.8). If this is correct, Śīlāṅka would indeed have correctly interpreted the purport of the line as a whole but not the literal meaning of *pavaṃca/prapañca*, which would, in this context, have to be taken as the (subjective) act of conceptual or even verbal differentiation and determination (or, at the utmost, the concept(s) or denomination(s) resulting from that act). If this is correct, in PG 33cd, too, *upaiti prapañcītaṃ* would mean: "They (i.e. [foolish] people, *lokaḥ*) come to be (conceptually or verbally) defined (as belonging to such and such a class of beings)", practically implying, or perhaps even meaning, not much more than: "they are reborn".

D. The "subjective" meaning of *prapañcīta* is also supported by the comm., but since the commentator had already referred Pāda 33c to taking possession of a new existence, he had to concretize the diversificatory conceptual activity expressed by *prapañcīta* in a quite different way: In contrast to what was proposed in C, viz. to understand *prapañcīta* as the conceptual activity of somebody who is not identical with the grammatical subject of *upaiti*, the comm., explaining it as ideas like "I shall be", "I shall not be" (*bhaviṣyāmi na bhaviṣyāmti evam-ādi*), takes it as the conceptual activity of this very subject. According to this interpretation, *upaiti* is, as is confirmed by the Tib. ren-



dering *khas len to*, used in the sense of accepting (an opinion) (cp. CPD s.v. *upeti* 2; Sn 897 ≡ BoBh<sub>p</sub> 33,1of.), of resorting to or indulging in idle conceptual proliferations and wishful thinking. Such indulging in conceptual proliferation (*papañcita*) including the ideas "I shall be" and "I shall not be" is described in SN 35.2o7 (IV 2o3; SĀ<sub>c</sub> No. 1168 [312a8ff.+16]). In this Sūtra, these ideas appear to be idle speculative activities, which also include an aspect of (unsatisfied, disquieting<sup>1</sup>) d e s i r e (cp. the designation of a similar set of concepts as *tr̥ṣṇā-vicaritāni*<sup>2</sup>). As such, they are conducive to rebirth.<sup>3</sup> For this reason, as also on account of their turbulent, disquieting nature, they involve Suffering<sup>4</sup> and are, accordingly, expressly taught to be "a disease (*roga*<sup>5</sup>, not *rāga* as in PTS ed.), an ulcer, a dart".

1. For the aspect of a n x i e t y cp. also Sn 774 (see n. 1426(B)).
2. AN II 212 ≈ SĀ<sub>c</sub> No. 984, quoted at AKBh 4o1,1ff. (AKVy 628,13ff.; 22o,14ff.; AKṬU tu 1o9b3ff.); SHT V, Kat.-Nr. 1o31 (see Enomoto in: BK 15/1985, 81f.); Y<sub>t</sub> 'i 32ob1ff. ≡ Y<sub>c</sub> 842b27ff.; ŚA 656a29ff.); Vibh 392ff.
3. Cp. SN IV 2o1 (*sace so ... āyati-punabbhavāya ceteti*); AKBh 4oo,23f.+17ff.; AKṬU tu 1o9b5f.: ... 'gro ba dañ 'oñ bar gyur ciñ 'jig rten 'di nas 'jig rten pha rol tu ñiñ mtshams sbyor bar byed la (text: pa; but cp. end of line 6) 'khor bar 'khor žiñ 'jug par byed pa ... (cp. SĀ 256a21f.; AN II 212: *apāyaṃ duggatīṃ vinipātāṃ saṃsā<sub>c</sub>raṃ nātivattati*).
4. Cp. SĀ<sub>c</sub> 312a14: 正觀察動搖故苦者 (but 動搖故苦 in the preceding<sub>c</sub> phrase; although the argument would seem to imply that both phrases should be congruent, the discrepancy is also found in the Korean ed., the Chung-hua ta-tsang-ching and the Dainihon kōtei daizōkyō, which were kindly collated for me by Mr. Y. Sueki).
5. Cp. SĀ<sub>c</sub> 312a13.

E. To sum up: If we concentrate on the p u r p o r t of the expression and disregard the problems concerning its literal meaning discussed in A-C, there would seem to be two possibilities of interpreting *upaiti prapañcitam*:

- [a] to incur rebirth (involving Suffering);
- [b] to indulge in [idle and at the same time wishful and/or disquieting] conceptual proliferation.

1426. On the basis of the preceding investigation of the semantic possibilities of *niveśanaṃ kṛ-* and *upaiti prapañcitam*, it would seem that the line PG 33cd admits of three essentially different (though not necessarily mutually exclusive) interpretations:

- [I] "After having first made an abode or settled down [in a new (basis of) personal existence], they [then] come, due to this, to indulge in conceptual proliferation." (= [1] + [b])
- [II] "Having first become attached, they thereby come to indulge in conceptual proliferation." (= [2] + [b])
- [III] "Having formerly practised Clinging (or: been attached), they, due to this [very fact],<sup>1</sup> [then] incur rebirth." (= [2] + [a])

1. But cp. below (C.a)!

A. Out of these three alternatives, [I] corresponds to the explanation of the comm. (see ns. 1422(D) and 1425(D)). It is, however, not probable that this was the original purport of the verses. In view of the parallels to PG 34ab adduced in n. 1428 it would rather seem more likely that the *niveśanaṃ kṛ-* performed by ordinary people is not the "existential" act of taking possession of a new personal existence but rather the spiritually evil *m i s c o n c e p t i o n* of its nature or, at best, the wrong emotional attitude this misconception involves, as will also be corroborated by the materials presented below (B and C).

B. Alternative [II] (viz. spiritually evil Clinging entails conceptual proliferation involving Suffering in *t h i s* life, without any reference to rebirth) may be taken to receive support from the Saṃyuttanikāya/Saṃyuktāgama passage referred to in n. 1425(D) (viz. SN No. 35.207 [IV 203] ≈

SĀ<sub>c</sub> No. 1168), where the notions "I shall be" and "I shall not be" are preceded by the notion "t h i s I am" (*ayam aham asmī ti*), which is, in its turn, preceded by the notion "I am [...]" (*asmī ti*).<sup>1</sup> These two notions may be understood, in view of the Kṣemakasūtra (see § 7.1A.2.2(b.α) + ns. 916, 918 and 920), as the definite idea that one is identical with [one or the other of] one's present skandhas or with one's *ātmabhāva* (i.e. as *satkāya-dṛṣṭi*), and as a vague feeling of identity (i.e. as *asmimāna*), respectively (cp. T 1610, 803b25ff.). Since the latter notion (viz. *asmī ti*) is, at AN II 212 (see n. 1425(D + subn. 2)), expressly stated to be the presupposition of the more explicit wishful conceptual proliferations (*papañcita*: Vibh 393) including the ideas of "I shall be" and "I shall not be",<sup>2</sup> it would not seem unreasonable to understand *niveśanaṃ kṛ-* in PG 33cd as referring to the notions of "t h i s I am" and "I am [...]" (or at least to the former if one prefers rather to let the latter be anticipated by *moha* in PG 33b [see n. 1421]). The more so since such an interpretation of *niveśanaṃ kṛ-* would accord perfectly with what would result from interpreting PG 34ab in terms of the parallel passage Y 25,15f. (see n. 1428) where "what is stuck to" (*niveśanaṃ kṛtam*) of PG 34a is represented by the *ātmabhāva* with regard to which immature people form the ideas of 'I' (*aham iti*) or 'mine' or 'I am [this]' (*asmīti*). Cp. also Sn 774: *kāmesu giddhā ... visame n i - v i ṭ ṭ h ā / dukkhūpanitā paridevayanti* "kimsu bhaviṣṣāma ito cutāse" //. This verse may be taken to express the idea that those who have entered upon the wrong [road]<sup>3</sup>, i.e. cling to objects of desire (*kāmaguṇa*)<sup>4</sup> or, in the present context, to the *ātmabhāva* as their Self, will thereby be led into distress (especially when death is imminent)<sup>5</sup> and come to lament: "What shall we become after having passed away from here"<sup>3</sup>, i.e. fall a prey to anxious reflections (*≈ prapañcita*!) with regard to their future destiny.

1. Cp. also SN No. 22.47 (III 46): "*asmī*" *ti pi 'ssa hoti*, "*āyam aham asmī*" *ti ...*, "*bhaviṣṣaṃ*" *ti ...*, "*na bhaviṣṣaṃ*" *ti pi 'ssa hoti*.
2. Cp. also DhSk<sub>D</sub> 55,17-19, implying that the notions *asmīti* (cp. ns. 918<sup>D</sup> and 920) and *āyam aham asmīti* are more fundamental than *bhavadṛṣṭi* (i.e. *bhaviṣyāmīti*) and *vibhavadṛṣṭi* (i.e. *na bhaviṣyāmīti*).
3. Norman 1984, 130.
4. Nidd I, 37f., adding wrong behaviour, wrong views, etc.
5. Nidd I, 38; Pj II, 516.

C. a) Alternative [III] (viz. spiritually evil Clinging entailing rebirth [involving Suffering]) would seem to be corroborated by SN No. 22.35 (III 35): "To what one inclines, by (or: as) that (viz. the five skandhas) does one come to be defined" (*yaṃ kho ... anuseti, tena saṅkhaṃ gacchati*). The parallelism of *anuseti* + *saṅkhaṃ gacchati* and *niveśanaṃ kṛ-* + *prapañcitam upaṭti* can hardly be denied. Besides, the expression *tena saṅkhaṃ gacchati* may also account for the affirmative use of *upaṭti prapañcitam* in PG 33d (whereas *saṅkhaṃ upeti* seems to be used in negative formulas only: see CPD s.v. *upeti*) as well as for *tena* which, from this point of view, will have to be taken to mean not "therefore" but "(defined/definable) by (means of) that", or even "as that".

To be sure, the purport of SN 22.35 may not be unambiguous either. But at any rate the parallel Sūtra SN No. 22.36 (III 36f.) intercalates *anumīyati*, which is represented by 隨...死 (\**anu-mriyate*) at SĀ<sub>C</sub> 3b16 and a18f. and explained as *anu-marati* even at Spk II 266, and was thus perhaps understood, by some exegetes at least, as "dying accordingly", i.e. having, in the hour of death,<sup>1</sup> aspirations corresponding to one's inclinations during life. In fact, in Y<sub>t</sub> 'i 154a1ff. (Y<sub>C</sub> 776c14ff.) the Sūtra is expressly interpreted as referring to death and rebirth.

Besides, even in another set of Sūtras (SN Nos. 12.38-40 [II 65ff.]; SĀ<sub>C</sub> Nos. 359-361) *anuseti* is explicitly stated to be the condition of rebirth. Thus, there

are good reasons for taking (or at any rate there was an old exegetical tradition which in fact took) the above-quoted passage (SN No. 22-35) to mean that Clinging leads to a corresponding rebirth.

1. Cp. S 1986, 206; F. Edgerton, *The Hour of Death*, in: *Annals of the Bhandarkar Institute* (Poona) 8/1927, 219ff.

b) The line PG 33cd is moreover, strongly reminiscent of Sn 1051ab<sup>2</sup>: *yo ve avidvā upadhiṃ karoti punappunam dukkham upeti mando*, i.e.: "The fool who being ignorant 'makes acquisition'<sup>3</sup> (i.e. takes, regards or claims anything as his possession), incurs Suffering again and again".

The affinity of this line with PG 33cd is palpable not only in view of the formal parallelism of *upadhiṃ karoti* + *dukkham upeti* and *niveśanam kṛ-* + *prapañcitam upaiti*<sup>4</sup>, but also because of the semantical closeness of both sets of terms. As for *prapañc(it)am upaiti*, it has already been shown (n. 1425) that it may be practically equivalent to "being reborn", which means incurring Suffering (cp. also the quasi-synonymity of *prapañca* and *duḥkha* at Y 26,18 [see n. 391]). *Upadhi*, on the other hand, is, in Pāli, almost synonymous with *pariggaha* (cp. CPD s.v. *upadhi*), and *parigraha* is, in its turn, used by the comm. on PG 33c in explaining *niveśana*. Cp. also Sn 470 (*niveśana* side by side with *pariggaha*). Moreover, in the *Mettagūmānava-pucchā* itself, to which Sn 1051 (*yo ve avidvā ...*) belongs, a few verses later the fundamental evil attitude of possessiveness or attachment is called *mamāyita* (Sn 1056b: cp. PG 32d!) and even *n i v e s a n a* (Sn 1055c).

It would thus seem justified to regard Sn 1051ab as a fairly close parallel to PG 33cd. To be sure, the first half of the *Mettagūmānava-pucchā* is not expressly concerned with the origin of Suffering in the sense of rebirth but may equally well be understood as also implying that even in one's actual exist-

ence itself possessiveness or possessions will lead to Suffering, especially grief (cp. Sn 34: *upadhī hi narassa socanā*, certainly expressing, primarily at least, the idea that possessions cause grief in this very life<sup>5</sup>). But the exegetical tradition of Sn 1051 and of its parallels expressly refers Suffering to rebirth (Nidd II: *jāti-dukkha*, etc.; cp. Pj II, 590; Ud-a 213; Pj II, 505: *vaṭṭa-dukkha*).<sup>6</sup> Similarly, SN No. 12.66 (II 107f.) glosses *dukkha* by *jarāmarāṇa*.<sup>7</sup> What is more, the second half of the Mettagūmaṇava-pucchā (and it is in this part that *nivāsana* is used!), dealing with the question of how to overcome Suffering, clearly focusses on the Suffering entailed by rebirth (Sn 1052cd: *kathaṃ nu dhīrā vitaranti oghaṃ, jātiṃ jarāṃ sokapariddavaṃ ca*). Thus, as a parallel to PG 33cd, Sn 1051, though not excluding interpretation [II] as a nuance, will yet primarily support alternative [III].

2. = SN 728cd = Thg 152ab; cp. also Ud III.10; UV XXXII. 37; UV XXXII.42; MVu II,418,10; SN No. 12.66 (II 107ff.); SĀ<sup>t</sup> No. 291 (82b11f.; cp. No. 292, 82c21f.); NidSa §§ 9 and 10 (especially 9.W-Y and 10.3b); MN III 70,10.
3. Cp. Norman 1984, 167.
4. Cp. also *avidvā* and *mando* at Sn 1051ab with *mohāt* at PG 33b.
5. Cp. also BoBh<sup>d</sup> 167,5f. expressing the idea that taking the *ātmabhāva* as 'I' or 'mine' entails excessive grief (... *ātmabhāve aham iti vā mameti vā saṃmūḍho 'tyarthaṃ śocati*).
6. Cp. also the similar Pāda *c y u t ā c y u t ā duḥkham upaiti mūḍhāḥ* in KP § 107.
7. This appears to imply that *upadhi* has been equated, in this text, with *jāti* (cp. Spk II 119,25f.: *khandhapañca-ka*). This, however, is clearly a secondary, later interpretation, as is confirmed by the fact that the gloss *jarāmarāṇa* is missing in SĀ 82b10f. and c 20ff. as well as in NidSa § 10.3. On the other hand, this reinterpretation of *upadhi* in the sense of (re)birth, i.e. of (taking possession of) new skandhas or a new *ātmabhāva*, is a systematical parallel to the interpretation of PG 33a proposed in the comm., i.e. alternative [I].

c) If I am right in parallelizing PG 33ab with Sn 1051ab (and 1055c), *niveśana* would not so much be the wrong view or feeling of Self<sup>8</sup> as a t t a c h m e n t (cp. the canonical parallels to PG 34ab, suggesting an interpretation of *niveśana* as "taking as pleasant [what is in reality Suffering]" or "delighting in" (see n. 1428)). To be more precise, *niveśana* would come close to the notion of *u p ā - d ā n a*, which, in PG 33, may also be expressed or at least alluded to by *saṃharati* in Pāda b, and would carry onward the twofold *sneha* ( $\approx$  *tṛṣṇā*) of PG 32. Taken in this way, *niveśana* would also be more or less equivalent to the *p r a p a ṇ c ā b h i r a t i* of PG 28, the more so since this notion is, at Y<sub>m</sub> 131b2ff. (see n. 1405(G)), connected with *paryeṣaṇā*, which in its turn is close to *upādāna* (e.g. Y 201,3f.; 212,3ff.).

From this point of view, *pūrvam* in PG 33c would, in view of the expression *p ū r v a -prapañcābhirati* (Y 18,21; cp. 4,11f.; cp. also Y 212,18f.), admit of being taken not as a more or less superfluous element - which it would be in the case of the alternatives [I] and [II] (cp. its omission in the pratika of PG 33cd in the comm.) - but rather as a s i g n i f i c a n t element of the sentence, characterizing *niveśanaṃ kṛ-* as belonging to the p r i o r e x i s t e n c e and thereby signaling that *upaiti pra-pañcitam* is to be referred to r e b i r t h, i.e. to be taken as standing parallel to the arising of the *sarvabījo vipākaḥ* in PG 28c.

One may, in this way, interpret *niveśana* in PG 33c as being parallel to "d e l i g h t i n (and desire for) w o r d l y e x i s t e n c e" (*prapañcābhirati*) in PG 28a, and "f a l l i n g a p r e y t o c o n - c e p t u a l p r o l i f e r a t i o n / w o r d l y e x i s t e n c e" (*upaiti pra-pañcitam*) in 33d as corresponding to the result of the latter. Since what is obtained is obviously precisely that which had been enjoyed and desired previously, it is tempt-

ing to equate the literal meaning of both also, viz. of *prapañca* in 28a and *prapañcita* in 33d, and to take *upaiti prapañcitam* to mean "they (sc. *lokaḥ*) incur what they had in various ways wishfully and restlessly thought about", i.e. a new *ātmabhāva* (and sense-objects). Yet, there is no guarantee that such a conclusion is justified, and in view of the presumable heterogeneity of the verses it may well be that, in spite of the systematic correlation of the object of *abhirati* and its result (viz. rebirth), the literal meaning of *prapañca* (in *prapañcābhirati*) and *prapañcita* (in *upaiti prapañcitam*) is, or at least originally was, not identical.

8. But cp. SN No. 22.47 (III 46; cp. SĀ 16b2o): *asmī ti ... avigate pañcannaṃ indriyānaṃ avakkaṇṭi* (Spk: *nibbatti*; Y. 'i 183b8: *p h y i m a l a ... mñon par 'grub pa =* <sup>t</sup>*\*āyatyām ... abhinirvṛttiḥ*) *hoti*. The (somewhat complicated) exegesis of this passage at Y. 'i 183b4ff. (Y. 788a11ff.), however, integrates *ā t m a b h ā v a* <sup>c</sup>*t ṛ ṣ ṇ ā* (*lus sred pa*; Ch. 我愛 = *\*ātma-tṛṣṇā*) into the causal complex.

d) Even though it would seem that interpretation [III] has to be regarded as the primary meaning of PG 33cd, what appears to be focussed upon by the verse is not so much the result but rather the act of Clinging to or grasping at (i.e. *upādāna*) and its ultimate absurdity in view of the unsatisfactoriness of what is clung to (cp. PG 34ab, where what is stated to be in reality unsatisfactory is - if interpretation [III] is followed - not what is obtained at rebirth but what had [previously] been stuck (= clung) to (*niveśanaṃ kṛtam*)). The result and its true value and consequences would seem to be the central topic only in verses (34cd and (?) 35-36. But since, in the case of interpretation [III], PG 33d, too, would refer to the result, and since on the other hand 35a refers to the cause, and 35c (*ahaṃkāra*) as well as 36 to the cause of the next result, there is no clear-cut delimitation but rather a certain repetitiveness. This is not at all astonishing in



view of the fact that the verses ought to stem from different sources (and most probably the change of metre - which seems to switch over to *vaitāliya* at PG 34d at the latest: see p. 224ff. - coincides with one of the seams). But it was not acceptable to the commentator who had to explain the text as a coherent whole and, ignoring the affinity of *saṃharati* and *niveśana* to *upādāna* in the sense of the *prā-tītyasamutpāda* formula, tried to fulfil his task by taking *niveśana* in the sense of taking possession of a new existence and by accordingly referring verses 33 and 34 to rebirth (i.e. to *duḥkha(satya)* in the sense of the primary result of the cause(s)-of-Suffering), and PG 35 to the secondary outcome.

1427. In view of the correlative *tad* in PG 34b, I prefer to take *yad tad* not as an indefinite pronoun (= *sarva*), as W. puts it, but rather as demonstrative + relative: "that [already mentioned or well-known thing] which ..." (Speyer 1886, p. 355 note 1). - *Tad* in PG 34a can hardly be construed as the prior member of a compound. For as a bahuvr. this compound would not tally with PG 33c. As a tatp., on the other hand, it would either imply that what is known to the Āryas to be Suffering is the a c t of settling or Clinging - which is odd and at variance with the parallel passages quoted in n. 1428 -; or, if *niveśana* is taken as a locus noun, there would hardly be anything which *tad*- might refer to except *loka*, but this too appears odd since *loka* is implied as the agent of the *yat* clause and would therefore be expected to be referred to not by *tad*- but by *sva*-.

1428. Cp. AKBh 328,19: *yat pare sukhataḥ prāhus, tad āryā duḥkhato viduḥ* // (quoted from SĀ<sub>c</sub> 88c10; cp. SN IV 127; Sn 762ab); UV<sub>t</sub> XXXII.39a: *dga' ba gaṇ yin de sdug bsñal* ("What [they, i.e. *prthagjanas*: UVViv] delight in, that is [in reality] Suffering"); cp. Ud III.10: *y a d a b h i - n a n d a t i , taṃ bhayaṃ; yassa bhāyati, t a ṃ d u - k k h a ṃ ;* MVu II,418,6f.: *bhave ayaṃ loko sakto bhave*

*rakto bhavābhinandito / bhavo yatra bhavati, duḥkhaṃ bhavati*; cp. also SN No. 22.29 (see n. 1444(A)); Y.25,15f.: *tatra cātmabhāve bālānāṃ "aham" iti vā "mama" iti vā "asmi" iti vā bhavati / āryānāṃ punar "duḥkham" ity eva bhavati*. The latter parallel shows that Suffering (*duḥkha*) tends to have, in this context, the concrete sense of what is unsatisfactory (in the ultimate, ontological sense), i.e., primarily, the basis-of-personal-existence (*ātmabhāva*: see n. 1477(E) and § 4.1.2) or the *sarvabījo vipākaḥ* (PG 28c). In the comm., this Suffering is equated with *ālayavijñāna* (see § 4.1.4).

1429. This may mean: in a state of constant uneasiness (in the sense of *daṣṭhūlya*: see § 4.1.2).
1430. From the point of view of the original meaning of the verses, PG 34cd is, also in view of the change of metre (see p. 224ff.), perhaps better connected with 35 (and 36ab) (cp. n. 1426(C.d)): "Such Suffering by which the fools are always miserable since it does not cease even for a single moment, is accumulated by mind [as long as the latter] is befallen with Unevenness - [Suffering which,] having been accumulated, becomes the cause of ... pain, [and] to which all fools stick ...".
1431. Tib. (*yonis dkris pa*) and Ch. (纏) seem to take *parigata* as a synonym of *paryavasthita*.
1432. I.e. unwholesome attitudes or actions. Cp. Sn 774b and 57b: *visame niviṭṭhaṃ* (Nidd I and II: *visame kāyakamme ...*, etc.; *visame pañātipāte ...*, etc.; *visamesu saṅkhāresu ...*, *visamesu pañcasu kāmagaṇesu ...*, *visamesu pañcasu nīvaraṇesu ...*); Vibh 368: *tattha katamāni tīṇi visamāni? rāgo visamaṃ, doṣo visamaṃ, moho visamaṃ ... / tattha katamāni aparāni pi tīṇi visamāni? kāyavisamaṃ, vacīvisamaṃ, manovisamaṃ* (Vibh-a 498: *kāya-duccaritādi*).

1433. The line seems to allude to a frequent "etymology" of *citta*; cp., e.g., AKBh 61,21 (= VGPVy 377a7): *cinotîti cittam*; AKVy 141,15f.: *kuṣalam akuṣalam vā cinotîty arthaḥ*. At LAS II.106a, etc., too, what *citta* accumulates is *karman*, not *duḥkha*: *cittena cīyate karma*. When the term *citta* is specifically referred to *ālayavijñāna*, it is usually etymologized in an intrinsically passive sense, *ālayavijñāna* being called *citta* on account of its being an accumulation of, or filled, covered, "set" with, Impressions or Seeds; e.g. TSN 7: °*vāsanā-bījaiś citatvāc "cittam" ucyate cittam ādyam* (i.e. *ālayavijñānam*); Y<sub>t</sub> zi 189b4 (see n. 824); MSg I.9 (cp. Lammotte 1934-35, 202); AS 12,1f.: ... *vāsanācītatām upādāya*; ASBh 11,13f.; Si 13c8f.; 15b19ff. (Si<sub>p</sub> 182f.); cp. also AKBh 61,21f.: *citaṃ śubhāśubhair dhātubhir iti cittam*<sup>1</sup> (AKVy 141,19: view of the Sautrāntikas o r Y o g ā c ā r a s !). This should also be the purport of passages like KSi § 31 (Muroji p. 37,14f.) and PSk<sub>t</sub> 17a1 (PSk<sub>D</sub> § 26; Muroji p. 44,5-7), though commentatorial exegesis suggests alternative explanations (PSkVai 45b3f.; PSkBh 179b4ff.; cp. also the active interpretation at PSkViv 94a8f. or at Y<sub>c</sub> 651b2of. and ASBh<sub>c</sub> 701a25f. and b2f.).

1. The reading *citaṃ* is confirmed by Tib. (*bsags pa*) and Pa. (所增長), but AKVy (mss.) and Hts. (種種差別) have *citraṃ*; cp. AKVy 141 note 6 and AK<sub>p</sub> II 177.

1433a Cp. Thg 795c = SN IV 73 (see also SWTF p. 233 [s.v. ā-ci-]): *evam ācīnato dukkham*. Cp. also Thg 456d: *ācīnanti punabbhavam*.

1434. Tib. (Y<sub>t</sub> dzi 237a8) seems to take *bālānām* as the agent of *ācīta* (cp. v. Hinüber 1968, § 234).

1435. Cp. the comm. A *bahuvrīhi* does not make sense, whether we keep to the reading of the mss. or not. Suffering is the new *ātmabhāva* or *vipāka* which contains all Seeds and which thus becomes, in the course of this new existence, the cause of actual (physical or mental) pain and pleasure as well as of

new occurrences of the notion of Ego (or view of Self) which had been habitual in the previous life.

1436. Cp. Dhp 327d; UV IV.27d.

1437. It would seem natural to understand *sarvaceṣṭite* as specifying *sarvatragah*. But the comm. (q.v.) refers the two expressions to sensations and morally qualified factors, respectively.

1438. Cp. the *tattvārtha-saṃmoha* of AS 55,2 (ASBh 66,18ff.) which is presupposed even by meritorious (*punya*) and "immovable" (*āniñjya*) actions and is said to consist in delusion with regard to the four Noble Truths, and particularly in the absence of a correct understanding of the whole world as unsatisfactory (ASBh: ... *yad-vaṣena te duḥkhatas traidhātukasya yathābhūtam aparijñānāt punarbhavahetubhūtān puṇyāniñjya-* ('ñjyān?) *saṃskārān utthāpayanti*).

1439. Or: hard to traverse?

1440. The "lake" (*saras*) is of course the *ātmabhāva* or *vipāka* containing all Seeds (comm.: *ālayavijñāna*) from which, like rivers springing from a lake<sup>1</sup> as their common source, the different sense-faculties and - in the course of *saṃsāra* - (existences in) different Destinies and world-spheres (thus according to the comm.) branch off or emerge. For the streams ramifying into various directions, cp. Sn 1034f., a Sanskrit version of which is quoted at Y<sub>m</sub> 136a2 (Y<sub>t</sub> dzi 299b6f.):

*sravanti sarvataḥ srotāḥ,*

*srotasāṃ kiṃ nivāraṇam / ...*

*yānī srotāṃ si lokasya,*

*smṛtis teṣāṃ nivāraṇam / ...*

Y<sub>m</sub> 136b3 (Y<sub>t</sub> dzi 300b4f.) explains: *ṣaḍāyatana sroto* (cp. also comm. ad PG 37!) *duḥkhāyānusravati*; but Nidd II: *sotā ti taṇhā soto diṭṭhisoto*, etc. / *sabbadhī ti sabbesu āyatanesu*; Pj II: *sabbesu rūpādisu āyatanesu taṇhādi-*

*kā sotā sandanti.*

1. Probably an allusion to the lake Anavatapta from which four rivers are said to flow forth towards the four quarters (Malalasekera, Dictionary of Pāli Proper Names, vol. I [London 1960], 97).
1441. Ordinary rivers and lakes may, of course, be dried up by wind (cp., e.g., Sn 433ab) or by fire or by the sun, especially by the seven suns at cosmic conflagration (AN IV 101; Y 35,13ff.); see also Sn 3ab (cp. Brough, The Gāndhārī Dharmapada [London 1962], p. 200). - In its commentary on the verses *kutaḥ sarā nivartante* (SĀ<sub>c</sub> No. 601 or 1329; cp. SN No. 1.27), Y<sub>m</sub> 119b5f. (Y<sub>t</sub> dzi 269b5ff.) states that, as long as Thirst has not completely ceased, fools enjoy the six bases of contact (*ṣaṭ sparśāyatanāni*, i.e. the six senses) by way of contact with sense-objects, just as people and even animals enjoy a lake (*saras*) as long as its water is not exhausted (cp. S 1987, § 3.1.1 + note 29).
1442. For *anyatra* c. i n s t r . (of the logical subject) in the sense of "with the exception of", "but only" cp. AN V 82 (*na kho idha añño koci pavisati aññatra pabbajitena*).
1443. For *vy-ava-so-* in the sense of m i s c e p t i o n cp. AKBh 329,21f.: *ko hi vidvān ... gaṇḍa<ṃ> "sukham" iti vyavasyet*.
1444. A. I.e. one misconceives the impermanent, unsatisfactory basis-of-personal-existence as Ego taken to be the s u b j e c t of feeling (*vedaka*); cp. Y 25,15f. quoted in n. 1428, and, for the aspect of misconceiving what is in reality unsatisfactory, also Sūtras like SN No. 22.29 (III 31): "He who is pleased with corporeal matter, (etc.,) is pleased with [what is in reality nothing but] Suffering" (*yo ... rūpaṃ abhinandati, dukkhaṃ so abhinandati*); MN I 233: *yo nu kho dukkhaṃ a l l i n o ... dukkhaṃ "etaṃ mama, e s o ' h a m a s m i , e s o m e attā" ti samanupassati, api nu kho so ... dukkhaṃ p a r i j ā n e y y a*

...?; Pischel 1904, f. 160b, 161a: *āyam āyusmān d u ḥ - k ḥ a m e v ā l ī ( n a ḥ )*.

B. My translation of this difficult line presupposes that *aham asmi*, because of its unstressed position (cp. SWTF s.v. *aham* 2 a ε [p. 213]), is not especially emphasized (i.e. that it does not mean "it is I who am ...": cp. also the fact that the paraphrase given in the comm. omits *aham*), but that it is nevertheless not altogether incidental, and that *ātmānam* is not merely an unstressed reflexive pronoun. Otherwise, i.e. if *aham asmi* and *ātmānam* are not stressed at all, the (main) purpose of the line would not be to contrast the wrong conception of Self as the subject of pleasure and pain with the (impermanent and thus ontologically) unsatisfactory *ātmabhāva* or aggregate of skandhas as the real fact, but to contrast the idea of a distinction of pain and pleasure with the real fact that all sensations are unsatisfactory (cp., e.g., SN Nos. 36.2 and 36.11). In this case it would appear that one would - as the comm. actually does - have to construe *vyavasyati* twice:

"[When, being] pained, one conceives oneself [to be pained, thinking:] 'I am pained', or, being pleased, [conceives oneself to be pleased], [what] one conceives [as pain or pleasure is in reality nothing but] Suffering."

Yet, if this were in fact the purport of the verse, it is strange that of the wrong conceptions the one to be stated in full is the idea of being pained and not instead the idea of being pleased, which has to be gained rather by supplements (i.e. the words "[by thinking] ... ['I am pleased']" in the translation of the verse). Besides, in the context of what is responsible for Bondage, the False View (*dṛṣṭi*) which according to the subsequent pāda (38c) arises from the wrong idea charac-

terized in the present line (38ab) is almost certainly the False View of S e l f , and this means that the wrong idea by which it is called forth is most likely the wrong idea of "I ..." (cp. also n. 1445). I therefore prefer to take the cumulative weight of the expressions referring to the 1st person (*aham*, *asmī*, *ātmānam*) seriously and to interpret the verse accordingly.

C. In the light of his rendering of the corresponding passage of the comm. (see n. 1486(b)), Hts.'s translation of the line (Y<sub>c</sub> 364a4: 於苦計我受 苦樂了知苦 ) appears to imply the following interpretation: "[To understand that it is] with reference to [what is in reality nothing but (?)] Suffering that one conceives 'I feel pain or pleasure': [this is] 'understanding Suffering'" - a rendering which probably presupposes *duḥkhe* (instead of *duḥkhī*) and ignores *ātmānam*. But this apart, it also seems to presuppose not only that *vyavasyati* has to be construed twice (virtually even thrice), but also that it has, each time, a different meaning: *duḥkhe duḥkhito/sukhito 'ham asmīti vyavasyati* (計!) <*iti vyavasyan*> *duḥkham vyavasyati* (了別!). This interpretation may be motivated by a certain reluctance to take *vyavasyati* in a purely negative sense (for which see n. 1443), and this is understandable enough in view of passages like the verse quoted at AKBh 329,24 (... *duḥkham sukham iti vyavasyanti*: "they understand that pleasure is Suffering") where *vyavasyanti* is more or less equivalent to *parijānanti*. But apart from the syntactical *kalpanāgaurava* involved, such an interpretation appears less recommendable also from the point of view of content. For like the subsequent verses on "understanding Liberation" (*mokṣaparijñā*), the present verse, which according to the comm. deals with "understanding Bondage" (*bandhaparijñā*), does not - as the comm. rightly puts it and as is beyond doubt in the case of the second line - describe this understanding i t - s e l f but only its c o n t e n t . Hts.'s rendering,

however, takes *duḥkham vyavasyati* to designate this understanding (*parijñā*) i t s e l f and in so far makes it diverge from its context. Besides, *bandha-parijñā* can hardly be reduced to *duḥkha-parijñā* but should include, or rather be focussed on, understanding the c a u s e s of Suffering.

D. Tib., both here and in the comm. (see n. 1486), offers still another interpretation of the passage : "When one is pained, one knows oneself [to be pained, thinking:] 'I am pained', or when one is pleased one knows '[I am] pleased'" (Y<sub>t</sub> dzi 237b2f.: *sdug bsñal bdag ñid bdag ni sdug bsñal sñam // yañ na bde na bde sñam ñes par byed //*). This rendering obviously presupposes a reading *sukhito vā s u - k h a m* instead of *duḥkham*. From the point of view of content, it gives the impression of understanding the line in terms of the *smṛtyupasthāna* practice, but in this case once again, the present line would express the *parijñā* i t s e l f and not its content; moreover, the *parikalpa* in pāda c would not be concretized - as one may duly expect - in the preceding (i.e. the present) line. The only possibility of evading this difficulty would be to regard the words " o n e s e l f " and "I" to be s t r e s s e d in this version, too. In this case, the v e r s e would make good sense, but it would hardly fit the comm., where the reading and interpretation of Tib. is rather improbable (see n. 1486(c)).

E. Still another interpretation of the line is proposed by Wayman (W. 173; similarly Suguro 1982, 57)): "When suffering, one thinks, 'I am suffering', or when happy, takes it to be suffering." Wayman thus takes the verse to describe correct understanding as consisting in realizing pain as pain (and hence as suffering) and in knowing pleasure to be, in reality, equally Suffering. For the latter aspect Wayman (184 note 42) refers to SN IV 127 (see n. 1428). This



interpretation, unobjectionable, at any rate in substance, though it is from the grammatical point of view and, taken by itself, quite reasonable from the point of view of content, would nevertheless seem to involve, in the context of the passage as a whole, similar difficulties as the Tibetan version. It would, at any rate, be incompatible with the wording of the corresponding passage of the comm., Wayman's translation of which is untenable (see n. 1486(d)).

1445. I.e., if my interpretation of the preceding line is correct: the more or less spontaneous, incidental idea "I feel pain or pleasure" (whereas in reality, there is no Ego but only Suffering, i.e. a cluster of impermanent, unsatisfactory factors) tends to solidify into a tenaciously embraced false view or theory, viz. the View of Self. Cp. the distinction between wrong ideas and false views at Y 166,9ff.: *saṃjñāviparyāsaḥ katamaḥ / yo 'nitye nityam iti duḥkhe sukham iti aśucau śucīti anātmany ātmeti saṃjñā p a r i k a l p a ḥ / drṣṭīviparyāsaḥ katamaḥ / yat (Y<sub>m</sub>; ed.: yas) tatraiva tathā saṃjñāparikalpīte kṣāntī rucir vyavasthāpanābhīniveśaḥ* ("[the fact] that [there arises] ..."). Cp. also the distinction between the *drṣṭīviparyāsa*s as false views proper, infallibly eradicated by the *darśanamārga*, and the *saṃjñā*- and *citta-viparyāsa*s as more spontaneous, incidental wrong ideas (some of) which may, according to certain schools (cp. VisM XXII.68) or masters (see § 7.1A.2.2(b.γ)), occur even in Śaikṣas. As for the manifestation of a spontaneous idea of 'I', not yet solidified into a speculative theory, in connection with pleasure and pain, cp. PV II.200 (Vetter 1984, 101):

"The idea of 'I' [occurring in a person] who desires 'may I be happy' or 'may I not be unhappy': precisely this is the *i n n a t e* view of a Living Being (= Self)."

(*sukhī bhavyeṣaṃ duḥkhī vā mā bhūvaṃ iti trṣyataḥ / yaivāhaṃ iti dhīḥ saiva sahajaṃ sattvadarśanam //*)

1446. According to the comm., *sa* resumes *parikalpaḥ*, whereas *tasmāt* and *tad* refer to *dr̥ṣṭi* (!). The sentence would then mean:

"Having [itself] arisen from this [False View], it (viz. the wrong idea) [thus] also engenders it (viz. the False View)."

It would not appear improbable that the (more or less spontaneous) wrong idea "I am pained", etc., which according to the preceding pāda (38c) calls forth or produces the False View of Self, is itself conditioned by (the Impression of a previous occurrence of?) this False View. But the comm. renders *taḥ janayaty api* merely repetitive of what had already been stated in the preceding pāda, and apart from this, oddly enough it refers *tasmāt* (neuter or masculine but definitely not feminine) and the unambiguously neuter *tad* to the feminine *dr̥ṣṭi*. I do not contend that the comm. is necessarily wrong, but I should nevertheless like to suggest, as an alternative, to refer *tasmāt* and *tad* to *duḥkha*:

"[Thus - i.e. because it calls forth the False View of Self and because the latter, by mediation of Desire, entails rebirth and thus new Suffering (see verses 31-35) -] this wrong idea ['I am pained', etc.,] which has arisen from it (i.e. from Suffering) [by way of misconception], also (i.e. in its turn) engenders it (i.e. [new] Suffering)."

1447. I.e. any defiled mental event or state of mind, especially of *manovijñāna* (but on occasion even sense-perception may be *kliṣṭa*: cp. Y 59,1ff.). There is, as has already been observed by Ui (1958, 317), not the slightest reason to interpret the "defiled mind" of the present verse, as later Yogācāra authors (e.g. VGPVy 381b2; Si 24c19ff.; T 1828, p. 417c18ff.; cp. also Ōsaki 1976, 259) quite understandably do, in the sense of the *kliṣṭam manas* of the full-fledged Yogācāra doctrine, i.e. as a specific kind of *vijñāna* (characterized by a subtle notion of Ego, etc.); for in this case

one would expect at least the commentator to make this point clear, e.g. by concretizing the Defilements or at least specifying their number, none of which, however, he does. Nor do I find that such a specific form of mind is, from the point of view of content, in any way anticipated in the present passage, as Hakamaya (1978a, 306) suggests (cp. also Yūki 1935, 389); for *sadā* does not necessarily imply that one and the same mental series is c o n t i n u o u s l y associated with Defilements, but may just as well be understood to mean that e v e r y t i m e (cp. PW s.v. *sadā*: "... jedesmal") when a defiled *citta* arises, it does so and it ceases together with its Defilements (cp. also passages like ASBh 40,1f. stating that the *antarābhava-cyuti-citta* is *nityaṃ kliṣṭam*, i.e. defiled i n e v e r y c a s e ).

1448. *Sadā* excludes a preterite function of the suffix *-ta*, signaling an atemporal use instead; cp. Wackernagel II,2, 578 (especially the example *nitya-jāta- + nityaṃ ... mṛta-* "immer wieder geboren werdend und sterbend"); cp. Tib. (Y<sub>t</sub> dzi 237b3) *rtaḡ tu ... skye źiñ 'gag* ("present!").
1449. The background against which this statement has to be understood is the doctrine of m o m e n t a r i n e s s (see § 7.1B.2.1.4.2.d). This means that the expression "(defiled) mind" in 39b is intended to mean "(any defiled) m o m e n t of mind (*citta-kṣaṇa*)".
1450. I.e. because of its momentariness.
1451. I have taken *śuddham* with the preceding sentence, but one could also take it, with the comm., ἀπὸ κοινοῦ.
1452. Comm.: because. But I think that a concessive interpretation makes better sense because *mukta* u s u a l l y presumes that there was, previously, bondage and, then, a process of liberation of which it is the result.
1453. Because, on account of its momentariness, it did not yet exist when the Defilements were still there. It is thus

called liberated in the sense of "free", not in the (usual) sense of "freed", namely, from non-freedom.

1454. Viz. of momentariness.

1455. I.e. defiled for the whole duration of its existence (which, however, does not exceed one moment).

1456. Because it too is pure from the very beginning of its existence (which, however, is - at least as far as conditioned entities like mind are concerned - confined to one single moment). - Ch. (Y<sub>c</sub> 364a10) appears to have misunderstood the line: "That which is defiled is absolutely pure by nature"; similarly W. and Suguro (1982, 57). But Tib. (Y<sub>t</sub> dzi 237b4f.) correctly *ñon moñs can gañ, de 'dir gtan* (P, D: *g'zan*); // *dag pa* (sc. *gañ yin pa*), *de ni ran b'zin gsal* //.

1457. Ch. (Y<sub>c</sub> 364a11): "Since there is nothing that is purified, how can there be anything that purifies?". But Tib. (Y<sub>t</sub> dzi 237b5) *gañ las kyañ* confirms abl. separ. As an example for the latter in connection with *(vi)śudh-*, see BoEh<sub>d</sub> 25,24f. (... *kleśāvaraṇāj jñānaṃ viśudhyate*).

1458. I.e. in what they consist.

1459. In *arūpin*, the comm. obviously takes *rūpa* not as "shape" but as being derived from the verb *rūpayati* "to investigate speculatively", obviously in a passive sense (*rūpyata iti rūpam*). This may correspond to *rūpin* under the aspect of "investigation" (*prarūpaṇataḥ*) in AS 17,8+11, but ASBh 22, 15f. interprets this concept in an active sense, referring it to *vitarka* and *vicāra* which may be said to be *rūpin* in the sense of "investigating their object" (*ālambana-prarūpaṇāt*). On the other hand, AS 2,10ff. (AS<sub>t</sub> 52a1ff.; cp. ASBh 2,10-12) would seem to support a passive interpretation when it defines matter (*rūpa*) as being characterized by *rūpaṇā* in the sense of a) *sparśarūpaṇā* (MVy 7546), i.e. being subject to alteration (*anyathābhāva*: ASBh 2,10) or affliction (*bādhana*: ASBh 22,16f.) by physical contact (cp.

SN III 86), a n d b) *deśa-nirūpaṇā* (MVy 7547), i.e. being susceptible of conceptual determination in space by means of a mental act associated with discursive thought ( \* v i - t a r k a ) (\**deśe "idaṃ cêdaṃ ca rūpaṃ" ... iti ... vitarkasaṃprayuktena manasā citrīkārata* [restored with the help of ASBh, AS<sub>t</sub> and AS<sub>c</sub>]).

1460. Cp. SN III 86 (*ruppatī ti ... rūpaṃ ...*; cp. SĀ<sub>c</sub> 11b26ff.; H. Lüders, *Beobachtungen über die Sprache des buddhistischen Urkanons*, Berlin 1954, § 29), on which the etymologizing definition of AS 2,10ff. (see n. 1459) is based.
1461. I.e. the p e r s o n s in whom the view of Self occurs.
1462. For the distinction of *sahajā* and *pari-(/vi-)kalpitā satkā-yadṛṣṭiḥ* see § 7.1A.2.2.b.ε and S 1979, 9ff.; cp. also PV II.199f. and Vetter 1984, 23; 42; 100f.
1463. As the following explanation shows, *āśraya* refers, in this passage, n o t , as before, to the p e r s o n in whom the view of Self occurs (see n. 1461), but to former habit. For yet another use of *āśraya* see next note.
1464. For these categories used in a similar context (viz. the context of the arising of Defilements in general), see Y 164,3ff. quoted in n. 1416. Yet, in that passage, *āśrayataḥ* and *ālambanataḥ* refer to o t h e r causes than in the present passage, viz. to latent propensity (*anuśaya*) and to a suitable object (*viśaya*), respectively, whereas former habit and listening to wrong doctrines are indicated by the items *abhyāśataḥ* and *deśanataḥ*.
1465. This is clearly a misunderstanding or (deliberate or inadvertent) replacement of *sahāyataḥ* in the verse (see n. 1415).
1466. Triple *ca* confirmed by Y<sub>t</sub> (dzi 241b1: ... *yañ ... la / 'dir yañ ... ciñ / ...yañ ...*) and Y<sub>c</sub> (365b4f.: ..., ..., X ...); but ŚrBh<sub>m</sub> unambiguously *vā* (°*m vā*!) for the last *ca*. Yet, in W. 176,18, where *ca* is certain, we have the same situation (Y<sub>m</sub>: °*m ca*, ŚrBh<sub>m</sub> °*m vā*!). In case the reading

of ŚrBh<sub>m</sub> (... *ca* ... *ca* ... *vā*) is, in the present passage, to be preferred, one would have to translate: "... in the sense that, on the one hand (*ca*), this [false] view ... had ... been habitually followed (= α) and, on the other (*ca*), in this [existence] one either reflects incorrectly (= β), or (*vā*) hears, from others, ... (= γ)."

1467. Cp. the parallels in n. 1416.

1468. Viz. *trṣṇā*, as the direct and main cause of Suffering (i.e. of the new *ātmabhāva* or *sarvabījo vipākaḥ*: cp. verse 28 + n. 1405, and AKBh 333,8f. and 15f.; cp. also Y 108,8-10.

1469. In the sense of *saṃskāraduḥkhatā* concretized in *ālayavijñāna* (see comm. ad v. 33-34).

1470. See comm. ad v. 35.

1471. Since the Suffering which is taught to be the cause of these two further kinds of Suffering or unsatisfactoriness is identified with *saṃskāraduḥkhatā* (see n. 1469), the two other kinds of Suffering can only be *vipariṇāma-* and *duḥkha-duḥkhatā* (on which see S 1977, 919ff.). This is confirmed beyond doubt by the fact that they refer to "pleasure" (*sukha*) and "pain" (*duḥkha*) in v. 35, respectively (see n. 1480).

1472. To be sure, both Y<sub>m</sub> and ŚrBh<sub>m</sub> read *duḥkha-saṃskāra-duḥkhatā-saṃgrhītaṃ ālayavijñāna-mayam*, thus suggesting that *ālayavijñāna* corresponds to both *saṃskāra-* and *duḥkha-duḥkhatā*, and this is what Wayman (W. 184 + note 37; likewise Suguro 1982, 59) expressly takes the passage to mean. Tib., too, seems to presuppose such a reading (Y<sub>t</sub> dzi 241b4f.: *sḍug bsñal dā ṅ / 'du byed kyi sḍug bsñal du bsḍus pa'i kun gñi rnam par śes pa (de gnas par byas nas ...)*). It is, however, highly improbable that the subliminal *ālayavijñāna*, which demonstrably and understandably came to be regarded as the hypostasis or (foremost) representative of *saṃskāraduḥkhatā* (see § 4.1.4), was ever

thought to include the unsatisfactoriness of (gross) pain (*duḥkha-duḥkhatā*), too. In fact, the comm. itself unequivocally states that the two other kinds of Suffering or unsatisfactoriness, which in view of the verse to which they refer cannot but mean *vipariṇāma-* and *duḥkha-duḥkhatā* (see n. 1480), are caused by the basic kind of Suffering or unsatisfactoriness consisting in *ālayavijñāna*. *Duḥkha-duḥkhatā*, or acute pain, is thus an effect of *ālayavijñāna* and not its nature, which therefore will consist in *saṃskāra-duḥkhatā* only. This is in fact what the Chinese version (Y<sub>c</sub> 365b10f.: ... 顯示行苦所攝阿賴耶識 ) says. But since it has no equivalent at all for the initial *duḥkha-*, it offers no help in solving the problem of how this word can be interpreted in a reasonable way. To be sure, Tib. too would admit of a less offensive interpretation, viz. "comprised in Suffering [in the ultimate sense] and in *saṃskāra-duḥkhatā*". But since *saṃskāra-duḥkhatā* will, in this case, have to be understood not as something different from Suffering but as its explanation or concretization ("Suffering, i.e. *saṃskāra-duḥkhatā*"), one would rather expect '*duḥkha-*' to follow '*saṃskāra-duḥkhatā-*'.

Moreover, from a syntactical point of view, the sentence under discussion is, as it stands, also as a whole altogether unsatisfactory. For what ought to follow upon the initial *dvitīyatṛtīyābhyām* is an object for the verb *darśayati* (to be supplied from the preceding sentence). Now, in view of the verse and because *kṛtvā* would otherwise be without any complement, *niveśanam* has to be construed with the following sentence (viz. ... *kṛtvā* ... *upaiti* ...). Likewise, the preceding *tad* has, even if we read *tad vi-* instead of *tad dhi*, to be taken with what follows, because it would otherwise not be referable to anything. Thus, what remains as the required object of *darśayati* is two adjectives, viz. the problematic *duḥkha-saṃskāra-duḥkhatā-*

*saṃgr̥hītam* and *ālayavijñānamayaṃ*; for there is no possibility to read, with W. 177,15, *ālayavijñānam ayam*, because *ayam* – apart from not being represented in Ch. nor in Tib. – would not admit of being construed with what precedes (the use of an *unstressed* demonstrative as the subject of a verb which has to be *supplied* seems altogether impossible) nor with what follows (because in this case *ayam*, being in an initial position, would be *stressed*, which, however, does not make sense). Thus, as regards the object of *darśayati*, we are left with two adjectives, without a noun to support them, which is definitely odd. Searching for the noun that one expects, one is referred to the word *duḥkhaṃ* both by the initial résumé of content (236,7f.: *yathā tad ātmaadarśanam samudayānupūrvyā* (→ v. 32) *duḥkhaṃ nirvartayati* ...) and by the closely related sentence on p. 238,7 (*tasyedānīm ālayavijñānasamgr̥hītasya duḥkhasya* ...). Therefore it seems imperative to *sever* the word *duḥkha* – from the alleged compound *duḥkha-saṃskāra-duḥkhatā-samgr̥hītam* (where, as was shown above, it does not belong for reasons of content and style) and convert it, by *adding* an *anusvāra*, into the urgently required noun, the result of this minute emendation being a text which is entirely satisfactory from the point of view of both syntax and sense. Since there are other instances in our text where both manuscripts are clearly faulty (see n. 1400), such an emendation can hardly be denounced to be illegitimate. – For the word sequence as well as the expression *duḥkhaṃ saṃskāraduḥkhatāsamgr̥hītam* cp. also BoBh<sub>D</sub> 169,11 (beginning of a list): *saṃmohavipākaṃ duḥkhaṃ saṃskāraduḥkhatā-samgr̥hītam duḥkham* ...

1473. I for one do not see any reason why the commentator should have replaced, in this sentence, *niveśana* by *vi niveśana*. The possibility that he thus read the verse text is excluded for metrical reasons (◡ ◡ ◡ ◡ is not allowed, and does not



occur in any of the PG ślokas, as an opening of an uneven pāda) and by the next sentence confirming precisely *niveśanam* for the verse text. Thus, it appears preferable to read not *tad(-) vi°* but *tad dhi*. The replacement of *pūrvam* in the verse text (superfluous if not disturbing in the context of the commentator's explanation: see n. 1426(C.c)) by *tad dhi* in the comm. may, perhaps, signalize that the commentator takes the fact that people s t i c k to it (*niveśanam kṛ-*) as a justification for his equating *duḥkha* with ā l a y a vijñāna (which may be interpreted as "vijñāna that is stuck to": cp. §§ 6.7.2.2.b and 7.1B.2.2.1.3).

1474. A. Chin. (愛藏此 : see n. 1422(F)) and probably also Tib.

(*de*, not *des*: see n. 1422(E)) take *tad* not as the subject but as the o b j e c t of *kṛtvā*. This is in accordance with the verse text where the subject is "people" (PG 33a), and where what is known by the Āryas to be Suffering - i.e., according to the comm.: ālayavijñāna - is clearly the o b j e c t of *niveśanam kṛ-* (PG 34ab). Besides, if *tad = ālayavijñānam* were the grammatical subject of *niveśanam kṛtvā*, it would also have to be the subject of the main verb, viz. *upaiti prapañcitam*. This, however, appears difficult because the comm. itself explains *prapañcita* as the s u b j e c t i v e act of t h i n k i n g "I shall be", etc. - a function which of course, fits only *manovijñāna* and not at all the subliminal ālayavijñāna which is, after all, nowhere in the *Basic Section* of the Yogācāra-bhūmi documented to have been conceived of as an a c t u - a l c o g n i t i o n already at that stage of development. And even if *prapañcitam upaiti* could be understood not as i n d u l g i n g in such conceptual proliferation but rather as b e c o m i n g i t s o b j e c t , one would have to prove that ālayavijñāna is, in common people, the object of such d i s c u r s i v e notions - quite apart from the fact that ālayavijñāna is nowhere in the *Basic Section* expressly stated to be the object of the notion of 'I'.

B. As far as the comm. is concerned, the meaning of *niveśana* is, by its explicit equation with *ātmabhāva-pari-graha*, restricted to the "e x i s t e n t i a l" context of entering, or coming to stick to, a new (basis of) personal existence (see n. 1477(D)).

C. In these circumstances, I discern, theoretically speaking, three possibilities of understanding *tad ... niveśanam kṛtvā* in the present passage:

a) *niveśana* as a predicative locus noun:

"having made it (= ālayavijñāna) one's abode, or that to which one sticks".

b) *niveśanam kṛ-* as a unified verbal expression with a t r a n s i t i v e meaning:

"having stuck to it (sc. ālayavijñāna)".

c) *niveśanam kṛ-* as a unified verbal expression with a c a u s a t i v e meaning:

"having made it (= ālayavijñāna) enter [a new existence], or stick to or hide in [a new body]".

The alternatives *b* and *c*, although supported by Chin. and Tib., respectively (see n. 1422(E-F)), presuppose the p o s s i b i l i t y of taking *niveśanam kṛ-* as a unified verbal expression governing an accusative, which would seem to require additional evidence not available to me (see n. 1422(B.b)).

D. In case that, in spite of n. 1473, the reading *°d vi°* (instead of *°d dhi*) is preferred, a grammatically unproblematical semantical equivalent of the alternatives *b* and *c* would be obtained if the text is read as *tad-viniveśanam kṛtvā* (*b*: "having performed [the action of] settling in, or sticking to, it"; *c*: "having performed [the action of] settling it").

1475. I.e. [foolish or immature] people (*lokaḥ*): see n. 1474(A).

1476. See n. 1425(D). According to Tib. (Y<sub>t</sub> dzi 241b5: 'gyur ram mi 'gyur ba 'zig gu sñam pa), the conceptual proliferations 'bhaviṣyāmi', etc., have to be understood as questions (perhaps: "I wonder whether I shall be?", etc.); cp. also YVy 89b1 where the passage is quoted as 'byuñ bar 'gyur ram 'mi 'gyur 'zes / de'i phyir spros pa khas len to //.

1477. The following attempt to clarify the concept of ā t m a - b h ā v a p a r i g r a h a and its systematical context is only preliminary, but I hope that even in this form it may help to illuminate the possibilities of interpreting the present passage, and that it will at any rate facilitate a fuller investigation.

#### A. Materials

- ① Pañcav 76,1f.: *nāpi (bodhisattvās) tādr̥ṣam ātmabhāvaṃ parigṛhñanti yena nindantīyā bhavanti ...*
- ② Pañcav 185,7f.: *so (sc. bodhisattvaḥ) ... yādr̥ṣenāt-mabhāvena sattvānām śaknoty arthakaraṇāya tādr̥ṣam āt-mabhāvaṃ sañcintya parigṛhñāti /*; cp. 80,7.
- ③ Śat 1470,13ff.: *iha bodhisattvo ... sañcintyātmabhāvaṃ parigṛhñāti / ... katham bodhisattvo ... sañcintyātmabhāvaṃ upādatte? ...*
- ④ As 24,11ff. (AS<sub>G</sub> 24,23f.):  
*parigrahato 'vyākṛtaṃ katamat / yathāpi tac chilpa-sthānasyābhyastatvād āyatīyāṃ tadrūpaṃ ātmabhāvapari-graḥaṃ karoti yena laghu laghu eva teṣu śilpasthāneṣu śikṣāniṣṭhāṃ gacchati /*  
 "What is [morally] neutral in the sense that it is taken possession of [due to something neutral]? E.g. when [a person], because he has habitually practised a [certain] craft, in future (i.e. at the time of Linking up) takes possession of such a basis-of-per-

sonal-existence (or body) that he becomes quite easily extremely skilful in these (= such?) crafts."

Cp. the parallel passage AS 22,15f.: *parigrahataḥ kuśalaṃ katamat / yad ... puṇyakriyāvastunā ... svar-gopapattiparigraho ... /*

- ⑤ AS 29,8f. (AS<sub>G</sub> 27,22f.):  
*kathaṃ parigrahataḥ* (sc. *hetupratyayo draṣṭavyaḥ*: 28, 11f.) / *akuśalāḥ kuśalasāsravāś ca dharmāḥ, ātmabhāva-parigrahāya /*  
 (I.e.: Bad and impure good factors are (*vipāka*)*hetu* [in so far as they are conducive] to taking possession of a [new] basis-of-personal-existence; cp. ASBh 37,2of.: ... *āyatyām ... ātmabhāvasaṃgrhītasya* (ms. and ed.: -*vya-*) *vipākasyākṣepakatvāt.*)
- ⑥ AS 27,3f. (AS<sub>G</sub> 26,14; Matsuda 1983, 41): *nāmarūpaṃ kiṃkarmakam / ātmabhāvaṃ ... sattvān grāhayati ... /*  
 (ASBh 32,19f.: *tan-nirvṛtṭyā sattvānām nikāyasabhā-gāntara-bhajanāt.*)
- ⑦ MAVBh 21,14f.: *saṃparigrahān* *nāmarūpeṇātmabhāvasya* (sc. *parikliṣyate jagat*) /  
 [underlined parts: pratika of MAV I.10b+11b]
- ⑧ MAVṬ 37,17ff. (MAVṬ<sub>t</sub> 41a8ff.):
- ① *nāmarūpaṃ hi pañca skandhāḥ ... pratisandhim upā-dāya ... anutpannaśāḍāyatanā(ḥ) ... / tasmimś cōtpanne manuṣya-tiryag-ādi-nikāyasabhāga-bhedād ātmabhāvo bhidyata iti "nāmarūpeṇātmabhāvaḥ parigṛhīta" ity ucyate /*
- ② *athavāmarañāt* (i.e. °*vā ā-maraṇāt*) *sarvam evātmabhāvaṃ parigṛhṇāti, tatprathamataḥ sarvasya hetu-bhāvena vyavasthānād iti /*
- ③ *yañ na dbyer med kyañ miñ dañ gzugs kyis lus yonś su 'dzin to źes 'di tha dad par ston to // dper na 'dus byas thams cad phui po lña rnams kyis kun bsdus so źes bya ba lta bu'o //*

<\*athavā 'bhede 'pi nāmarūpeṇātmabhāvaḥ parigrhya-  
ta iti ... bhedena nirdiśyate (?) / yathā "sarvaṃ  
saṃskṛtaṃ pañcabhiḥ skandhaiḥ saṃgrhitam" iti /

- ⑨ MAVṬ 42,4-6: parigrahaḥetur nāmarūpa-ṣaḍāyatane (= MAVBh 22,6) iti, upanītasya nāmarūpa-ṣaḍāyatanābhyāṃ nikāyasabhāga-parigrahāt /

- ⑩ MSg I.34: mñam par ma bzag pa'i sa nas śi 'phos pa'i yid bar ma do'i srid pa na gnas pa'i tshe yid kyi rnam par śes pa ñon moñs pa can gyis ñiñ mtshams sbyor ba sbrel te (MSgU<sub>t</sub> 257b5f.: lus yoñs su 'dzin ces bya ba'i tha tshig go) /

(\*asamāhitāyā bhūmeś (MSgU: = kāmādhātōś) cyutasya an-  
tarābhavasthaṃ manaḥ (MSgU: = cittam) kliṣṭena manovi-  
jñānena pratisandhiṃ badhnāti (MSgU: ātmabhāvaṃ pari-  
grhṇātīty arthaḥ) /)

- ⑪ ASBh 47,7f.: upādāna-saṃgraho yathā svāminā ātmīyataḥ parigrhītānām (ms. -tādīnām) dāsādīnām tathālayavijñā-  
nenātmabhāvasya /

"'Gathering' in the sense of 'taking hold of' [obtains in the case] of the basis-of-personal-existence, [in so far as it has been taken possession of] by ālayavi-  
jñāna, in the same way as slaves, etc., have been taken possession of by the owner as his own."

- ⑫ ASEh 11,12f.: punaḥ punaḥ pratisandhibandhe ātmabhāvô-  
pādānād ādānavijñānam /

- ⑬ MSg I.5 (≡ PSkViv 95b3-5; cp. MSg<sub>N</sub> 11f. and H 1978a, 216f. and 226):

- ① lus (Hts.: 自體) thams cad ñe bar len pa'i gnas su gyur pa'i phyir te (\*sarvātmabhāvôpādānāśraya-  
bhūtātām upādāya(?)) /

- ② 'di ltar ... ñiñ mtshams sbyor ba sbrel ba na yañ de mñon par 'grub pa ñe bar 'dzin pa'i phyir (PSkViv: ... 'grub pa dañ len pas) lus bzun ba

(D; cp. PSkViv *zin pa*) *yin te* (\**pratibandhiban-*  
*dhe ca tad-abhinirvṛṭty-upagrahaṇena*<sup>1</sup> *ātmabhāvo*  
*grhīto bhavati*) /

MSgBh<sub>t</sub> 150a6f. (cp. Y. Sasaki 1982, 191): ... *lus*  
*thams cad*<sup>2</sup> *yoṅs su gzun* (D) *ba byas par* (D *pas*)  
'*gyur te* / *kun gzi rnam par šes pa la lus thams*  
*cad*<sup>2</sup> *kyi bag chags kun tu gnas*<sup>3</sup> *pa'i phyir ro*  
(\*... *sakal(asy)ātmabhāva(sya)parigrahaḥ kṛto*  
*bhavati, ālayavijñāne sakalātmabhāvavāsanā-sannī-*  
*veśāt(?)*) //

1. H 1978a, 226: -*upagrahaṇārtham*, but contradict-  
ed by PSkViv *len pas*, MSgBh<sub>t</sub> 'dzin par byed  
*pas*, and Pa. 由 ... 故. - PSkViv appears  
to have misunderstood -*abhinirvṛṭty-upagrahaṇa-*  
as a dvandva.

2. Hts., Pa.: 一期 ; Dharmagupta: 具足 ; cp. MSgU<sub>t</sub>  
240b1 *mtha' dag*.

3. Hts., Dharmagupta: 住 .

⑭ Śat 110,3f.: *mamānubhāvāt tataś cyutvā mānuṣyam ātma-*  
*bhāvaṃ pratilapsyante* /

⑮ AS 54,5 (ASBh 65,3f.): *ātmabhāvaparigrahayor vipattiḥ*  
(AS 54,8: *sampattiḥ*);  
cp. AS 1,14f. (ASBh 1,16) *saparigraha-deha-* and AS 2,2  
(ASBh 1,20) *deha-parigrahābhyām* ("body and posses-  
sions").

⑯ ASBh 45,5f.: ... *ālayavijñānōpādāna-vaśenātmabhāvō-*  
*pasthāpanāt* / *tathāhi tad-viyukta āśrayaḥ pūtibhavati* /

⑰ AKBh 333,19: *na cātmabhāva evaṃ kenacid āgrhīto yathā*  
*tṛṣṇayā* /

## B. Analysis of the compound

As is evident from the frequent verbal phrase *ātmabhāvaṃ*  
*parigrah-* (①-③); (8b); cp. (6)), *ātmabhāvaparigraha* will  
usually have to be interpreted as a tatp. with an action

noun as its second and a genitivus objectivus as its prior member (cp. (7)). In the Abhidharmasamuccaya ((15)), however, we meet with an entirely different use, viz. as a dvandva in the sense of "basis-of-personal-existence (or: body) and possessions". This would seem to mean that the compound was semantically not petrified, as it were, and was open to more than one use. Thus, its occasional use in the sense of "taking possession of [something] as one's basis-of-personal-existence" or "possession consisting in the basis-of-personal-existence" can hardly be ruled out *a priori*. In fact, the first of these possibilities is chosen by the Chin. translation of the present passage (攝爲自體). For the second possibility, cp. the interpretation of the compound *ātmabhāva*-...-*pratīlambha* (see below: D.b) at AĀ 362,17f. (*tad eva pratīlabyate iti pratīlambhaḥ*).

### C. Syntax

In "ordinary" phraseology, the grammatical or at least logical subject of the verbal phrase *ātmabhāvaṃ (pari)grah-* (or *ā.-parigrahaṃ kṛ-*) is, explicitly or implicitly, a person ((1)-(4); (9)<sup>1</sup>), or living beings (cp. (6)). Occasionally, however, a "de-personalized" phraseology is preferred. In that case, the subject or agent is naturally supplied by "person-substitutes" like mind ((10): *manas* in the sense of the mind series; cp. Hsien-yang 580a19-21 quoted in n. 1408) or *ālayavijñāna* ((11); cp. (12)); but even the initial factor of the new existence may figure in this function ((8b), probably also (7)<sup>2</sup>, (8a) and (8c)). In "ordinary" phraseology, the initial factor, appearing in the instrumental ((9)) or as the grammatical subject of a causative verb ((6)), will have to be taken as the means or starting-point of *ātmabhāva-parigraha*, as holds good also for the *manovijñāna* immediately preceding the beginning of the new existence and functioning as the

initiating factor ((10)). The initial factor, on the other hand, is *nāmarūpa* ((6)-(8)), but in ((13)) it seems to be *ālayavijñāna* (see below: G).

1. Provided that I am right in taking *upanītasya* as a genitivus subjectivus.
2. The agentive function of the instrumental is probable in view of some of the parallel sentences, which contain causative action nouns, as e.g. MAVBh 21,13f.: *nayanād* (= MAV I.10b) *viññānenōpapattisthāna- s a ṃ p r ā p a ṇ ā t*.

#### D. Purport of *ātmabhāva-parigraha* and related notions

a) The expressions *ātmabhāvaṃ parigrah-* and *ātmabhāva-parigraha* denote taking hold or possession of (a new basis of) personal existence, especially in explicit or implicit connection with the moment of Linking up (*pratisandhi*). Instead of or side by side with *pari-grah-/parigraha* ((1)-(5), (8)-(11); cp. also MSgBh ad (13b)), not only simple *grah-* ((6)) and metrical *saṃparigraha* ((7)) but also *upā-dā-/upādāna* ((3); (11)-(12)) or *ādāna* (see § 3.9.2.5 + ns. 339 and 340) may be used.

b) *Ātmabhāvaparigraha* is thus closely related to the notions, already met with in the canonical texts, of *ātmabhāva-pratīlambha* and *ātmabhāvābhiniṣṭhāna*. In these latter notions, however, the beginning of a new existence is viewed as a heterogeneous event (cp. *maṃ ānubhāvāt* in (14)!), whereas *ātmabhāva-parigraha* would seem to point to something one takes up voluntarily, as is confirmed by *saṃcīntya* in (2) and (3) referring to the autonomous rebirth of a Bodhisattva. In the case of the Yogācāra sources, the expression is, to be sure, used with regard to ordinary rebirth determined by *karman*. But nevertheless it would seem to have preserved, in some instances at least, an aspect of voluntariness, assent, or at any rate involuntary but active participation (rendered explicit in the expression *ā-parigrahaṃ karoti*:



(4)), perhaps on account of rebirth being viewed in this case from the angle not so much of karmic determination as of the desire to be reborn (*tr̥ṣṇā*, *prapañcābhirati*; cp. also the description of rebirth in n. 844). This is particularly the case in the present passage (viz. comm. ad PG 33-34) where *ātmabhāva-parigraha*(ṃ kṛ-) serves to explain *niveśana*(ṃ kṛ-). It is, occasionally, palpable even in occurrences of related expressions like *vipāka-*, *phala-* or *vipāka-phala-parigraha* (cp. Y 25,21f.; 62,4; 200,15; Y<sub>t</sub> zi 6a3; (°-)parigrahaṃ kṛ-: PG 27d; BoBh<sub>p</sub> 91,9f.; AKBh 122,15f.), e.g. at Y 6,2 and 12,3f. where *iṣṭāniṣṭaphalaparigraha* is enumerated among the functions or activities (*karman*) of sense perceptions and *manas* (i.e. *manovijñāna*: see § 6.2.4).

c) *Ātmabhāva-parigraha* is thus used in what one may call - in a literal sense - the "existential" context, or - in a lax sense not intended to exclude rebirth in the *ārūpyadhātu* - the "reincarnational" context. It is, as far as I can see, not used in the sense of biological appropriation of corporeal matter; in this sense, *ātmabhāva* will have to be combined with *upādāna* ((16)), not *parigraha*. Nor does *ātmabhāva-parigraha* seem to be used in the sense of spiritually evil clinging to (the basis of) personal existence - an idea which may, however, be expressed (apart from other phrases like *\*ātmabhāv ālaya* [PSk<sub>t</sub> 17a4: see n. 140] and *ātmabhāvam upādā-* [ASBh 2,6f.]) by *ātmabhāvam āgraha-* ((17)).

#### E. Meaning of *ātmabhāva*

The concept of *ātmabhāva* involves several aspects which are not mutually exclusive but may be variously stressed or ignored according to the context (cp. Collins 1982, 156ff.):

- a) "Qualitative" aspect: a particular existence or life in so far as one belongs to a s p e c i f i c c l a s s of living beings. Under this aspect, *ātmabhāva* comes close to the concept of *nikāyasabhāga* (cp. (9); ASBh ad (6); AKBh 122,15f.).
- b) "Temporal" aspect: a particular existence or life covering a certain s p a n o f t i m e .
- c) "Concrete" aspect: the "basis-of-personal-existence" (more or less equivalent to *āśraya*: see § 7.1B.2.1.3.a + n. 1009 and n. 372), i.e. the constituents on which a certain personal existence is based, or which forms its nucleus resulting from karmic Maturation, especially the *vipākajaṃ ṣaḍ-āyatanaṃ* (see § 3.11.2).
- d) The "concrete" aspect is (as also in the case of *āśraya*: see ns. 187 and 796) sometimes narrowed down to the most palpable and solid part of the basis-of-personal-existence, i.e. corporeal matter or even the b o d y (thus unambiguously in (16)).

#### F. Problems

- a) If *ātmabhāva* is, in the sense of E.b and c, understood as the w h o l e (basis) of a certain personal existence, i.e. as the sixfold Basis (*ṣaḍāyatana*), and/or as covering the entire span of life until death, the question arises how this *ātmabhāva* can nevertheless be stated to be taken possession of by the initial factor or phase, e.g. *nāmarūpa* which denotes the state p r e c e d i n g that of *ṣaḍāyatana* ((8a)), i.e. does not yet consist of all the six Bases and covers only the very first part of a life-span.

Sthiramati offers two solutions: According to (8a), a given (basis of) personal existence is said to be taken possession of already by *nāmarūpa* because [in spite of the absence of the complete *ṣaḍāyatana*] it is already with the origination of *nāmarūpa* that a given existence is specified

in the sense of belonging to a particular class of living beings. According to (8b), on the other hand, *nāmarūpa* can be stated to take possession of the whole [period of a given] personal existence until death because *nāmarūpa* is the first<sup>1</sup> factor to be definitively established as the cause of the whole [existence].

1. Cp. MAVṬ 42,8f. pointing out that by *nāmarūpa* the [new] basis-of-existence as such (*ātmabhāvamātra*) - be it complete or incomplete - is taken hold of (*saṃgraha*: cp. ASBh 47,7) for the first time.

b) If, in contrast to what was presupposed in *F.a*, the notion of *ātmabhāva* is used in such a way that the aspect of completeness is disregarded, viz. in the sense of the basic constituent(s) of personal existence at any time, be they complete or only rudimentary, it can, it is true, be used also for the initial element of a new existence even if the latter does not yet include all the six sense-faculties, i.e. also for the phase of *nāmarūpa*. But since in this case *nāmarūpa* and *ātmabhāva* would be non-different, it would, strictly speaking, become impossible to say that the *ātmabhāva* is taken possession of by *nāmarūpa* ((7)). Thus, such a statement is, from this point of view, a mere mode of expression not to be taken literally (cp. (8c)).

#### G. Mahāyānasamgraha I.5

The problem described in *F.a* and, in substance, also the solution offered in (8b) can probably be traced back to the difficult passage MSg I.5. In this text, the initial factor is, however, ālayavijñāna.

- a) MSg I.5 states that ālayavijñāna may, for two reasons, also be called '*ādānavijñāna*'. The second reason is that it is the basis (*\*āśraya*) for taking possession of the whole<sup>1</sup> (basis of) personal existence ((13a)); for by taking hold of its coming forth (i.e. of the new ālayavijñā-

na coming forth<sup>2</sup>) at the moment of Linking up (*pratisandhi*), one [automatically] takes possession of the new existence and its constituents [as a whole] (13b), because - according to the commentaries<sup>3</sup> - *ālayavijñāna* contains, or is permeated with, Impressions (*vāsanā*) [functioning as Seeds for] that existence (and its constituents) as a whole.

1. According to Y. Sasaki (1982, 191f.), *\*sarvātmabhāva* has to be understood as all kinds of personal existence. This may not be impossible if taken in the sense of (8a), but the fact that instead of *\*sarva-* the commentaries use *\*sakala* ("complete") favours an interpretation in the sense of (8b). Quite explicitly so VGPVy 376a4f. *lus skye ba ril dan 'brel pa and b2 skye ba ril dan 'brel pa'i lus* ("the *ātmabhāva* belonging to a whole life (*\*sakala-jarman-?*)").
2. Formations in *-ti* may designate the concrete result (cp. S 1969a, 104 and 112; Ratnākaraśānti, *Sārata-mā* [ed. Jaini, Patna 1979], 173,1f.: "*dharmadhātuvīśuddhiḥ*" "*suviśuddho dharmadhātuḥ*" ... *iti nārthabhedāḥ kaścit*; cp. Wackernagel II,2, p. 635ff.).
3. See MSgBh ad (13b); cp. also MSgU, 240a8f.: *kun g'zi rnam par ses pas lus mtha' dag ... lus<sup>t</sup> mtha' dag gi bag chags bsgos pa'i phyir yonś su bzuñ ba yin no //*
- b) If this interpretation is correct, *ālayavijñāna* is, in this passage (viz. (13b)), the object of the act of "taking hold" (*\*upagrahaṇa*). The subject is not expressed and I have supplemented the "person" who would seem to be intended as such. But an interpretation in the sense that it is, ultimately, *ālayavijñāna* itself that takes hold of its "rebirth" does not appear impossible either.<sup>4</sup>
4. The same result would be obtained if *tad-* were taken as the logical subject not of *\*abhinirvṛtti-* but of *\*upagrahaṇa-*, but to my mind such an analysis (which runs counter to at least Tib. and Hts.) is less probable. There is an analysis of the compound in MSgBh, but Tib. appears to be corrupt. Considering the evidence of all versions (MSgBh<sub>t</sub> 150a7f.; MSgBh<sub>c</sub> [Hts.] 325b1-3 and [Dharmagupta] 274a25f.), I should expect the original to have been something like *\*tasyābhinirvṛttis tad-abhinirvṛttiḥ / tad-abhinirvṛtter upagrahaṇam tad-abhinirvṛtṭy-upagrahaṇam / tad-upagrahaṇāc* (?; Tib. °ṇaṇ?) *cātmabhāvo-pādānam* (?; Tib. °votpādānam?) /. But this is anything but certain.

c) On the other hand, most scholars<sup>5</sup> have interpreted \**tad-* in (13b) as referring to *ātmabhāva-* or *sarvātmabhāva-*, supplying *ālayavijñāna* as the logical subject of the "taking hold" (\**upagrahaṇa*) of the arising of a new *ātmabhāva* (or of the newly arisen *ātmabhāva*<sup>6</sup>) which may, in this case, be taken more or less in the traditional sense of *ṣaḍāyatana* or its rudimentary prefiguration. This interpretation would seem to be supported by \**Asvabhāva*'s commentary<sup>7</sup>. To be sure, the compound *ātmabhāvābhinirvṛtti* (See D.b) is fairly common (cp., e.g., CPD s.v.; Y 25,12). But \**tad-* = *ālayavijñāna-* is not impossible either, for in *Y<sub>t</sub> zi 5b8* (see n. 418) \**tad-abhinirvṛtti-* clearly stands for *ālayavijñānābhinirvṛtti-*. Besides, (13b) stands, as the explanation of the second reason for *ālayavijñāna* being also called '*ādānavijñāna*', parallel to the preceding sentence which explains the first reason (viz. biological appropriation). Now, in this explanation of the first reason<sup>8</sup> the demonstrative pronoun (*des*) unambiguously refers to *ālayavijñāna*. Thus, it is reasonable to assume the same for the demonstrative pronoun in the explanation of the second reason also, i.e. for the \**tad-* in \**tad-abhinirvṛtṭy-upagrahaṇena*, because otherwise there would not be, in this second explanation, any explicit reference to *ālayavijñāna* at all. I therefore prefer to understand the passage to mean that by taking hold of the new *ālayavijñāna* at the moment of Linking up, the new existence as a whole, and its basis in its entirety, is virtually taken possession of.

5. Cp. MSg<sub>L</sub> vol. II, p. 15; MSg<sub>N</sub>, 86; Y. Sasaki 1982, 179f.; Takeuchi 1985, 268,4.

6. See G.a, footn. 2.

7. MSgU 24oa7-b1 (H 1975, (18)), which seems to explain *pratīsandhi-* as \**ātmabhāvābhinirvṛtti-*, *-bandha* as \**-parigraha*, and to make *ālayavijñāna* the subject or agent of taking possession of the whole *ātmabhāva* (though this last is not confirmed by MSgU<sub>C</sub> (383c7)).

8. MSg I.5: 'di ltar tshe ji srid par rjes su 'jug gi bar du d e s dbaṅ po gzugs can lña po (om. in Ch.) dag ma zig par ñe bar bzun (D) ba ...; PSkViv 95b4: 'di ltar des dbaṅ po gzugs can dag zin na ji srid 'tsho'i bar du mi 'chi bar gnas so //; Buddhaśānta (T 1592, 97c7f.): 如是彼依諸色等根不壞者、 乃至命不盡隨順故 ; presumable original (cp. H 1978a, 226; Aramaki in MSg<sub>N</sub>, 11): \*tathāhi tena (or tad-) upagṛhītāni rūpiṇīndriyāṇy avi-naṣṭāni yāvadāyur (or yāvajjīvam) anuvartante (thus PSk-Viv and probably also Hts.; MSg<sub>t</sub>, Aramaki, Hakamaya: \*yāvad āyur anuvartate; Buddhaśānta may have read \*-ṣṛtā-nī instead of \*-gṛhītāni).

#### H. Ātmabhāvapari-graha and ālayavijñāna

From the preceding exposition, the following consequences would seem to be derivable with regard to possible relations of *ātmabhāvapari-graha* and *ālayavijñāna*:

- a) In (11), and perhaps also in MSgU ad (13b) (see G.c, footn. 7), *ālayavijñāna* is expressly stated to be the subject or agent of the act of taking possession (*pari-grah-*) of a new *ātmabhāva* (cp. also (12) and § 3.9.2. 5).
- b) In (13b), *ālayavijñāna* is stated to be the basis of taking possession of the new *ātmabhāva* as a whole, because in the beginning of a new existence *ālayavijñāna* is the object of a similar act of taking hold (\**upagrahaṇa*) in which taking possession of the developing *ātmabhāva* as a whole is involved and anticipated.
- c) If, in the sense of F.b (→ (8c)), the term *ātmabhāva* is not restricted to the complete basis-of-personal--existence but applied to any stage of its development, *ālayavijñāna*, as the initial element of the new existence and as that from which the entire development of the latter derives, may also be called *ātmabhāva*. In this case, *ālayavijñāna* would be the logical object of the (*ātmabhāva*)-*pari-graha* proper. Unfortunately, I cannot substantiate this

by an explicit text passage but only by a kind of cumulative evidence: On the one hand, the *Pravṛtti* Portion ( $Y_t$  zi 6a3) mentions the "taking possession of [the Result-of-]Maturation of ālayavijñāna" (\*ālayavijñānasya vipākasya (or vipāka-?) *parigraha*:- see n. 417), and however the passage may have to be understood grammatically, it is clear from the corresponding passage  $Y_t$  zi 5b8 (\**tad*- (= ālayavijñāna-) -*abhinirvṛtti*:- see n. 418) that the [Result-of-]Maturation (*vipāka*) to be taken possession of is the new ā l a y a - v i j ñ ā n a (see § 3.12.5). On the other hand, ālayavijñāna has, in Y 192,8 (see § 6.5.1), come to take the position of the traditional ā t m a b h ā v a as the main or primary result of karmic Maturation (see § 6.5.4). Cp. also MSg I.21, declaring ālayavijñāna to comprise all ātmabhāvas, and PSk<sub>t</sub> 17a4 (see n. 140) according to which ālayavijñāna is the o b j e c t of Clinging to the ātmabhāva.

I. Ātmabhāvaparigraha as an explanation of niveśana

a) In the present passage (comm. ad PG 33-34), ātmabhāvaparigrahaṃ (*kṛtvā*) is presented as an explanation of *niveśanaṃ* (*kṛtvā*) in PG 33c. In fact, the latter can, in this pāda, be replaced by the former in its usual meaning (action noun with its logical object as prior member [see B]; subject: *lokaḥ* [see C]), without the slightest syntactical difficulty. It will not be felt to be disquieting that in PG 34a such a replacement appears to be possible only *ad sensum* (e.g. by taking *yat tan niveśanaṃ kṛtam* to mean "that which has been taken possession of as the basis-of-personal-existence"). It may, however, be found somewhat unsatisfactory that the same is also true of the slightly modified repetition of PG 33cd in the comm. (*tad dhi niveśanaṃ kṛtvā* ...).

To be sure, if *tad* could be taken as the s u b j e c t, there would be no difficulty in replacing *niveśanaṃ* by *ātmabhāvaparigrahaṃ* in its u s u a l meaning (action noun

cum object). But as was shown in n. 1474(A), such an interpretation of the sentence is highly improbable, and *tad* will have rather to be considered as the object of *niveśanaṃ kṛtvā*. In that case, however, a replacement of *niveśana* by *ātmabhāvapari-graha* in its usual meaning (action noun cum object) would seem to be impossible. For if *niveśana* is taken as a predicative locus noun (n. 1474(C.a)), it could be replaced by *ātmabhāvapari-graha* only if the latter is, by way of exception, understood as a karmadhāraya ("having made it one's possession consisting in the [new] basis-of-personal-existence"). If, on the other hand, and provided this is possible, *niveśanaṃ kṛ-* is understood as a unified verbal expression (n. 1474(C.b)), an interpretation of the sentence in terms of *ātmabhāvapari-graha* will be possible only ad sensum ("having taken possession of it as one's basis-of-personal-existence"). In case of a causative interpretation of *niveśana* (n. 1474(C.c)), a mechanical substitution of *ātmabhāvapari-graha* for *niveśana* does not work, but the whole expression '*tad ... niveśanaṃ kṛtvā*' ("having (re)settled it (sc. ālayavijñāna)") may be taken to be equivalent to "having taken possession of a [new] personal existence" (*ātmabhāvapari-grahaṃ kṛtvā*).

Thus, in the case of the comm. sentence '*tad dhi niveśanaṃ kṛtvā ...*', an application of the present explanation of *niveśanaṃ (kṛtvā)* by *ātmabhāvapari-grahaṃ (kṛtvā)* would seem not to contradict nor to favour any of the interpretations considered in n. 1474(C). It would support rather the interpretation of *tad* as the subject of the sentence, which, however, has to be discarded for other reasons (see n. 1474(A)).

b) Likewise, the different interpretations of the comm. sentence '*tad dhi niveśanaṃ kṛtvā ...*' involve different views on the relation of ālayavijñāna to *ātmabhāvapari-graha*, but there seems to be no clue as to



which of these views deserves preference. For

α) according to *H.c*, the view - implied if *niveśana* is taken as a predicative locus noun or as a transitive action noun - that *ālayavijñāna* is what is, at the moment of Linking up, taken possession of as the new *ātmabhāva*, will hardly be objectionable.

β) If, on the other hand, *niveśana* is interpreted in a causative sense, *ālayavijñāna* would have to be regarded either as the logical subject of taking possession of a new basis-of-personal-existence (in the traditional sense: see *E.c*), or as the initial factor by means of which a new existence is taken possession of; and these two alternatives would not involve serious problems either (cp. *H.a* and *H.b*).

γ) Nor would it be possible, from this point of view only, to rule out even the alternative, discarded for other reasons (see n. 1474(A)), of taking *tad* = *ālayavijñānam* as the grammatical subject of the sentence and thus of *ātmabhāvaparigrahaṃ kṛ-*; for this would materially coincide with the first alternative of β and thus likewise keep within the range of the syntactical possibilities of *ātmabhāvaṃ pari-grah-*, etc. (see *H.a*).

1478. Cp. ŚrBh 272,4f.: ... *aprahīnakleśasya* ... *-dauṣṭhulyam avigataṃ bhavati sadānuṣaktam*. Somewhat differently: BoBh<sub>D</sub> 131,27f.: *tān* (sc. *sattvān*) ... *tiṣṭhīr duḥkhatābhir anuṣaktān paśyati*, ŚrBh 257,13f.: *trivedanābhir*<sup>1</sup> *anuṣaktāḥ*, and ŚrBh 385,6f.: *trividha-duḥkhatānuṣaktasya*<sup>2</sup> ... *duḥkha-skan-dhasya*.

1. Thus ms.; cp. Wackernagel II,1, 307.

2. Ed. *-pakṣasya*, but ms. (indistinct) admits of being read as *-ṣaktasya*, as is unambiguously supported by Tib. (Y<sub>t</sub> wi 170a1) and Ch. (Y<sub>c</sub> 454c1f.) using the same equivalents as in the case<sup>c</sup> of BoBh<sub>D</sub> 131,27f. (Y<sub>t</sub> zi 114a8; Y<sub>c</sub> 523c14), viz. *dañ 'brel pa* and 之所隨逐.

1479. Viz. the fundamental Suffering representing *saṃskāra-duḥkhatā*, which, in the comm., is equated with *ālayavijñāna* (see p. 236,13f. + n. 1472 and p. 238,7).
1480. Viz. - in view of "pleasure" (*sukha*) and "pain" (*duḥkha*) in the verse to be explained - *vipariṇāma*- and *duḥkha-duḥkhatā*. Cp. also n. 1471.
1481. See n. 1435.
1482. *dharmacaryaiva*, confirmed by both manuscripts, is, in view of *dharmacaryayā* in the verse, probably a mistake for *dharmacaryayaiva*. Theoretically one could take it as an instrumental of *dharmacarī* (for *carī* instead of *caryā*, see BHSD s.v.), but I am by no means sure that this form of the word does occur in Y. At any rate, the syntactical situation requires an instrumental.
1483. If this explanation is not merely traditional (see the explanation of the Pārāyaṇa parallel ( $\approx$  Sn 1034f.) quoted in n. 1440), it would seem to imply that *ālayavijñāna* is, in this passage, regarded as the source of the sense-faculties. Such a view would, especially if one considers the paucity of similar statements in the earliest Yogācāra sources (see § 3.13), be quite remarkable, even if the present passage does not expressly state that the sense-faculties proceed from *Seeds* in *ālayavijñāna*.
1484. Probably to be understood not in a cosmological but in an "existential" sense (cp. MSg I.21), perhaps in the sense that *ālayavijñāna* has already taken over the rôle of the *ātmabhāva* containing the *Seeds* of all kinds of personal existences (Y 25,3ff.).
1485. I take the instrumental in the sense of Pāṇ 2,3,21 (*ittham-bhūtalakṣaṇe* [sc. *tr̥tīyā*]; cp. Tib. (Y<sub>t</sub> dzi 242a3) ... *yoṅs su ṣes par bstan to*), i.e. in the sense of the more usual instr. of the abstract. If one insists on a truly instrumental function, one would have to translate: "... [to be performed] by means of ...".

1486. a) As for the interpretation of this passage, cp. n. 1444, though it has to be admitted that the emphasis on the 1st person is, in the comm., less conspicuous than in the verse, and that, in contrast to the verse, there is, in the comm., no disequilibrium between pain and pleasure.

b) Ch.: "(Understanding bondage means) precisely [the same thing as] understanding Suffering, i.e. understanding that [conceptions like] 'I feel pain, [I] feel pleasure', all refer to [what is in reality nothing but] Suffering" (Y<sub>c</sub> 365b22f.: ... 即了知苦。謂了知我受苦受樂皆依於苦。). This rendering - in substance it would seem to correspond to Hts.'s interpretation of the verse (see n. 1444(C)) - is however not easily reconciled with the Skt. text (unless, perhaps, one presupposes a dittography of *duḥkham* (eva)).

c) Tib.: "(Understanding bondage means) to understand like this: one apprehends pain [by thinking] '[I am?] pain-ed', and when one is pleased, one apprehends oneself (or: one's Self ?) to be pleased" (Y<sub>t</sub> dzi 242a3f.: ... 'di ltar yoris su šes pa ste / sdug bsñal la yañ sdug bsñal lo sñam du 'dzin pa dañ / bde na yañ bdag ñid bde'o sñam du 'dzin pa'o //). This rendering - substantially agreeing with the Tib. rendering of the verse (see n. 1444(D)) - would seem to presuppose a different punctuation and a dittography of *sukhita* (*duḥkham ... vyavasyati duḥkhitaḥ / s u k h i t a ḥ sukhito 'smīti ... (?)*). Such a construction of *asmi* and *ātmānam* with *sukhitaḥ* only is however (especially in view of the wording of the verse) highly improbable, quite apart from the problems of content involved (for which see n. 1444(D)).

d) Wayman's translation (W. 184) is as follows:

"(... there is complete knowledge of bondage ... when one so recognizes: he takes it as just suffering. But ... he who when suffering takes himself as happy has an imagination that is ...".

This rendering (which is of course based on the reading of ŚrBh<sub>m</sub>) may have been motivated by the plausible wish to have the content of *parikalpa* concretized in the text; but for grammatical reasons it cannot but be rejected. For to take *sa ca parikalpaḥ* as the apodosis to "*yo ... vyavasyati*" is not only excluded by *ca* but still more by the fact that the person who takes himself as happy cannot, of course, be the *parikalpa*. He can, as Wayman actually puts it, only have the *parikalpa*. But such a rendering would require a different text (viz. *ta s ya parikalpaḥ*) and hence is incompatible with the text as it stands. Thus, there is no alternative to taking the relative clause with the preceding *duḥkham eva vyavasyati*. Starting from the text of ŚrBh<sub>m</sub>, it would in fact be natural to translate as follows:

"When one, [though in reality] suffering, conceives oneself to be happy, one conceives [as pleasure what in reality is] nothing but Suffering",

but the oddness of this rendering is obvious as it would involve redundancy of the main clause, the information of which would already be contained in the expression *duḥkhitāḥ* of the relative clause. Besides, such an interpretation of this comm. passage would be altogether incompatible with the wording of the verse.

1487. Cp. n. 1445.

1488. Cp. n. 1446.

1489. Acc. to Tib., Ch. and W. Both ŚrBh<sub>m</sub> and Y<sub>m</sub> have *saptabhir*, but they, too, contain only six more verses.

1490. I.e. Because, when a defiled state of mind has arisen, it has by necessity arisen together with the Defilements that make it a defiled state of mind, and because on account of its momentariness it does not pre-exist these Defilements.

1491. Because, on account of their momentariness, both the Defilements and the defiled state of mind have ceased to exist already in the very next moment after their simultaneous existence. Thus, being no longer in existence, the defiled state of mind has no future chance to be freed from the Defilements.
1492. For this elliptical use of *yadā tarhi* cp. AKBh 77,21: *ya-dā tarhi sadṛśā utpadyante / na te nirviśeṣā bhavanti /*, which one would have to translate as follows: "[Objection (cp. Tib. 'o na gañ gi tshe 'dra bar yañ skye'o *zé na*):] Then [how is there change of the series] when a succession of similar [moments] arise[s]? [Answer:] These moments are not completely alike." That the sentence beginning with *yadā tarhi* is best understood as an elliptical question (to be supplied by *tadā katham* or the like) - especially a rhetorical question pointing out a difficulty which the interrogator may regard to be fatal to the position of the defensor - is corroborated by the more frequent analogous elliptical construction with *yat tarhi* (e.g. AKBh 76,23; 215,5; 258,14; 283,20; 284,14; 285,4; 361,11; 388,10; 396,10; similarly *yas tarhi* at 282,17), which is, occasionally, expressly supplied by *tat katham* in Yaśomitra's Vyākhyā (e.g. AKVy 376,12 [ad 215,5]; 454,25 [ad 283,21], or, still more explicitly, 424,27f. [ad AKBh 258,14: *yat tarhi ... uktam '...' iti /*: ... *yat ... uktam '...' iti, tat katham na virudhyata iti vākyārthaḥ*). The Tibetan translation of AKBh, too, frequently supplies (*de*) *ji lta bu* (*yin*, or: *zé na*).
1493. Viz. in the sense of "free (by nature)", not of "freed" (viz. from non-freedom) (see n. 1453).
1494. See n. 1452.
1495. See n. 1453.

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- Pāṇ Pāṇini
- Pañcav Pañcaviṃśati-sāhasrikā Prajñāpāramitā, ed. N. Dutt, Calcutta 1934.
- PG Paramārthagāthāḥ (of the Cintāmayī Bhūmiḥ of the Basic Section of the Yogācārabhūmi), ed. in ŚrBh<sub>w</sub>, 167ff.; PG 26-41 re-edited in App. II of the present study.
- Pj II Paramatthajotikā on Sn
- Pr Prasannapadā (Candrakīrti), ed. L. de La Vallée Poussin (Mūlamadhyamakakārikās de Nāgārjuna avec la Prasannapadā ..., repr. Osnabrück 1970 (1st ed.: 1903-13) (Bibliotheca Buddhica, IV).
- Prak Prakaraṇa(-pāda): T 1542.
- Ps Papañcasūdanī MN-aṭṭhakathā (Buddhaghosa)
- PSk Pañcaskandha-prakaraṇa or Pañcaskandhaka (Vasubandhu).
- PSk<sub>c</sub> Chinese translation of PSk: T 1612.
- PSk<sub>d</sub> J. Dantinne, Le Traité des Cinq Agregats, Bruxelles 1980.
- PSk<sub>t</sub> Tibetan translation of PSk: Tj 5560 (mDo-'grel vol. si).
- PSkBh Pañcaskandha-bhāṣya (\*Prthivībandhu or, perhaps, Vasubandhu, but perhaps not the real name of the author of the commentary,<sup>1</sup> who is in any case different from the author of PSk<sup>2</sup>): Tj 5569 (mDo-'grel vol. hi).

1. Cp. Matsuda 1984, (12)=85 note 5.
  2. Cp. the presumable misinterpretation, also in PSkBh, of the second explanation of the term 'ālayavijñāna' in PSk (see n. 140 + footnote 1).
- PSkVai Pañcaskandhaprakaraṇa-vaibhāṣya<sup>1</sup> (Sthiramati): Tj 5567 (mDo-'grel vol. hi).
1. This title may not be authentic since Sthiramati himself refers to the work as Pañcaskandhakopani-bandha (TrBh 39,4).
- PSkViv Pañcaskandha-vivaraṇa (Guṇaprabha): Tj 5568 (mDo-'grel vol. hi).
- PSVy Pratītyasamutpāda-(ādi-vibhaṅga-nirdeśa-)vyākhyā<sup>1</sup> (Vasubandhu): Tj 5496 (mDo-'grel vol. chi).
1. On the problem of the title of the text see de Jong 1974, 145 = 1979, 245.
- PSVyṭ Pratītyasamutpādavyākhyā-ṭīkā or Pratītyasamutpādādi-vibhaṅganirdeśa-ṭīkā (Guṇamati): Tj 5497 (mDo-'grel vol. chi).
- PV Pramāṇavārtika (Dharmakīrti); ch. II (Pramāṇasiddhi): see Vetter 1984; ch. III (Pratyakṣa): = ch. II in Y. Miyasaka's edition of PV in AI 2/1971-72.
- RGVV Ratnagotravibhāgavṛtti (Sāramati?), ed. E. H. Johnston (The Ratnagotravibhāga Mahāyānottaratantra-śāstra), Patna 1950.
- ŚA Śāriputrābhidharma: T 1548.
- SĀ<sub>C</sub> Chinese translation of the Saṃyuktāgama: T 99.
- SacAcBh Sacittikā-and-Acittikā Bhūmiḥ of the Basic Section of the Yogācārabhūmi: see App. I of the present study.
- Sacittikabhūmi-viniścaya = Sacittikā Bhūmiḥ of VinSg (Y<sub>t</sub> zi 189a7ff. = Y<sub>C</sub> 651b5ff.
- Śālistambasūtra: see MSūS p. 100ff.
- Samdh Saṃdhinirmocanasūtra, ed. E. Lamotte, Louvain-Paris 1935.
- Samdhṭ Saṃdhinirmocanasūtra-ṭīkā (Ven-tshig/Yüan-ts'ê/Uōn-č'ōk): Tj 5517 (mDo-'grel vol. ti).

- SamdhVy      Samḍhinirmocanasūtra-vyākhyāna (attributed to Byañ-chub rdzu-'phrul, i.e. the king Khri-sron lde-btsan, or, more plausibly, to Klu'i rgyal-mtshan: see Steinkellner, II.4): Tj 5845 (mDo-'grel vols. co and cho).
- Sanḡhabh      R. Gnoli, The Gilgit Manuscript of the Saḡhabhedavastu, part I, Rome 1977 (SOR XLIX,1); part II, Rome 1978 (SOR XLIX,2).
- Śat      Śatasāhasrikā Prajñāpāramitā, ed. P. C. Ghōṣa, Calcutta 1902-1913.
- SAṬ      (Mahāyāna-)Sūtrālaṅkāra-ṭīkā (\*Asvabhāva): Tj 5530 (mDo-'grel vol. bi).
- SAVBh      (Mahāyāna-)Sūtrālaṅkāra-vṛtti-bhāṣya<sup>1</sup> (Sthiramati): Tj 5531 (mDo-'grel vols. mi and tsi).  
1. Skt. title dubious; Tib. 'grel bśad may correspond to Ṭīkā (cp. lHan-dkar-ma catalogue No. 631: de'i 'grel bśad = MAVṬ) or Vyākhyā (ib. No. 682: de'i 'grel bśad = AKVy).
- Shu-chi      成唯識論述記 (Kuei-chi's larger comm. on Si): T 1830.
- Si      成唯識論 (commentary on Tr compiled by Hsüan-tsang): T 1585.
- Si<sub>p</sub>      L. de La Vallée Poussin, Vijñaptimātratāsiddhi (La Siddhi de Hiuan-tsang, traduite et annotée), Paris 1928-29.
- Sn      Suttanipāta
- SN      Saṃyuttanikāya
- Spk      Sāratthappakāsinī SN-aṭṭhakathā (Buddhaghosa)
- Śr      = ŚrBh<sub>m</sub> (in the critical apparatus of App. I and App. II).
- ŚrBh      Śrāvakabhūmi, ed. K. Shukla, Patna 1973 (TSWS vol. XIV).  
(Cp. also Katsube et al. 1981, etc.)
- ŚrBh<sub>m</sub>      Skt. manuscript of the Śrāvakabhūmi, photos of which are kept in the K. P. Jayaswal Research Institute, Patna; the ms. contains also some other portions of Y (cp. ŚrBh<sub>w</sub>, 2f.).
- ŚrBh<sub>w</sub>      A. Wayman, Analysis of the Śrāvakabhūmi Manuscript, Berkeley and Los Angeles 1961.

Sv	Sumaṅgalavilāsinī DN-aṭṭhakathā (Buddhaghosa)
Thg	Theragāthā, 2nd ed. with appendices by K. R. Norman and L. Alsdorf, PTS 1966.
Tr	Triṃśikā Vijñaptimātratāsiddhi (Vasubandhu), ed. S. Lévi, Paris 1925.
TrBh	Triṃśikā-bhāṣya (Sthiramati); ed. see Tr.
Trṭ	Triṃśikā-ṭīkā (Vinītadeva): Tj 5571 (mDo-'grel vol. ku).
Trṭ <sub>J</sub>	P. S. Jaini, The Sanskrit Fragments of Vinītadeva's Triṃśikā-ṭīkā, in: BSOAS XLVIII.2/1985, 47off.
TSi	*Tattvasiddhi (Harivarman): T 1646.
TSN	Trisvabhāvanirdeśa (Vasubandhu(?)), ed. S. Yamaguchi in: Yamaguchi 1972, 119ff. Cp. also F. Tola and C. Dragonetti in: JIPh 11/1983, 225ff.
Ud	Udāna
Ud-a	Paramatthadīpanī Ud-aṭṭhakathā (Dhammapāla)
UV	Udānavarga, ed. F. Bernhard, Göttingen 1965, (AAWG Nr. 54).
UV <sub>t</sub>	(Tibetan translation of the) Udānavarga, nach dem Kanjur und Tanjur mit Anmerkungen hrsg. von H. Beckh, Berlin 1911.
UVViv	Udānavarga-vivarāṇa (Prajñāvarman), ed. M. Balk, 2 vols., Bonn 1984 (Indica et Tibetica, Arbeitsmaterialien A).
VaSg	Vastusaṃgrahaṇī Section of the Yogācārabhūmi.
VGPVy	Vivṛtagūḍhārthapiṇḍavyākhyā (incomplete comm. on MSg): Tj 5553 (mDo-'grel vol. li).
Vi	(Abhidharma-Mahā-)Vibhāṣā(-śāstra): T 1545.
Vi <sub>2</sub>	Vibhāṣā des Buddhavarman: T 1546.
Vibh	Vibhaṅga
Vibh-a	Sammohavinodanī Vibh-aṭṭhakathā (Buddhaghosa)

- VinSg Viniścayasaṃgrahaṇī Section of the Yogācārabhūmi
- VisM Visuddhimagga (Buddhaghosa), ed. H. C. Warren and Dh. Kosambi, Cambridge, Mass. 1950 (Harvard Oriental Series 1950).
- Vś Viṃśatikā Vijñaptimātratāsiddhi (Vasubandhu), ed. S. Lēvi, Paris 1925.
- Y Yogācārabhūmi, especially  
1. The Yogācārabhūmi of Acārya Asaṅga, ed. V. Bhattacharya, Univ. of Calcutta 1957  
2. [only in the critical apparatus of the text editions in App. I and II:] = Y<sub>m</sub>
- Y<sub>c</sub> Hsüan-tsang's Chinese translation of the Yogācārabhūmi: T 1579.
- Y<sub>m</sub> Skt. manuscript of the Yogācārabhūmi, photos of which are kept in the K. P. Jayaswal Research Institute, Patna.
- Y<sub>t</sub> Tibetan translation of the Yogācārabhūmi: Tj 5536-5543 (mDo-'grel vols. dzi, wi (ŚrBh<sub>t</sub>), zi (BoBh<sub>t</sub>), zi, 'i and yi).
- YVy \*Yogācārabhūmi-vyākhyā: Tj 5544 (mDo-'grel vol. yi).
- YidKun Tsoñ kha pa, Yid dañ kun gzi'i dka' 'grel, New Delhi 1961.  
Cp. Kelsang/Odani 1986.

2. Periodicals, Serial Works, Felicitation and

Commemoration Volumes

- AAWG      Abhandlungen der Akademie der Wissenschaften in Göttingen, Phil.-Hist. Klasse
- AI        Acta Indologica (Naritasan)
- ANIS<sup>t</sup>     Alt- und Neu-Indische Studien (Hamburg)
- BEFEO     Bulletin de l'Ecole Française d'Extrême-Orient
- BG        Bukkyō-gaku (Journal of Buddhist Studies: Tokyo)
- BCK       Bukkyō-gaku Kenkyū (The Studies in Buddhism: Kyoto, Ryūkoku University)
- BGR       Bukkyō-gaku Ronshū (Tokyo: Risshō University)
- BGShM     Bukkyō-gaku no Sho-Mondai, ed. by the Association of Scholars for the Commemoration of the 2500th Anniversary of the Birth of Buddha (Buttan Nisen-gohyaku-nen Kinen Gakkai), Tokyo 1935.
- BK        Bukkyō Kenkyū (Kamoe, Hamamatsu)
- BSem      Bukkyō-gaku Seminā (Buddhist Seminar: Kyoto, Ōtani University)
- BShGK     Bukkyō Shigaku Kenkyū (The Journal of the History of Buddhism)
- BShK      (Chibetto Bunken ni yoru) Bukkyō Shisō Kenkyū, ed. Chibetto Bunten Kenkyū-kai, Tokyo. Vol. 1: 1979; vol. 2: 1981. Contains an edition and a Japanese translation of SAVBh.
- BSOAS     Bulletin of the School of Oriental and African Studies, University of London
- BST        Buddhist Sanskrit Texts (Mithila Institute, Darbhanga)
- IBK        Indogaku Bukkyōgaku Kenkyū (Journal of Indian and Buddhist Studies: Univ. of Tokyo)
- IIJ        Indo-Iranian Journal
- JIABSt    Journal of the International Association of Buddhist Studies

JIPh	Journal of Indian Philosophy
JPTS	Journal of the Pāli Text Society
KDB	Kōza Daijō Bukkyō, ed. A. Hirakawa, Y. Kajiyama and J. Takasaki (vol. 8: Yuishiki Shisō, Tokyo 1979).
Kj	Peking-Kanjur, ed. D. T. Suzuki, Tokyo and Kyoto 1955 sq.
KomK	Komazawa Daigaku Bukkyōgaku-bu Kenkyū Kiyō
KomR	Komazawa Daigaku Bukkyōgaku-bu Ronshū
Kumoi Fel. Vol.	Buddhism and Its Relation to Other Religions (Bukkyō to Ishūkyō). Essays in Honour of Dr. Shōzen Kumoi on His Seventieth Birthday. Kyoto 1985.
MCB	Mélanges Chinois et Bouddhiques
Nāl.-ed.	Nālandā Devanāgarī Pāli Series (General Editor: Bhik-khu J. Kashyap)
NBG(K)N	Nihon Bukkyō Gak(ku Kyō)kai Nempō
ŌsG	Ōsaki Gakuhō (Tokyo: Risshō University)
ŌtG	Ōtani Gakuhō
PhEW	Philosophy East and West
Publ. EFEO	Publications de l'École Française d'Extrême-Orient)
RDR	Ryūkoku Daigaku Ronshū
ShK	Shūkyō Kenkyū (Journal of Religious Studies)
SHT	Sanskriithandschriften aus den Turfan-Funden, ed. E. Waldschmidt et al., Wiesbaden 1965ff. (Verzeichnis der orientalischen Handschriften in Deutschland, 10, 1ff.).
SOR	Serie Orientale Roma
StIB	Studies in Indology and Buddhology, Presented in Honour of Professor Susumu Yamaguchi on the Occasion of the Sixtieth Birthday. Ed. G. M. Nagao and J. Nozawa. Kyoto 1955.



SuzKN	Suzuki Gakujutsu Zaidan Kenkyū Nempō
T	Taishō Shinshū Daizōkyō (Buddhist Tripiṭaka in Chinese, Taishō-ed.)
Tamura Fel. Vol.	Bukkyō Kyōri no Kenkyū: Tamura Hakushi Kanreki Kinen Ronshū. Ed. K. Tanaka. Tokyo 1982
TBKK	Tōyō Bunka Kenkyū-jō Kiyō
TGK	Tōyō Gakujutsu Kenkyū (The Journal of Oriental Studies: Tokyo)
TGN	Tetsugaku Nempō (Annual of Philosophy, Published by the Faculty of Literature, Kyushu University)
Tj	Peking-Tanjur, ed. D. T. Suzuki, Tokyo and Kyoto 1955 sq.
TP	T'oung Pao (Leiden)
TSWS	Tibetan Sanskrit Works Series (Patna)
WZKS(0)	Wiener Zeitschrift für die Kunde Süd- (und Ost)asiens
ZDMG	Zeitschrift der Deutschen Morgenländischen Gesellschaft

3. Other Abbreviations

AKBh-I	Index to the Abhidharmakośabhāṣya (P. Pradhan Edition), by A. Hirakawa et al., Tokyo (pt.1: 1973; pt. 2: 1977; pt. 3: 1978).
App.	Appendix (I and II of the present work)
<i>Basic Section</i>	of the Yogācārabhūmi (see § 1.6.3)
BHSD	Franklin Edgerton, Buddhist Hybrid Sanskrit Dictionary, New Haven 1953.
BHSG	- -, Buddhist Hybrid Sanskrit Grammar, New Haven 1953.
BDJT	Shinkō Mochizuki, Bukkyō daijiten, 10 vols., Tokyo 1931-63.
Ch(in).	Chinese
CPD	A Critical Pāli Dictionary, begun by V. Trenckner, ed. D. Andersen et al., Copenhagen 1924 sq.
D	Derge
EDS	An Encyclopaedic Dictionary of Sanskrit on Historical Principles, ed. A. M. Ghatage, Poona 1976 sq.
<i>Initial Passage</i>	see § 2.1
H	Hakamaya (see list of modern works cited)
Hôb	Hôbôgirin. Dictionnaire encyclopédique du bouddhisme d'après les sources chinoises et japonaises, ed. S. Lévi et al., Tokyo 1929 sq.
Hts.	Hsüan-tsang
MAV-I	Index to the MAV(Bh): see MAVBh

MW	M. Monier-Williams, Sanskrit-English Dictionary, Oxford 1899 (repr. 1951).
n., ns.	note, notes (referring to notes of the present work)
<i>Nivṛtti Portion</i>	see n. 226
P	Peking
Pa.	Paramārtha
<i>Pratīty. Analysis</i>	see § 7.3.1
<i>Pravṛtti Portion</i>	see n. 226
<i>Proof Portion</i>	see n. 226
PTSD	The Pali Text Society's Pali-English Dictionary, ed. T. W. Rhys Davids and W. Stede, repr. London 1966.
S	Schmithausen (see list of modern works cited)
SWTF	Sanskrit-Wörterbuch der buddhistischen Texte aus den Turfan-Funden, begonnen v. E. Waldschmidt, hrsg. H. Bechert. Göttingen 1973 sq.
T(ib).	Tibetan
<i>VinSg ālay. Treatise</i>	see § 1.5
W.	Wayman (more precisely: ŚrBh <sub>W</sub> ) [in App. II]
Wackernagel	J. Wackernagel, A. Debrunner, Altindische Grammatik, 3 vols., Göttingen 1896-1930, repr. 1954-1975.

II. M o d e r n W o r k s C i t e d

*Remark:* Where available, English titles of Japanese articles have been taken over from the publications themselves. Occasionally, I have made supplements (in square brackets). Where the translation of the title is mine, I have asterisked it.

Anacker, Stefan

- 1970 Vasubandhu: Three Aspects. A Study of a Buddhist Philosopher. PhD Dissertation, Univ. of Wisconsin.
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- 1963 唯識思想に於ける十二支縁起の解釋 (The Vijñānavāda's Understanding of dvādaśāṅgapratītyasamutpāda). In: IBK XI.1[21], 211-214.
- 1963a 攝大乘論の依他起性 (Relative Reality as Expounded in the Mahāyānasamgraha). In: Miscellanea Indologica Kiotiensia 4-5, 26-67.
- 1967 Paratantrasvabhāva (I): A Diagrammatic Account. In: IBK XV.2 [30], (40)-(54) [= 955-941].

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- 1955 Les sectes bouddhiques du Petit Véhicule. Saïgon (Publ. EFEO, 38).

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- 1986 The Two Traditions of Meditation in Ancient India. Stuttgart (ANIST vol. 28).

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- 1981 The Buddha Nature: A Study of the tathāgatagarbha and ālayavijñāna. PhD Dissertation, Fordham Univ.

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- 1982            Selfless persons: Imagery and thought in Theravāda Buddhism. Cambridge.

Conze, Edward

- 1953            Der Buddhismus. Stuttgart (Urban-Bücher, vol. 5).

- , - and Iida, Shotaro

- 1968            "Maitreya's Questions" in the Prajñāpāramitā. In: Mélanges d'indianisme à la mémoire de Louis Renou, Paris, 229-242.

Cousins, Lance S.

- 1981            The Paṭṭhāna and the Development of the Theravāda Abhidhamma. In: JPTS 9, 22-46.

Dantinne, Jean    see PSK<sub>D</sub>

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- 1952            Le concile de Lhasa. Paris.  
1954            La Yogācārabhūmi de Saṅgharakṣa. In: BEFEO XLIV.2, 339-436.

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- 1982            『撰大乘論』無性釈に引用される若干の經文をめぐって  
                - 「城邑經」の展開を中心に - (On some Sūtras quoted in the Mahāyānaśaṃgrahopaniṣandhāna - mainly, the evolution of the ideas on the Nāgārāsūtra --). In: BShGK 24.2, 44-57.

Frauwallner, Erich

- 1951            Amalavijñānam und Ālayavijñānam. In: Beiträge zur indischen Philologie und Altertumskunde, Walther Schubring

zum 70. Geburtstag dargebracht, Hamburg (ANIS vol. 7), 148-159.

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1969 Die Philosophie des Buddhismus, 3rd rev. ed. Berlin (1st ed.: 1958).

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1953 阿頼耶識の異名に就て (On the Different Names of ālaya-vijñāna). In: IBK I.2, 378f.

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1982 梵文「大本経」縁起説の復元について (A Restoration of the Pratītyasamutpāda in the Mahāvādānasūtra). In: BShGK 24.2, 26-43.

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1967 末那識の源流 - サンスクリット原典及びチベット譯佛典による考察 - (On [the Origin of] the mano nāma vijñānam. [An Investigation Based on the Original Sanskrit Texts and Tibetan Translations]). In: IBK XVI.1 [31], 184-187.

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- 1978 Kleine Schriften, ed. L. Schmithausen. Wiesbaden (Glasenapp Stiftung vol. 15).

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- 1972 五種の修習に関する諸文献 -和訳および註記-  
(Japanese Translation and Notes of the Literatures Concerning the Five Kinds of 'bhāvanās'). In: KomR 3, 1-19.
- 1972a On a Paragraph in the Dharmaviniścaya Chapter of the Abhidharmasamuccaya. In: IBK XXI.1 [41], (40)-(51) = 468-457.
- 1975 Mahāyānaśāstragrahopaniṣandhāna (III). Its Tibetan and Chinese Texts. In: KomK 33, (14)-(33).
- 1975a Nirodhasamāpatti. Its Historical Meaning in the Vi-jñaptimātratā System. In: IBK XXIII.2 [46], (33)-(43) = 1084-1074.
- 1975b A Consideration on the Byams ṣus kyi leḥu from the historical point of view. In: IBK XXIV.1 [47], (20)-(30) = 499-489.
- 1975c 弥勒請問章和訳 (A Japanese Translation and Notes on the Maitreya Chapter in the Prajñāpāramitā). In: KomR 6, (1)-(21) = 210-190.
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- 1977a Tatia 校訂本 Abhidharmasamuccayabhāṣya (review of ASBh). In: KomR 8, 255-262.
- 1978 アーラヤ識存在の八論証に関する諸文献 (Materials on the Eight Proofs of the Existence of ālayavijñāna). In: KomK 36, 1-26.
- 1978a \*Mahāyānasamgraha における心意識説 (\*The Theory of citta, manas and vijñāna in MSg). In: TBKK 76, 197-309.
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## INDEXES

Note:

The following indexes are mostly selective. I have tried my best to be exhaustive in the case of the key terms of the present study, but beyond this, choice is admittedly discretionary if not arbitrary, even in the index of Sanskrit words, let alone those of Tibetan and English words, which are merely supplementary to the former.

The entries refer first to vol. I by bare page numbers, and then to vol. II by the numbers of the notes (the whole set preceded by "n(s).").

When an entry refers to a significant occurrence or detailed treatment of a word, passage or author, it has been underlined or, in special cases, doubly underlined. In the index locorum, references to vol. I are often immediately followed by references, in square brackets, to the note(s) where the respective passage is identified or quoted. In these cases, underlining indicates that the note contains additional information (e.g. textual emendations).

In the index of Skt. words, references to passages where a word is represented by an English equivalent only have been placed within parentheses. In the case of Tibetan and Chinese equivalents, the entries are not usually bracketed.

I apologize for any errors that might have crept in in spite of my efforts to avoid them.



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### I.3 English words

- activity (no. a.): s. nirīha, niśceṣṭa; kṣaṇikatva
- appearance s. pratibhāsa; cp. vijñapti, nimitta
- appropriation s. upādāna
- association s. saṃprayoga
- author(ship) cp. 184; n. 1198; cp. ns. 1180, 1195; special a. problems: s. Index II.1, esp. s.v. Yogācārabhūmi
- Badness s. dauṣṭhulya
- basis s. adhiṣṭhāna, āśraya, āyatana
- basis-of-personal-existence s. ātmabhāva, āśraya
- bodily heat s. uṣman
- body s. kāya, deha; cp. ātmabhāva, āśraya; (corporeal) matter
- Clinging (to Ego/Self) s. ātma-grāha, ātmasneha, etc.; ālaya; cp. Ego
- compilation, compiler 189; n. 1198; Y as a c.: see Index II.1 (s.v. Yogācārabhūmi)
- conception s. pratisandhi; cp. upapatti
- consciousness s. citta, vijñāna, saṃjñā
- continuity s. ālayavijñāna (II), manas (III)
- corpse 20, 23; cp. ns. 165, 189
- death 19 (d. and nirodhasamāpatti), 36, 39ff (mind at d. / in the process of dying); s. cyuti, maraṇa.
- Arhat dying in nirodhasamāpatti 39, 83; cp. n. 600
- Defilement s. kleśa
- Ease s. praśrabdhi
- Ego s. aham, ātman
- attachment or Clinging to (/ notion of / belief in) Ego/Self/I (and Mine) s. aham iti (vijñapti), ahaṃkāra, ātma--grāha, -darśana/drṣṭi, -saṃjñā, -sneha, ālaya, satkāyadrṣṭi, sattvadarśana; manas (III)
- object(ive basis) of the notion of Ego, etc.: s. ātmavastu; ātmabhāva, ālayavijñāna, upādāna-skandhas, citta, vijñāna, (sarvabījo) vipākāḥ (as the object of n. of E.); (object of) asmimāna, satkāyadrṣṭi
- embryo, embryonic matter 5, 7, 37, etc.; s. kalala, śukra-śoṇita
- embryonic state s. garbhāvasthā; cp. vijñāna
- experience
- yogic or mystical e. of ālayavijñāna, "background e." of its functions: s. ālayavijñāna (II)
- mystical e. of True Reality: s. insight
- faintness (of consciousness, etc.) s. avipaśṭa, aparispṣṭa, sūkṣma; cp. subliminal

feeling of identity s. asmi-  
māna

Full Comprehension s. abhisa-  
maya

glow-worm 93

idealism s. vijñaptimātratā

image s. nimitta, pratibimba,  
pratibhāsa, vijñapti

Impression s. vāsanā

insight s. jñāna, prativedha;  
cp. bodhi, satyābhisamaya

supramundane insight s. lo-  
kottara(ṃ cittam/jñānam)

liberation s. mokṣa, nirmokṣa,  
mukti, vimukti; cp. nirvāṇa

lamp s. pradīpa

life-force s. āyus

Linking up s. pratisandhi

matter

corporeal m.: s. adhiṣṭhāna  
(of the mat. sense-facul-  
ties), indriya(-rūpa), kāya,  
deha, rūpa; cp. ātmabhāva,  
āśraya, kalala, nāmarūpa,  
śukraśoṇita; cp. also ālayavi-  
jñāna II, citta, vijñāna [as  
princ. of biol. appropri.;  
(merging with proto-embryonic  
m.) at conception; (withdraw-  
ing from corp. m.) at death];  
upādāna [biol. appropri.; sā-  
dhiṣṭhāna- rūpīndriya-u.];  
bīja

external m.: s. artha, bāhya,  
bhājanaloka, rūpa

(Result-of-)Maturation s. vipā-  
ka

mental factors s. caitasikāḥ

milk and water 80 + n. 580

mind s. citta, vijñāna

M.-containing-all-Seeds s.  
sarvabījakaṃ vijñānam

mind series s. cittasantāna,  
vijñānasantāna

mirror s. ādarśa

momentariness s. kṣaṇikatva

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Buddhism, and n.)

object(ive basis) s. artha,  
adhiṣṭhāna, ālambana, viśaya;  
cp. asmimāna, ālayavijñāna  
(II), manas (III), manovijñā-  
na, satkāyadrṣṭi

Pollution s. saṃkleśa

possession s. upadhi, parigra-  
ha, "taking p."

Purification s. vyavadāna

rebirth s. upapatti, punarbhā-  
va; cp. pratisandhi, upādāna  
"taking possession [of a new  
existence]"

reed bunch simile s. naḍakalā-  
pikā

representation s. vijñapti;  
ābhāsa, nirbhāsa, pratibhāsa;  
cp. ns. 628a fn.1, 741

river s. ogha

Seed s. bīja

Self s. ātman

semen-cum-blood s. śukra-śo-  
ṇita

sensation s. vedanā

- sense-faculties s. indriya
- sense-objects s. artha, bhoga, viṣaya
- simultaneity s. yugapat(pravṛtti), sahaḥbhāva; cp. vijñāna
- causal rel. betw simult. entities rejected 174; n. 1118
- Six Sense s. ṣaḍāyatana
- sleep s. middha
- spiritualism 99, 103, 105; cp. vijñaptimātratā
- sticking to s. abhiniveśa, ālaya, niviṣṭa, niveśana, saṃniviṣṭa
- subject (of saṃsāra or liberation) cp. ālayavijñāna (II), nirvāṇa, mokṣa, saṃsāra
- subliminal s. asaṃvidita; cp. aparicchinnā, duṣpariccheda, sūkṣma, faintness
- supramundane s. lokottara
- surrounding world s. bhājana-(loka), pada, pratiṣṭhā, sthāna
- swoon s. mūrccā
- taking possession (of a new existence) s. ātmabhāva-parigraha, ādāna, upādāna
- tradition ("t." and textual analysis; reliability of "t.") 183f; n. 1183
- True Essence (of dharmas) s. dharmadhātu
- True Reality s. tathatā; cp. dharmadhātu
- Truth s. satya
- ulcer s. gaṇḍa
- unconscious states s. acittikā avasthā
- waves s. tarāṅga

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184b8ff =	84a4ff	ns. <u>132</u> + add.
185b8 =	84b2	n. <u>532(b)</u> , 1242, 1405(B)
186a8 =	84b5	n. <u>341</u>
186b4 =	84b7	23[n. <u>195</u> ]
194a5-8 =	88a2	n. <u>488</u>
197b4-6 =	89a7	202[n.1294]
211a1 =	94b2	n. <u>893</u>
213b7ff =	95b5f	n. 218

## 11. Cintāmayī Bh.

## a. Paramārthagāthāḥ (PG) + commentary (cy)

sources 223 + n. 1401  
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     223f; n. 1404  
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     307], 141f  
 doctrinal aspects esp. 53ff,  
     68, 138ff, 160ff;  
     ns. 221, 1421

ŚrBh<sub>w</sub> 174,15 161[n. 1032];  
     n. 221

## PG:

1(+cy) ns. 221, 1394  
 2-4 n. 1401  
 5 161[n. 1035]; ns. 221, 741,  
     1394, 1401  
 6-7 n. 1394  
 16(+cy) n. 1394  
 17-18 ns. 1222, 1401  
 25 n. 1394  
 28-41(+cy) 223ff, esp. 224ff  
     (metre) and 228ff(ed.,  
     transl.) + ns. 1405ff  
 28-30 (28:) ns. 831, 1426(c.c.);  
     (28-29:) 55, 112[n. 786],  
     139; (29:) 150f[n. 951];  
     (29-30:) 53f[n. 380],  
     113[n. 791]  
 31 139  
 32 n. 1405(F)  
 33-34 55[n. 387], 140, 224ff;  
     (cy:) (26), 45[n. 306],  
     55[n. 389], 68[ns. 484,  
     488], 138ff, 153, 162ff  
 35 139, 224ff  
 36 224, 226  
 37 225; (cy:) 68[ns. 484,  
     491], 138, 141f, 160f  
 38(+cy) 161, 225  
 39(-41) 161f; ns. 913, 966,  
     1401  
 42-44 n. 1033; (42:) n. 1394;  
     (44:) 160[n. 1028]

## b. other passages

Y<sub>t</sub>dzi: Y<sub>m</sub>:  
 269b5ff = 119b5f n. 1441  
 288b3f = 128b2 n. 532(b)

293b1f = 131b1ff n. 1405(G)  
 299a1-3 = 135b1(f) ns. 303,  
     820, 1059  
 299b6f = 136a2 n. 1440  
 300b4f = 136b3 n. 1440

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 255,3ff n. 459  
 256,17ff n. 602  
 257,6ff 66[ns. 461, 465, 467],  
     68[n. 490]; n. 459;  
     (13f:) n. 1478  
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     147(b,c), 831, 1154;  
     (14f:) 62[n. 441]  
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     ns. 480, 496  
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     n. 602  
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     1209], 193  
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     1019



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Portion", etc.

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VinSg and Saṃdh 12ff; ns.  
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ālayavijñāna in VinSg see  
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948, 1351

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4b7f/B.3 91[n.641]  
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## ADDENDA ET CORRIGENDA

p. 21

On the *bīja* theory referred to in § 2.5, cp. now H. Katō in: BGK 43/1987, 295ff.

p. 37, l. 7f.

If *nāmarūpa* is understood as "living, sensitive corporeal matter", '*saṃ-mūrch-*' could be taken as "to coagulate, solidify".

p. 44 (§ 3.7.2)

Ālayavijñāna is also introduced to explain the experience of pleasant (corporeal) sensation without joy (*niṣprītikam sukham*) in the t h i r d dhyāna (Hsien-yang 487b3ff).

The problems involved in the experience of *sukha* in the first three dhyānas are extensively discussed at AKBh 438,15ff (and NA 760a6ff). The Vaibhāṣikas stress the non-occurrence of tactile perception in dhyāna and therefore consider *sukha* to be a pleasant m e n t a l sensation (viz. in the third dhyāna)<sup>1</sup> or E a s e (*praśrabdhi(sukha)*, viz. in the first and second dhyāna)<sup>2</sup>. Thus, they have to interpret '*kāyena*' in the canonical formula of the third dhyāna (viz. '*sukhaṃ ca kāyena pratisaṃvedayati*') as '*manaskāyena*'.<sup>3</sup> Though they would quite appear to admit Ease in the dhyānas to be both mental a n d c o r p o r e a l ,<sup>4</sup> the Vaibhāṣikas would hardly be able to concede experiencing the latter in the state of *saṃādhi*<sup>5</sup> but could, at best, allow it to take place after re-emergence from this state.<sup>6</sup>

On the other hand, "others" (AKVy 673,6f.: *Dārṣṭāntikānām kilaiṣa pakṣaḥ*) took the Sūtra quite literally and explained *sukha*, in the case of all the three dhyānas, as c o r p o r e a l pleasant sensation<sup>7</sup> caused by the fact that the body is suffused

with a special kind of wind arising from *samādhi* and called 'Ease'.<sup>8</sup> This, however, means that the experience of *sukha* in dhyāna involves either the occurrence of tactile perception in *samādhi*<sup>9</sup> or the interruption of the latter.<sup>10</sup>

The earliest Yogācāras, too, seem to have held the view that there is, in the first three dhyānas, both pleasant sensation (*vedīta-sukha*) and Ease (*praśrabdhi(sukha)*),<sup>11</sup> and that at least the latter is both mental and corporeal,<sup>12</sup> to be experienced by the body (*rūpakāya*) also.<sup>13</sup> But they do not seem to have felt, from the outset, the doctrinal difficulties involved. Yet, in the *Proof Portion* (§ 3.7.1) and – explicitly in the context of the first three dhyānas – in the Hsien-yang (§ 3.7.2), corporeal experience or sensation in states where (ordinary) tactile perception was doctrinally excluded, is explained by means of *ālayavijñāna*, and this fact seems to indicate that the difficulties did not remain altogether unnoticed, and that the newly introduced *ālayavijñāna* was recognized to offer a chance for a new and (at any rate at first glance) more satisfactory solution to the problem. However, the association, in dhyāna, of *ālayavijñāna* with pleasant sensation, which is, to be sure, not unambiguously expressed but at any rate strongly suggested by the Hsien-yang, does not appear to have been taken up by other sources, and it was indeed likely to appear questionable: not only from the point of view of the *Nivṛtti Portion* where *ālayavijñāna* had come to be conceived of as essentially bound up with the opposite of Ease, viz. Badness/uneasiness (*dauṣṭhulya*) (see § 4.7), but also because even *ālayavijñāna*'s being, in dhyāna, suffused with or transmuted into Ease should not involve its association with pleasant sensation, no more than its ordinary constitution, viz. Badness/uneasiness, is considered to involve association with painful sensation.

1. DhSk 484c18f.; NA 761c6ff.; cp. also Vibh 257-259 (1st-3rd dhyāna); ŚA 621c5, 622c1f. (1st and 2nd dhyāna).
2. AKBh 438,18; Vi 412b5.

3. AKBh 439,5; DhSk<sub>c</sub> 484b25f.; ŚA 623a19; cp. Vibh 259 and VisM IV 175.
4. DhSk 483c5f.; cp. NA 761a22ff.; cp. also VisM IV.175.
5. NA 761a25f.
6. Cp. VisM IV.175.
7. AKBh 439,1f.
8. AKBh 439,1of.; cp. also ŚrBh 433,1f.: ... *kāya-praśrabdhy-ut-pādānukūlāni vāyūdriktāni(?) mahābhūtāni kāye 'vakraṃanti*.
9. AKBh 439,1o; AKVy 674,13f.
10. AKBh 439,11; AKVy 673,6 and 674,2of.
11. ŚrBh 453,9f (3rd dhy.); 45o,11ff., 451,17ff. and 453,6(-8) (1st and 2nd dhy.).
12. ŚrBh 45o,13f. and 451,19f.: see n. 3oo.
13. ŚrBh 453,9f.

p. 5o, l. 8f, (+ n. 352)

If, in spite of the fact that none of the renderings preserved has anything but "body", yet Tib. 'lus' in the definition of *ādānavijñāna* at Saṃdh V.3 should be a rendering of 'ātmabhāva',<sup>1</sup> the remarks of § 3.9.2.6 would have to be modified, and we would have to assume that, even though the pronoun 'di points to the present, i.e. corporeal, (basis of) personal existence, the Sūtra's definition of *ā d ā n a vijñāna* may in principle be applicable to existences in *ārūpyadhātu* as well, in contrast to the definition of *ā l a y a vijñāna* where 'lus' cannot but mean the body, as is corroborated by the parallel passage PSk<sub>t</sub> 17a4 (*lus la gnas pa ñid*: see n. 14o) where 'lus' is unambiguously explained as "body" by the commentaries (see n. 1373).

1. Cp. also the fact that SaṃdhVy (co 83a5f.) explains 'lus' in the present passage not only as "body" but also as the four skandhas (except *vijñāna*) - an interpretation which, though perhaps not impossible for 'kāya', appears more natural in the case of 'ātmabhāva'.

p. 51 (§ 3.10.1)

Of course, the *Pravṛtti* Portion ( $Y_t$  zi 6a5ff.; H 1979, 32) as well as the *Sacittikabhūmi-viniścaya* ( $Y_t$  zi 189b6f.) contain also a detailed treatment of the simultaneous occurrence (*sahabhāva*) of several (occasionally even all the eight) *viññānas*.

p. 53, l. 17f.

"in so far as ...": The sense-faculties may also be *au-pacayika* (Y 64,1; AKBh 25,9).

pp. 57 (§ 3.11.8), 61 (§ 3.12.7), 65 (§ 3.13.7)

See add. ad p. 100f.

p. 82 (§ 4.10.1)

The textual relation between the *Sacittikabhūmi-viniścaya* on the one hand and the *Pravṛtti* and *Nivṛtti* Portion of the *VinSg ālay. Treatise* on the other requires more careful investigation. As far as I can see, it is difficult to take one of these texts simply as a remodelling of the other as it stands. At least some of the agreements may rather be due to a common source, or common sources - partly oral ones, perhaps, differently preserved and arranged in the two texts (the thematic emphasis in each of which is, after all, somewhat different: lying on *ālayaviññāna* in the one case, on a general theory of *viññāna* (in conscious states) in the other). Since at least the *Pravṛtti* and the *Nivṛtti* Portion appear to contain several strata (see § 11 and ns. 1337 and 1351), mutual dependence between the two texts cannot be excluded either. Indeed,  $Y_t$  zi 7b7-8a3 (cp. n. 959) may well have been influenced by the *Sacittikabhūmi-viniścaya* ( $Y_t$  zi 190a7f.: cp. n. 958). But since this paragraph of the

*Pravṛtti Portion* is a kind of supplement - from the point of view of compositional structure (see n. 1351) as well as from that of dogmatic clarification (see p. 152) -, its dependence on the *Sacittikabhūmi-viniścaya* has no evidential value for the basic materials of the *Pravṛtti* and the *Nivṛtti Portion*, nay, it may even be taken to indicate that the latter are probably not dependent on the former as it now stands. In fact, though conceding that in some cases the *Sacittikabhūmi-viniścaya* would seem to have kept closer to the original form of the source material, I cannot, on the other hand, imagine the *Nivṛtti Portion* (see § 4.7-9) to presuppose the soteriological theory of the *Sacittikabhūmi-viniścaya* (see § 4.10.1) or even the mere concept of (*kliṣṭam*) *manas*. - Cp. also n. 948.

p. 100f. (§ 5.12.2)

It should be noted, however, that in the *Abhidharmasamuccaya* mind-only statements are largely missing (cp. S 1972, 154f.; for an exception, see n. 100), and that *ālayavijñāna*'s containing or being the Seed(s) of all dharmas can also be accounted for in line with traditional (non-idealist) ontology, viz. in terms of karmic Impressions (see §§ 3.13.4 and 10.3.1.3). Even the *Mahāyānasamgraha*, though elaborating the mind-only position in its 2nd and 3rd chapter, entirely refrains from explicitly "idealist" statements in its 1st chapter, viz. the one on *ālayavijñāna* (cp. also Suguro 1983, 5), and this means that viewed within the context of this one chapter *ālayavijñāna*'s functioning as the principle of biological appropriation does not constitute an incoherence.

p. 116, l. 9 from below and l. 5 from below

Read: § 6.1.3[end]



p. 119, l. 18

Read: § 6.1.2.1

p. 120 (§ 6.2.2.d)

If one regards the "etymological" intention as the o n l y motive for coining the expressions '*āśrayabhāvopagata*' and '*āśrayabhāvasanniviṣṭa*', one might even consider the possibility of referring them merely to the *b ī j ā ś r a y a* function of ālayavijñāna (in the sense of (1)), as is in fact done by the first explanation of YVy in the case of '*āśrayabhāvopagata*' (but n o t in the case of '*āśrayabhāvasanniviṣṭa*': see n. 811). Yet, such a meaning is not probable for '*āśraya*' w i t h o u t s p e c i - f i c a t i o n , as is shown by the fact that the *Pravṛtti Portion* (Y<sub>t</sub> zi 5b3ff.: see n. 356) expressly distinguishes the \**āśrayadāna* function of ālayavijñāna from its *bījabhāva* function. Cp. also the use of '*āśraya*' (without specification), referring to ālayavijñāna's character of being the fundamental stratum, in the Sacittikabhūmi-viniścaya (Y<sub>t</sub> zi 189b2f.: see n. 357) – a text which seems to be earlier than the (extant wording of the) present passage (Y 11,3-8) and may even have influenced its introduction or modification (see § 6.2.3[end] and add. ad p. 125ff.).

p. 123f.

One may even consider the possibility of a pre-ālayavijñānic form of Y 11,3-8, viz.:

- (a) \**cittaṃ katamat / yat sarvabījakam vijñānam /*
- (b) \**manañ katamat / yat ṣaṇṇāṃ api vijñānakāyānāṃ ananta-  
raniruddham /*
- (c) *vijñānaṃ katamat / yad ālambanavijñaptau pratyupasthi-  
tam /*

Such a (hypothetical) version of the passage would be particularly

homogeneous from the point of view of phraseology, for '*viññānam*' of (a) ("mind [in so far as it is ...]") is exactly what one would tend to supply in (b) and (c) as well. Moreover, the assumption of such a version would perfectly well explain the fact that Saṃdh V.3 lists, besides '*ādānaviññāna*' and '*ālayaviññāna*', '*citta*' too as another name of Mind-containing-all Seeds (*sarvabījakaṃ viññānam*, the *rnam par śeṣa* of V.3 referring to the *sabon thams cad pa'i sems* of V.2).

A differentiating interpretation of *manas* in terms of *citta*, *manas* and *viññāna* is also indicated at Y 65,3 (*trividhaṃ (manaḥ): cittam mano viññānam*) - a passage belonging to a definitely pre-ālayaviññānic context where *manas* is also expressly identified with the six (ordinary) kinds of mind (Y 65,6: *ṣaḍvidhaṃ (manaḥ): ṣaḍ viññānakāyāḥ*), without any reference to ālayaviññāna or to the new *manas*, not even in connection with *manas* being classified as eightfold (Y 65,6-8).

p. 125ff (§ 6.2.4.d)

The presumption that in its original form the beginning of the Manobhūmi did not contain definitions of ālayaviññāna and (*kliṣṭaṃ*) *manas* but was understood rather as dealing with *manoviññāna* only (or at best with the six traditional *viññānas*) is further confirmed by Y<sub>t</sub> yi 59b5f. (Y<sub>c</sub> 751b12ff.), referring the reader, for the six kinds of mind (*ṣaḍ viññānakāyāḥ*), i.e. the five sense perceptions and *mano viññāna*, to the Pañcaviññānakāyasaṃprayuktā Bhūmiḥ and the Manobhūmi.

I find it difficult to decide what, precisely, is implied by the remark of the Sacittikabhūmiviniścaya (Y<sub>t</sub> zi 189a8f. = Y<sub>c</sub> 651b11f.) that the analysis of mind by "establishing it in the conventional way" (*\*saṃvṛti-naya-vyavasthāna*, in contrast to analyzing it from the ultimate point of view (*\*paramārtha-naya-vyavasthāna*)) is to be learnt from the Manobhūmi (with no mention of the Pañcaviññānakāyasaṃprayuktā Bhūmiḥ!). But at any

rate this remark too appears to presuppose that when the Sacittika-bhūmiviniścaya was compiled the definition of *citta*, *manas* and *viññāna* at the beginning of the Manobhūmi (Y 11,3-8) was still missing or had a purely traditional form like the one suggested in the addendum ad p. 123f.

On the other hand, I am now inclined to think that the definition of *manas* as *cittaṃ mano viññānam* – with or without a pre-ālayaviññānic differentiating interpretation like the one suggested in the add. ad p. 123f. – was most probably introduced already in connection with the compilation of the first two Bhūmis of Y; for it seems to me that some of the material compiled is, in its basic definitions (cp. n. 774), affiliated to a work like the Pañcavastuka of the Prakaraṇa. And it may well be that in this material the treatment of mind had started, as in the Prakaraṇa (692b24f.), with a statement that *citta* means *cittaṃ mano viññānam*,<sup>1</sup> to be concretized as the six kinds of mind (*ṣaḍ viññānakāyāḥ*), viz. *cakṣurviññāna*, etc., up to *manoviññāna*. Since in Y sense perception had to be treated in isolation before non-sensory cognition, the compiler(s) could not start with the general definition of *citta*, only that they were unwilling to drop it, and, in view of the ambiguity of the term *manas*, they may well have considered it suitable for the beginning of the Manobhūmi. Cp. also the concretization of *manas* as *cittaṃ mano viññānam* at Y 65,1+3 (see add. ad p. 123f.) and at DhSk<sub>c</sub> 499b3+9 (cp. Vibh 88, ŚA 525c20+22).

1. Cp. also Hsien-yang 480b26, where this definition is, however, followed by a concretization in the sense of the theory of e i g h t *viññānas*.

p. 190, l. 12

Instead of "this case", read:  
the passage under discussion

p. 194, l. 14

Instead of "(cp. n. 22o)", read:  
(for *nīrodhasamāpatṭi*, cp. ns. 22o and 657)

p. 198, l. 5

*adhyātmaṃ pratyātmaṃ*: cp. ŚrBh 236,17; 299,19f.; 494,18;  
CPD s.v. *ajjhataṃ*.

p. 205, l. 11 from below ff.

Read: ... ālayavijñāna is not perceived but when or after  
insight into *dharmadhātu*, i.e. *darśanamārga*, is attained ((1b) +  
(2a)), ...

p. 216, (d)

*lus la khyab pa* corresponds to *kāyavyāpana* (so to be read  
instead of °*dhmāpana*) at AKVy 674,13 (Tib.: chu 353a6), but to  
*kāyaspharaṇa* at AKBh 439,11 (though this expression is rendered by  
*lus la rgyas pa* in the pratīka at AKVy<sub>t</sub> chu 353a5), and to  
*āśrayaspharaṇa* at ASBh 115,28. In all these passages, the expres-  
sion refers to the b o d y being pervaded by, or suffused with,  
Ease (*praśrabdhi*).

n. 21

Cp. also MAVṬ 4o,9-11: *kliṣyata iti ... pīḍyate ... / kliṣyata iti na v y a v a d ā y a t a ity apare /*

n. 3o

On the *bīja* theories ascribed to the Sautrāntikas, see now H. Katō in: BGK 43/1987, 286ff.

n. 51

In the *Proof Portion* (Y<sub>t</sub> zi 2b4ff.: see p. 13o + ns. 859 and 86o) the *saṃskārapratyayaṃ vijñānam* (= ālayavijñāna) seems to be understood as the *vijñāna* which arises, at conception, as the *v i p ā k a* (of previous karman) and appropriates the (corporeal) basis-of-personal-existence.

n. 99

Hôb (fasc. annexe, 136) reconstructs the Skt. title of the Hsien-yang as Āryaśāsanaprakaraṇa(?).

n. 13o (end)

Add: But cp. § 1o.3.1.1 and n. 627.

n. 132 (end)

Add: Since, however, the detailed treatment of the fivefold *mahābodhi* in the Bodhisattvabhūmi-viniścaya (Y<sub>t</sub> 'i 3oa5ff. = Y<sub>c</sub> 7o7a1ff.) is introduced by an explicit reference to the occurrence of this term in the "summary of Mahāyāna" in the Śrutamayī Bhūmiḥ (of the *Basic Section*), the key words of the Mahāyāna must have

already been there when VinSg was compiled. But this does not mean that they must, already at that time, have also included the three *svabhāvas*, etc., the treatment of which in the Bodhisattva-bhūmiviniścaya does not contain any reference to the Śrutamayī Bhūmiḥ.

n. 137, l. 6f.

Read: ... stick (i.e. cling) to it as to [their] Self ...

n. 171

M u t u a l i t y of being or containing Seeds is explicitly stated only in the AKBh passage (especially 78,20), whereas KSi and PSVy are explicit only with regard to the Seeds of mind (and mentals).

n. 172a

Cp. also the passages quoted in n. 171.

n. 202

The expression '*taṇhālaya*' would seem to derive from the explanation of *nirodhasacca* in the Dhammacakkappavattanasutta (SN V 421) as "... *t a ṇ h ā y a ... cāgo paṭinissaggo mutti a n ā - l a y o* ", where '*ālaya*' means "clinging to" in the sense of "not desisting from [a wrong attitude like *taṇhā*]". Such a reasonable interpretation is, however, hardly possible for '*ālayārāma*' (with '*ālaya*' taken in a subjective sense).

n. 222

In at least most of the Yogācāra texts "idealism" (in the sense of n. 222) is, of course, a preliminary posi-

tion, to be surmounted in *nirvikalpa-jñāna* experiencing ultimate reality = *tathatā*, but re-emerging in *prṣṭhalabdha-jñāna*.

n. 232

Instead of *pañcahi pi nānehi* read: *pañcahi viññānehi*; cp. Vibh 308.

n. 233

Add: Vibh 307f.

n. 327

The non-occurrence of *ālayavijñāna* in Saṃdh V.2 can hardly be taken as an indication of an earlier version of Y 24,4f. I should rather think that at V.2 the Sūtra deliberately avoided the specific term because it wanted to introduce, in the next paragraph, its own term '*ādānavijñāna*' (mentioning '*ālayavijñāna*' only as an alternative). The less specific term '*sarvabījakam cittam*' at Saṃdh V.2 (resumed by '*rnam par śes pa de*' = '*tad vijñānam*' in the beginning of Saṃdh V.3) would seem to have been stimulated by the '*sarvabījakam vijñānam*' of Y 24,7f. (see § 6.3.1) and, in view of '*cittam*' instead of '*vijñānam*', perhaps also by an older version of Y 11,4f. (see add. ad p. 123f.!).

n. 374 (p. 330,6)

Delete *dharmāḥ*!

n. 555 (p. 365)

[A.] For sentence 1.b, the following rendering is perhaps preferable:

"Because [ālayavijñāna as] the cause of all Pollution (Hts.: Defilements) (\*sarva(saṃ)kleśahetu) in this life (\*dṛṣṭe dharme) is abandoned, [spiritually negative] Clinging which is the basis of all Pollution (\*sarva-saṃkleśāśrayôpādāna) (i.e. of all Defilements (and of karman governed by Defilements)) is abandoned."

[B] If the preceding interpretation of sentence 1.b is accepted, the suggestion of fn. 5 (p. 366) that in sentence 2 'āśraya' should be supplied from sentence 1.b is misleading. Grammatically, such a supplement is anyway superfluous because \*jīvita-pratyaya-mātra may act as a substantive. Yet, from the point of view of meaning there is little doubt that the latter expression has a predicative value and that what has to be supplied as the l o g i c a l subject is the [animated] body (kāya) or basis-of-personal-existence (ātmabhāva) [furnished with or consisting of the Six Senses (ṣaḍāyatana)], which had, in the introductory phrase, been stated to continue [a while], similar to a *nirmāṇa*, i.e. to a supranormally created apparition free from all inclinations and anxieties as well as from latent wickedness and uneasiness (*dauṣṭhulya*).

[C.] The three features indicating, according to the present passage (viz. § 5.b.C.3 in H 1979), the elimination of ālayavijñāna clearly correspond to the three aspects of ālayavijñāna as the root of Pollution distinguished at Y<sub>t</sub> 8b1f. (H 1979, 38 [§ 5.b.A.4]; see n. 548): The abandonment of taking possession of a new existence = Suffering in future is due to the elimination of ālayavijñāna as the cause of future *duḥkhasatya*; the abandonment of spiritually negative Clinging as the basis of all the other *kleśas* and of pollutive karman in this life is due to the elimination of ālayavijñāna as the cause of *samudayasatya* in this life; the continuance of the body or basis-of-personal-existence as a mere condition of physical life but free from *dauṣṭhulya* is due to the elimination of ālayavijñāna as c o n s i s t i n g i n [*dauṣṭhulya* constituting] *duḥkhasatya* in this life. The present passage (§ C.3) thus concretizes - in line with the concretization



of ālayavijñāna's responsibility for Pollution in § A.4 - the statement at the end of § C.1 (Y<sub>t</sub> 9b3; H 1979, 41; see § 10.1, (3d)) that the elimination of ālayavijñāna implies that of Pollution (*saṃkleśa*, comprising both [present and future] *duḥkha-satya* and *samudayasatya*).<sup>1</sup>

1. In sentence 1.b (see [A]!), *saṃkleśa* is obviously used in a narrower sense, viz. as equivalent to *samudayasatya*, i.e. *kleśas* (and pollutive karman).

n. 555 fn. 3

SamdhVy (D) cho 9ob3 reads *gnas rian len pa*.

n. 572

MSg III.1 may even be a still later addition since it is not commented upon in the first version of MSgBh<sub>t</sub> (see n. 717).

n. 591

My interpretation of the expression '*nirbīja āśrayaḥ parivartate*' is based on ŚrBh 283,8f., but in the present passage (as well as in the case of the similar expression '*nirdauṣṭhūlya āśrayaḥ parivartate*' at ASBh 82,22) I for one cannot exclude the possibility of an interpretation as "his Basis is Transmuted [so as the become or be] devoid of all Seeds of ...", i.e. in the sense of the phrase '*laukiko mārgo ... lokottaratvena parivṛttaḥ*' found at ASBh 93,17f.

n. 657 (end)

Read: ... to this state, as is, of course, natural in the case of the *S a c i t t i k a bhūmi-viniścaya*).

ns. 659 and 663

Add: TrBh 19,8.

n. 708

The second (i.e., from the point of view of elaborateness of the arguments, the later) version of the Bhāṣya, though placed first, yet clearly appears to be intrusive; for its beginning (163b6) interrupts what seems to be the initial words of (the older version of) the Bhāṣya on I.49 (*ji ltar kun gzi* ---, continued at 166b5: --- *rnam par šes pa dañ bcas pa*<sup>1</sup>) by what would seem to be the first sentence of (the later version of) the Bhāṣya on I.50 ('*gog pa la sñoms par žugs pa'i ... rnam par šes pa dañ mi 'bral lo // žes ...*; cp. 166b8f.), the latter being mixed up with a fragment of the end of (the later version of) the Bhāṣya on I.49.

- 1) \**savijñāna*- may be taken as a misreading of \**///yavi-jñāna*-, i.e. \**(āla)yavijñāna*; cp. the Ch. versions.

It may be helpful to give a diagramm of the arrangement of the material in MSgBh<sub>t</sub> (A = earlier version, B = later version):

A I.48	163b1-6
A I.49[beginning]///	163b6
///B I.49[end] + I.50	163b6-164a1
B I.51	164a1-3
B I.52	164a3-165b3
B I.53	165b3-166a2
B I.55[beginning]///	166a2-3
A I.52-cum-54/// (end missing)	166a3-b5
///A I.49[contin.]	166b5-8
A I.50	166b8-167a3
A I.51	167a3-5
A I.52-cum-54[beginning]///	167a5-6

gap: see 166a4-b5

///A I.52-cum-54[end]	167a6
A (I.52-cum-54 utsūtra)	167a6-b5
A I.55	167b5ff.

n. 708 fn. 3

*gañ dañ gañ dag* is strange and may represent a combination of the beginning of the pratīka of I.55 (*gañ (yañ) ...*) as the final, fragmentary element of the later Bhāṣya version, and the beginning of the comm. on I.52-cum-54 (*gañ yañ ...*) of the earlier version (cp. 167a5!); it may thus go back to something like *yaś (ca)/// yaś ca ...* or *yo ('pi)/// yo 'pi ...* (or: *ye (ca)/// ye ca ...*, etc.).

n. 741

Add: For the lack of activity in dharmas including *viññāna* and for the fact that the use of action phraseology (like '*viññānti*') with regard to them is hence merely metaphorical, cp. also AKBh 31,11ff.

n. 816 (p. 424, l. 10)

After '*upagata*' insert:  
as second member of an accusative tatpuruṣa

n. 817(a)

For *sbyor bar byed pa'am /*, SaṃdhVy (P,D) has *sbyor bar byed pa d a ñ /*.

n. 817 fn. 1

D reads *kun g'zi 'i don*, which is preferable.

n. 817 fns. 3, 5

D confirms YVy.

n. 837

My emendations are confirmed by D (cho 69a3), which has, besides, *thigs pa gcig* in both cases.

n. 1062

For '*tanhālaya*' see add. to n. 202.

ns. 1249ff.

Variant readings in SaṃdhVy (D) cho 67a3-4 (= a) and cho 89b4-90a5 (= b):

- n. 1250 b: *bsgom*
- n. 1251 a: *bsgoms*; b: *bsgom*
- n. 1262 b: *gcig tu sdud*
- n. 1263 b: *spuñ ba*
- n. 1265 b: *bsten!*
- n. 1267 b: *spañ bar*

n. 1330 (p. 497, l. 6f. from below)

The remark in parantheses "(probably ... momentariness)" should be deleted. H. Sakuma convinced me that this is a wrong track. A detailed discussion of the passage will be offered in his dissertation on *āśrayaparivṛtti*.

n. 1426(C.c)

If taken in the sense of [III], PG 33cd would, though perhaps with a slightly different emphasis (see n. 1426(C.d)), express the same idea as does PG 35ab - an assumption in favour of which one may adduce that *vaiṣamyaparigata* at 35a evokes the *visame n i v i ṭ ṭ h a* of Sn 774b (cp. n. 1432) and may thus indeed intend the same attitude as *niveśana* at 33c.

*Additions to page 625, left column:*

ā.-v. in Vasubandhu 22, 61, 83, 101ff; ns. 16, 17, 37, 78, 120, 138-140, 348, 419, 709, 717, 719, 720, 733, 736-738, (741), 1369, 1373, 1477(H.c)

## Addenda et Corrigenda to the Reprint

### Part I:

p. 6–7, §§ 1.3.2 and 1.3.4.1: On aspects of *viññāṇa* in early Buddhism anticipating aspects or functions of *ālayavijñāna* see Tilmann VETTER, *The 'Khandha Passages' in the Vinaya-piṭaka and the four main Nikāyas*, Wien: Verlag der Österreichischen Akademie der Wissenschaften 2000: esp. 66–73; Rita LANGER, *Das Bewusstsein als Träger des Lebens: Einige weniger beachtete Aspekte des viññāṇa im Pālikanon*, Wien: Arbeitskreis für tibetische und buddhistische Studien Universität Wien 2001; William S. WALDRON, *The Buddhist Unconscious*, London and New York: RoutledgeCurzon 2003: 9–45.

p. 12,16: 本事分 → 本地分.

p. 98,22: The authenticity of the *Dharmadharmatāvibhāga* is questioned by some scholars; cf. the references in Keishō TSUKAMOTO et al. (eds.), *Bongo butten no kenkyū (A Descriptive Bibliography of the Sanskrit Buddhist Literature)* III (Kyoto 1990): 339 n. 116.

p. 117,15 f: The encircled number on the left side ought to be ② instead of ①.

p. 150,-9: worldly → worldly.

p. 192,6–16: Another example is AS 38,14 f (misleading reconstruction) = AS; 89a4, defining *anīya* in the sense of *asat* in terms of the permanent absence of *ātman* and *ātmīya* in the *skandhas*, *dhātus* and *āyatanas* (*gang phung po dang | khams dang | skye mched rnams la bdag dang bdag gi dus rtag tu med pa 'o*). This contrasts neatly with the 'Mahāyānist' definition of BoBh<sub>D</sub> 188,16–18: *iha bodhisattvaḥ sarvasaṃskārāṇāṃ abhilāpyasvabhāvaṃ 'nitya-kālam eva nāsti' ity upalabhyānityataḥ sarvasaṃskārāṇ paśyati*. The VinSg (Y<sub>1</sub> zi 245a5; Y<sub>c</sub> 672b2–3) is quite explicit in associating *anīya* in the sense of *asat* with the Mahāyāna and *anīya* in the sense of perishability with the Śrāvakayāna. One can thus hardly avoid the impression that the AS deliberately interprets *anīyatā* in the sense of *asat* in such a way that it is compatible with the Śrāvakayāna.

p. 198,17–20: Perhaps better: “by means of insight, through continuously cultivating [it] (*°nena jñānena, āse°*). In this case, the text on p. 199 (3b) would be acceptable and n. 1264 unnecessary.

p. 198,25: “too” is to be deleted (after numbers or expressions of quantity (here: *thams cad kyang* = *sarvo 'pi*), *api* has the function of underlining completeness)

p. 240,7 ff: Cf. Saṃdh X.8 (p. 160,16–24).

### Part II:

n. 51: Cf. also *Arthaviniścayasūtra-nibandhana* (ed. SANTANI) 119,2 f (*Sautrāntika-mata*, contrasted with the view (of the Vaibhāṣikas) that the *saṃskārapratyayaṃ vijñānam* is the *pratisandhi-vijñāna*).

n. 66: Cf. also SN 22.87 (III 124,1–13). On *viññāṇa* as an unbroken stream established (*patiṭṭhita*) in this world as well as in the other world (i.e. continuing from one life into the next one) see DN III 105,20–22.

n. 68 (p. 256,5): read \**āsaṃsārika°*.

n. 78: On the *vipākavijñāna*, cf. also Yoshihito G. MUROI, *Vasubandhus Interpretation des Pratītyasamutpāda*, Stuttgart: Franz Steiner 1993: 116 and 195 f (§ 14) with n. 358.

n. 132: See my article “On Three Yogācārabhūmi Passages Mentioning the Three Svabhāvas or *Lakṣaṇas*”, in: Jonathan A. Silk (ed.), *Wisdom, Compassion, and the Search for Understanding*. The Buddhist Studies Legacy of Gadjin M. Nagao. Honolulu: University of Hawai’i Press 2000: 245–263.

n. 147: Cf. Ratnākaraśānti, *Sāratamā* (ed. P. S. JAINI, Patna 1979) 42,5: *tañ parigṛhā adhiṣṭhitaḥ*.

n. 165: Cf. also DN II 338.

n. 238: On the form °*muccissatha* cf. Thomas OBERLIES, *Pāli*, Berlin and New York: Walter de Gruyter 2001: 111 (§ 19 rem.), referring to Heinrich LÜDERS, *Philologica Indica*: 184. AKVy 284,17 and *Arthaviniścayasūtra-nibandhana* 118,4 read *kalalatvāyābhisarīmūr(c)chet*. Cf. n. 244.

n. 278: For a similar view in Jaina dogmatics (the way the soul leaves the body at death indicates future destiny) see Walther SCHUBRING, *Die Lehre der Jainas*, Berlin and Leipzig 1935: 100.

n. 341: At Saṁdh VIII.36.2.1, however, \**ādāna* (contrasted with \**anubhava*, \**viññapti*, and \**saṁkleśa/vyavadāna*) characterizes the function of *citta* with reference to the body (*smṛty-upasthāna* pattern!); cf. also Saṁdh VIII.22.

n. 352: In view of ŚrBh 300,17 and 19 (cf. also *Taishō daigaku sōgō bukyō kenkyūjo nem-pō* 26/2004: 90,17 and 92,2), the form *upādatta* (instead of *upātta*) would also seem possible. In the beginning, the reconstruction should run \**tad* (or: *etad*) *viññānam ādāna*° (cf. Tib. *rnam par shes pa de ni len pa’i* ...).

n. 436: This etymology of *citta* seems to be taken from ŚrBh 496,18-21: *tasya dīrgharātram tac cittam* (ms. °*tram*) *rūpa-rataṁ śabda-gandha-rasa-spraṣṭavya-rataṁ ācitam upacitam* {...} *rūpa-śabda-gandha-rasa-spraṣṭavyaiḥ*.

n. 555 (p. 365,13–17: 1.a): Perhaps rather: “Because [*ālayaviññāna*, as] the cause for Suffering connected with rebirth in the future, has been abandoned (\**āyati-paunarbhavika-duḥkha-hetu-prahānāt*), ...”. As for the expression *āyatipaunarbhavikam duḥkham*, see Y<sub>t</sub> dzi 329a4 (*phyi ma la yang srid pa ’byung bar byed pa’i sdug bsngal*) = Y<sub>m</sub> 154b5. For Paramārtha’s version of the passage, see Hidenori SAKUMA, *Die Āsrayaparivṛtti-Theorie in der Yogācārabhūmi*, Stuttgart: Franz Steiner 1990, II: 160–161 n. 851.

n. 568: The Tibetan reads “is not the cause of the origination-and-continuance of the Path”, which is also Paramārtha’s understanding of the passage (不爲聖道而作根本). But cf. the opposite case, viz. that *āśrayaparivṛtti* is basis for the *pravṛtti* of the Path and the basis for the *apravṛtti* of the Defilements, at Y<sub>t</sub> ’i 30a7–b1 (see SAKUMA, op. cit., II: 190 ff [Text VinSg 15: 2.i–ii]).

n. 755: Read: “Apart from the quotation from the VinSg at ASBh 13,5 (see n. 630), I have so far not ...”.

n. 769: For details see my paper *On the Problem of the External World in the Ch’eng wei shih lun*, Tokyo: The International Institute for Buddhist Studies 2005: 29 ff.

n. 1215: Cf. also VisM XXI.55 (from the *Cullaniddesa*): *cakkhu suññam attena vā attaniyena vā niccena vā dhuvena vā sassatena vā avipariṇāmadhammena vā*. One could take *niccena vā* etc. as alternative qualifications of *attena* and *attaniyena*. As for the Sanskrit version, cf. ASBh 99,15: *cakṣuḥ ... śūnyam nityena yāvad ātmīyena*; full wording: *Catuhśataka-īkā* (ed. Kōshin SUZUKI, *Candrakīrti’s Bodhisattvayogācārakatuhśatakaīkā*, Tokyo: The Sankibo Press 1994) 164,5 f: *cakṣuḥ ... śūnyam āmanātmīyena ca nityena dhruveṇa śāśvate-*

*nâvipariṇāmadharmēṇa* (cf. also Felix ERB, *Śūnyatāsaptativṛtti*, Stuttgart: Franz Steiner 1997: 149 n. 454).

n. 1330 (p. 497,-3 ff): The expression *daṣṭhulya-sahagata* occurs indeed, as an attribute of *kāya* parallel to *praśrabdhi-sahagata*, at ŚrBh 292,2 (cf. also *Taishō daigaku sōgō bukkō kenkyūjo nempō* 25/2003: 34,11) .

n. 1440 sub-note 1 (p. 538,2–5): Cf.also, e.g., Y 40,17–41,1 or AKBh 162,19 f.

n. 1477 (p. 559, ad c and d): Cf. also VisM IX.54: *attabhāvo vuccati sarīraṃ, khandha-paṇcakaṃ eva vā*.

n. 1492: For *yat tarhi* being expressly supplied by *tat katham*, cf. also AKBh 57,23.

p. 638: The lemma *–parigṛhīta* is misplaced.

p. 695,6: Add “in this life” before “is abandoned”.



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